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# **Supporting Information**

# $\label{eq:pd} Pd(II) \text{-} Catalyzed oxidative dearomatization of indoles:$

# substrate-controlled synthesis of indolines and indolones

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## 1. General information

**Reagents and solvents**:  $Pd(OAc)_2$  and 4,5-diaza-9-fluorenone (DAF) are commercially available. PE refers to petroleum ether b. p. 60-90 °C, EA refers to ethyl acetate and DCM refers to dichloromethane. All other starting materials and solvents were commercially available and were used without further purification unless otherwise stated.

**Chromatography**: Flash column chromatography was carried out using commercially available 200-300 mesh under pressure unless otherwise indicated. Gradient flash chromatography was conducted eluting with PE/EA, they are listed as volume/volume ratios.

**Data collection**: <sup>1</sup>H and <sup>13</sup>C NMR spectra were collected on BRUKER AV-300 (300 MHz) spectrometer using CDCl<sub>3</sub> as solvent. Chemical shifts of <sup>1</sup>H NMR were recorded in parts per million (ppm,  $\delta$ ) relative to tetramethylsilane ( $\delta = 0.00$  ppm) with the solvent resonance as an internal standard (CDCl<sub>3</sub>:  $\delta = 7.26$  ppm). Data are reported as follows: chemical shift in ppm ( $\delta$ ), multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, brs = broad singlet, m = multiplet), coupling constant (Hz), and integration. Chemical shifts of <sup>13</sup>C NMR were reported in ppm with the solvent as the internal standard (CDCl<sub>3</sub>:  $\delta = 77.16$  ppm). High Resolution Mass measurement was performed on Agilent Q-TOF 6520 mass spectrometer with electron spray ionization (ESI) as the ion source. Melting point (m. p.) was measured on a microscopic melting point apparatus.

#### 2. General procedure for the preparation of N-acylindole substrates

As shown in **Scheme S1**, *N*-acylindole substrates were synthesized from the corresponding phenylhydrazine hydrochlorides as starting materials via Fisher indole synthesis followed by *N*-acylation.



(i) Fisher indole synthesis; (ii) 2-methoxybenzoyl chloride, NaH, DMF; (iii) BBr<sub>3</sub>, DCM.



The suspension of substituted phenylhydrazine hydrochloride (40 mmol) in AcOH (40 mL) was heated in 50 °C for 30 min, then butan-2-one (80 mmol, 2 equiv.) was added in one portion and the reaction mixture was refluxed for 3 h. After cooling to room temperature, AcOH was removed under vacum and the residue was dissolved in EA. The organic phase was washed with water and brine, dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, and concentrated *in vacuo* to give gray residue, which was purified by flash chromatography on silica gel with PE/EA (v/v = 200:1 to 60:1) to afford the 2,3-disubstituted indoles.

To a solution of substituted 2,3-dimethyl-1H-indole (3.0 mmol) in DMF (10 mL) at 0  $^{\circ}$ C was added NaH (144 mg, 60% dispersion in mineral oil, 3.6 mmol). The reaction was stirred for 30 min, and 2-methoxybenzoyl chloride (0.45 mL, 3.6 mmol) was added slowly at 0  $^{\circ}$ C. The solution was stirred overnight at room temperature, then quenched with aqueous 6M HCl (20 mL) and extracted with EA (4 x 20 mL). The combined organic layers were dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated *in vacuo*. The residue was purified by flash chromatography on silica gel with PE/EA (v/v = 80:1 to 50:1) to produce **s1** (753 mg, 2.7 mmol, 90%) as a yellow solid.

To a solution of **s1** (3.0 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (10 mL) at 0 °C was added boron tribromide (1.1 mL, 1.0 M solution in DCM, 12 mmol) slowly under argon atmosphere. The solution was stirred overnight at room temperature. The reaction was quenched with cold water (20 mL) and extracted with DCM (50 mL×3). The combined organic layers were washed with saturated brine, dried with Na<sub>2</sub>SO<sub>4</sub>, concentrated *in vacuo* and purified by flash chromatography on silica gel with PE/EA (v/v = 500:1 to 300:1) to afford *N*-acylindole substrate **1**.

# 3. Characterization of the N-acylindole substrates



(2,3-dimethyl-1H-indol-1-yl)(2-hydroxyphenyl)methanone (1a)

Yellow solid, m. p. 66 – 67 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.59 (s, 1H), 7.67 – 7.28 (m, 3H), 7.28 – 6.94 (m, 4H), 6.94 – 6.71 (m, 1H), 2.37 (s, 3H), 2.24 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.9, 162.1, 136.5, 136.4, 132.9, 130.8, 122.9, 122.4, 119.2, 118.3, 118.3, 116.8, 115.3, 113.4, 12.6, 8.8 ppm. HRMS (ESI) *m/z* calcd for [C<sub>17</sub>H<sub>15</sub>NO<sub>2</sub>-H]<sup>-</sup> 264.1030, found 264.1026.



#### (2-hydroxyphenyl)(2,3,5-trimethyl-1H-indol-1-yl)methanone (1b)

Yellow solid, m. p. 84 – 85 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.80 (s, 1H), 7.64 – 7.58 (m, 2H), 7.42 (s, 1H), 7.29 – 7.26 (m, 1H), 7.18 (d, *J* = 8.4 Hz, 1H), 7.03 (dd, *J* = 8.5, 1.7 Hz, 1H), 6.95 (t, *J* = 7.4 Hz, 1H), 2.60 (s, 3H), 2.55 (s, 3H), 2.39 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.7, 162.1, 136.2, 134.9, 133.0, 132.9, 132.0, 131.2, 124.4, 119.2, 118.4, 117.1, 115.3, 113.4, 21.5, 12.7, 8.8 ppm. HRMS (ESI) *m/z* calcd for [C<sub>18</sub>H<sub>17</sub>NO<sub>2</sub>+H]<sup>+</sup> 280.1332, found 280.1332.



#### (5-ethyl-2,3-dimethyl-1H-indol-1-yl)(2-hydroxyphenyl)methanone (1c)

Yellow liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.43 (s, 1H), 7.36 – 7.31 (m, 2H), 7.13 (s, 1H), 7.05 – 6.90 (m, 1H), 6.87 (d, *J* = 8.4 Hz, 1H), 6.76 (dd, *J* = 8.5, 1.8 Hz, 1H), 6.72 – 6.61 (m, 1H), 2.58 (q, *J* = 7.6 Hz, 2H), 2.23 (s, 3H), 2.09 (s, 3H), 1.15 (t, *J* = 7.5 Hz, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.7, 162.0, 138.6, 136.2, 134.9, 133.0, 132.9, 131.1, 123.2, 119.2, 118.3, 117.0, 115.4, 113.4, 28.9, 16.3, 12.6, 8.8 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>19</sub>H<sub>19</sub>NO<sub>2</sub>+H]<sup>+</sup> 294.1489, found 294.1493.



### (2-hydroxyphenyl)(5-isopropyl-2,3-dimethyl-1H-indol-1-yl)methanone (1d)

Yellow liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.45 (s, 1H), 7.41 – 7.36 (m, 2H), 7.18 (d, J = 1.8 Hz, 1H), 7.05 – 6.96 (m, 1H), 6.92 (d, J = 8.5 Hz, 1H), 6.84 (dd, J = 8.5, 1.8 Hz, 1H), 6.79 – 6.62 (m, 1H), 3.07 – 2.58 (m, 1H), 2.26 (s, 3H), 2.14 (s, 3H), 1.20 (s, 3H), 1.17 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.4, 161.9, 147.7, 136.0, 132.3, 130.2, 122.3, 121.6, 120.0, 117.9, 117.7, 114.3, 113.7, 112.8, 29.3, 21.6, 11.9, 8.2 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>20</sub>H<sub>21</sub>NO<sub>2</sub>+H]<sup>+</sup> 308.1645, found 308.1649.



#### (5-fluoro-2,3-dimethyl-1H-indol-1-yl)(2-hydroxyphenyl)methanone (1e)

Yellow solid, m. p. 79 – 80 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.38 (s, 1H), 7.46 – 7.40 (m, 1H), 7.31 (dd, J = 8.0, 1.7 Hz, 1H), 7.10 – 6.82 (m, 3H), 6.82 – 6.56 (m, 2H), 2.27 (s, 3H), 2.11 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.6, 162.0, 160.8, 157.6, 136.5, 134.6, 132.6, 131.7, 119.3, 118.4, 116.6, 114.3, 114.2, 110.7, 110.3, 104.0, 103.7, 12.7, 8.7 ppm. HRMS (ESI) m/z calcd for  $[C_{17}H_{14}FNO_2-H]^-$  282.0936, found 282.0933.



#### (5-chloro-2,3-dimethyl-1H-indol-1-yl)(2-hydroxyphenyl)methanone (1f)

Yellow liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.36 (brs, 1H), 7.46 – 7.40 (m, 1H), 7.36 – 7.19 (m, 2H), 7.02 (d, *J* = 8.3 Hz, 1H), 6.90 (d, *J* = 1.5 Hz, 2H), 6.75 (t, *J* = 7.6 Hz, 1H), 2.27 (s, 3H), 2.11 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.6, 162.1, 136.6, 134.7, 134.4, 132.6, 132.0, 128.0, 122.9, 119.3, 118.5, 118.0, 116.5, 114.6, 114.3, 12.6, 8.7 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>17</sub>H<sub>14</sub>ClNO<sub>2</sub>-H]<sup>-</sup> 298.0640, found 298.0642.



## (5-bromo-2,3-dimethyl-1H-indol-1-yl)(2-hydroxyphenyl)methanone (1g)

Yellow solid, m. p. 90 – 91 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.48 (s, 1H), 7.62 – 7.44 (m, 2H), 7.36 (dd, J = 8.0, 1.7 Hz, 1H), 7.15 – 7.10 (m, 2H), 6.93 (d, J = 8.8 Hz, 1H), 6.88 – 6.76 (m, 1H), 2.36 (s, 3H), 2.19 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.5, 162.2, 136.7, 135.1, 134.2, 132.6, 132.5, 125.5, 121.0, 119.3, 118.5, 116.5, 115.6, 114.7, 114.5, 12.5, 8.7 ppm. HRMS (ESI) m/z calcd for [C<sub>17</sub>H<sub>14</sub>BrNO<sub>2</sub>-H]<sup>-</sup> 342.0135, found 342.0130.



(2,3-dimethyl-5-(trifluoromethyl)-1H-indol-1-yl)(2-hydroxyphenyl)methanone (1h) Yellow solid, m. p. 73 – 74 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.53 (s, 1H), 7.73 (s, 1H), 7.59 – 7.53 (m, 1H), 7.38 – 7.28 (m, 2H), 7.20 – 6.98 (m, 2H), 6.98 – 6.64 (m, 1H), 2.40 (s, 3H), 2.28 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.8, 162.4, 137.8, 137.0, 134.7, 132.6, 130.3, 119.6, 119.6, 119.4, 118.6, 116.3, 115.8, 115.7, 115.0, 113.3, 110.0, 12.4, 8.6 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>18</sub>H<sub>14</sub>F<sub>3</sub>NO<sub>2</sub>-H]<sup>-</sup> 332.0904, found 332.0908.



(7-fluoro-2,3-dimethyl-1H-indol-1-yl)(2-hydroxyphenyl)methanone (1i)

Yellow solid, m. p. 66 – 67 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.80 (s, 1H), 7.53 – 7.47 (m, 1H), 7.34 – 7.18 (m, 2H), 7.18 – 6.91 (m, 2H), 6.97 – 6.60 (m, 2H), 2.33 (s, 3H), 2.25 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  174.0, 162.4, 150.8, 147.5, 136.8, 133.8, 131.9, 131.9, 122.5, 122.4, 119.3, 118.2, 114.2, 114.2, 113.5, 109.6, 109.4, 11.8, 8.9 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>17</sub>H<sub>14</sub>FNO<sub>2</sub>-H]<sup>-</sup> 282.0936, found 282.0935.



(1,2-dimethyl-3H-benzo[e]indol-3-yl)(2-hydroxyphenyl)methanone (1j)

Yellow solid, m. p. 120 – 121 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.79 (s, 1H), 8.53 (d, J = 8.4 Hz, 1H), 7.87 (d, J = 8.1 Hz, 1H), 7.68 – 7.39 (m, 4H), 7.35 (dd, J = 8.0, 1.7 Hz, 1H), 7.30 – 7.20 (m, 1H), 7.14 (d, J = 8.4 Hz, 1H), 6.81 (t, J = 7.6 Hz, 1H), 2.67 (s, 3H), 2.43 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  173.6, 162.6, 136.8, 133.2, 131.2, 130.6, 128.7, 128.5, 126.0, 123.9, 123.6, 123.5, 119.4, 118.4, 116.8, 116.2, 113.8, 12.9, 12.1 ppm. HRMS (ESI) m/z calcd for [C<sub>21</sub>H<sub>17</sub>NO<sub>2</sub>-H]<sup>-</sup> 314.1187, found 314.1180.



#### (3,4-dihydro-1H-carbazol-9(2H)-yl)(2-hydroxyphenyl)methanone (1k)

Yellow liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.56 (s, 1H), 7.60 – 7.53 (m, 3H), 7.39 (d, J = 8.2 Hz, 1H), 7.31 (t, J = 7.2 Hz, 1H), 7.33 – 7.28 (m, 2H), 6.93 (t, J = 7.6 Hz, 1H), 3.39 – 2.44 (m, 4H), 1.99 (m, 4H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.0, 161.7, 136.7, 136.1, 132.7, 130.1, 123.2, 122.7, 119.2, 118.3, 118.1, 118.0, 117.1, 114.1, 25.2, 23.6, 22.5, 21.2 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>19</sub>H<sub>17</sub>NO<sub>2</sub>+Na]<sup>+</sup> 314.1151, found 314.1157.



(2-hydroxyphenyl)(7,8,9,10-tetrahydrocyclohepta[b]indol-5(6H)-yl)methanone (11) Yellow liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.65 (s, 1H), 7.51 – 7.24 (m, 3H), 7.16 – 7.06 (m, 2H), 7.05 – 6.89 (m, 2H), 6.75 (t, J = 7.6 Hz, 1H), 2.86 – 2.53 (m, 4H), 1.89 – 1.67 (m, 4H), 1.66 – 1.60 (m, 2H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  173.3, 162.3, 139.3, 136.5, 136.0, 132.8, 130.2, 122.9, 122.3, 122.1, 119.3, 118.3, 117.9, 117.2, 113.2, 31.3, 28.6, 27.3, 27.0, 24.1 ppm. HRMS (ESI) m/z calcd for [C<sub>20</sub>H<sub>19</sub>NO<sub>2</sub>-H]<sup>-</sup> 304.1343, found 304.1336.



# (6,7,8,9,10,11-hexahydro-5H-cycloocta[b]indol-5-yl)(2-hydroxyphenyl)methanone (1m)

Yellow solid, m. p. 128 – 129 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.65 (s, 1H), 7.58 – 7.32 (m, 3H), 7.26 – 7.09 (m, 2H), 7.09 – 6.91 (m, 2H), 6.82 (t, *J* = 7.6 Hz, 1H), 3.21 – 2.96 (m, 1H), 2.96 – 2.43 (m, 3H), 1.97 – 1.60 (m, 4H), 1.56 – 1.29 (m, 4H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.9, 162.2, 137.6, 136.7, 136.4, 132.8, 129.6, 122.6, 122.2, 120.4, 119.3, 118.4, 118.1, 116.7, 113.6, 29.9, 29.8, 26.5, 25.8, 24.2, 22.8 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>21</sub>H<sub>21</sub>NO<sub>2</sub>-H]<sup>-</sup> 318.1500, found 318.1489.



#### (3-ethyl-2-methyl-1H-indol-1-yl)(2-hydroxyphenyl)methanone (1n)

Yellow liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.49 (s, 1H), 7.40 – 7.31 (m, 3H), 7.15 – 6.81 (m, 4H), 6.81 – 6.16 (m, 1H), 2.60 (q, *J* = 7.5 Hz, 2H), 2.26 (s, 3H), 1.13 (t, *J* = 7.5 Hz, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  173.0, 162.1, 136.7, 136.4, 132.9, 132.4, 129.9, 122.8, 122.3, 121.6, 119.3, 118.4, 116.9, 113.6, 17.4, 14.7, 12.4 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>18</sub>H<sub>17</sub>NO<sub>2</sub>+H]<sup>+</sup> 280.1332, found 280.1338.



### (2-hydroxyphenyl)(3-isopropyl-2-methyl-1H-indol-1-yl)methanone (10)

Yellow solid, m. p. 61 – 62 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.88 (s, 1H), 7.84 (d, *J* = 7.9 Hz, 1H), 7.61 (t, *J* = 8.4 Hz, 2H), 7.39 – 7.23 (m, 3H), 7.23 – 7.10 (m, 1H), 6.94 (t, *J* = 7.6 Hz, 1H), 3.59 – 2.87 (m, 1H), 2.56 (s, 3H), 1.63 (d, *J* = 7.3 Hz, 6H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  173.3, 162.4, 137.1, 136.6, 133.0, 131.5, 128.8, 125.1, 122.6, 122.1, 120.0, 119.3, 118.5, 117.0, 113.7, 26.0, 22.4, 12.8 ppm. HRMS (ESI) *m/z* calcd for [C<sub>19</sub>H<sub>19</sub>NO<sub>2</sub>+H]<sup>+</sup> 294.1489, found 294.1495.



#### (2-ethyl-3-methyl-1H-indol-1-yl)(2-hydroxyphenyl)methanone (1p)

Yellow solid, m. p. 66 – 67 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.64 (s, 1H), 7.59 – 7.36 (m, 3H), 7.27 – 7.08 (m, 2H), 7.06 – 7.00 (m, 1H), 6.95 (d, *J* = 8.2 Hz, 1H), 6.90 – 6.72 (m, 1H), 3.06 – 3.03 (m, 1H), 2.78 – 2.73 (m, 1H), 2.27 (s, 3H), 1.15 (t, *J* = 7.5 Hz, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  173.2, 162.2, 139.3, 136.5, 132.8, 130.7, 122.8, 122.2, 119.3, 118.4, 118.4, 116.7, 114.5, 113.3, 18.9, 14.3, 8.6 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>18</sub>H<sub>17</sub>NO<sub>2</sub>-H]<sup>-</sup> 278.1187, found 278.1191.



#### (2-hydroxyphenyl)(3-methyl-2-phenyl-1H-indol-1-yl)methanone (1q)

Yellow solid, m. p. 110 – 111 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.32 (s, 1H), 7.56 – 7.42 (m, 1H), 7.51 – 7.48 (m, 2H), 7.29 – 6.98 (m, 8H), 6.85 (dd, J = 8.4, 1.1 Hz, 1H), 6.64 – 6.53 (m, 1H), 2.25 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  173.3, 161.9, 137.1, 136.4, 136.2, 132.6, 132.3, 130.8, 129.4, 128.4, 127.6, 124.4, 122.9, 119.3, 119.1, 117.9, 117.1, 116.9, 113.4, 9.5 ppm. HRMS (ESI) m/z calcd for [C<sub>22</sub>H<sub>17</sub>NO<sub>2</sub>-H]<sup>-</sup> 326.1187, found 326.1184.



#### (2,3-dimethyl-1H-indol-1-yl)(2-hydroxy-4-methylphenyl)methanone (1r)

Yellow solid, m. p. 91 – 92 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.62 (s, 1H), 7.36 (d, *J* = 7.7 Hz, 1H), 7.23 (d, *J* = 8.1 Hz, 1H), 7.12 – 6.92 (m, 3H), 6.83 (d, *J* = 1.7 Hz, 1H), 6.55 (dd, *J* = 8.2, 1.6 Hz, 1H), 2.34 (s, 3H), 2.31 (s, 3H), 2.15 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.9, 162.5, 148.2, 136.6, 132.9, 132.8, 130.8, 122.9, 122.2, 120.5, 118.5, 118.3, 114.9, 114.4, 113.4, 22.1, 12.4, 8.8 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>18</sub>H<sub>17</sub>NO<sub>2</sub>+H]<sup>+</sup> 280.1332, found 280.1339.



# (2,3-dimethyl-1H-indol-1-yl)(2-hydroxy-5-methylphenyl)methanone (1s)

Yellow solid, m. p. 61 – 62 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.23 (s, 1H), 7.30 (d, J = 7.7 Hz, 1H), 7.22 – 7.07 (m, 2H), 7.07 – 6.96 (m, 2H), 6.96 – 6.82 (m, 2H), 2.23 (s, 3H), 2.10 (s, 3H), 2.02 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.9, 160.0, 137.4, 136.6, 132.9, 132.4, 130.8, 128.5, 123.0, 122.4, 118.3, 118.2, 116.6, 115.2, 113.4, 20.3, 12.6, 8.8 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>18</sub>H<sub>17</sub>NO<sub>2</sub>+H]<sup>+</sup> 280.1332, found 280.1338.



#### (2,3-dimethyl-1H-indol-1-yl)(4-fluoro-2-hydroxyphenyl)methanone (1t)

Yellow solid, m. p. 66 – 67 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.88 (s, 1H), 7.43 – 7.29 (m, 2H), 7.09 – 7.04 (m, 1H), 7.00 – 6.83 (m, 2H), 6.69 (dd, J = 10.2, 2.6 Hz, 1H), 6.51 – 6.37 (m, 1H), 2.27 (s, 4H), 2.13 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.2, 169.3, 165.9, 164.7, 164.6, 136.4, 135.4, 135.2, 132.8, 130.8, 123.0, 122.4, 118.4, 115.4, 113.2, 107.7, 107.4, 105.4, 105.1, 12.4, 8.7 ppm. HRMS (ESI) *m/z* calcd for [C<sub>17</sub>H<sub>14</sub>FNO<sub>2</sub>-H]<sup>-</sup> 282.0936, found 282.0938.



### (4-chloro-2-hydroxyphenyl)(2,3-dimethyl-1H-indol-1-yl)methanone (1u)

Yellow solid, m. p. 80 – 81 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.32 (s, 1H), 7.45 – 7.27 (m, 3H), 7.22 – 7.08 (m, 1H), 7.08 – 7.01 (m, 2H), 6.98 (d, *J* = 8.6 Hz, 1H), 2.27 (s, 3H), 2.16 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  171.6, 160.4, 136.1, 132.5, 131.7, 130.9, 124.1, 123.4, 122.8, 119.9, 118.4, 116.0, 113.3, 12.7, 8.8 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>17</sub>H<sub>14</sub>ClNO<sub>2</sub>+H]<sup>+</sup> 345.1155, found 345.1156.



#### (4-bromo-2-hydroxyphenyl)(2,3-dimethyl-1H-indol-1-yl)methanone (1v)

Yellow solid, m. p. 60 – 61 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.60 (s, 1H), 7.32 (d, J = 7.7 Hz, 1H), 7.25 – 7.12 (m, 2H), 7.09 – 7.03 (m, 1H), 7.00 – 6.88 (m, 2H), 6.84 (dd, J = 8.5, 1.9 Hz, 1H), 2.25 (s, 3H), 2.12 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.6, 162.6, 143.3, 137.5, 136.1, 132.4, 119.6, 118.8, 118.2, 115.9, 115.4, 114.8, 113.0, 12.5, 8.7 ppm. HRMS (ESI) m/z calcd for [C<sub>17</sub>H<sub>14</sub>BrNO<sub>2</sub>-H]<sup>-</sup> 342.0135, found 342.0136.



#### (2-hydroxyphenyl)(2-methyl-1H-indol-1-yl)methanone (3a)

Yellow solid, m. p. 54 – 55 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.39 (s, 1H), 7.31 (d, *J* = 8.0 Hz, 2H), 7.25 (d, *J* = 8.1 Hz, 1H), 7.04 – 6.80 (m, 4H), 6.63 (t, *J* = 7.6 Hz, 1H), 6.28 (s, 1H), 2.29 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  171.9, 161.0, 136.4, 136.1, 135.4, 131.6, 128.3, 121.6, 121.4, 118.8, 118.1, 117.2, 115.4, 112.3, 107.3, 13.8 ppm. HRMS (ESI) *m/z* calcd for [C<sub>16</sub>H<sub>13</sub>NO<sub>2</sub>+H]<sup>+</sup> 252.0887, found 252.0881.



### (2,5-dimethyl-1H-indol-1-yl)(2-hydroxyphenyl)methanone (3b)

Yellow solid, m. p. 70 – 71 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.39 (s, 1H), 7.36 – 7.28 (m, 2H), 7.12 (s, 1H), 7.02 – 6.92 (m, 1H), 6.83 (d, *J* = 8.5 Hz, 1H), 6.78 – 6.62 (m, 2H), 6.23 (s, 1H), 2.31 (s, 3H), 2.25 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.5, 161.6, 137.2, 136.0, 135.1, 132.3, 131.6, 129.3, 123.6, 119.5, 118.7, 117.9, 116.2, 112.8, 107.9, 20.8, 14.6 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>17</sub>H<sub>15</sub>NO<sub>2</sub>+H]<sup>+</sup> 266.1093, found 266.1090.



### (5-fluoro-2-methyl-1H-indol-1-yl)(2-hydroxyphenyl)methanone (3c)

Yellow liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.26 (s, 1H), 7.36 – 7.31 (m, 1H), 7.19 (dd, J = 8.0, 1.7 Hz, 1H), 6.96 – 6.92 (m, 2H), 6.86 (dd, J = 9.0, 4.4 Hz, 1H), 6.74 – 6.52 (m, 2H), 6.22 (s, 1H), 2.26 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.7, 162.2, 160.8, 157.6, 139.3, 136.8, 133.6, 132.6, 130.4, 130.3, 119.4, 118.5, 116.4, 114.4, 114.3, 110.6, 110.3, 108.2, 108.2, 105.6, 105.3, 15.0 ppm. HRMS (ESI) m/z calcd for [C<sub>16</sub>H<sub>12</sub>FNO<sub>2</sub>+H]<sup>+</sup> 270.0802, found 270.0804.



#### (5-chloro-2-methyl-1H-indol-1-yl)(2-hydroxyphenyl)methanone (3d)

Yellow liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.29 (s, 1H), 7.48 – 7.30 (m, 1H), 7.26 (s, 1H), 7.23 – 7.12 (m, 1H), 6.97 (d, J = 8.4 Hz, 1H), 6.83 (s, 2H), 6.68 (t, J = 7.6 Hz, 1H), 6.22 (s, 1H), 2.29 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.2, 161.8, 138.6, 136.4, 135.1, 132.1, 130.1, 127.6, 122.3, 119.1, 118.9, 118.1, 115.7, 113.9, 107.1, 14.5 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>16</sub>H<sub>12</sub>ClNO<sub>2</sub>+H]<sup>+</sup> 286.0507, found 286.0510.



#### (2-hydroxyphenyl)(2-methyl-1H-indol-1-yl)methanone (3e)

Yellow solid, m. p. 129 – 130 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.45 (s, 1H), 7.57 – 7.45 (m, 1H), 7.38 – 7.34 (m, 1H), 7.33 – 7.20 (m, 4H), 7.18 – 7.06 (m, 5H), 6.89 (d, J = 8.3 Hz, 1H), 6.72 (s, 1H), 6.56 (t, J = 7.6 Hz, 1H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  1 73.8, 162.3, 141.3, 138.2, 136.7, 132.6, 129.5, 128.6, 127.9, 127.9, 124.2, 123.1, 121.0, 119.3, 118.0, 116.8, 113.3, 109.4 ppm. HRMS (ESI) *m/z* calcd for [C<sub>21</sub>H<sub>15</sub>NO<sub>2</sub> NO<sub>2</sub>+H]<sup>+</sup> 314.1093, found 314.1090.



## (4-chloro-2-hydroxyphenyl)(2-methyl-1H-indol-1-yl)methanone (3f)

Yellow solid, m. p. 134 – 135 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.29 (s, 1H), 7.45 – 7.35 (m, 2H), 7.33 (d, *J* = 2.8 Hz, 1H), 7.15 – 6.98 (m, 4H), 6.39 (s, 1H), 2.36 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  171.9, 160.5, 137.3, 136.4, 131.6, 129.5, 124.2, 123.1, 123.0, 120.2, 120.0, 117.5, 113.4, 109.1, 15.1 ppm. HRMS (ESI) *m/z* calcd for [C<sub>16</sub>H<sub>12</sub>ClNO<sub>2</sub>+H]<sup>+</sup> 286.0501, found 286.0510.



#### (4-bromo-2-hydroxyphenyl)(2-methyl-1H-indol-1-yl)methanone (3g)

Yellow solid, m. p. 95 – 96 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  10.56 (s, 1H), 7.36 (d, *J* = 7.7 Hz, 1H), 7.24 – 7.12 (m, 2H), 7.06 – 7.01 (m, 1H), 6.99 – 6.90 (m, 2H), 6.86 (dd, *J* = 8.5, 1.9 Hz, 1H), 6.34 (s, 1H), 2.35 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  172.0, 162.1, 137.0, 136.6, 133.2, 130.7, 129.0, 122.4, 122.4, 122.3, 121.3, 119.7, 114.9, 112.9, 108.3, 14.5 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>16</sub>H<sub>12</sub>BrNO<sub>2</sub>+H]<sup>+</sup> 330.0017, found 330.0015.

#### 4. General procedure of Pd(II)-catalyzed Wacker cyclization/

## dearomatization of indoles



A sealed tube was charged with *N*-acylindole substrate **1** (0.2 mmol, 1 equiv.),  $Pd(OAc)_2$  (0.02 mmol, 10 mol%), ligand (0.04 mmol, 20 mol%), and 1,4-dioxane (2 mL) under O<sub>2</sub> atmosphere. The reaction mixture was vigorously stirred at 80 °C (oil temperature) for 48 h. After cooling to room temperature, the reaction mixture was diluted with EA (10 mL) and filtered through a plug of Celite. The filtrate was washed with water and brine, dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, and concentrated *in vacuo* to give dark residue, which was purified by flash chromatography on silica gel with PE/EA ( $\nu/\nu = 300$ :1 to 100:1, TLC:  $R_f = 0.2 - 0.6$ , PE/EA = 20:1) to afford dearomatized product **2**.

# 5. Further functionalization of dearomatized products

### 5.1 Transformation of C3-exo double bonds



A sealed tube was charged with **2a** (0.2 mmol, 1 equiv.), RuCl<sub>3</sub> (0.006 mmol, 3 mol%), NaIO<sub>4</sub> (1.2 mmol, 6 equiv.), and CH<sub>3</sub>CN/H<sub>2</sub>O/CCl<sub>4</sub> (2 mL, v/v/v = 1.6:1:1) at room temperature for 1 h. The reaction mixture was diluted with EA (10 mL), quenched with aqueous sodium thiosulfate and filtered through a plug of Celite. The filtrate was washed with water and brine, dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, and concentrated *in vacuo* to give dark residue, which was purified by flash chromatography on silica gel with PE/EA (v/v = 50:1 to 20:1, TLC: R<sub>f</sub> = 0.3, PE/EA = 20:1) to afford **4a**.

#### 5a-methyl-5aH-benzo[5,6][1,3]oxazino[3,2-a]indole-6,12-dione (4a)

35.5 mg, 67% yield, pale yellow solid, m. p. 117 – 118 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.32 (d, *J* = 8.3 Hz, 1H), 7.96 (dd, *J* = 7.7, 1.7 Hz, 1H), 7.82 – 7.71 (m, 1H), 7.67 – 7.63 (m, 1H), 7.53 – 7.35 (m, 1H), 7.27 – 7.14 (m, 1H), 7.14 – 6.90 (m, 2H), 1.60 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  193.6, 157.7, 155.5, 150.0, 138.5, 135.3, 128.5, 125.2, 125.0, 123.4, 120.8, 118.2, 118.1, 117.2, 90.7, 20.5 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>16</sub>H<sub>11</sub>NO<sub>3</sub>+H]<sup>+</sup> 266.0812, found 266.0811.

## 5.2 Cross coupling of 2g with phenylboronic acid



A sealed tube was charged with **2g** (0.2 mmol, 1 equiv.), phenylboronic acid (0.24 mmol, 1.2 equiv.), Pd(PPh<sub>3</sub>)<sub>4</sub> (0.004 mmol, 2 mol%), K<sub>3</sub>PO<sub>4</sub> (0.4 mmol, 2 equiv.), and DME/H<sub>2</sub>O (2 mL, v/v = 4:1) under argon atmosphere at 65 °C for 8 h. The reaction mixture was diluted with EA (10 mL) and filtered through a plug of Celite. The filtrate was washed with water and brine, dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, and concentrated *in vacuo* to give dark residue, which was purified by flash chromatography on silica gel with PE/EA (v/v = 200:1 to 150:1, TLC: R<sub>f</sub> = 0.5, PE/EA = 20:1) to afford **5**.

# 5a-methyl-6-methylene-8-phenyl-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-o ne (5)

57.0 mg, 84% yield, white solid, m. p. 102 – 103 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ 8.28 (d, J = 8.4 Hz, 1H), 8.10 (dd, J = 7.8, 1.7 Hz, 1H), 7.77 (d, J = 1.9 Hz, 1H), 7.63 – 7.57 (m, 3H), 7.55 – 7.43 (m, 3H), 7.37 (d, J = 7.2 Hz, 1H), 7.18 (t, J = 7.6 Hz, 1H), 7.10 (d, J = 8.2 Hz, 1H), 5.84 (s, 1H), 5.58 (s, 1H), 1.67 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  157.9, 155.1, 146.5, 140.6, 140.5, 137.8, 134.7, 129.9, 128.8, 128.3, 127.3, 127.0, 126.4, 122.8, 119.6, 118.8, 117.6, 116.5, 106.2, 95.7, 25.5 ppm. HRMS (ESI) m/z calcd for [C<sub>23</sub>H<sub>17</sub>NO<sub>2</sub>+Na]<sup>+</sup> 362.1152, found 362.1151.

## 5.3 Cross coupling of 2v with phenylacetylene



A sealed tube was charged with 2v (0.2 mmol, 1 equiv.), Pd(PPh<sub>3</sub>)<sub>2</sub>Cl<sub>2</sub> (0.004 mmol, 2 mol%), CuI (0.008 mmol, 4 mol%), phenylacetylene (0.4 mmol, 2 equiv.), and Et<sub>3</sub>N (2 mL). The reaction mixture was then vigorously stirred under argon atmosphere at 90 °C (oil temperature) for 4 h. After cooling to room temperature, the reaction mixture was diluted with EA (20 mL) and filtered through a plug of celite. The mixture was concentrated *in vacuo* and purified by flash chromatography on silica gel with PE/EA (v/v = 300:1 to 100:1, TLC: R<sub>f</sub> = 0.4, PE/EA = 20:1) to afford the desired product **6**.

# 5a-methyl-6-methylene-3-(phenylethynyl)-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-one (6)

56.6 mg, 78% yield, yellow solid, m. p. 129 – 130 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ 8.22 (d, J = 8.1 Hz, 1H), 8.04 (d, J = 8.0 Hz, 1H), 7.57 – 7.53 (m, 3H), 7.40 – 7.30 (m, 5H), 7.27 – 7.20 (m, 1H), 7.15 (t, J = 7.5 Hz, 1H), 5.78 (s, 1H), 5.54 (s, 1H), 1.68 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  157.4, 154.9, 146.4, 141.1, 131.8, 130.8, 129.7, 128.9, 128.4, 128.2, 126.0, 125.8, 124.5, 122.5, 121.0, 120.4, 118.4, 116.3, 106.1, 95.6, 92.7, 88.3, 25.5 ppm. HRMS (ESI) m/z calcd for  $[C_{25}H_{17}NO_2+H]^+$  364.1332, found 364.1336.

# 6. Optimization for synthesis of indolones

Ĺ		Pd/Oxidant Solvent, O <sub>2</sub>		ò	
	3a		4a		
Entry	Cat.	Oxidant	Solvent	Т	Yield
			(2 mL)	(°C)	$(\%)^b$
1	Pd(OAc) <sub>2</sub>	p-BQ	DMF	80	trace
2	Pd(OAc) <sub>2</sub>	CuCl	DMF	80	48
3	PdCl <sub>2</sub>	CuCl	DMF	80	48
4	Pd(PPh <sub>3</sub> ) <sub>2</sub> Cl <sub>2</sub>	CuCl	DMF	80	44
5	Pd(dppf)Cl <sub>2</sub>	CuCl	DMF	80	45
6	$Pd(OAc)_2$	CuCl <sub>2</sub>	DMF	80	47
7	Pd(OAc) <sub>2</sub>	CuBr	DMF	80	trace
8	$Pd(OAc)_2$	Cu(OAc) <sub>2</sub>	DMF	80	35
9	Pd(OAc) <sub>2</sub>	AgSbF <sub>6</sub>	DMF	80	36
10	Pd(OAc) <sub>2</sub>	CuCl	DME	80	68
11	Pd(OAc) <sub>2</sub>	CuCl	THF	80	56
12	$Pd(OAc)_2$	CuCl	DMA	80	31
13	Pd(OAc) <sub>2</sub>	CuCl	MeOH	80	39
14	Pd(OAc) <sub>2</sub>	CuCl	DCM	80	32
15	Pd(OAc) <sub>2</sub>	CuCl	1,4-Dioxane	80	39
16	Pd(OAc) <sub>2</sub>	CuCl	Toluene	80	34
17	Pd(OAc) <sub>2</sub>	CuCl	DME	70	67
18	Pd(OAc) <sub>2</sub>	CuCl	DME	60	70
19	Pd(OAc) <sub>2</sub>	CuCl	DME	50	72
20	Pd(OAc) <sub>2</sub>	CuCl	DME	40	75
21	$Pd(OAc)_2$	CuCl	DME	rt	27
22 <sup>c</sup>	Pd(OAc) <sub>2</sub>	CuCl	DME	40	69
$23^d$	Pd(OAc) <sub>2</sub>	CuCl	DME	40	trace

# Table S1 Screening of reaction conditions<sup>*a,b*</sup>

<sup>*a*</sup> Reaction conditions: **3a** (0.2 mmol), catalyst (10 mol%), oxidant (1 equiv.), DME (2 mL), O<sub>2</sub>, 12 h. <sup>*b*</sup> Isolated yield. <sup>*c*</sup> Under air atmosphere. <sup>*d*</sup> Under argon atmosphere.

# 7. General procedure of Pd(II)-catalyzed oxidative dearomatization of

## 2-substituted indoles



A sealed tube was charged with *N*-acylindole substrate **3** (0.2 mmol, 1 equiv.),  $Pd(OAc)_2$  (0.02 mmol, 10 mol%), CuCl (0.2 mmol, 1 equiv.), and DME (2 mL) under  $O_2$  atmosphere. The reaction mixture was vigorously stirred at 40 °C (oil temperature) for 12 h. After cooling to room temperature, the reaction mixture was diluted with EA (10 mL) and filtered through a plug of Celite. The filtrate was washed with water and brine, dried over anhydrous Na<sub>2</sub>SO<sub>4</sub>, and concentrated *in vacuo* to give dark residue, which was purified by flash chromatography on silica gel with PE/EA (v/v = 50:1 to 40:1, TLC:  $R_f = 0.5 - 0.6$ , PE/EA = 20:1) to afford dearomatized product **4**.

# 8. Preliminary asymmetric study



#### Table S2 Preliminary asymmetric study a-c

Entry	Ligand	Additive	Solvent	Temperature	Yield (%)	ee (%)	
1	L1	/	1,4-dioxane	80 °C	trace	/	
2	L2	/	1,4-dioxane	80 °C	30	5	
3	L3	/	1,4-dioxane	80 °C	75	2	
4 <sup>d</sup>	L4	/	1,4-dioxane	80 °C	43	6	
5	L5	/	1,4-dioxane	80 °C	41	2	
6	L6	/	1,4-dioxane	80 °C	40	8	
7	L7	/	1,4-dioxane	80 °C	21	18	
8	L8	/	1,4-dioxane	80 °C	25	16	

9	L9	/	1,4-dioxane	80 °C	18	9
10	L10	/	1,4-dioxane	80 °C	trace	/
11 <sup>d</sup>	L7	pyridine	1,4-dioxane	80 °C	43	36
12	L7	4,5-diaza-9-fluorenone	1,4-dioxane	80 °C	60	7
13	L7	2,2'-bipyridine	1,4-dioxane	80 °C	41	4
14	L7	pyridine	DME	80 °C	20	14
15	L7	pyridine	DMF	80 °C	trace	/
16	L7	pyridine	DMA	80 °C	35	7
17	L7	pyridine	MeOH	80 °C	trace	/
18	L7	pyridine	NMP	80 °C	trace	/
19	L7	pyridine	toluene	80 °C	56	11
20	L7	pyridine	1,4-dioxane	50 °C	39	31



<sup>*a*</sup> Reaction conditions: **1a** (0.1 mmol),  $Pd(OAc)_2$  (10 mol%) and ligand (12 mol%) in solvent (2 mL) for 26 h under O<sub>2</sub> atmosphere. <sup>*b*</sup> Isolated yield. <sup>*c*</sup> The ee values of the products were determined by chiral-phase HPLC analysis. <sup>*d*</sup> Pyridine (20 mol%) was added.



Optically active-**2a** (Table S1 entry 11)

HPLC data for compound **2a**: CHIRALCEL OJ-H, *i*-PrOH: Hexane = 15:85,  $[\alpha]_D^{20} = +51.7$  (c = 0.3, EA), 0.5 mL/min, 210 nm, 36% ee.





*5a-methyl-6-methylene-5aH-benzo*[*5*,*6*][*1*,*3*]*oxazino*[*3*,*2-a*]*indol-12*(*6H*)*-one* (*2a*) 41.0 mg, 78% yield, white solid, m. p. 49 – 50 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.23 (d, *J* = 8.1 Hz, 1H), 8.06 (dd, *J* = 7.7, 1.7 Hz, 1H), 7.66 – 7.42 (m, 2H), 7.39 – 7.33 (m, 1H), 7.13 (m, 2H), 7.16 – 7.10 (m, 1H), 5.75 (s, 1H), 5.53 (s, 1H), 1.64 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  157.9, 155.1, 146.5, 141.2, 134.7, 130.7, 128.3, 125.8, 124.5, 122.7, 121.1, 118.7, 117.6, 116.3, 106.1, 95.4, 25.4 ppm. HRMS (ESI) *m/z* calcd for [C<sub>17</sub>H<sub>13</sub>NO<sub>2</sub>+H]<sup>+</sup> 264.1019, found 264.1017.



5a,8-dimethyl-6-methylene-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-one (2b)

41.6 mg, 75% yield, yellow liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.98 – 7.84 (m, 2H), 7.35 – 7.29 (m, 1H), 7.20 (d, *J* = 1.7 Hz, 1H), 7.04 – 6.96 (m, 2H), 6.91 (d, *J* = 8.2 Hz, 1H), 5.58 (s, 1H), 5.36 (s, 1H), 2.20 (s, 3H), 1.49 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  157.6, 155.0, 146.5, 139.0, 134.5, 134.2, 131.5, 128.2, 125.8, 122.7, 121.4, 118.8, 117.5, 116.0, 105.7, 95.5, 25.4, 21.2 ppm. HRMS (ESI) *m/z* calcd for [C<sub>18</sub>H<sub>15</sub>NO<sub>2</sub>+H]<sup>+</sup> 278.1176, found 278.1180.



8-ethyl-5a-methyl-6-methylene-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-one (2c)

44.2 mg, 76% yield, yellow liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.04 (d, J = 8.2 Hz, 1H), 7.97 (dd, J = 7.8, 1.7 Hz, 1H), 7.43 – 7.37 (m, 1H), 7.30 (d, J = 1.8 Hz, 1H), 7.21 – 7.02 (m, 2H), 6.98 (dd, J = 8.2, 1.1 Hz, 1H), 5.67 (s, 1H), 5.44 (s, 1H), 2.58 (q, J = 7.6 Hz, 2H), 1.57 (s, 3H), 1.17 (t, J = 7.6 Hz, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  157.7, 155.1, 146.7, 140.8, 139.2, 134.5, 130.5, 128.2, 125.9, 122.7, 120.2, 118.8, 117.5, 116.2, 105.6, 95.5, 28.7, 25.4, 15.8 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>19</sub>H<sub>17</sub>NO<sub>2</sub>+H]<sup>+</sup> 292.1332, found 292.1337.



8-isopropyl-5a-methyl-6-methylene-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H) -one (2d)

51.3 mg, 84% yield, white solid, m. p. 79 – 80 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.05 (dd, J = 8.3, 1.5 Hz, 1H), 8.01 – 7.93 (m, 1H), 7.45 – 7.34 (m, 1H), 7.32 (d, J = 1.9 Hz, 1H), 7.17 (d, J = 8.1 Hz, 1H), 7.06 (t, J = 7.6 Hz, 1H), 6.97 (d, J = 8.2 Hz, 1H), 5.68 (s, 1H), 5.43 (s, 1H), 2.89 – 2.80 (m, 1H), 1.56 (s, 3H), 1.19 (d, J = 1.5 Hz, 3H), 1.17 (d, J = 1.5 Hz, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  157.7, 155.1, 146.8, 145.5, 139.3, 134.5, 129.2, 128.2, 125.8, 122.7, 118.8, 118.7, 117.5, 116.2, 105.6, 95.6, 34.0, 25.4, 24.2 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>20</sub>H<sub>19</sub>NO<sub>2</sub>+H]<sup>+</sup> 306.3704, found 306.3706.



8-fluoro-5a-methyl-6-methylene-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-o ne (2e)

39.9 mg, 71% yield, white solid, m. p. 69 – 70 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.19 (dd, J = 8.8, 4.7 Hz, 1H), 8.05 (dd, J = 7.7, 1.7 Hz, 1H), 7.53 – 7.47 (m, 1H), 7.30 – 7.12 (m, 2H), 7.11 – 6.98 (m, 2H), 5.76 (s, 1H), 5.60 (s, 1H), 1.66 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  161.5, 158.2, 157.7, 154.9, 145.9, 134.7, 128.2, 127.4, 122.9, 118.6, 117.6, 117.4, 117.3, 117.3, 108.1, 107.8, 107.5, 95.6, 25.4 ppm. HRMS (ESI) m/z calcd for [C<sub>17</sub>H<sub>12</sub>FNO<sub>2</sub>+H]<sup>+</sup> 282.0925, found 282.0922.



8-chloro-5a-methyl-6-methylene-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-o ne (2f)

38.6 mg, 65% yield, yellow liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.06 (d, *J* = 8.6 Hz, 1H), 7.96 (dd, *J* = 7.8, 1.7 Hz, 1H), 7.48 – 7.34 (m, 2H), 7.23 (dd, *J* = 8.6, 2.2 Hz, 1H), 7.09 – 7.04 (m, 1H), 7.01 – 6.85 (m, 1H), 5.68 (s, 1H), 5.50 (s, 1H), 1.56 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  157.8, 155.0, 145.5, 139.6, 134.8, 130.6, 129.7, 128.3, 127.4, 122.9, 121.2, 118.5, 117.6, 117.3, 107.5, 95.6, 25.4 ppm. HRMS (ESI) *m/z* calcd for [C<sub>17</sub>H<sub>12</sub>CINO<sub>2</sub>+H]<sup>+</sup> 298.0629, found 298.0633.



8-bromo-5a-methyl-6-methylene-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-o ne (2g)

40.9 mg, 60% yield, white liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.06 – 7.92 (m, 2H), 7.57 (d, *J* = 2.0 Hz, 1H), 7.48 – 7.32 (m, 2H), 7.13 – 7.03 (m, 1H), 7.03 – 6.92 (m, 1H), 5.68 (s, 1H), 5.50 (s, 1H), 1.56 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  157.9, 155.0, 145.4, 140.1, 134.9, 133.4, 128.3, 127.8, 124.1, 122.9, 118.5, 117.7, 117.6, 117.2, 107.6, 95.5, 25.4 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>17</sub>H<sub>12</sub>BrNO<sub>2</sub>+H]<sup>+</sup> 342.0124, found 342.0129.



# 5a-methyl-6-methylene-8-(trifluoromethyl)-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol -12(6H)-one (2h)

35.1 mg, 53% yield, white solid, m. p. 94 – 95 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.20 (d, *J* = 8.4 Hz, 1H), 7.96 (dd, *J* = 7.8, 1.7 Hz, 1H), 7.69 (d, *J* = 1.8 Hz, 1H), 7.53 (dd, *J* = 8.5, 1.8 Hz, 1H), 7.45 – 7.39 (m, 1H), 7.14 – 7.02 (m, 1H), 6.98 (d, *J* = 8.2 Hz, 1H), 5.77 (s, 1H), 5.55 (s, 1H), 1.57 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  158.2, 155.0, 145.3, 143.5, 135.1, 128.4, 127.9, 127.9, 127.8, 127.8, 126.7, 126.2, 125.9, 123.0, 122.3, 118.4, 118.3, 118.3, 118.2, 117.7, 116.1, 108.0, 95.7, 25.4 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>18</sub>H<sub>12</sub>F<sub>3</sub>NO<sub>2</sub>+H]<sup>+</sup> 332.0893, found 332.0896.



10-fluoro-5a-methyl-6-methylene-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-o ne (2i)

34.8 mg, 62% yield, yellow liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  7.98 (dd, J = 7.7, 1.7 Hz, 1H), 7.43 – 7.38 (m, 1H), 7.31 – 7.21 (m, 1H), 7.13 – 6.93 (m, 4H), 5.70 (s, 1H), 5.48 (s, 1H), 1.56 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  156.3, 154.6, 152.3, 148.9, 146.3, 146.2, 134.5, 130.0, 129.9, 128.8, 126.0, 125.9, 123.0, 119.4, 119.1, 117.3, 116.9, 116.9, 107.0, 96.4, 25.6 ppm. HRMS (ESI) m/z calcd for [C<sub>17</sub>H<sub>12</sub>FNO<sub>2</sub>+H]<sup>+</sup> 282.0925, found 282.0929.



# 13a-methyl-14-methylene-13a,14-dihydro-8H-benzo[e]benzo[5,6][1,3]oxazino[3,2-a ]indol-8-one (2j)

38.8 mg, 62% yield, yellow solid, m. p. 115 – 116 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ 8.47 (d, J = 8.9 Hz, 1H), 8.20 (d, J = 8.5 Hz, 1H), 8.00 (dd, J = 7.8, 1.7 Hz, 1H), 7.89 – 7.65 (m, 2H), 7.59 – 7.21 (m, 3H), 7.14 – 6.87 (m, 2H), 6.05 (s, 1H), 5.66 (s, 1H), 1.58 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  157.9, 155.2, 147.4, 141.0, 134.7, 132.1, 131.4, 129.5, 129.3, 128.3, 128.0, 124.8, 123.1, 122.8, 118.8, 118.0, 117.6, 116.1, 108.4, 95.6, 25.5 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>21</sub>H<sub>15</sub>NO<sub>2</sub>+H]<sup>+</sup> 314.1176, found 314.1176.



## 2,3-dihydrobenzo[5,6][1,3]oxazino[2,3-k]carbazol-10(1H)-one (2k)

38.2 mg, 66% yield, yellow solid, m. p. 90 – 91 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.02 (t, J = 8.5 Hz, 2H), 7.49 – 7.42 (m, 2H), 7.15 (t, J = 7.8 Hz, 1H), 7.03 – 6.94 (m, 2H), 6.88 (d, J = 8.2 Hz, 1H), 6.24 (t, J = 4.3 Hz, 1H), 2.56 – 2.46 (m, 2H), 2.37 – 2.25 (m, 1H), 2.06 – 1.71 (m, 1H), 1.63 – 1.40 (m, 2H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  160.2, 154.7, 141.9, 135.0, 134.5, 128.7, 128.0, 126.3, 124.3, 123.6, 122.1, 119.5, 116.9, 114.4, 93.0, 29.2, 23.3, 15.4 ppm. HRMS (ESI) *m/z* calcd for [C<sub>19</sub>H<sub>15</sub>NO<sub>2</sub>+H]<sup>+</sup> 290.1176, found 290.1177.



3,4-dihydro-1H-benzo[5,6][1,3]oxazino[3,2-a]cyclohepta[b]indol-11(2H)-one (2l) 44.3 mg, 73% yield, white solid, m. p. 124 – 125 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ 8.05 (d, J = 8.0 Hz, 1H), 7.99 – 7.84 (m, 1H), 7.39 (t, J = 7.7 Hz, 1H), 7.27 (s, 1H), 7.16 (t, J = 7.7 Hz, 1H), 7.10 – 6.85 (m, 3H), 6.56 – 6.52 (m, 1H), 2.92 – 2.80 (m, 1H), 2.39 – 2.32 (m, 1H), 2.25 – 2.15 (m, 1H), 1.91 – 1.55 (m, 4H), 1.54 – 1.29 (m, 1H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  158.6, 154.6, 141.6, 140.3, 134.7, 129.3, 128.2, 126.7, 125.6, 124.3, 122.7, 119.7, 119.6, 117.5, 115.7, 98.0, 32.9, 27.8, 26.5, 26.3 ppm. HRMS (ESI) m/z calcd for [C<sub>20</sub>H<sub>17</sub>NO<sub>2</sub>+H]<sup>+</sup> 304.1332, found 304.1338.



2,3,4,5-tetrahydrobenzo[5,6][1,3]oxazino[3,2-a]cycloocta[b]indol-12(1H)-one (2m) 40.6 mg, 64% yield, white solid, m. p. 159 – 160 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ 8.13 (d, *J* = 8.0 Hz, 1H), 7.95 (dd, *J* = 7.7, 1.7 Hz, 1H), 7.45 – 7.28 (m, 2H), 7.21 – 7.16 (m, 1H), 7.06 – 6.97 (m, 3H), 6.38 – 6.32 (m, 1H), 3.34 – 3.04 (m, 1H), 2.60 – 2.35 (m, 1H), 2.25 – 2.17 (m, 1H), 1.88 – 1.30 (m, 6H), 1.38 – 1.27 (m, 1H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  157.8, 154.3, 140.1, 137.5, 134.5, 129.3, 128.0, 127.2, 124.3, 123.3, 122.7, 119.9, 119.1, 117.5, 116.3, 98.3, 37.7, 28.4, 25.4, 23.3, 20.6 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>21</sub>H<sub>19</sub>NO<sub>2</sub>+H]<sup>+</sup> 318.1489, found 318.1489.



# (Z/E)- 6-ethylidene-5a-methyl-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-one (2n)

Inseparable mixture, Z/E = 1.6:1, 41.6 mg, 75% yield, pale yellow liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.19 – 8.17 (m, 0.7H) (minor), 8.10 – 8.07 (m, 1H) (major), 7.95 – 7.92 (m, 1.7H), 7.52 – 7.49 (m, 0.8H), 7.35 – 7.10 (m, 4.6H), 7.06 – 6.95 (m, 2.7H), 6.93 – 6.88 (m, 2.3H), 6.14 – 6.06 (q, J = 7.5 Hz, 1H) (major), 6.04 – 5.96 (q, J = 7.4 Hz, 0.6H) (minor), 2.02 – 1.98 (m, 5H), 1.55 (s, 3H) (major), 1.44 (s, 2H) (minor) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  158.0, 157.7, 155.0, 154.8, 141.2, 139.9, 138.5, 137.4, 134.6, 134.5, 129.3, 129.2, 128.2, 128.1, 127.2, 126.3, 124.6, 124.4, 124.3, 122.7, 122.6, 121.3, 120.0, 119.7, 118.8, 117.6, 117.5, 116.1, 116.1, 96.1, 95.6, 25.7, 23.1, 14.2, 13.9 ppm. HRMS (ESI) m/z calcd for [C<sub>18</sub>H<sub>15</sub>NO<sub>2</sub>+Na]<sup>+</sup> 300.0995, found 300.0997.



# 5a-methyl-6-(propan-2-ylidene)-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-on e (2o)

35.5 mg, 61% yield, white solid, m. p. 90 – 91 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.23 – 8.18 (m, 1H), 7.94 (dd, J = 7.7, 1.8 Hz, 1H), 7.45 (d, J = 7.8 Hz, 1H), 7.36 – 7.31 (m, 1H), 7.16 – 7.11 (m, 1H), 7.03 – 6.94 (m, 2H), 6.93 – 6.84 (m, 1H), 2.15 (s, 3H), 2.04 (s, 3H), 1.54 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  157.8, 154.7, 140.5, 134.5, 133.4, 131.2, 128.2, 128.0, 127.8, 124.4, 124.1, 122.6, 118.9, 117.5, 115.9, 96.6, 23.3, 23.1, 23.0 ppm. HRMS (ESI) m/z calcd for [C<sub>19</sub>H<sub>17</sub>NO<sub>2</sub>+H]<sup>+</sup> 292.1332, found 292.1333.



5*a*-*ethyl*-*6*-*methylene*-5*aH*-*benzo*[5,6][1,3]*oxazino*[3,2-*a*]*indol*-12(6*H*)-*one* (2*p*) 36.0 mg, 65% yield, white solid, m. p. 72 – 73 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 8.14 (d, J = 8.1 Hz, 1H), 7.96 (dd, J = 7.8, 1.9 Hz, 1H), 7.64 – 7.33 (m, 2H), 7.26 (t, J =7.8 Hz, 1H), 7.12 – 6.87 (m, 3H), 5.73 (s, 1H), 5.44 (s, 1H), 2.17 – 1.73 (m, 2H), 0.71 – 0.66 (m, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>) δ 157.9, 155.0, 144.5, 142.0, 134.7, 130.6, 128.2, 126.6, 124.4, 122.6, 120.6, 118.8, 117.4, 116.0, 106.8, 97.8, 30.8, 7.1 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>18</sub>H<sub>15</sub>NO<sub>2</sub>+Na]<sup>+</sup> 300.0995, found 300.0996.



6-methylene-5a-phenyl-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-one (2q) 19.5 mg, 30% yield, white solid, m. p. 174 – 175 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ 8.31 (d, J = 8.1 Hz, 1H), 7.85 (dd, J = 7.8, 1.7 Hz, 1H), 7.49 – 7.27 (m, 5H), 7.19 – 7.08 (m, 5H), 6.96 (t, J = 7.5 Hz, 1H), 5.69 (s, 1H), 5.58 (s, 1H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  158.7, 155.4, 146.5, 142.6, 140.6, 134.7, 130.9, 128.8, 128.7, 128.3, 125.5, 124.9, 124.7, 122.9, 121.5, 119.7, 117.7, 115.5, 107.4, 96.7 ppm. HRMS (ESI) m/z calcd for [C<sub>22</sub>H<sub>15</sub>NO<sub>2</sub>+H]<sup>+</sup> 326.1176, found 326.1176.



# 3,5a-dimethyl-6-methylene-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-one (2r)

45.4 mg, 82% yield, white liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.12 (d, J = 8.1 Hz, 1H), 7.85 (d, J = 7.9 Hz, 1H), 7.45 (d, J = 7.7 Hz, 1H), 7.27 (t, J = 7.8 Hz, 1H), 7.03 (t, J = 7.5 Hz, 1H), 6.87 (d, J = 8.0 Hz, 1H), 6.79 (s, 1H), 5.66 (s, 1H), 5.43 (s, 1H), 2.29 (s, 3H), 1.56 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  158.1, 155.1, 146.7, 145.9, 130.7, 128.1, 125.8, 124.2, 124.0, 123.8, 121.0, 120.2, 117.8, 116.2, 105.7, 95.4, 25.4, 21.8 ppm. HRMS (ESI) m/z calcd for [C<sub>18</sub>H<sub>15</sub>NO<sub>2</sub>+H]<sup>+</sup> 278.1176, found 278.1175.



# 2,5a-dimethyl-6-methylene-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-one (2s)

41.6 mg, 75% yield, white solid, m. p. 65 – 66 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.22 (d, *J* = 8.1 Hz, 1H), 7.85 (d, *J* = 2.2 Hz, 1H), 7.60 – 7.47 (m, 1H), 7.39 – 7.33 (m, 1H), 7.27 (dd, *J* = 8.3, 2.3 Hz, 1H), 7.18 – 7.06 (m, 1H), 6.95 (d, *J* = 8.3 Hz, 1H), 5.74 (s, 1H), 5.51 (s, 1H), 2.35 (s, 3H), 1.63 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  158.1, 153.0, 146.6, 141.2, 135.4, 132.3, 130.7, 128.2, 125.8, 124.3, 121.0, 118.4, 117.3, 116.3, 105.9, 95.3, 25.3, 20.6 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>18</sub>H<sub>15</sub>NO<sub>2</sub>+H]<sup>+</sup> 278.1176, found 278.1180.



3-fluoro-5a-methyl-6-methylene-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-o ne (2t)

39.9 mg, 71% yield, yellow liquid; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.25 (d, *J* = 8.1 Hz, 1H), 8.12 (dd, *J* = 8.7, 6.4 Hz, 1H), 7.58 (d, *J* = 7.6 Hz, 1H), 7.41 (t, *J* = 7.8 Hz, 1H), 7.18 (t, *J* = 7.6 Hz, 1H), 6.94 – 6.88 (m, 1H), 6.83 (dd, *J* = 9.4, 2.3 Hz, 1H), 5.81 (s, 1H), 5.57 (s, 1H), 1.72 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  168.2, 164.8, 157.1, 146.1, 141.0, 130.8, 130.5, 130.3, 125.6, 124.5, 121.1, 116.2, 115.3, 110.7, 110.4, 106.2, 105.2, 104.8, 96.0, 25.4 ppm. HRMS (ESI) *m/z* calcd for [C<sub>17</sub>H<sub>12</sub>FNO<sub>2</sub>+Na]<sup>+</sup> 304.0744, found 304.0743.



# 3-chloro-5a-methyl-6-methylene-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-o ne (2u)

34.5 mg, 58% yield, white solid, m. p. 103 – 104 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ 8.20 (d, J = 8.1 Hz, 1H), 8.03 (d, J = 2.7 Hz, 1H), 7.62 – 7.50 (m, 1H), 7.51 – 7.30 (m, 2H), 7.19 – 7.14 (m, 1H), 7.03 (d, J = 8.7 Hz, 1H), 5.78 (s, 1H), 5.53 (s, 1H), 1.66 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  153.5, 146.1, 140.9, 134.5, 130.8, 128.1, 127.9, 125.8, 124.7, 121.1, 119.9, 119.1, 116.3, 106.2, 95.7, 25.4 ppm. HRMS (ESI) m/z calcd for [C<sub>17</sub>H<sub>12</sub>ClNO<sub>2</sub>+Na]<sup>+</sup> 320.0449, found 320.0450.



# 3-bromo-5a-methyl-6-methylene-5aH-benzo[5,6][1,3]oxazino[3,2-a]indol-12(6H)-o ne (2v)

38.2 mg, 56% yield, white solid, m. p. 75 – 76 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.09 (d, *J* = 8.1 Hz, 1H), 7.82 (d, *J* = 8.1 Hz, 1H), 7.44 (d, *J* = 7.6 Hz, 1H), 7.33 – 7.12 (m, 3H), 7.05 (t, *J* = 7.5 Hz, 1H), 5.67 (s, 1H), 5.42 (s, 1H), 1.56 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  157.2, 155.5, 146.1, 141.0, 130.8, 129.5, 128.6, 126.2, 125.7, 124.6, 121.1, 120.9, 117.8, 116.3, 106.2, 95.9, 25.5 ppm. HRMS (ESI) *m/z* calcd for [C<sub>17</sub>H<sub>12</sub>BrNO<sub>2</sub>+Na]<sup>+</sup> 365.9925, found 365.9929.



### 5a-methyl-5aH-benzo[5,6][1,3]oxazino[3,2-a]indole-6,12-dione (4a)

39.8 mg, 75% yield, pale yellow solid, m. p. 117 – 118 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$  8.32 (d, *J* = 8.3 Hz, 1H), 7.96 (dd, *J* = 7.7, 1.7 Hz, 1H), 7.82 – 7.71 (m, 1H), 7.67 – 7.63 (m, 1H), 7.53 – 7.35 (m, 1H), 7.27 – 7.14 (m, 1H), 7.14 – 6.90 (m, 2H), 1.60 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  193.6, 157.7, 155.5, 150.0, 138.5, 135.3, 128.5, 125.2, 125.0, 123.4, 120.8, 118.2, 118.1, 117.2, 90.7, 20.5 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>16</sub>H<sub>11</sub>NO<sub>3</sub>+H]<sup>+</sup> 266.0812, found 266.0811.



*5a,8-dimethyl-12H-benzo*[*5,6*][*1,3*]*oxazino*[*3,2-a*]*indole-6,12*(*5aH*)-*dione* (*4b*) 41.3 mg, 74% yield, white solid, m. p. 130 – 131 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 8.20 (d, J = 8.3 Hz, 1H), 7.97 (dd, J = 7.8, 1.7 Hz, 1H), 7.55 – 7.54 (m, 1H), 7.58 – 7.40 (m, 2H), 7.16 – 7.03 (m, 2H), 2.33 (s, 3H), 1.60 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>) δ 193.7, 157.5, 155.6, 148.1, 139.6, 135.2, 128.4, 128.0, 124.9, 123.3, 120.9, 118.4, 118.1, 117.0, 90.9, 20.9, 20.5 ppm. HRMS (ESI) *m/z* calcd for [C<sub>17</sub>H<sub>13</sub>NO<sub>3</sub>+Na]<sup>+</sup> 302.0788, found 302.0785.



8-fluoro-5a-methyl-12H-benzo[5,6][1,3]oxazino[3,2-a]indole-6,12(5aH)-dione (4c) 38.5 mg, 68% yield, white solid, m. p. 134 – 135 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ 8.42 (dd, J = 9.6, 4.3 Hz, 1H), 8.04 (dd, J = 7.8, 1.7 Hz, 1H), 7.58 – 7.44 (m, 3H), 7.28 – 7.10 (m, 2H), 1.71 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  192.8, 161.1, 157.9, 157.4, 155.4, 146.4, 135.4, 134.9, 128.5, 128.0, 125.9, 125.6, 123.5, 118.9, 118.8, 118.1, 111.0, 110.7, 91.1, 20.5 ppm. HRMS (ESI) m/z calcd for [C<sub>16</sub>H<sub>10</sub>FNO<sub>3</sub>+H]<sup>+</sup> 284.0717, found 284.0717.



8-chloro-5a-methyl-12H-benzo[5,6][1,3]oxazino[3,2-a]indole-6,12(5aH)-dione (4d) 34.7 mg, 58% yield, white solid, m. p. 173 – 174 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ 8.39 (d, *J* = 8.7 Hz, 1H), 8.13 – 8.00 (m, 1H), 7.81 (d, *J* = 2.2 Hz, 1H), 7.71 (dd, *J* = 8.7, 2.3 Hz, 1H), 7.57 (t, *J* = 7.5 Hz, 1H), 7.31 – 7.11 (m, 2H), 1.71 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  192.5, 157.6, 155.5, 148.4, 138.3, 135.6, 130.7, 128.6, 124.8, 123.6, 122.2, 118.5, 118.2, 118.0, 91.1, 20.5 ppm. HRMS (ESI) *m/z* calcd for [C<sub>16</sub>H<sub>10</sub>ClNO<sub>3</sub>+Na]<sup>+</sup> 322.0241, found 322.0238.



## 5a-phenyl-12H-benzo[5,6][1,3]oxazino[3,2-a]indole-6,12(5aH)-dione (4e)

30.7 mg, 47% yield, white solid, m. p. 185 – 186 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ 8.58 (d, J = 8.2 Hz, 1H), 7.94 (dd, J = 7.8, 1.7 Hz, 1H), 7.82 – 7.76 (m, 2H), 7.56 (dd, J = 6.7, 3.0 Hz, 2H), 7.46 – 7.41 (m, 1H), 7.35 – 7.22 (m, 4H), 7.19 (d, J = 8.2 Hz, 1H), 7.06 (t, J = 7.6 Hz, 1H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  191.4, 158.4, 155.9, 150.9, 138.5, 135.2, 133.6, 129.9, 129.0, 128.4, 126.5, 125.9, 125.3, 123.5, 120.7, 119.2, 118.1, 116.7, 92.2 ppm. HRMS (ESI) m/z calcd for [C<sub>21</sub>H<sub>13</sub>NO<sub>3</sub>+Na]<sup>+</sup> 350.0788, found 350.0785.



**3-chloro-5a-methyl-12H-benzo**[5,6][1,3]oxazino[3,2-a]indole-6,12(5aH)-dione (4f) 31.7 mg, 53% yield, white solid, m. p. 218 – 219 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)  $\delta$ 8.31 (d, *J* = 8.3 Hz, 1H), 7.93 (d, *J* = 2.6 Hz, 1H), 7.77 (dd, *J* = 7.8, 1.3 Hz, 1H), 7.72 – 7.66 (m, 1H), 7.41 (dd, *J* = 8.8, 2.7 Hz, 1H), 7.28 – 7.18 (m, 1H), 7.04 (d, *J* = 8.7 Hz, 1H), 1.62 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)  $\delta$  193.0, 156.5, 154.0, 149.7, 138.6, 135.2, 128.9, 128.1, 125.3, 120.8, 119.6, 119.4, 117.3, 90.9, 20.5 ppm. HRMS (ESI) *m*/*z* calcd for [C<sub>16</sub>H<sub>10</sub>ClNO<sub>3</sub>+Na]<sup>+</sup> 322.0241, found 322.0251.



3-bromo-5a-methyl-12H-benzo[5,6][1,3]oxazino[3,2-a]indole-6,12(5aH)-dione (4g) 39.1 mg, 57% yield, white solid, m. p. 120 – 121 °C; <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 8.38 (d, J = 8.2 Hz, 1H), 7.91 (d, J = 8.7 Hz, 1H), 7.85 (dd, J = 7.8, 1.4 Hz, 1H), 7.80 – 7.74 (m, 1H), 7.39 – 7.27 (m, 3H), 1.71 (s, 3H) ppm; <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>) δ 192.9, 157.0, 155.9, 149.8, 138.6, 129.7, 129.5, 127.0, 125.3, 125.2, 121.4, 120.8, 117.2, 91.0, 20.6 ppm. HRMS (ESI) m/z calcd for  $[C_{16}H_{10}BrNO_3+Na]^+$  365.9736, found 365.9736.





# 11. Crystal structures of 2q and 4a



Figure S1. ORTEP plot of the crystal structure of 2q.

CCDC number	1508997
Empirical formula	C <sub>22</sub> H <sub>15</sub> NO <sub>2</sub>
Formula weight	325.35
Temperature	296 K
Wavelength	0.71073 Å
Space group	Pbca
Unit cell dimensions	a= 8.874(6) Å =90 °
	b= 13.733(9) Å =90 °
	c= 27.215(17) Å =90 °
Volume	3317(4) Å <sup>3</sup>
Ζ	8
Density (calculated)	1.303 Mg/m <sup>3</sup>
F(000)	1360.0
Completeness to theta = $25.010^{\circ}$	94.1%
Absorption correction	MULTI-SCAN
Max. and min. transmission	0.996 and 0.990
R indices (all data)	R= 0.0354(2726)
	wR2(reflections)= 0.0962(3390)

# X-ray crystallographic data of 2q



Figure S2. ORTEP plot of the crystal structure of 4a.

CCDC number	1508996
Empirical formula	C <sub>16</sub> H <sub>11</sub> NO <sub>3</sub>
Formula weight	265.26
Temperature	296 K
Wavelength	0.71073 Å
Space group	P21/c
Unit cell dimensions	a= 8.269(3) Å =90 °
	b=9.296(4) Å $=93.281(4)$ °
	$c= 16.904(7) \text{ Å} =90 ^{\circ}$
Volume	1297.3(9) Å <sup>3</sup>
Ζ	4
Density (calculated)	1.358 Mg/m <sup>3</sup>
F(000)	552.0
Completeness to theta = $25.010^{\circ}$	91.1%
Absorption correction	MULTI-SCAN
Max. and min. transmission	0.991 and 0.989
R indices (all data)	R= 0.0372(2113)
	wR2(reflections)= 0.1039(2581)

# X-ray crystallographic data of 4a
























<sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)







Br HO HO 1g <sup>1</sup>H NMR (300 MHz, CD Cl<sub>3</sub>)

























<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)











<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>)





































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S57



### -10.4538 7.5321 7.5321 7.5321 7.5310 7.5365 7.3603 7.3603 7.35303 7.35303 7.35303 7.35303 7.35303 7.3572591 7.2591 7.2591 7.2592664 7.1275 7.1275 7.1044 7.1108 7.1108 7.1108 7.1275 7.10646 7.1108 7.10872 7.10872 7.0872 6.6901 6.53146.5314





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2c <sup>1</sup>H NMR (300 MHz, CD Cl<sub>3</sub>)





2c <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>)



### 80067 800517 800517 800517 800517 77,9912 77,99555 77,99555 77,99555 77,9555 77,9555 77,1953 77,1953 77,1705 77,170









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 $$2v$ $^1\!H$  NMR (300 MHz, CD Cl\_3)















-1.7055



### 28.5888 28.5616 7.9552 7.95536 7.95536 7.95536 7.95348 7.79246 7.77549 7.775495 7.75521 7.755495 7.754464 7.7544564 7.754464 7.7544564 7.7544564 7.7544564 7.7544564 7.7544564 7.7544564 7.7544564 7.7544564 7.7544564 7.7544564 7.7544564 7.7544564 7.7544564 7.7544564 7.7544564 7.7544564 7.7544564 7.7544564 7.7544564 7.75521 7.75521 7.75521 7.75521 7.75521 7.75521 7.75521 7.75521 7.75521 7.75521 7.75521 7.75521 7.75521 7.75521 7.75521 7.75521 7.76574 7.76574 7.76574 7.76574 7.76574 7.76574 7.76574 7.70575

~0.0005



4f <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) 0.2 1.10<sub>4</sub> 1.25<sub>4</sub> 1.04<sub>4</sub> 3.244 100.  $1.08^{4}_{1.064}$ 1.03 6.5 6.0 5.5 5.0 4.5 4.0 fl (ppm) 8.0 7.5 3.5 3.0 2.5 5 9.0 8. 5 2.0 1.5 1. 0 0.5 0.0 -0 ✓156,5055
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√1119,4397 -193.0340-90.898477.479477.056576.6313-20.48824f <sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>) 20 210 200 190 180 170 160 150 140 130 120 110 100 fl (ppm) 90 80 70 60 50 40 30 20 10 Ó

-1.6187







