# **Supporting Information**

# **Enantioselective Direct Vinylogous Aldol-Cyclization Cascade**

### Reaction between $\beta$ , $\gamma$ -Unsaturated Amides and o-Quinones

Yu Jiang, Jun-Hao Fu, Tian-Ze Li, Feng Sha\*, Xin-Yan Wu\*

Key Laboratory for Advanced Materials and Institute of Fine Chemicals, East China University of Science and Technology, 130 Meilong Road, Shanghai 200237, P. R. China

xinyanwu@ecust.edu.cn

# **Table of Contents**

1. General Information	S2
2. General Procedure and Characterization of the Substrates	S2
3. References	S3
4. Copies of NMR Spectra of the Products	S5
5. Copies of NMR Spectra of the Substrates	S23
6. Copies of HPLC Spectra of the Products	S29
7. The Data for the Deuterium Experiment	S48
8. X-ray Analysis of Compound <b>3ca</b>	S49

#### **1. General Information**

<sup>1</sup>H NMR and <sup>13</sup>C NMR spectra were recorded on Bruker 400 spectrometer and the chemical shifts in ppm downfield from tetramethylsilane ( $\delta$  0.00 ppm) for <sup>1</sup>H NMR and relative to the central CDCl<sub>3</sub> resonance ( $\delta$  77.0 ppm) for <sup>13</sup>C NMR spectroscopy. IR spectra were recorded on Nicolet Magna-I 550 spectrometer. High resolution mass spectra (HRMS) were performed on an electron ionization time-of-flight (EI-TOF) mass spectrometer.

Thin-layer chromatography (TLC) was performed on 10-40  $\mu m$  silica gel plates. Column chromatography was performed using silica gel (300-400 mesh) eluted with petroleum ether and ethyl acetate.

Catalysts C1-C4,<sup>1</sup> C5-C6,<sup>2</sup> C7,<sup>3</sup> and C8-C10<sup>4</sup> were prepared according to literature procedures. The *o*-quinones  $2d^5$  and  $2e^6$  were prepared by the known procedures.

#### 2. General Procedure and Characterization of the Substrates

General procedure: Pyrazole (16 mmol) and  $\beta$ , $\gamma$ -unsaturated carboxylic acid (4 mmol) were added to a CH<sub>2</sub>Cl<sub>2</sub> (25 mL) solution of SOCl<sub>2</sub> (4 mmol) at room temperature and stirred for 3 h. The reaction mixture was quenched with 0.1 M HCl (5 mL) and extracted with CH<sub>2</sub>Cl<sub>2</sub>. The organic phase was dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, then filtered and concentrated under reduced pressure. The crude product was purified by chromatography on silica gel.

Characterization of new substrates:

3-(3-Chlorophenyl)-1-(1*H*-pyrazol-1-yl)but-3-en-1-one (**1g**). Colorless liquid, 74% yield (0.73 g); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  8.23 (d, *J* = 2.8 Hz, 1H), 7.75 (s, 1H), 7.47 (s, 1H), 7.35-7.33 (m, 1H), 7.27-7.24 (m, 2H), 6.46-6.45 (m, 1H), 5.63 (s, 1H), 5.34 (s, 1H), 4.35 (s, 2H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>):  $\delta$  169.4, 144.2, 141.7, 139.3, 134.4, 129.6, 128.5, 127.9, 126.1, 124.0, 118.3, 110.0, 40.0; IR (KBr, cm<sup>-1</sup>): *v* 2975, 1735, 1635, 1383, 1351, 1191, 1088, 913, 768; HRMS (EI) calcd for C<sub>13</sub>H<sub>11</sub>ClN<sub>2</sub>O 246.0560, found 246.0559.

1-(1*H*-Pyrazol-1-yl)-3-(o-tolyl)but-3-en-1-one (**1i**). Colorless liquid, 50% yield (0.45 g); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>): δ 8.19 (d, J = 2.8 Hz, 1H), 7.67 (s, 1H), 7.23-7.21 (m, 1H), 7.18-7.11 (m, 3H), 6.40-6.39 (m, 1H), 5.41 (s, 1H), 5.17 (s, 1H), 4.22 (s, 2H), 2.38 (s, 3H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>): δ 169.3, 143.9, 141.5, 141.3, 135.0, 130.2, 128.4, 128.3, 127.3, 125.5, 119.2, 110.0, 42.2, 19.8; IR (KBr, cm<sup>-1</sup>): v 2922, 1735, 1635, 1383, 1351, 1191, 1088, 913, 768; HRMS (EI) calcd for C<sub>1</sub>4H<sub>14</sub>N<sub>2</sub>O 226.1106, found 226.1105.

3-(2,4-Dimethylphenyl)-1-(1*H*-pyrazol-1-yl)but-3-en-1-one (**1j**). Yield: 46% (0.44 g), colorless liquid; <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  8.19 (d, J = 2.8 Hz, 1H), 7.67 (s, 1H), 7.12 (d, J = 7.6 Hz, 1H), 7.00 (s, 1H), 6.95 (d J = 7.6 Hz, 1H), 6.40-6.39 (m, 1H), 5.39 (d, J = 1.2 Hz,

1H), 5.16 (s, 1H), 4.21 (s, 2H), 2.35 (s, 3H), 2.29 (s, 3H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>):  $\delta$  169.4, 143.9, 141.4, 138.4, 136.9, 134.9, 131.0, 128.4, 128.3, 126.2, 119.1, 110.0, 42.4, 21.0, 19.8; IR (KBr, cm<sup>-1</sup>):  $\nu$  1709, 1383, 1352, 1199, 913, 823, 770; HRMS (EI) calcd for C<sub>15</sub>H<sub>16</sub>N<sub>2</sub>O 240.1263, found 240.1263.

3-(3,5-Dimethylphenyl)-1-(1*H*-pyrazol-1-yl)but-3-en-1-one (**1k**). Colorless liquid, 74% yield (0.71 g); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  8.23 (d, *J* = 2.8 Hz, 1H), 7.73 (s, 1H), 7.08 (s, 2H), 6.91 (s, 1H), 6.44-6.43 (m, 1H), 5.59 (s, 1H), 5.23 (s, 1H), 4.35 (s, 2H), 2.29 (s, 6H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>):  $\delta$  169.8, 144.0, 140.6, 139.7, 137.8, 129.5, 128.4, 123.7, 116.6, 109.7, 40.2, 21.3; IR (KBr, cm<sup>-1</sup>): *v* 3148, 2918, 1737, 1382, 1350, 1199, 1088, 917, 770; HRMS (EI) calcd for C<sub>15</sub>H<sub>16</sub>N<sub>2</sub>O 240.1263, found 240.1263.

3-(Naphthalen-1-yl)-1-(1*H*-pyrazol-1-yl)but-3-en-1-one (**1**l). Colorless liquid, 64% yield (0.67 g); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  8.19 (d, J = 2.4 Hz, 1H), 8.16 (d, J = 8.0 Hz, 1H), 7.85-7.83 (m, 1H), 7.78-7.76 (m, 1H), 7.67(s, 1H), 7.52-7.40 (m, 4H), 6.39 (d, J = 1.2 Hz, 1H), 5.61 (s, 1H), 5.40 (s, 1H), 4.39 (s, 2H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>):  $\delta$  169.4, 144.0, 140.5, 139.6, 133.7, 131.0, 128.3, 128.2, 127.8, 126.1, 125.7, 125.5, 125.2, 120.5, 109.7, 42.9, 29.7; IR (KBr, cm<sup>-1</sup>): v 3052, 2919, 1708, 1384, 1201, 919, 802, 776; HRMS (EI) calcd for C<sub>17</sub>H<sub>14</sub>N<sub>2</sub>O 262.1106, found 262.1110.

3-(Naphthalen-2-yl)-1-(1*H*-pyrazol-1-yl)but-3-en-1-one (**1m**). Colorless liquid, 74% yield (0.78 g); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>):  $\delta$  8.23 (d, J = 2.8 Hz, 1H), 7.87 (s, 1H), 7.80-7.76 (m, 4H), 7.67-7.65 (m, 1H), 7.44-7.42 (m, 2H), 6.44-6.43 (m, 1H), 5.78 (s, 1H), 5.40 (s, 1H), 4.50 (s, 2H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>):  $\delta$  169.7, 144.1, 140.3, 136.9, 133.2, 132.9, 128.5, 128.3, 128.1, 127.5, 126.2, 126.0, 124.7, 124.1, 117.6, 109.9, 40.2; IR (KBr, cm<sup>-1</sup>): v 3055, 2917, 1731, 1623, 1382, 1350, 1199, 1089, 914, 861; HRMS (EI) calcd for C<sub>17</sub>H<sub>14</sub>N<sub>2</sub>O 262.1106, found 262.1107.

#### **3. References**

- 1 (a) B. Vakulya, S. Varga, A. Csámpai and T. Soós, *Org. Lett.*, 2005, 7, 1967; (b) T.-Y. Liu, J. Long, B.-J. Li, L. Jiang, R. Li, Y. Wu, L.-S. Ding and Y.-C. Chen, *Org. Biomol. Chem.*, 2006, 4, 2097.
- 2 W. Li, W. Wu, F. Yu, H. Huang, X. Liang and J. Ye, Org. Biomol. Chem., 2011, 9, 2505.
- 3 W. Yang and D.-M. Du, Org. Lett., 2010, 12, 5450.
- 4 (a) T. Okino, Y. Hoashi, T. Furukawa, X. Xu and Y. Takemoto, J. Am. Chem. Soc., 2005, 127, 119; (b) S.-Z. Nie, Z.-P. Hu, Y.-N. Xuan, J.-J. Wang, X.-M. Li and M. Yan, *Tetrahedron: Asymmetry*, 2010, 21, 2055.

- 5 W. R. Carroll, P. Pellechia and K. D. Shimizu, Org. Lett., 2008, 10, 3547.
- 6 (a) Q. Li, J. Li, H. Ren, Z. Gao and D. Liu, *Synth. Commun.*, 2011, **41**, 3325; (b) J. Hu, D. Zhang and F. W. Harris, *J. Org. Chem.*, 2005, **70**, 707.



# 4. Copies of NMR Spectra of the Products



























































5. Copies of NMR Spectra of the Substrates













# 6. Copies of HPLC Spectra of the Products





Results						
Peak No.	Peak ID	Ret Time	Height	Area	Conc.	
1		9.843	441101.438	8406273.000	97.0717	
2		25.515	5494.763	253585.656	2.9283	
Total			446596.201	8659858.656	100.0000	



т	~	+	_	1
	v	L	α	1





Peak No.	Peak ID	Ret Time	Height	Area	Conc.	
1		13.825	358604.813	10036490.000	96.9098	_
2		55.788	3146.996	320035.406	3.0902	
Total			361751.809	10356525.406	100.0000	_



Peak No.	Peak ID	Ret Time	Height	Area	Conc.	
1		12.033	122721.438	2973482.250	49.9809	
2		45.600	30417.834	2975754.500	50.0191	
Total			153139.271	5949236.750	100.0000	



Peak No.	Peak ID	Ret Time	Height	Area	Conc.
1		12.425	350057.000	8807251.000	96.5882
2		46.083	3812.679	311100.500	3.4118
Total			353869.679	9118351.500	100.0000





Peak No.	Peak ID	Ret Time	Height	Area	Conc.
1		12.093	391046.250	9477957.000	97.0902
2		45.143	3629.641	284057.500	2.9098
Total			394675.891	9762014.500	100.0000





Peak No.	Peak ID	Ret Time	Height	Area	Conc.	
1		16.120	173384.625	5967257.000	94.9591	
2		78.690	2010.934	316773.219	5.0409	
Total			175395.559	6284030.219	100.0000	



		Kesults	
Peak ID	Ret Time	Height	Area
	10.083	371566.625	7147675.00

Conc.

Total		378530.690	7377561.297	100.0000
2	17.932	6964.065	229886.297	3.1160
1	10.083	371566.625	7147675.000	96.8840

Peak No.











Results						
Peak No.	Peak ID	Ret Time	Height	Area	Conc.	
1		10.650	383202.250	8122290.000	97.3885	
2		15.050	7759.125	217797.094	2.6114	
Total			390961.375	8340087.094	100.0000	

![](_page_41_Figure_0.jpeg)

![](_page_42_Figure_0.jpeg)

![](_page_43_Figure_0.jpeg)

Peak No.	Peak ID	Ret Time	Height	Area	Conc.
1		9.860	784427.000	15744093.000	49.6956
2		56.612	124367.352	15936948.000	50.3044
Total			908794.352	31681041.000	100.0000

![](_page_43_Figure_2.jpeg)

Results Peak ID Peak No. Ret Time Height Area Conc. 9.785 275815.781 5525684.500 91.6451 1 2 57.542 4347.846 503757.031 8.3550 Total 280163.627 6029441.531 100.0000

![](_page_44_Figure_0.jpeg)

![](_page_45_Figure_0.jpeg)

	 	8			
1	12.222	87919.195	2085330.250	50.0901	
2	21.822	48294.289	2077827.625	49.9099	
Total		136213.484	4163157.875	100.0000	

![](_page_45_Figure_2.jpeg)

Peak No.	Peak ID	Ret Time	Height	Area	Conc.
1		11.715	151129.656	3459215.250	95.9600
2		20.758	3619.608	145635.297	4.0400
Total			154749.264	3604850.547	100.0000

![](_page_46_Figure_0.jpeg)

![](_page_47_Figure_0.jpeg)

# 7. The Data for the Deuterium Experiment

# 8. X-Ray Analysis of Compound 3ca

![](_page_48_Figure_1.jpeg)

#### Table 1. Crystal data and structure refinement for 3ca

Identification code	mo_dm15338_0m		
Empirical formula	C <sub>24</sub> H <sub>15</sub> ClO <sub>3</sub>		
Formula weight	386.81		
Temperature	130 K		
Wavelength	0.71073 Å		
Crystal system	Orthorhombic		
Space group	P 21 21 21		
Unit cell dimensions	a = 10.5258(9) Å	a= 90°.	
	b = 11.7582(10) Å	b= 90°.	
	c = 14.4254(12)  Å	g = 90°.	
Volume	1785.4(3) Å <sup>3</sup>		
Ζ	4		
Density (calculated)	1.439 Mg/m <sup>3</sup>		
Absorption coefficient	0.238 mm <sup>-1</sup>		
F(000)	800		
Crystal size	0.28 x 0.2 x 0.17 mm <sup>3</sup>		
Theta range for data collection	2.235 to 30.527°.		
Index ranges	-15<=h<=14, -14<=k<=16, -20<=l<=20		
Reflections collected	18084		
Independent reflections $5459 [R(int) = 0.0255]$			
Completeness to theta = $25.242^{\circ}$	2° 100.0 %		
Absorption correction	Semi-empirical from equivalents		
Max. and min. transmission	0.7461 and 0.7079		

Refinement method	Full-matrix least-squares on F <sup>2</sup>
Data / restraints / parameters	5459 / 0 / 253
Goodness-of-fit on F <sup>2</sup>	1.045
Final R indices [I>2sigma(I)]	R1 = 0.0349, wR2 = 0.0851
R indices (all data)	R1 = 0.0397, wR2 = 0.0885
Absolute structure parameter	-0.002(17)
Extinction coefficient	n/a
Largest diff. peak and hole	0.267 and -0.329 e.Å <sup>-3</sup>