

## **Supporting Information**

*for*

### **Chiral aldehyde induced tandem conjugated addition-lactamization reaction for constructing full-substituted pyroglutamic acids**

Wen-Zhe Wang, Hao-Ran Shen, Jian Liao, Wei Wen\*, Qi-Xiang Guo\*

Key Laboratory of Applied Chemistry of Chongqing Municipality, School of Chemistry and  
Chemical Engineering, Southwest University, Chongqing, 400715, China.

wenwei1989@swu.edu.cn; [qxguo@swu.edu.cn](mailto:qxguo@swu.edu.cn)

## **Table of Contents**

<b>1. General data.....</b>	<b>1</b>
<b>2. Reaction condition optimization.....</b>	<b>2</b>
<b>3. General procedure for the catalytic asymmetric reaction.....</b>	<b>3</b>
<b>4. Determination of the absolute configuration.....</b>	<b>22</b>
<b>5. References.....</b>	<b>23</b>
<b>6. The spectrums of <math>^1\text{H}</math> NMR and <math>^{13}\text{C}</math> NMR.....</b>	<b>24</b>

---

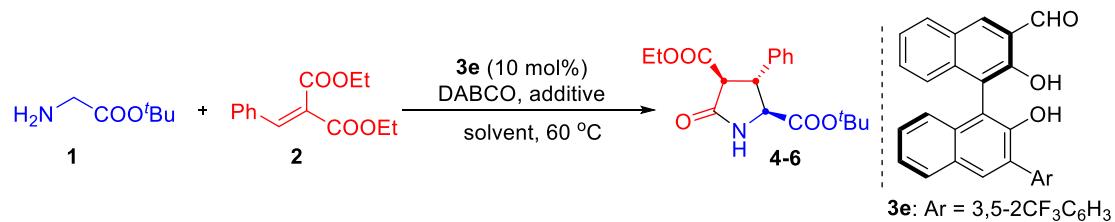
## 1. General data

Solvents for reactions were dried appropriately before use: toluene, THF and Et<sub>2</sub>O were dried by refluxing with sodium and benzophenone as an indicator, CH<sub>2</sub>Cl<sub>2</sub> and CHCl<sub>3</sub> were dried by refluxing with CaH<sub>2</sub>. All other reagents were directly used as purchased from Aladdin, Adamas-beta® and Energy Chemical.

Unless otherwise noted, commercial reagents were used as received and all reactions were carried out directly in the air atmosphere.<sup>1</sup>H NMR and <sup>13</sup>C NMR spectra were recorded on Bruker Avance 600 MHz or 400 MHz spectrometer. Chemical shifts ( $\delta$ ) are reported in ppm from tetramethylsilane (TMS) with the solvent resonance as the internal standard. Proton signal multiplicities are given as s (singlet), d (doublet), t (triplet), q (quartet), m (multiplet), br (broad) or a combination of them. J-values are in Hz. HRMS (ESI-Q-TOF) spectra were recorded on Bruker Impact-II mass spectrometer. Enantiomer ratios were determined by HPLC (CHIRALPAK AD-H, IA-H, IF-H, OD-H columns were purchased from Daicel Chemical Industries, LTD). Optical rotations were determined at  $\lambda = 589$  nm (sodium D line) by using a Rudolph-API automatic polar meter.  $\alpha,\beta$ -unsaturated diester **2**<sup>[1][2]</sup>, chiral aldehydes<sup>[3]</sup> were prepared according to the literature.

## 2. Reaction condition optimization

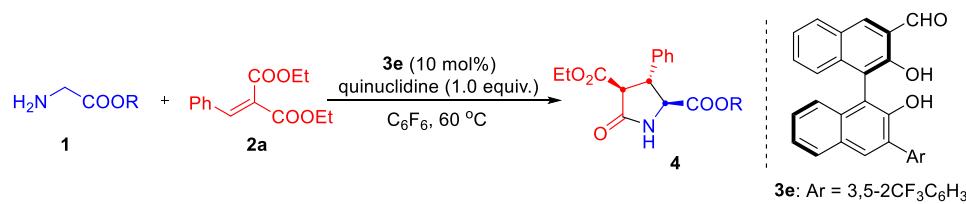
**Table S1: Additives screening<sup>a</sup>**



Entry	Additive	Solvent	Time(h)	Yield(%) <sup>c</sup>	ee <sup>d</sup>	dr <sup>d</sup>
<b>1<sup>b</sup></b>	LiBr	PhCH <sub>3</sub>	16.5	trace		
<b>2<sup>b</sup></b>	ZnCl <sub>2</sub>	PhCH <sub>3</sub>	16.5	55	7	92:8
<b>3<sup>b</sup></b>	Cu(OTf) <sub>2</sub>	PhCH <sub>3</sub>	16.5	trace		
<b>4<sup>b</sup></b>	ZnF <sub>2</sub>	PhCH <sub>3</sub>	24	61	-18	79:12
<b>5<sup>b</sup></b>	ZnBr <sub>2</sub>	PhCH <sub>3</sub>	28	56	-9	94:6
<b>6<sup>b</sup></b>	Zn(OAc) <sub>2</sub>	PhCH <sub>3</sub>	28	68	0	62:38
<b>7</b>	Zn(OAc) <sub>2</sub>	C <sub>6</sub> F <sub>6</sub>	11	45	5	81:19
<b>8</b>	AgOAc	C <sub>6</sub> F <sub>6</sub>	22.5	18	53	86:14
<b>9</b>	Ag <sub>2</sub> CO <sub>3</sub>	C <sub>6</sub> F <sub>6</sub>	22.5	17	53	87:13
<b>10</b>	Cu(CH <sub>3</sub> CN) <sub>4</sub> PF <sub>6</sub>	C <sub>6</sub> F <sub>6</sub>	8.5	N.R.		
<b>11</b>	Cu(OAc) <sub>2</sub>	C <sub>6</sub> F <sub>6</sub>	8.5	N.R.		
<b>12</b>	AgSbF <sub>6</sub>	C <sub>6</sub> F <sub>6</sub>	23.5	44	59	90:10
<b>13</b>	AgBF <sub>4</sub>	C <sub>6</sub> F <sub>6</sub>	23.5	55	61	92:8
<b>14</b>	Zn(OTf) <sub>2</sub>	C <sub>6</sub> F <sub>6</sub>	19.5	48	23	63:37
<b>15</b>	NaOAc	C <sub>6</sub> F <sub>6</sub>	23.5	42	67	91:9

<sup>a</sup> Unless noted otherwise, reactions were performed with **1a** (0.40 mmol), **2a** (0.20 mmol), **3** (0.02 mmol), base (0.25 mmol) and additive (0.06mmol) in solvent (1.0 mL) at 60 °C. <sup>b</sup> base (0.2mmol), additive (0.08mmol), <sup>c</sup> Isolated yield. <sup>d</sup> Determined by chiral HPLC.

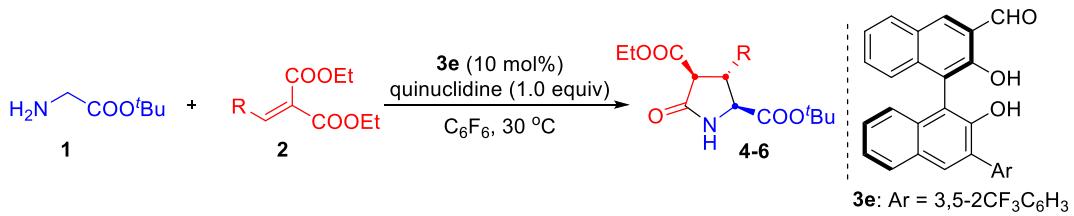
**Table S2: Screening of the alkoxy groups of glycines<sup>a</sup>**



Entry	R	Yield(%) <sup>b</sup>	ee <sup>c</sup>	dr <sup>c</sup>
1	Me	53	75	99:1
2	Et	68	76	98:2
3	Bn	27	70	93:7
4	<sup>t</sup> Bu	83	80	90:10

<sup>a</sup> Reactions were performed with **1** (0.3 mmol), **2a** (0.2 mmol), **3** (0.02 mmol) and base (0.2 mmol) in C<sub>6</sub>F<sub>6</sub> (1.0 mL) at 60 °C. <sup>b</sup> Isolated yield. <sup>c</sup> Determined by chiral HPLC.

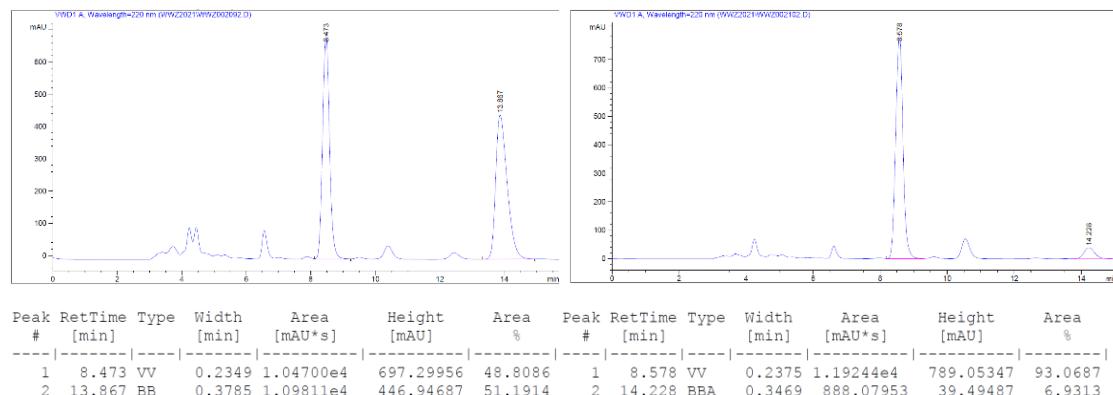
### 3. General procedure for the catalytic asymmetric reaction



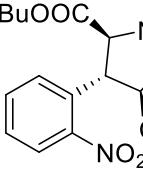
A dry Schlenk tube was charged with  $\alpha,\beta$ -unsaturated diester **2** (0.2 mmol), catalyst **3e** (0.02 mmol), Quinuclidine (0.2 mmol) and Glycine tert-butyl ester **1** (0.3 mmol). After the addition of Hexafluorobenzene (1.0 mL), the reaction mixture was effectively stirred at 30 °C and monitored by TLC. After the complete consumption of reactant **2**, the mixture was concentrated in vacuo and purified by flash chromatography on silica gel (petroleum: AcOEt = 5:1 to 1:1) to afford products **4-6**.

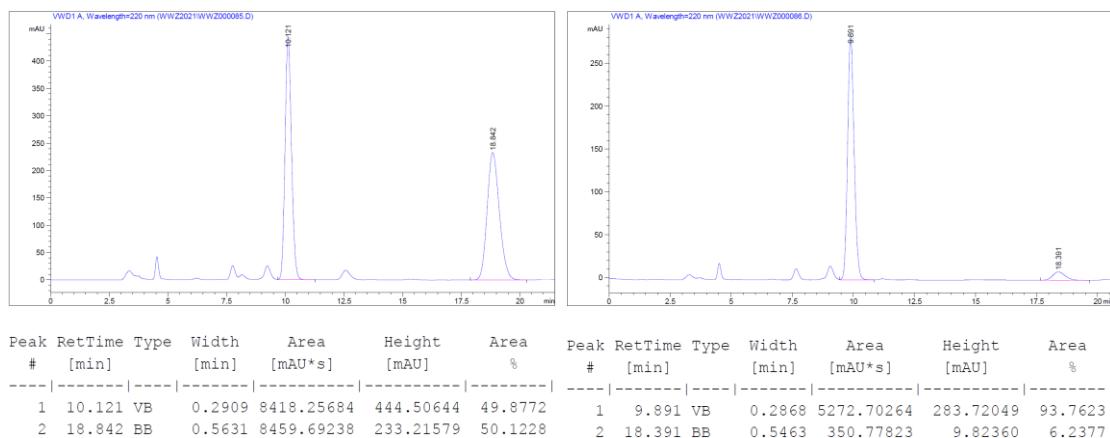
#### 2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-5-oxo-3-phenylpyrrolidine-2,4-dicarboxylate (**4a**):

Colorless oil (60.9 mg, 92%); R<sub>f</sub> = 0.23 (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 86% by HPLC analysis on Daicel Chirapak IA-H column (hexane/isopropanol = 80/20, flow rate 1.0 mL/min, T = 30 °C), UV 220 nm, t<sub>R</sub>(major) 8.578 min, t<sub>R</sub>(minor) 14.228 min; [a]<sub>D</sub><sup>20</sup> = -2.99 (c=1.17, CHCl<sub>3</sub>); **1H NMR (600 MHz, CDCl<sub>3</sub>)** δ 7.36 (t, J = 7.5 Hz, 2H), 7.29 (d, J = 7.4 Hz, 3H), 6.49 (s, 1H), 4.26 – 4.15 (m, 3H), 4.08 (t, J = 7.9 Hz, 1H), 3.59 (d, J = 8.6 Hz, 1H), 1.40 (s, 9H), 1.26 (t, J = 7.1 Hz, 3H). **13C NMR (151 MHz, CDCl<sub>3</sub>)** δ 170.62, 169.14, 168.20, 139.74, 129.02, 127.82, 127.44, 82.96, 61.98, 61.27, 56.06, 47.99, 27.91, 14.11. **HRMS(ESI) m/z: [M+H]<sup>+</sup>** Calculated for C<sub>18</sub>H<sub>24</sub>NO<sub>5</sub><sup>+</sup> 334.1649; found 334.1648.

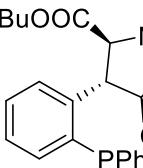


**2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-3-(2-nitrophenyl)-5-oxopyrrolidine-2,4-dicarboxylate (4b):**


 Yellow oil (53.0 mg, 70%);  $R_f = 0.12$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 88% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C), UV 220 nm,  $t_R$ (major) 9.891 min,  $t_R$ (minor) 18.391 min;  $[a]_D^{20} = -58.48$  ( $c=0.56$  CHCl<sub>3</sub>); **1H NMR (600 MHz, CDCl<sub>3</sub>)** δ 7.91 (d, J = 8.1 Hz, 1H), 7.67 (t, J = 7.4 Hz, 1H), 7.56 (d, J = 7.7 Hz, 1H), 7.48 (t, J = 7.7 Hz, 1H), 7.08 (s, 1H), 4.67 (t, J = 6.3 Hz, 1H), 4.24 (m, J = 12.1, 5.2 Hz, 3H), 3.58 (d, J = 7.0 Hz, 1H), 1.41 (s, 9H), 1.28 (t, J = 7.2 Hz, 3H). **13C NMR (151 MHz, CDCl<sub>3</sub>)** δ 170.47, 168.74, 167.62, 149.49, 134.94, 133.69, 129.17, 128.79, 124.99, 83.47, 62.23, 60.91, 56.03, 42.61, 27.76, 14.03. **HRMS(ESI)** m/z: [M+H]<sup>+</sup> Calculated for C<sub>18</sub>H<sub>23</sub>N<sub>2</sub>O<sub>7</sub><sup>+</sup> 379.1500; found 379.1492.

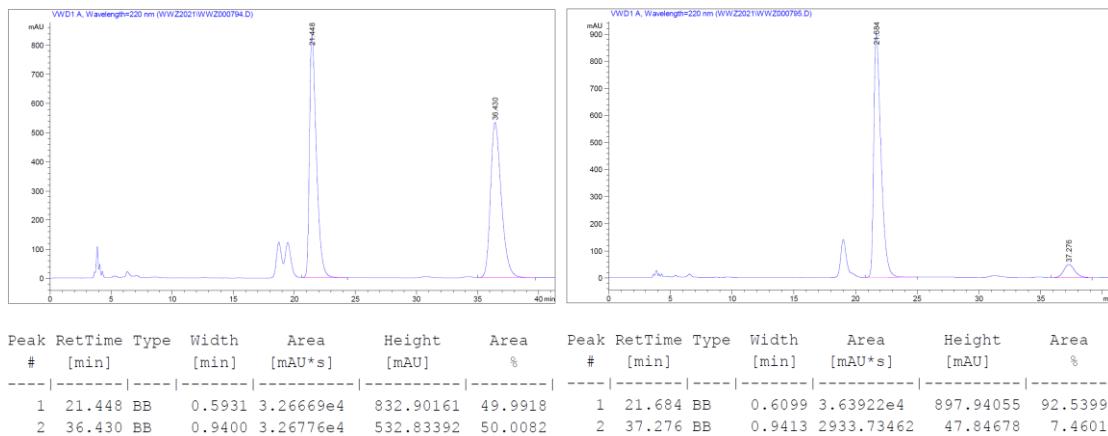


**2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-3-(2-(diphenylphosphanyl)phenyl)-5-oxopyrrolidine-2,4-dicarboxylate (4c):**

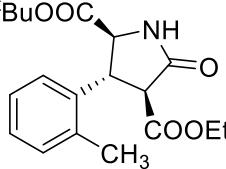

 Colorless oil (101.9 mg, 81%);  $R_f = 0.14$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 85% by HPLC analysis on Daicel Chirapak IA-H column (hexane/isopropanol = 90/10, flow rate 1.0 mL/min, T = 30 °C), UV 220 nm,  $t_R$ (major) 21.684 min,  $t_R$ (minor) 37.276 min;  $[a]_D^{20} = 6.81$  ( $c=1.73$  CHCl<sub>3</sub>); **1H NMR (600 MHz, CDCl<sub>3</sub>)** δ 7.40 – 7.35 (m, 2H), 7.34 – 7.29 (m, 6H), 7.23 – 7.15 (m, 5H), 6.95 (dd, J = 7.6, 3.7 Hz, 1H), 6.47 (s, 1H), 5.09 (s, 1H), 4.30 (d, J = 3.7 Hz, 1H), 3.93 – 3.80 (m, 2H), 3.27 (s, 1H), 1.46 (s, 9H), 1.11 (t, J = 7.1 Hz, 3H). **13C NMR (151 MHz, CDCl<sub>3</sub>)** δ 171.68, 169.43, 167.57, 136.71, 136.63, 136.28, 136.21, 135.89, 135.79, 134.64, 133.88, 133.79, 133.75, 133.66, 130.25, 128.80, 128.75, 128.66,

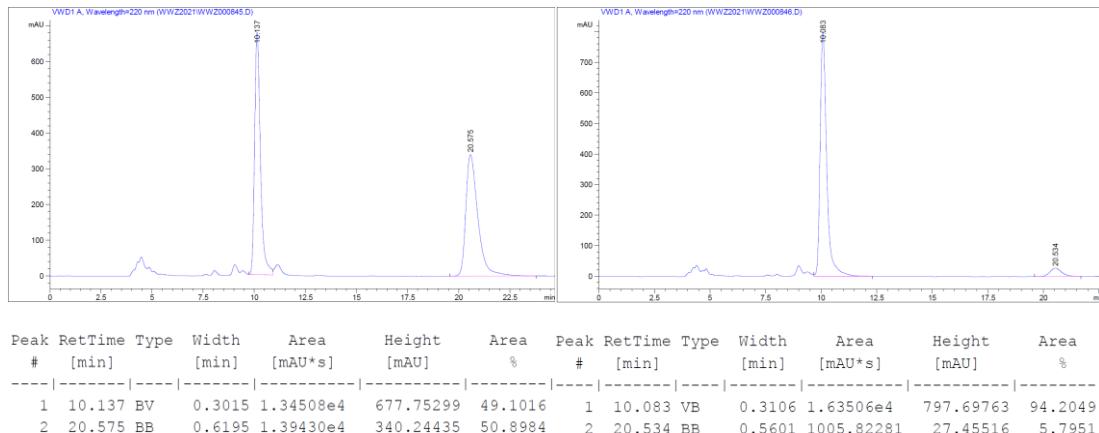
128.62, 128.61, 128.58, 127.83, 126.52, 82.84, 62.39, 62.36, 61.66, 56.52, 28.00, 13.92.

**HRMS(ESI)** m/z: [M+H]<sup>+</sup> Calculated for C<sub>30</sub>H<sub>33</sub>NO<sub>5</sub>P<sup>+</sup> 518.2091; found 518.2089.

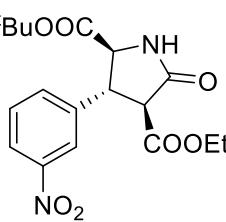


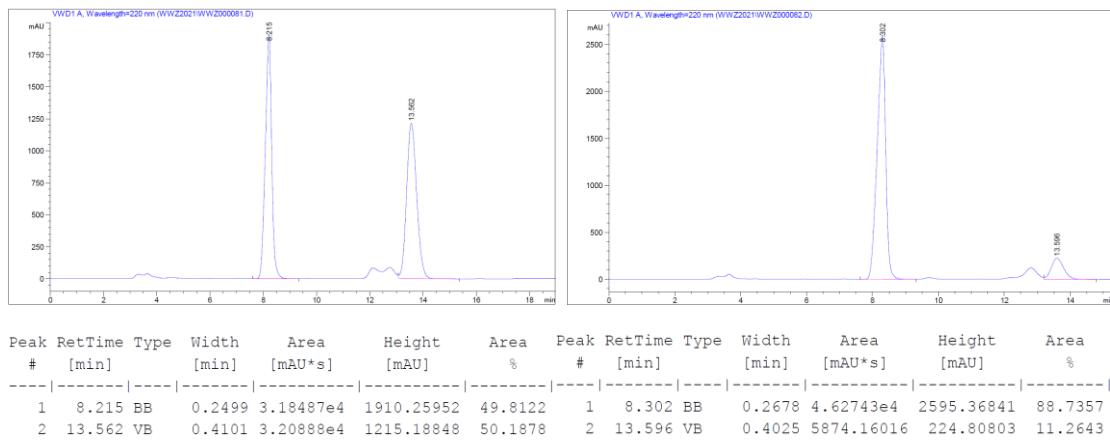
### 2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-5-oxo-3-(m-tolyl)pyrrolidine-2,4-dicarboxylate (4d):


 Colorless oil (22.0 mg, 32%); R<sub>f</sub> = 0.28 (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 88% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 90/10, flow rate 1.0 mL/min, T = 30 °C), UV 220 nm, t<sub>R</sub>(major) 10.083 min, t<sub>R</sub>(minor) 20.534 min; [a]<sub>D</sub><sup>20</sup> = -22.43 (c=0.44 CHCl<sub>3</sub>); **1H NMR** (600 MHz, CDCl<sub>3</sub>) δ 7.22 (d, J = 1.3 Hz, 2H), 7.18 (d, J = 1.5 Hz, 2H), 6.42 (s, 1H), 4.45 (t, J = 6.5 Hz, 1H), 4.25 – 4.18 (m, 2H), 4.14 (d, J = 5.9 Hz, 1H), 3.51 (d, J = 7.2 Hz, 1H), 2.44 (s, 3H), 1.43 (s, 9H), 1.27 (t, J = 7.1 Hz, 3H). **13C NMR** (151 MHz, CDCl<sub>3</sub>) δ 170.93, 169.32, 168.40, 139.05, 136.22, 130.73, 127.43, 126.90, 125.83, 82.92, 62.00, 61.93, 56.29, 42.71, 27.88, 19.76, 14.09. **HRMS(ESI)** m/z: [M+H]<sup>+</sup> Calculated for C<sub>19</sub>H<sub>26</sub>NO<sub>5</sub><sup>+</sup> 348.1805; found 348.1804.

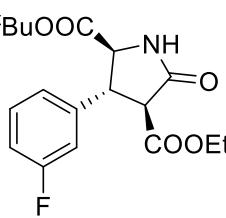


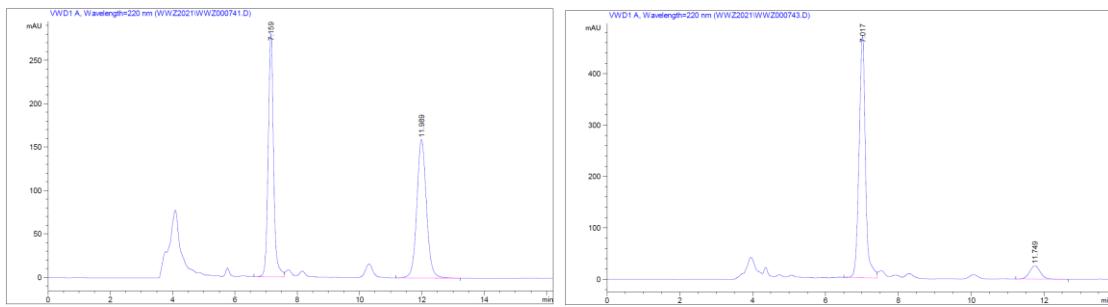
### 2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-3-(3-nitrophenyl)-5-oxopyrrolidine-2,4-dicarboxylate (4e):


 Colorless oil (52.4 mg, 69%);  $R_f = 0.18$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 77% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C), UV 220 nm,  $t_R$ (major) 8.302 min,  $t_R$ (minor) 13.596 min;  $[a]_D^{20} = -11.83$  (c=1.05 CHCl<sub>3</sub>); **1H NMR (600 MHz, CDCl<sub>3</sub>)** δ 8.23 (s, 1H), 8.19 (d, J = 8.1 Hz, 1H), 7.69 (d, J = 7.6 Hz, 1H), 7.58 (t, J = 7.9 Hz, 1H), 7.01 (bs, 1H), 4.28 – 4.19 (m, 4H), 3.65 (d, J = 8.9 Hz, 1H), 1.41 (s, 9H), 1.27 (t, J = 7.1 Hz, 3H). **13C NMR (151 MHz, CDCl<sub>3</sub>)** δ 169.85, 168.52, 167.67, 148.61, 141.54, 133.84, 130.09, 122.91, 122.68, 83.56, 62.26, 60.74, 55.72, 47.40, 27.87, 14.07. **HRMS(ESI)** m/z: [M+H]<sup>+</sup> Calculated for C<sub>18</sub>H<sub>23</sub>N<sub>2</sub>O<sub>7</sub><sup>+</sup> 379.1500; found 379.1493.



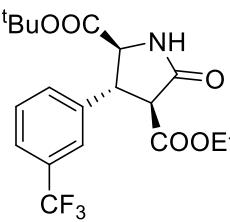
#### 2-(tert-butyl) 4-ethyl (2S, 3R, 4S)-3-(3-fluorophenyl)-5-oxopyrrolidine-2,4-dicarboxylate (4f):


 Colorless oil (55.8 mg, 79%);  $R_f = 0.24$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 83% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C), UV 220 nm,  $t_R$ (major) 7.017 min,  $t_R$ (minor) 11.749 min;  $[a]_D^{20} = 10.91$  (c=1.01 CHCl<sub>3</sub>); **1H NMR (600 MHz, CDCl<sub>3</sub>)** δ 7.33 (dd, J = 14.2, 7.3 Hz, 1H), 7.08 (d, J = 7.6 Hz, 1H), 7.00 (t, J = 9.3 Hz, 2H), 6.35 (s, 1H), 4.26 – 4.19 (m, 2H), 4.16 (d, J = 7.3 Hz, 1H), 4.08 (t, J = 8.0 Hz, 1H), 3.56 (d, J = 8.8 Hz, 1H), 1.41 (s, 9H), 1.27 (t, J = 7.1 Hz, 3H). **13C NMR (151 MHz, CDCl<sub>3</sub>)** δ 170.18, 168.82, 167.93, 163.81, 162.17, 142.08, 142.03, 130.64, 130.58, 123.09, 123.07, 114.88, 114.74, 114.67, 114.53, 83.20, 62.10, 60.91, 55.85, 47.57, 27.88, 14.09. **HRMS(ESI)** m/z: [M+H]<sup>+</sup> Calculated for C<sub>18</sub>H<sub>23</sub>FNO<sub>5</sub><sup>+</sup> 352.1555; found 352.1557.

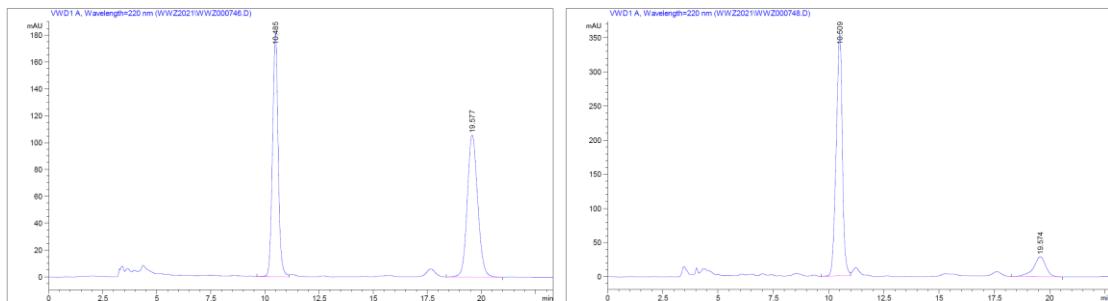


Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %	Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	7.159	BV	0.1807	3317.23828	279.53564	49.2955	1	7.017	BV	0.1878	5753.46240	470.63455	91.5401
2	11.509	BB	0.3285	3412.05078	159.39531	50.7045	2	11.749	VB	0.3158	531.71790	26.00116	8.4599

**2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-5-oxo-3-(3-(trifluoromethyl)phenyl)pyrrolidine-2,4-dicarboxylate (4g):**

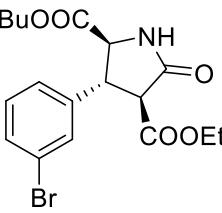


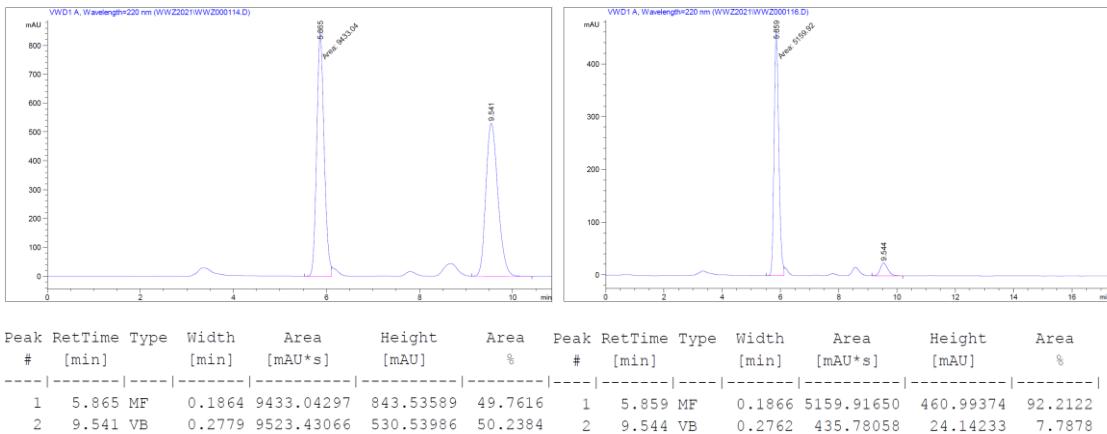
White solid (55.2 mg, 69%);  $R_f = 0.29$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 72% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 90/10, flow rate 1.0 mL/min,  $T = 30$  °C), UV 220 nm,  $t_R$ (major) 10.509 min,  $t_R$ (minor) 19.574 min;  $[a]_D^{20} = -18.79$  ( $c=1.08$  CHCl<sub>3</sub>); **<sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)** δ 7.61 – 7.55 (m, 2H), 7.52 (s, 2H), 6.75 (s, 1H), 4.27 – 4.18 (m, 3H), 4.14 (t,  $J = 8.2$  Hz, 1H), 3.60 (d,  $J = 9.0$  Hz, 1H), 1.40 (s, 9H), 1.27 (t,  $J = 7.1$  Hz, 3H). **<sup>13</sup>C NMR (151 MHz, CDCl<sub>3</sub>)** δ 170.18, 168.75, 167.84, 140.55, 131.48, 131.26, 130.76, 129.61, 124.74, 124.71, 124.64, 124.61, 83.29, 62.15, 60.94, 55.84, 47.65, 27.82, 14.04. **HRMS(ESI)** m/z: [M+H]<sup>+</sup> Calculated for C<sub>19</sub>H<sub>23</sub>F<sub>3</sub>NO<sub>5</sub><sup>+</sup> 402.1523; found 402.1527.



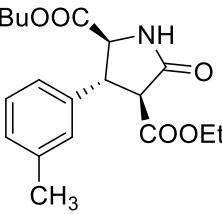
Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %	Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	10.485	BV	0.2767	3273.09570	180.84990	48.0959	1	10.509	BV	0.2984	6901.76563	354.79590	86.0881
2	19.577	BB	0.5175	3532.25586	105.88844	51.9041	2	19.574	BB	0.5706	1115.32922	29.22320	13.9119

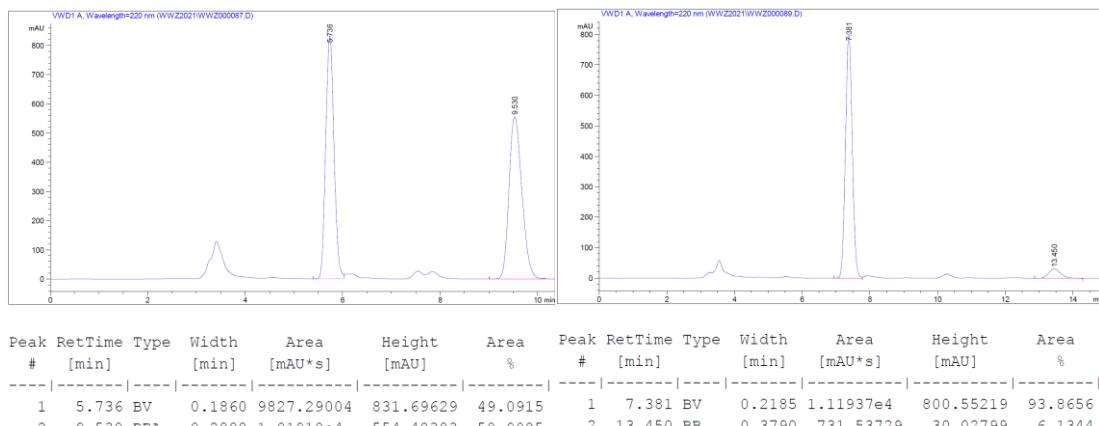
**2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-3-(3-bromophenyl)-5-oxopyrrolidine-2,4-dicarboxylate (4h):**


 Light yellow oil (63.7 mg, 77%);  $R_f = 0.28$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 85% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 70/30, flow rate 1.0 mL/min,  $T = 30$  °C), UV 220 nm,  $t_R$ (major) 5.859 min,  $t_R$ (minor) 9.544 min;  $[a]_D^{20} = -15.62$  ( $c=1.27$  CHCl<sub>3</sub>); **1H NMR** (600 MHz, CDCl<sub>3</sub>)  $\delta$  7.46 (s, 1H), 7.44 (s, 1H), 7.24 (d,  $J = 4.6$  Hz, 2H), 6.92 (s, 1H), 4.26 – 4.18 (m, 2H), 4.16 (d,  $J = 7.3$  Hz, 1H), 4.04 (t,  $J = 8.1$  Hz, 1H), 3.58 (d,  $J = 8.8$  Hz, 1H), 1.42 (s, 9H), 1.27 (t,  $J = 7.1$  Hz, 3H). **13C NMR** (151 MHz, CDCl<sub>3</sub>)  $\delta$  170.34, 168.83, 167.91, 141.89, 130.96, 130.80, 130.56, 126.07, 122.92, 83.19, 62.09, 61.05, 55.79, 47.44, 27.89, 14.09. **HRMS(ESI)** m/z: [M+H]<sup>+</sup> Calculated for C<sub>18</sub>H<sub>23</sub>BrNO<sub>5</sub><sup>+</sup> 412.0754; found 412.0735.

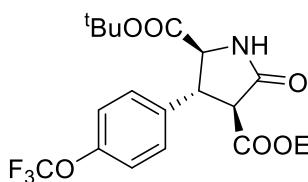


### 2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-5-oxo-3-(m-tolyl)pyrrolidine-2,4-dicarboxylate (4i):

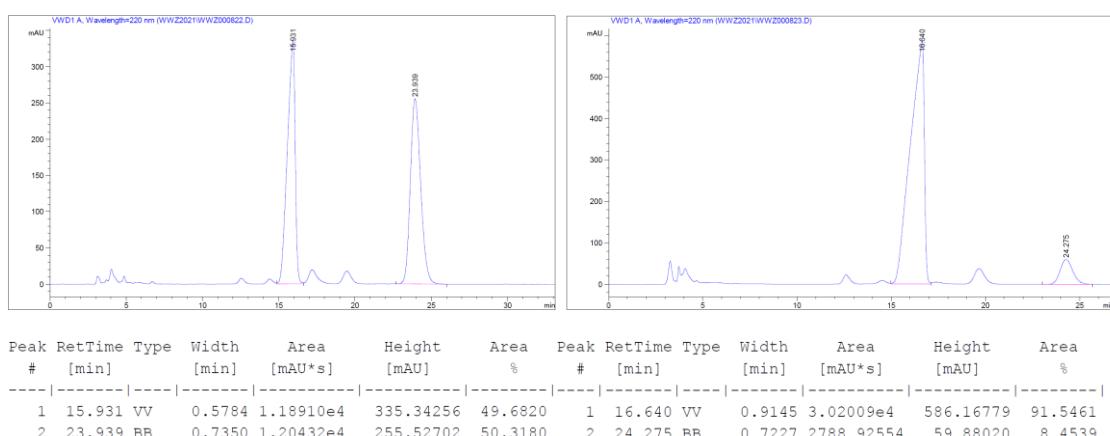

 Colorless oil (36.8 mg, 53%);  $R_f = 0.23$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 88% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 80/20, flow rate 1.0 mL/min,  $T = 30$  °C), UV 220 nm,  $t_R$ (major) 7.381 min,  $t_R$ (minor) 13.450 min;  $[a]_D^{20} = -14.88$  ( $c=0.80$  CHCl<sub>3</sub>); **1H NMR** (600 MHz, CDCl<sub>3</sub>)  $\delta$  7.25 (m,  $J = 14.3, 6.9$  Hz, 1H), 7.10 (t,  $J = 9.4$  Hz, 3H), 6.56 (s, 1H), 4.22 (m,  $J = 16.7, 9.3$  Hz, 2H), 4.16 (d,  $J = 6.9$  Hz, 1H), 4.04 (t,  $J = 7.5$  Hz, 1H), 3.59 (d,  $J = 8.4$  Hz, 1H), 2.35 (s, 3H), 1.42 (s, 9H), 1.26 (t,  $J = 6.9$  Hz, 3H). **13C NMR** (151 MHz, CDCl<sub>3</sub>)  $\delta$  170.85, 169.23, 168.27, 139.81, 138.64, 128.86, 128.49, 128.15, 124.37, 82.84, 61.90, 61.43, 56.01, 47.80, 27.89, 21.38, 14.08. **HRMS(ESI)** m/z: [M+H]<sup>+</sup> Calculated for C<sub>19</sub>H<sub>26</sub>NO<sub>5</sub><sup>+</sup> 348.1805; found 348.1804.



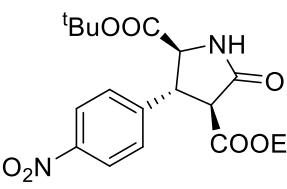
**2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-5-oxo-3-(3-(trifluoromethoxy)phenyl)pyrrolidine-2,4-dicarboxylate (4j):**

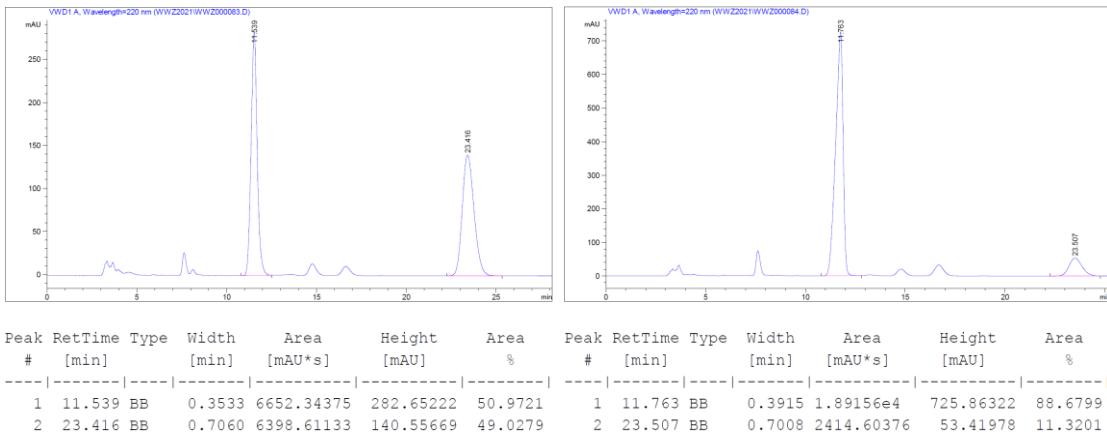


Colorless oil (65.3 mg, 78%);  $R_f = 0.24$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 83% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 90/10, flow rate 1.0 mL/min,  $T = 30$  °C), UV 220 nm,  $t_R$ (major) 16.640 min,  $t_R$ (minor) 24.175 min;  $[a]_D^{20} = -10.77$  ( $c=1.31$  CHCl<sub>3</sub>); <sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>) δ 7.34 (d,  $J = 8.5$  Hz, 2H), 7.22 (d,  $J = 8.1$  Hz, 2H), 6.49 (s, 1H), 4.22 (dd,  $J = 17.9, 10.8, 7.2, 3.7$  Hz, 2H), 4.15 (d,  $J = 7.4$  Hz, 1H), 4.10 (t,  $J = 8.1$  Hz, 1H), 3.56 (d,  $J = 8.8$  Hz, 1H), 1.40 (s, 9H), 1.27 (t,  $J = 7.1$  Hz, 3H). <sup>13</sup>C NMR (151 MHz, CDCl<sub>3</sub>) δ 170.15, 168.77, 167.91, 148.73, 138.22, 128.96, 121.49, 121.28, 119.57, 83.24, 62.13, 61.01, 55.91, 47.27, 27.86, 14.08. HRMS(ESI) m/z: [M+H]<sup>+</sup> Calculated for C<sub>19</sub>H<sub>23</sub>F<sub>3</sub>NO<sub>6</sub><sup>+</sup> 418.1472; found 418.1469.

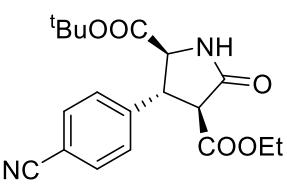


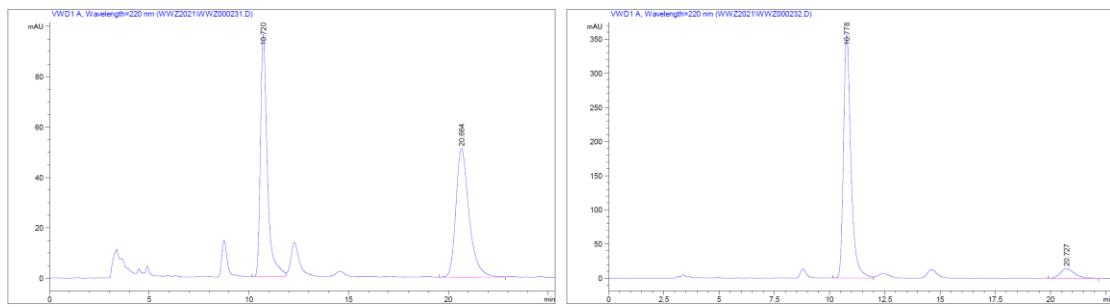
**2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-3-(4-nitrophenyl)-5-oxopyrrolidine-2,4-dicarboxylate (4k):**


 Colorless oil (62.8 mg, 83%);  $R_f = 0.14$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 77% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C), UV 220 nm,  $t_R$ (major) 11.763 min,  $t_R$ (minor) 23.507 min;  $[a]_D^{20} = -6.95$  ( $c=1.25$  CHCl<sub>3</sub>); **1H NMR (600 MHz, CDCl<sub>3</sub>)** δ 8.25 (d, J = 8.6 Hz, 2H), 7.51 (d, J = 8.6 Hz, 2H), 6.63 (s, 1H), 4.30 – 4.15 (m, 4H), 3.59 (dd, J = 6.0, 3.0 Hz, 1H), 1.41 (s, 9H), 1.27 (t, J = 7.1 Hz, 3H). **13C NMR (151 MHz, CDCl<sub>3</sub>)** δ 169.55, 168.38, 167.57, 147.59, 146.69, 128.60, 124.28, 83.69, 62.35, 60.47, 55.66, 47.46, 27.90, 14.09. **HRMS(ESI)** m/z: [M+H]<sup>+</sup> Calculated for C<sub>18</sub>H<sub>23</sub>N<sub>2</sub>O<sub>7</sub><sup>+</sup> 379.1500; found 379.1497.



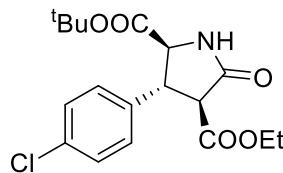
### 2-(tert-butyl) 4-ethyl (2S, 3R, 4S)-3-(4-cyanophenyl)-5-oxopyrrolidine-2,4-dicarboxylate (4l):


 White solid (55.3 mg, 77%);  $R_f = 0.14$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 86% by HPLC analysis on Daicel Chirapak IA-H column (hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C), UV 220 nm,  $t_R$ (major) 10.778 min,  $t_R$ (minor) 20.727 min;  $[a]_D^{20} = -22.38$  ( $c=0.29$  CHCl<sub>3</sub>); **1H NMR (600 MHz, CDCl<sub>3</sub>)** δ 7.68 (d, J = 8.0 Hz, 2H), 7.45 (d, J = 7.9 Hz, 2H), 6.75 (s, 1H), 4.28 – 4.16 (m, 3H), 4.14 (t, J = 8.1 Hz, 1H), 3.57 (d, J = 8.8 Hz, 1H), 1.40 (s, 9H), 1.27 (t, J = 7.1 Hz, 3H). **13C NMR (151 MHz, CDCl<sub>3</sub>)** δ 169.86, 168.51, 167.65, 144.81, 132.82, 128.44, 118.28, 111.98, 83.51, 62.24, 60.58, 55.63, 47.72, 27.88, 14.06. **HRMS(ESI)** m/z: [M+H]<sup>+</sup> Calculated for C<sub>19</sub>H<sub>23</sub>N<sub>2</sub>O<sub>5</sub><sup>+</sup> 359.1601; found 359.1597.

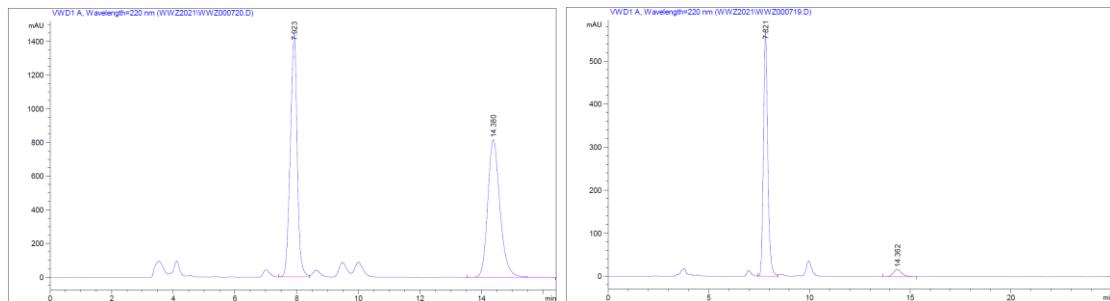


Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %	Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	10.720	BV	0.3578	2300.09595	96.13934	49.7129	1	10.778	BV	0.3502	8302.09570	356.81232	93.1090
2	20.664	BB	0.6869	2326.66455	51.12508	50.2871	2	20.727	BB	0.6686	614.43677	14.10458	6.8910

**2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-3-(4-chlorophenyl)-5-oxopyrrolidine-2,4-dicarboxylate (4m):**

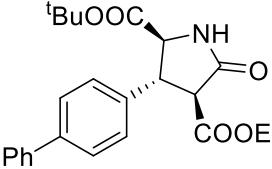


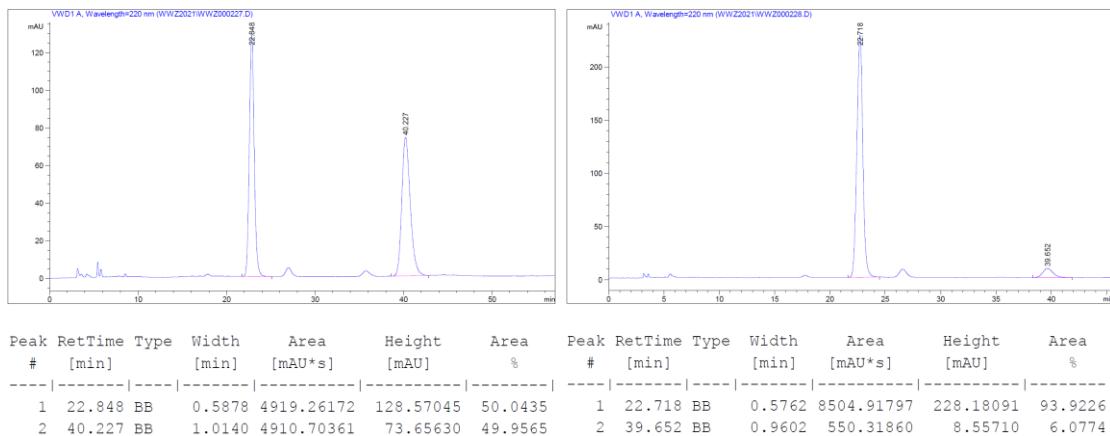
White solid (60.9 mg, 83%);  $R_f = 0.20$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 90% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 70/30, flow rate 1.0 mL/min,  $T = 30$  °C), UV 220 nm,  $t_R$ (major) 7.821 min,  $t_R$ (minor) 14.362 min;  $[a]_D^{20} = 5.02$  ( $c=0.58$ ,  $\text{CHCl}_3$ ); **1H NMR** (600 MHz,  $\text{CDCl}_3$ )  $\delta$  7.34 (d,  $J = 8.2$  Hz, 2H), 7.25 (d,  $J = 10.2$  Hz, 2H), 6.21 (s, 1H), 4.26 – 4.16 (m, 2H), 4.13 (d,  $J = 7.4$  Hz, 1H), 4.06 (t,  $J = 8.2$  Hz, 1H), 3.53 (d,  $J = 8.9$  Hz, 1H), 1.41 (s, 9H), 1.26 (t,  $J = 7.1$  Hz, 3H). **13C NMR** (151 MHz,  $\text{CDCl}_3$ )  $\delta$  170.26, 168.84, 167.95, 138.05, 133.72, 129.17, 128.84, 83.20, 62.07, 61.02, 55.95, 47.34, 27.90, 14.08. **HRMS(ESI)** m/z:  $[\text{M}+\text{H}]^+$  Calculated for  $\text{C}_{19}\text{H}_{26}\text{NO}_5^+$  368.1259; found 368.1254.



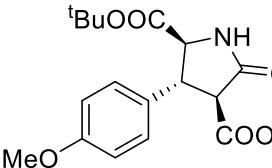
Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %	Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	7.923	BV	0.2307	2.22788e4	1446.86328	49.8115	1	7.821	VV	0.2250	8449.72852	566.75372	94.9220
2	14.380	BBA	0.4179	2.24474e4	817.84137	50.1885	2	14.362	BB	0.4164	452.03436	16.54855	5.0780

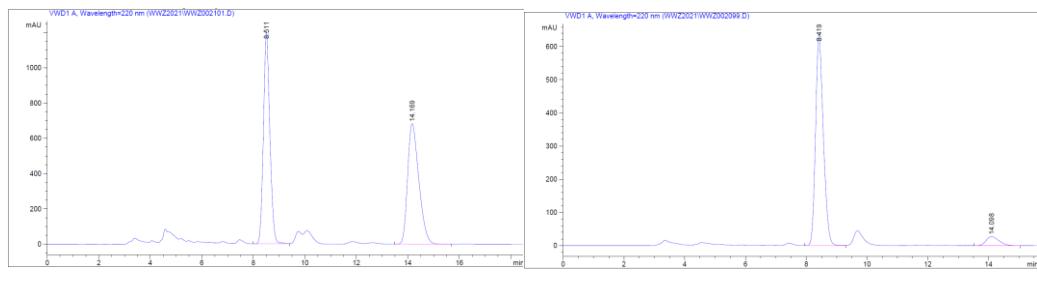
**2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-3-([1,1'-biphenyl]-4-yl)-5-oxopyrrolidine-2,4-dicarboxylate (4n):**


 Colorless oil (56.0 mg, 68%);  $R_f = 0.24$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 88% by HPLC analysis on Daicel Chirapak IA-H column (hexane/isopropanol = 90/10, flow rate 1.0 mL/min,  $T = 30^\circ\text{C}$ , UV 220 nm,  $t_R$ (major) 22.718 min,  $t_R$ (minor) 39.652 min;  $[\alpha]_D^{20} = -10.37$  ( $c=1.22 \text{ CHCl}_3$ ); **1H NMR (600 MHz, CDCl<sub>3</sub>)** δ 7.58 (t,  $J = 6.9 \text{ Hz}$ , 4H), 7.44 (t,  $J = 7.5 \text{ Hz}$ , 2H), 7.39 – 7.34 (m, 3H), 6.89 (s, 1H), 4.26 – 4.19 (m, 3H), 4.13 (t,  $J = 7.8 \text{ Hz}$ , 1H), 3.64 (d,  $J = 8.6 \text{ Hz}$ , 1H), 1.43 (s, 9H), 1.27 (t,  $J = 7.1 \text{ Hz}$ , 3H). **13C NMR (151 MHz, CDCl<sub>3</sub>)** δ 170.78, 169.17, 168.23, 140.76, 140.45, 138.75, 128.83, 127.87, 127.66, 127.49, 127.02, 82.99, 61.98, 61.36, 56.04, 47.61, 27.92, 14.11. **HRMS(ESI) m/z:** [M+H]<sup>+</sup> Calculated for C<sub>24</sub>H<sub>28</sub>NO<sub>5</sub><sup>+</sup> 410.1962; found 410.1948.



**2-(tert-butyl) 4-ethyl (2S, 3R, 4S)-3-(4-methoxyphenyl)-5-oxopyrrolidine-2,4-dicarboxylate(4o):**

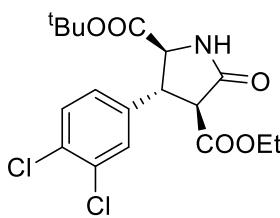

 Colorless oil (33.9 mg, 47%);  $R_f = 0.20$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 86 % by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 70/30, flow rate 1.0 mL/min,  $T = 30^\circ\text{C}$ , UV 220 nm,  $t_R$ (major) 8.419 min,  $t_R$ (minor) 14.098 min;  $[\alpha]_D^{20} = -12.24$  ( $c=0.68, \text{CHCl}_3$ ); **1H NMR (600 MHz, CDCl<sub>3</sub>)** δ 7.21 (d,  $J = 8.3 \text{ Hz}$ , 2H), 6.88 (d,  $J = 8.3 \text{ Hz}$ , 2H), 6.43 (s, 1H), 4.26 – 4.15 (m, 2H), 4.13 (d,  $J = 7.3 \text{ Hz}$ , 1H), 4.03 (t,  $J = 8.1 \text{ Hz}$ , 1H), 3.80 (s, 3H), 3.55 (d,  $J = 8.8 \text{ Hz}$ , 1H), 1.41 (s, 9H), 1.26 (t,  $J = 7.1 \text{ Hz}$ , 3H). **13C NMR (151 MHz, CDCl<sub>3</sub>)** δ 170.65, 169.17, 168.25, 159.14, 131.60, 128.50, 114.34, 82.88, 61.91, 61.41, 56.16, 55.31, 47.37, 27.91, 14.10. **HRMS(ESI) m/z:** [M+H]<sup>+</sup> Calculated for C<sub>19</sub>H<sub>26</sub>NO<sub>6</sub><sup>+</sup> 364.1755; found 364.1751.



Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %	Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	8.511	BB	0.2553	2.07284e4	1201.17700	50.0949	1	8.419	BV	0.2635	1.12747e4	636.48938	93.2337
2	14.169	BB	0.4634	2.06498e4	683.24304	49.9051	2	14.098	BB	0.4460	818.24072	27.75501	6.7663

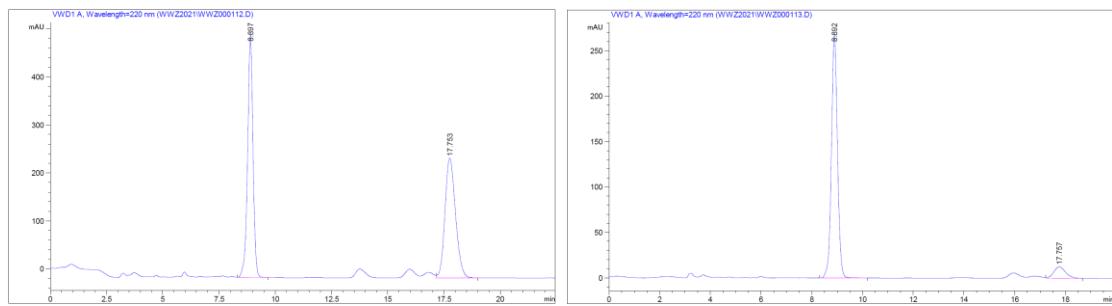
**2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-3-(3,4-dichlorophenyl)-5-oxopyrrolidine-2,4-dicarboxylate**

(4p):



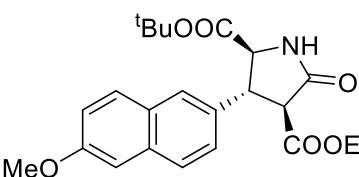
Colorless oil (62.6 mg, 78%);  $R_f = 0.29$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 83% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 85/15, flow rate 1.0 mL/min,  $T = 30$  °C), UV 220 nm,  $t_R$ (major) 8.892 min,  $t_R$ (minor) 17.757 min;  $[a]_D^{20} = -19.04$  ( $c=1.25$  CHCl<sub>3</sub>); **1H NMR (600 MHz, CDCl<sub>3</sub>)** δ 7.45 (d,  $J = 8.3$  Hz, 1H), 7.41 (d,  $J = 1.8$  Hz, 1H), 7.27 (s, 1H), 7.15 (d,  $J = 8.2$ , 1.8 Hz, 1H), 6.41 (s, 1H), 4.27 – 4.19 (m, 2H), 4.13 (d,  $J = 7.5$  Hz, 1H), 4.05 (t,  $J = 8.3$  Hz, 1H), 3.54 (d,  $J = 9.0$  Hz, 1H), 1.42 (s, 9H), 1.28 (t,  $J = 7.1$  Hz, 3H). **13C NMR (151 MHz, CDCl<sub>3</sub>)** δ 169.78, 168.56, 167.73, 139.66, 133.08, 132.07, 130.99, 129.75, 126.78, 83.51, 62.24, 60.72, 55.63, 46.95, 27.92, 14.09.

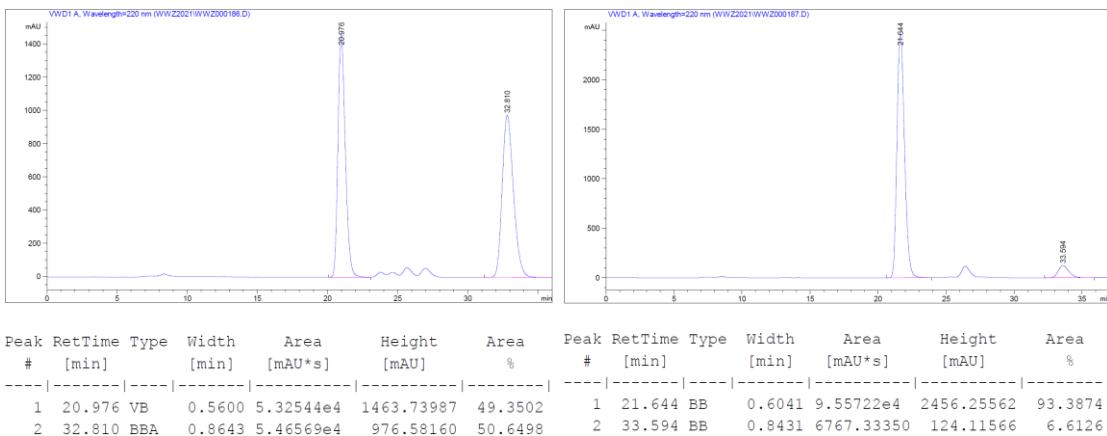
**HRMS(ESI) m/z:** [M+H]<sup>+</sup> Calculated for C<sub>18</sub>H<sub>22</sub>Cl<sub>2</sub>NO<sub>5</sub><sup>+</sup> 402.0870; found 402.0860.



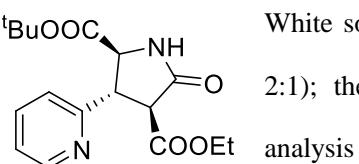
Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %	Peak #	RetTime [min]	Type	Width [min]	Area [mAU*s]	Height [mAU]	Area %
1	8.897	BB	0.2497	8418.58496	505.37717	50.9180	1	8.892	BB	0.2511	4416.99902	267.27997	91.4548
2	17.753	VB	0.5016	8115.03760	249.67880	49.0820	2	17.757	VB	0.4988	412.70572	12.69391	8.5452

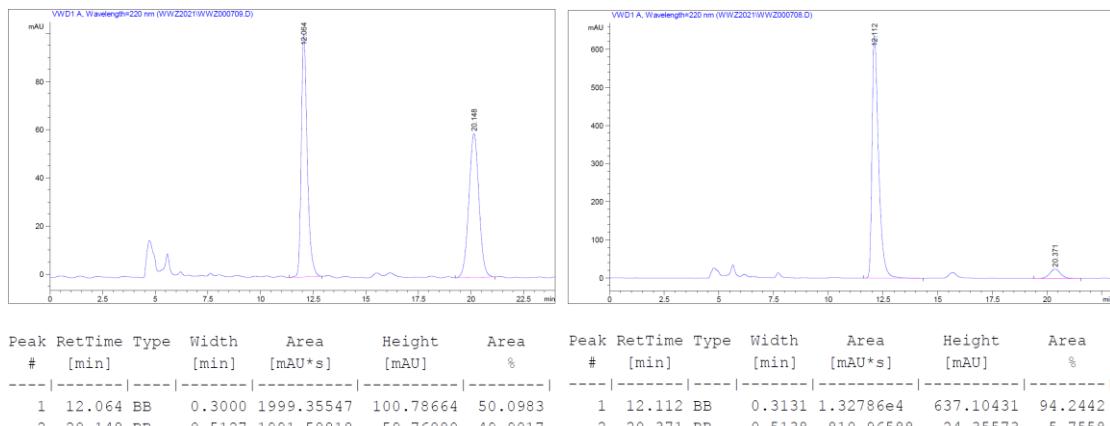
**2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-3-(6-methoxynaphthalen-2-yl)-5-oxopyrrolidine-2,4-dicarboxylate (4q):**


 Light yellow oil (42.2 mg, 51%);  $R_f = 0.14$  (petroleum ether/ethyl acetate = 2:1); the enantiomeric excess was determined to be 87% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C), UV 220 nm,  $t_R$ (major) 21.644 min,  $t_R$ (minor) 33.594 min;  $[\alpha]_D^{20} = -20.93$  ( $c=0.84$  CHCl<sub>3</sub>); **<sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)** δ 7.75 (d, J = 8.4 Hz, 1H), 7.71 (d, J = 8.8 Hz, 1H), 7.68 (s, 1H), 7.37 (d, J = 8.3 Hz, 1H), 7.16 (d, J = 8.9 Hz, 1H), 7.13 (s, 1H), 6.77 (s, 1H), 4.27 (d, J = 7.0 Hz, 1H), 4.24 – 4.17 (m, 3H), 3.92 (s, 3H), 3.70 (d, J = 8.5 Hz, 1H), 1.39 (s, 9H), 1.25 (t, J = 7.1 Hz, 3H). **<sup>13</sup>C NMR (151 MHz, CDCl<sub>3</sub>)** δ 170.83, 169.25, 168.31, 157.97, 134.65, 134.01, 129.25, 128.84, 127.80, 126.48, 125.38, 119.37, 105.75, 82.91, 61.94, 61.39, 56.04, 55.33, 47.99, 27.91, 27.83, 14.08. **HRMS(ESI)** m/z: [M+H]<sup>+</sup> Calculated for C<sub>23</sub>H<sub>28</sub>NO<sub>6</sub><sup>+</sup> 414.1911; found 414.1905.



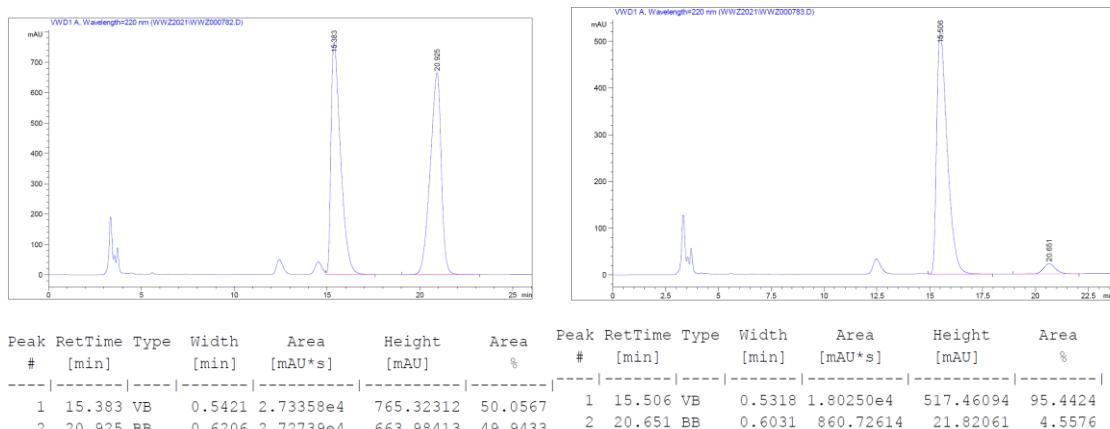
### 2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-5-oxo-3-(pyridin-2-yl)pyrrolidine-2,4-dicarboxylate (5a):


 White solid (54.5 mg, 82%);  $R_f = 0.14$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 88% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 70/30, flow rate 1.0 mL/min, T = 30 °C), UV 220 nm,  $t_R$ (major) 12.112 min,  $t_R$ (minor) 20.371 min;  $[\alpha]_D^{20} = -14.07$  ( $c=1.10$  CHCl<sub>3</sub>); **<sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)** δ 8.61 (d, J = 3.9 Hz, 1H), 7.65 (t, J = 7.6 Hz, 1H), 7.28 (d, J = 1.8 Hz, 1H), 7.24 – 7.20 (m, 1H), 6.62 (s, 1H), 4.55 (d, J = 7.9 Hz, 1H), 4.26 – 4.17 (m, 3H), 3.98 (d, J = 9.5 Hz, 1H), 1.40 (s, 9H), 1.26 (t, J = 7.1 Hz, 3H). **<sup>13</sup>C NMR (151 MHz, CDCl<sub>3</sub>)** δ 170.23, 169.31, 168.39, 157.81, 149.88, 136.62, 124.08, 122.65, 82.82, 61.82, 59.30, 54.56, 49.30, 27.89, 14.09. **HRMS(ESI)** m/z: [M+H]<sup>+</sup> Calculated for C<sub>17</sub>H<sub>23</sub>N<sub>2</sub>O<sub>5</sub><sup>+</sup> 335.1601; found 335.1598.

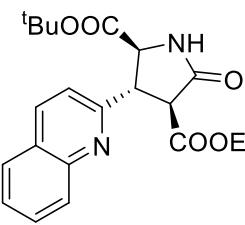


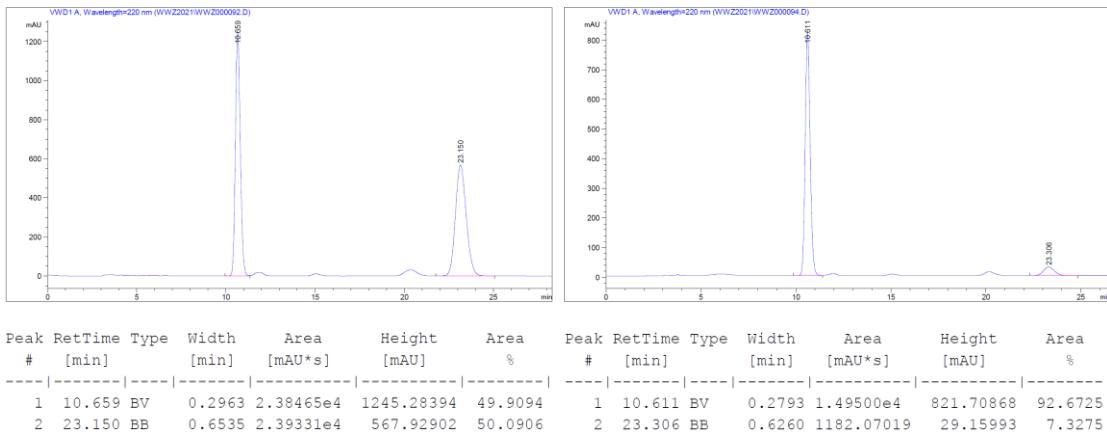
**2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-3-(3-bromopyridin-2-yl)-5-oxopyrrolidine-2,4-dicarboxylate (5b):**

Colorless oil (60.0 mg, 73%);  $R_f = 0.10$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 91% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 70/30, flow rate 1.0 mL/min,  $T = 30$  °C), UV 220 nm,  $t_R$ (major) 15.506 min,  $t_R$ (minor) 20.651 min;  $[a]_D^{20} = -3.69$  ( $c=0.71$  CHCl<sub>3</sub>); **1H NMR** (600 MHz, CDCl<sub>3</sub>)  $\delta$  8.55 (d,  $J = 3.4$  Hz, 1H), 7.90 – 7.86 (m, 1H), 7.11 (dd,  $J = 8.0, 4.5$  Hz, 1H), 6.36 (s, 1H), 4.84 (t,  $J = 7.9$  Hz, 1H), 4.62 (d,  $J = 7.2$  Hz, 1H), 4.28 – 4.18 (m, 2H), 3.76 (d,  $J = 8.5$  Hz, 1H), 1.41 (s, 9H), 1.28 (t,  $J = 7.1$  Hz, 3H). **13C NMR** (151 MHz, CDCl<sub>3</sub>)  $\delta$  169.97, 169.15, 168.05, 156.67, 148.38, 140.65, 123.82, 121.72, 82.95, 61.93, 59.50, 55.07, 47.26, 27.78, 14.11. **HRMS(ESI)** m/z: [M+H]<sup>+</sup> Calculated for C<sub>17</sub>H<sub>22</sub>BrN<sub>2</sub>O<sub>5</sub><sup>+</sup> 413.0707; found 413.0714.

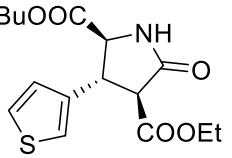


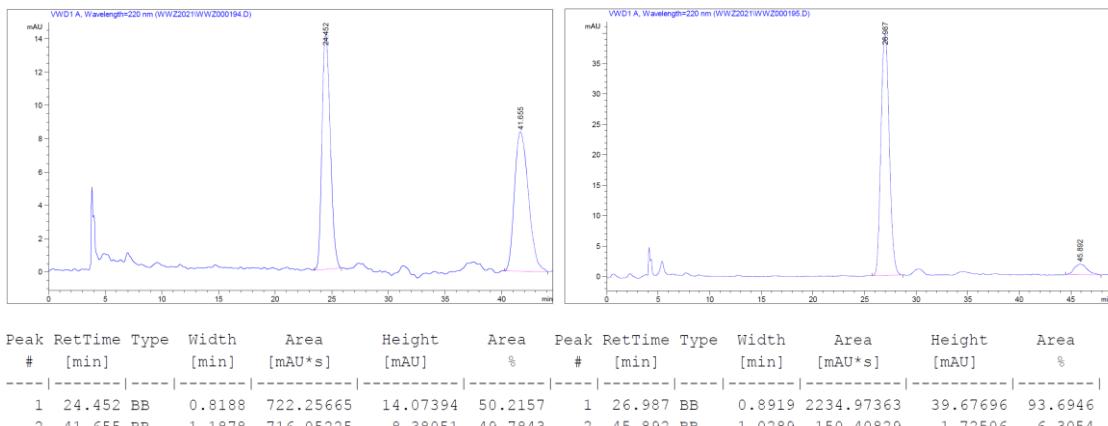
**2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-5-oxo-3-(quinolin-2-yl)pyrrolidine-2,4-dicarboxylate (5c):**


 Yellow oil (72.6 mg, 94%);  $R_f = 0.20$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 85% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 80/20, flow rate 1.0 mL/min,  $T = 30^\circ\text{C}$ ), UV 220 nm,  $t_R(\text{major}) = 10.611$  min,  $t_R(\text{minor}) = 23.306$  min;  $[\alpha]_D^{20} = -50.25$  ( $c=1.45 \text{ CHCl}_3$ );  **$^1\text{H NMR}$**  (600 MHz,  $\text{CDCl}_3$ )  $\delta$  8.13 (d,  $J = 8.3$  Hz, 1H), 8.04 (d,  $J = 8.4$  Hz, 1H), 7.81 (d,  $J = 8.1$  Hz, 1H), 7.72 (t,  $J = 7.6$  Hz, 1H), 7.53 (t,  $J = 7.4$  Hz, 1H), 7.43 (d,  $J = 8.3$  Hz, 1H), 6.76 (s, 1H), 4.72 (d,  $J = 7.5$  Hz, 1H), 4.43 (dd,  $J = 8.8, 7.7$  Hz, 1H), 4.28 – 4.16 (m, 3H), 1.42 (s, 9H), 1.27 (t,  $J = 7.1$  Hz, 3H).  **$^{13}\text{C NMR}$**  (151 MHz,  $\text{CDCl}_3$ )  $\delta$  170.46, 169.47, 168.55, 158.32, 147.96, 136.77, 129.70, 129.27, 127.55, 127.47, 126.54, 121.95, 82.95, 61.88, 59.62, 54.44, 49.43, 27.93, 27.86, 14.11. **HRMS(ESI)** m/z: [M+H]<sup>+</sup> Calculated for  $\text{C}_{21}\text{H}_{25}\text{N}_2\text{O}_5^+$  385.1758; found 385.1757.



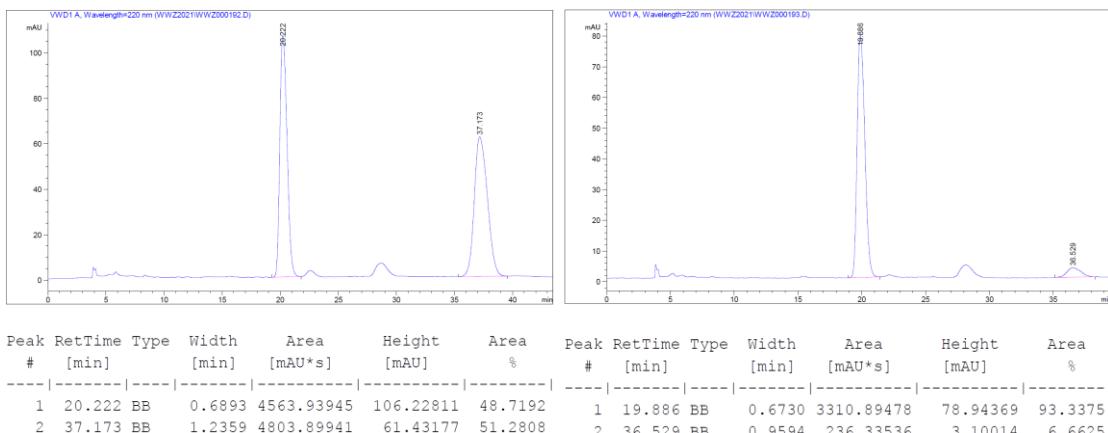
### 2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-5-oxo-3-(thiophen-3-yl)pyrrolidine-2,4-dicarboxylate (5d):


 Colorless oil (51.3 mg, 76%);  $R_f = 0.23$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 87% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 90/10, flow rate 1.0 mL/min,  $T = 30^\circ\text{C}$ ), UV 220 nm,  $t_R(\text{major}) = 26.987$  min,  $t_R(\text{minor}) = 45.892$  min;  $[\alpha]_D^{20} = -5.79$  ( $c=1.08 \text{ CHCl}_3$ );  **$^1\text{H NMR}$**  (600 MHz,  $\text{CDCl}_3$ )  $\delta$  7.34 (dd,  $J = 4.6, 2.9$  Hz, 1H), 7.18 (s, 1H), 7.05 (d,  $J = 4.2$  Hz, 1H), 6.90 (s, 1H), 4.23 (m,  $J = 20.2, 12.2, 5.7$  Hz, 3H), 4.16 (d,  $J = 7.1$  Hz, 1H), 3.57 (d,  $J = 8.6$  Hz, 1H), 1.44 (s, 9H), 1.28 (t,  $J = 7.1$  Hz, 3H).  **$^{13}\text{C NMR}$**  (151 MHz,  $\text{CDCl}_3$ )  $\delta$  170.79, 170.71, 169.11, 169.09, 168.22, 140.09, 126.87, 126.13, 126.11, 121.96, 83.01, 82.98, 62.01, 60.98, 60.93, 55.59, 55.57, 43.16, 43.11, 27.91, 14.12. **HRMS(ESI)** m/z: [M+H]<sup>+</sup> Calculated for  $\text{C}_{16}\text{H}_{22}\text{NO}_5\text{S}^+$  340.1213; found 340.1204.

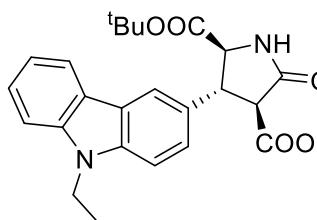


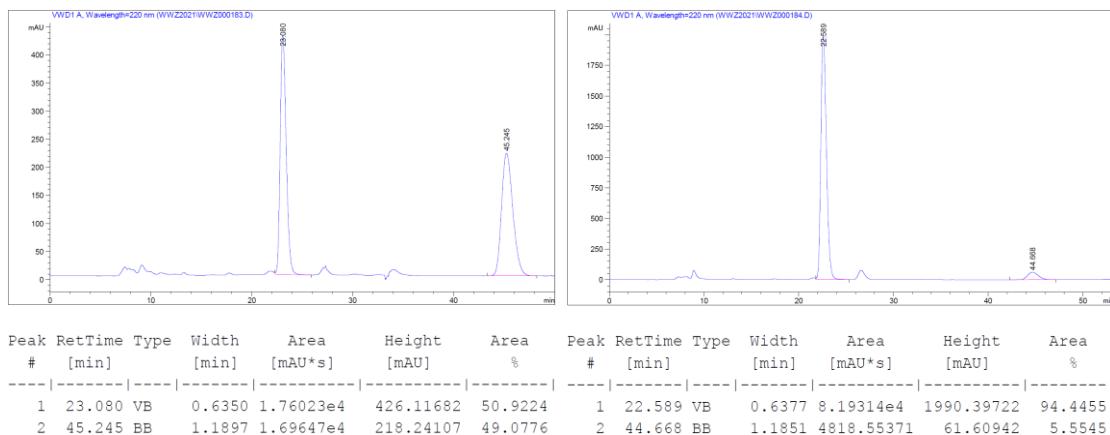
### **2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-3-(furan-2-yl)-5-oxopyrrolidine-2,4-dicarboxylate (5e):**

Light yellow oil (54.1 mg, 76%);  $R_f = 0.28$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 87% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 90/10, flow rate 1.0 mL/min,  $T = 30$  °C), UV 220 nm,  $t_R$ (major) 19.886 min,  $t_R$ (minor) 36.529 min;  $[a]_D^{20} = -5.52$  ( $c=1.03$  CHCl<sub>3</sub>); **<sup>1</sup>H NMR (600 MHz, CDCl<sub>3</sub>)** δ 7.38 (s, 1H), 6.79 (s, 1H), 6.33 (d,  $J = 1.1$  Hz, 1H), 6.24 (d,  $J = 2.8$  Hz, 1H), 4.28 – 4.22 (m, 3H), 4.19 (t,  $J = 8.2$  Hz, 1H), 3.69 (d,  $J = 8.9$  Hz, 1H), 1.45 (s, 9H), 1.29 (t,  $J = 7.1$  Hz, 3H). **<sup>13</sup>C NMR (151 MHz, CDCl<sub>3</sub>)** δ 170.19, 168.80, 167.93, 151.36, 142.41, 142.09, 110.46, 107.45, 83.07, 62.03, 58.56, 58.54, 53.35, 53.18, 41.35, 30.87, 27.89, 27.82, 14.09, 13.86. **HRMS(ESI) m/z:** [M+H]<sup>+</sup> Calculated for C<sub>16</sub>H<sub>22</sub>NO<sub>6</sub> <sup>+</sup> 324.1442; found 324.1446.

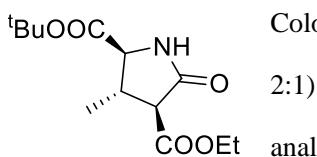


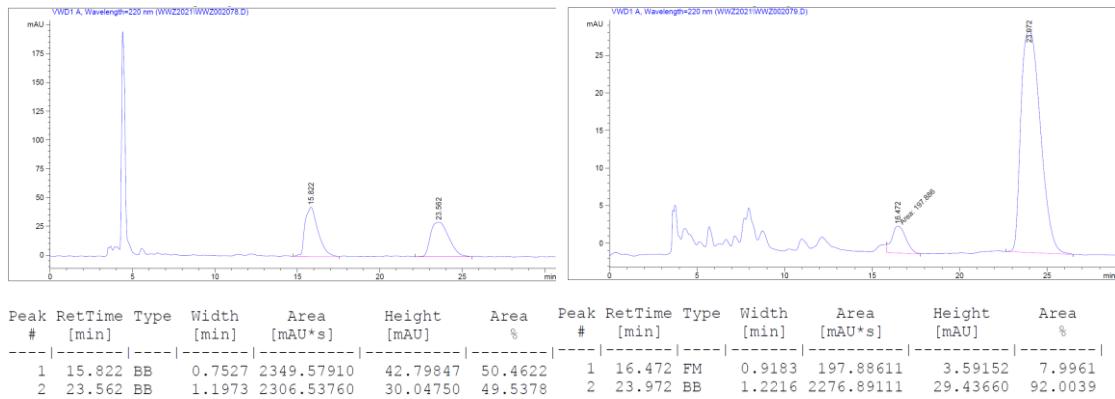
### **2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-3-(9-ethyl-9H-carbazol-3-yl)-5-oxopyrrolidine-2,4-dicarboxylate (5f):**


 Colorless oil (24.0 mg, 27%);  $R_f = 0.16$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 89% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 70/30, flow rate 1.0 mL/min,  $T = 30^\circ\text{C}$ ), UV 220 nm,  $t_R$ (major) 22.589 min,  $t_R$ (minor) 44.668 min;  $[\alpha]_D^{20} = -34.25$  ( $c=0.25 \text{ CHCl}_3$ );  **$^1\text{H NMR}$**  (600 MHz,  $\text{CDCl}_3$ )  $\delta$  8.08 (d,  $J = 7.7 \text{ Hz}$ , 1H), 8.01 (s, 1H), 7.48 (t,  $J = 7.5 \text{ Hz}$ , 1H), 7.43 – 7.37 (m, 3H), 7.23 (d,  $J = 7.4 \text{ Hz}$ , 1H), 6.43 (s, 1H), 4.37 (q,  $J = 7.1 \text{ Hz}$ , 2H), 4.28 (q,  $J = 6.9 \text{ Hz}$ , 2H), 4.21 (m,  $J = 10.7, 7.2, 3.6 \text{ Hz}$ , 2H), 3.74 (d,  $J = 7.6 \text{ Hz}$ , 1H), 1.47 – 1.38 (m, 12H), 1.25 (t,  $J = 7.1 \text{ Hz}$ , 3H).  **$^{13}\text{C NMR}$**  (151 MHz,  $\text{CDCl}_3$ )  $\delta$  170.84, 169.37, 168.46, 140.40, 139.46, 130.10, 126.01, 124.85, 123.29, 122.59, 120.41, 119.33, 119.05, 108.89, 108.62, 82.85, 62.04, 61.89, 56.67, 48.29, 37.64, 27.94, 27.86, 14.10, 13.78. **HRMS(ESI)** m/z:  $[\text{M}+\text{H}]^+$  Calculated for  $\text{C}_{26}\text{H}_{31}\text{N}_2\text{O}_5^+$  451.2227; found 451.2207.

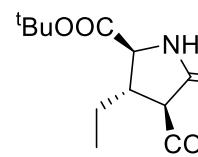


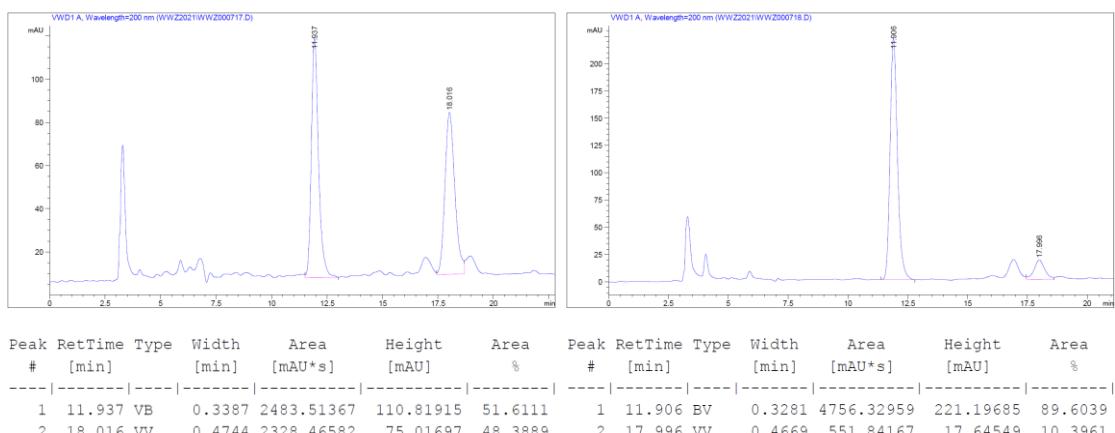
### 2-(tert-butyl) 4-ethyl (2S, 3R, 4S)-3-methyl-5-oxopyrrolidine-2,4-dicarboxylate(6a):


 Colorless solid (30.3 mg, 56%);  $R_f = 0.20$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 84% by HPLC analysis on Daicel Chirapak OD-H column (hexane/isopropanol = 90/10, flow rate 1.0 mL/min,  $T = 30^\circ\text{C}$ ), UV 220 nm,  $t_R$ (major) 23.972 min,  $t_R$ (minor) 16.472 min;  $[\alpha]_D^{20} = 0.72$  ( $c=0.61, \text{CHCl}_3$ );  **$^1\text{H NMR}$**  (600 MHz,  $\text{CDCl}_3$ )  $\delta$  6.20 (s, 1H), 4.25 (q,  $J = 6.7 \text{ Hz}$ , 2H), 3.71 (d,  $J = 7.6 \text{ Hz}$ , 1H), 3.09 (d,  $J = 9.3 \text{ Hz}$ , 1H), 3.00 – 2.83 (m, 1H), 1.50 (s, 9H), 1.34 (d,  $J = 6.7 \text{ Hz}$ , 3H), 1.31 (t,  $J = 7.1 \text{ Hz}$ , 3H).  **$^{13}\text{C NMR}$**  (151 MHz,  $\text{CDCl}_3$ )  $\delta$  170.97, 169.48, 168.60, 82.92, 61.80, 60.97, 55.80, 38.34, 28.02, 18.58, 14.18. **HRMS(ESI)** m/z:  $[\text{M}+\text{H}]^+$  Calculated for  $\text{C}_{13}\text{H}_{22}\text{NO}_5^+$  272.1492; found 272.1495.

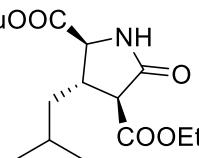


### 2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-3-ethyl-5-oxopyrrolidine-2,4-dicarboxylate (6b):

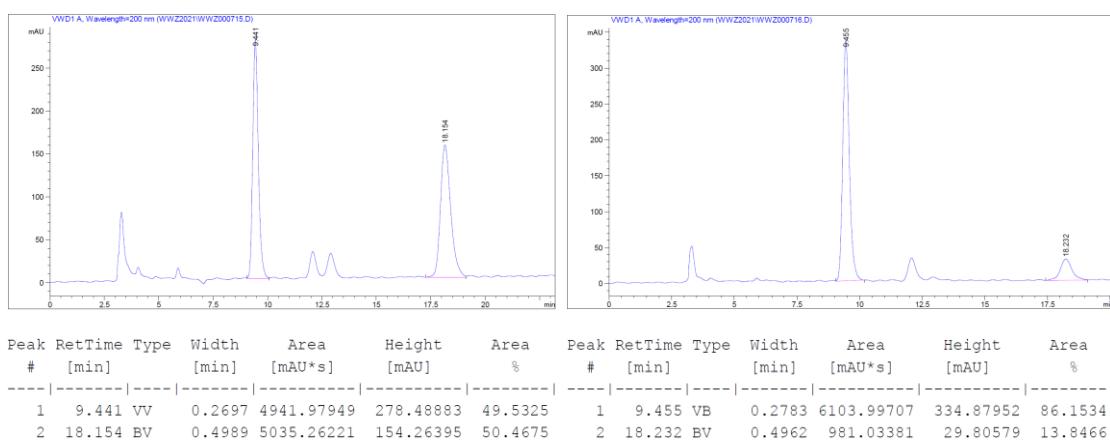
 Colorless oil (13.4 mg, 24%);  $R_f = 0.23$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 79% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 90/10, flow rate 1.0 mL/min,  $T = 30^\circ\text{C}$ ), UV 200 nm,  $t_R$ (major) 11.906 min,  $t_R$ (minor) 17.996 min;  $[\alpha]_D^{20} = 8.46$  ( $c=0.53 \text{ CHCl}_3$ );  **$^1\text{H NMR}$**  (600 MHz,  $\text{CDCl}_3$ )  $\delta$  6.20 (s, 1H), 4.26 – 4.20 (m, 2H), 3.75 (d,  $J = 6.3$  Hz, 1H), 3.13 (d,  $J = 7.7$  Hz, 1H), 2.89 – 2.83 (m, 1H), 1.86 (dt,  $J = 13.5, 6.7$  Hz, 1H), 1.61 – 1.54 (m, 1H), 1.50 (s, 9H), 1.30 (t,  $J = 7.1$  Hz, 3H), 0.97 (t,  $J = 7.4$  Hz, 3H).  **$^{13}\text{C NMR}$**  (151 MHz,  $\text{CDCl}_3$ )  $\delta$  171.39, 169.81, 169.14, 82.76, 61.75, 59.59, 53.86, 44.18, 27.97, 27.15, 14.09, 11.18. **HRMS(ESI)** m/z:  $[\text{M}+\text{H}]^+$  Calculated for  $\text{C}_{14}\text{H}_{24}\text{NO}_5$  + 286.1649; found 286.1639.



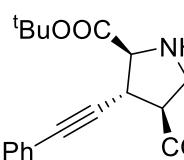
### 2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-3-isobutyl-5-oxopyrrolidine-2,4-dicarboxylate (6c):

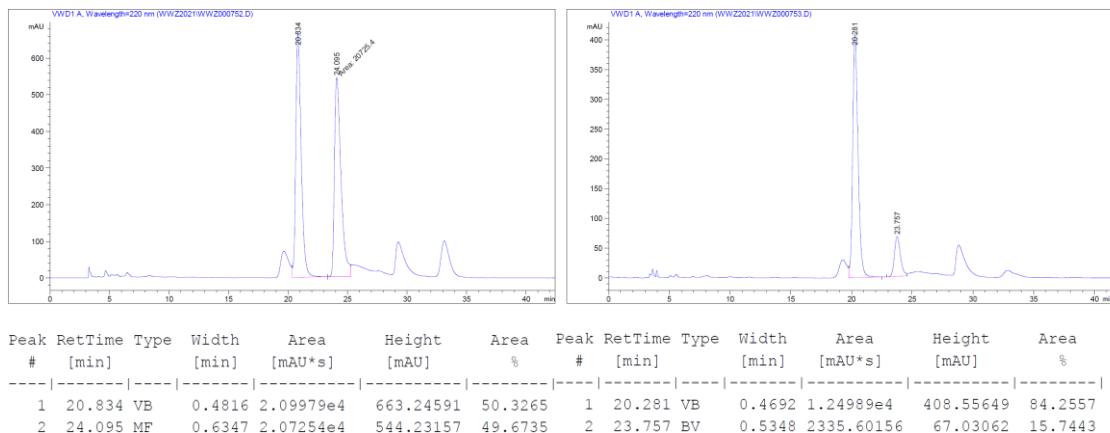
 Colorless oil (19.0 mg, 30%);  $R_f = 0.14$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 72% by HPLC analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 90/10, flow rate 1.0 mL/min,  $T = 30^\circ\text{C}$ ), UV 200 nm,  $t_R$ (major) 11.906 min,  $t_R$ (minor) 17.996 min;  $[\alpha]_D^{20} = 10.3961$  ( $c=0.4669 \text{ CHCl}_3$ );  **$^1\text{H NMR}$**  (600 MHz,  $\text{CDCl}_3$ )  $\delta$  6.20 (s, 1H), 4.26 – 4.20 (m, 2H), 3.75 (d,  $J = 6.3$  Hz, 1H), 3.13 (d,  $J = 7.7$  Hz, 1H), 2.89 – 2.83 (m, 1H), 1.86 (dt,  $J = 13.5, 6.7$  Hz, 1H), 1.61 – 1.54 (m, 1H), 1.50 (s, 9H), 1.30 (t,  $J = 7.1$  Hz, 3H), 0.97 (t,  $J = 7.4$  Hz, 3H).  **$^{13}\text{C NMR}$**  (151 MHz,  $\text{CDCl}_3$ )  $\delta$  171.39, 169.81, 169.14, 82.76, 61.75, 59.59, 53.86, 44.18, 27.97, 27.15, 14.09, 11.18. **HRMS(ESI)** m/z:  $[\text{M}+\text{H}]^+$  Calculated for  $\text{C}_{14}\text{H}_{24}\text{NO}_5$  + 286.1649; found 286.1639.

analysis on Daicel Chirapak AD-H column (hexane/isopropanol = 90/10, flow rate 1.0 mL/min, T = 30 °C), UV 200 nm,  $t_R$ (major) 9.455 min,  $t_R$ (minor) 18.232 min;  $[a]_D^{20} = 0.09$  ( $c=0.38 \text{ CHCl}_3$ );  **$^1\text{H NMR}$  (600 MHz,  $\text{CDCl}_3$ )**  $\delta$  6.06 (s, 1H), 4.28 – 4.20 (m, 2H), 3.71 (d,  $J = 6.0 \text{ Hz}$ , 1H), 3.09 (d,  $J = 7.3 \text{ Hz}$ , 1H), 3.01 (dt,  $J = 13.4, 6.7 \text{ Hz}$ , 1H), 1.69 – 1.63 (m, 1H), 1.50 (s, 9H), 1.45 (m,  $J = 15.6, 6.8 \text{ Hz}$ , 1H), 1.29 (t,  $J = 7.1 \text{ Hz}$ , 3H), 0.95 (d,  $J = 6.4 \text{ Hz}$ , 3H), 0.91 (d,  $J = 6.3 \text{ Hz}$ , 3H).  **$^{13}\text{C NMR}$  (151 MHz,  $\text{CDCl}_3$ )**  $\delta$  171.44, 169.84, 169.14, 82.80, 61.79, 60.17, 54.87, 44.56, 40.70, 28.00, 25.75, 23.26, 21.67, 14.08. **HRMS(ESI)** m/z:  $[\text{M}+\text{H}]^+$  Calculated for  $\text{C}_{16}\text{H}_{28}\text{NO}_5$   $^{+}$  314.1962; found 314.1959.



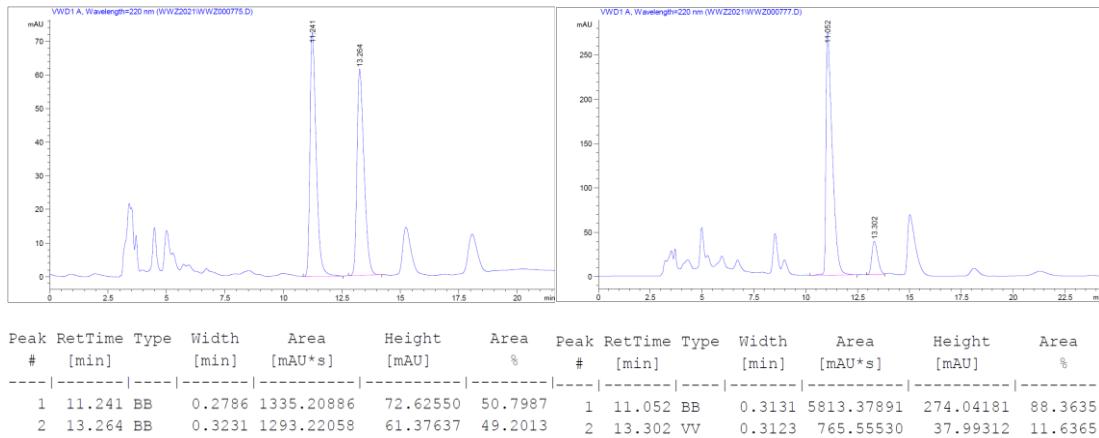
#### 2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-5-oxo-3-(phenylethynyl)pyrrolidine-2,4-dicarboxylate (6d):


 Colorless oil (49.2 mg, 69%);  $R_f = 0.33$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 68% by HPLC analysis on Daicel Chirapak IF-H column (hexane/isopropanol = 90/10, flow rate 1.0 mL/min, T = 30 °C), UV 220 nm,  $t_R$ (major) 20.281 min,  $t_R$ (minor) 23.757 min;  $[a]_D^{20} = 23.67$  ( $c=1.15 \text{ CHCl}_3$ );  **$^1\text{H NMR}$  (600 MHz,  $\text{CDCl}_3$ )**  $\delta$  7.41 (m,  $J = 7.5, 1.8 \text{ Hz}$ , 2H), 7.31 (m,  $J = 7.1 \text{ Hz}$ , 3H), 6.67 (s, 1H), 4.31 – 4.27 (m, 2H), 4.20 (d,  $J = 7.1 \text{ Hz}$ , 1H), 3.99 – 3.95 (m, 1H), 3.61 (d,  $J = 8.5 \text{ Hz}$ , 1H), 1.53 (s, 9H), 1.33 (t,  $J = 7.1 \text{ Hz}$ , 3H).  **$^{13}\text{C NMR}$  (151 MHz,  $\text{CDCl}_3$ )**  $\delta$  169.83, 168.34, 167.53, 131.73, 128.53, 128.32, 122.42, 86.39, 83.62, 83.41, 62.26, 60.02, 54.77, 34.34, 27.98, 14.23, 14.14. **HRMS(ESI)** m/z:  $[\text{M}+\text{H}]^+$  Calculated for  $\text{C}_{20}\text{H}_{24}\text{NO}_5$   $^{+}$  358.1649; found 358.1659.



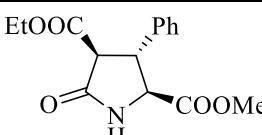
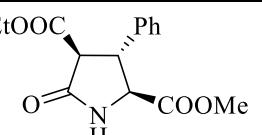
**2-(tert-butyl) 4-ethyl (2*S*, 3*R*, 4*S*)-5-oxo-3-((trimethylsilyl)ethynyl)pyrrolidine-2,4-dicarboxylate (6e):**

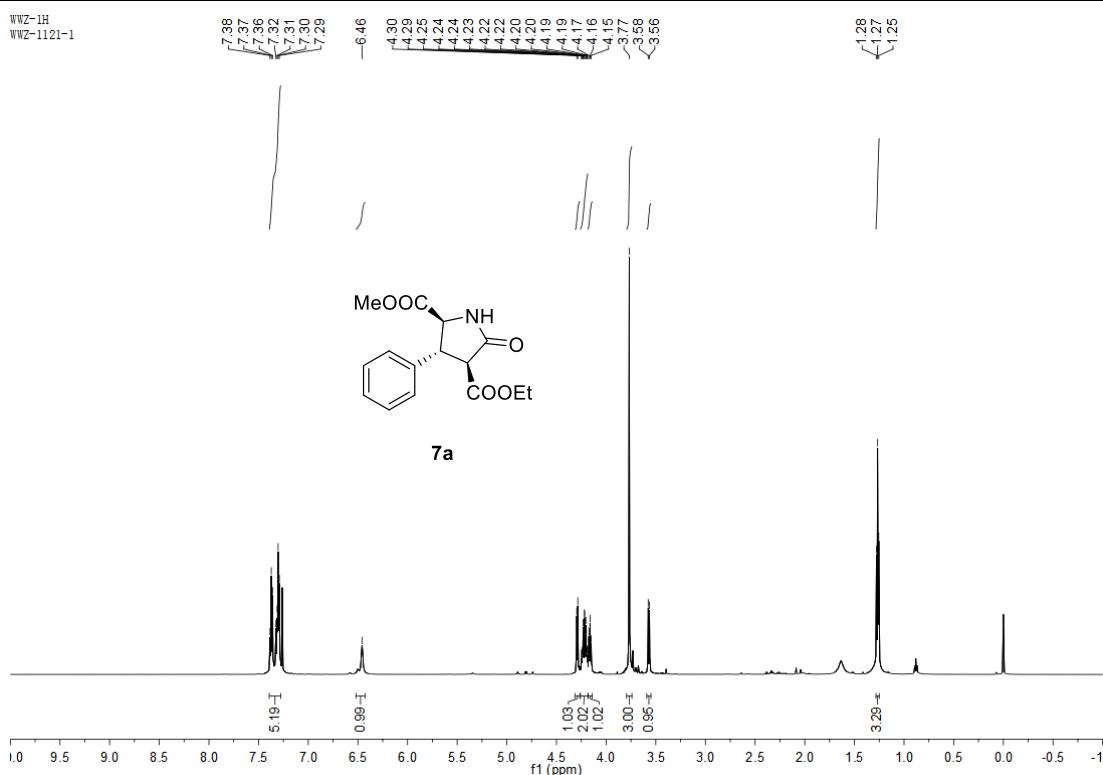
Colorless oil (51.5 mg, 73%);  $R_f = 0.38$  (petroleum ether/ ethyl acetate = 2:1); the enantiomeric excess was determined to be 77% by HPLC analysis on Daicel Chirapak IF-H column (hexane/isopropanol = 90/10, flow rate 1.0 mL/min,  $T = 30^\circ\text{C}$ ), UV 220 nm,  $t_R(\text{major}) = 11.052$  min,  $t_R(\text{minor}) = 13.302$  min;  $[\alpha]_D^{20} = -9.23$  ( $c = 1.12 \text{ CHCl}_3$ );  **$^1\text{H NMR}$  (600 MHz, CDCl<sub>3</sub>)**  $\delta$  6.52 (s, 1H), 4.31 – 4.23 (m, 2H), 4.09 (d,  $J = 6.8$  Hz, 1H), 3.75 (dd,  $J = 7.9, 7.0$  Hz, 1H), 3.49 (d,  $J = 8.1$  Hz, 1H), 1.51 (s, 9H), 1.32 (t,  $J = 7.1$  Hz, 3H), 0.16 (s, 9H).  **$^{13}\text{C NMR}$  (151 MHz, CDCl<sub>3</sub>)**  $\delta$  170.14, 168.74, 167.82, 103.29, 88.77, 83.70, 62.52, 60.35, 55.07, 34.84, 28.32, 14.48, 0.37, 0.19.  $[\text{M}+\text{H}]^+$  Calculated for C<sub>17</sub>H<sub>30</sub>NO<sub>4</sub>Si<sup>+</sup> 354.1731; found 354.1736.

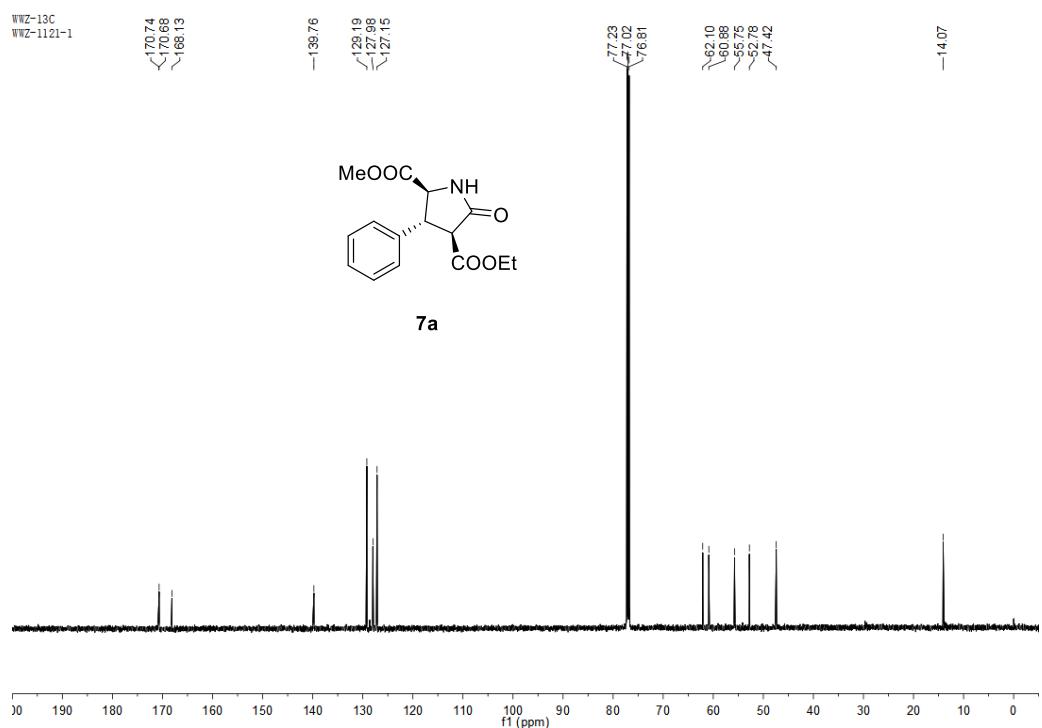


#### 4. Determination of the absolute configuration

The absolute configuration of compound **4-6** was established by comparing its optical rotation value with the known compound 4-ethyl 2-methyl (2S,3R,4S)-5-oxo-3-phenylpyrrolidine-2,4-dicarboxylate (**7a**) in literature data:

(2S,3R,4S) - product ( <b>7a</b> ) in this work	(2S,3R,4S) - product in literature <sup>[4]</sup>
	
4-ethyl 2-methyl (2S,3R,4S)-5-oxo-3-phenylpyrrolidine-2,4-dicarboxylate	4-ethyl 2-methyl (2S,3R,4S)-5-oxo-3-phenylpyrrolidine-2,4-dicarboxylate
$[\alpha]_D^{20} = -11.03$ ( $c = 0.40$ , $\text{CHCl}_3$ ).	$[\alpha]_D^{20} = -16.3$ ( $c = 0.6$ , $\text{CHCl}_3$ ).
$^1\text{H}$ NMR (600 MHz, $\text{CDCl}_3$ ) $\delta$ 7.39 – 7.28 (m, 5H), 6.46 (bs, 1H), 4.29 (d, $J = 6.3$ Hz, 1H), 4.26 – 4.19 (m, 2H), 4.18 – 4.13 (m, 1H), 3.77 (s, 3H), 3.57 (d, $J = 7.7$ Hz, 1H), 1.27 (t, $J = 7.1$ Hz, 3H).	$^1\text{H}$ NMR (300 MHz, $\text{CDCl}_3$ ) $\delta$ 7.43–7.27 (m, 5H), 6.48 (bs, 1H), 4.29 (d, $J = 6.3$ Hz, 1H), 4.26–4.18 (m, 2H), 4.17 (dd, $J = 6.3, 7.5$ Hz, 1H), 3.77 (s, 3H), 3.57 (d, $J = 7.6$ Hz, 1H), 1.26 (t, $J = 7.1$ Hz, 3H).
$^{13}\text{C}$ NMR (151 MHz, $\text{CDCl}_3$ ) $\delta$ 170.74, 170.68, 168.13, 139.76, 129.19, 127.98, 127.15, 62.10, 60.88, 55.75, 52.78, 47.42, 14.07.	$^{13}\text{C}$ NMR (75 MHz, $\text{CDCl}_3$ ) $\delta$ 170.7, 170.7, 168.1, 139.7, 129.2, 127.9, 127.1, 62.1, 60.9, 55.3, 52.8, 47.4, 14.1.

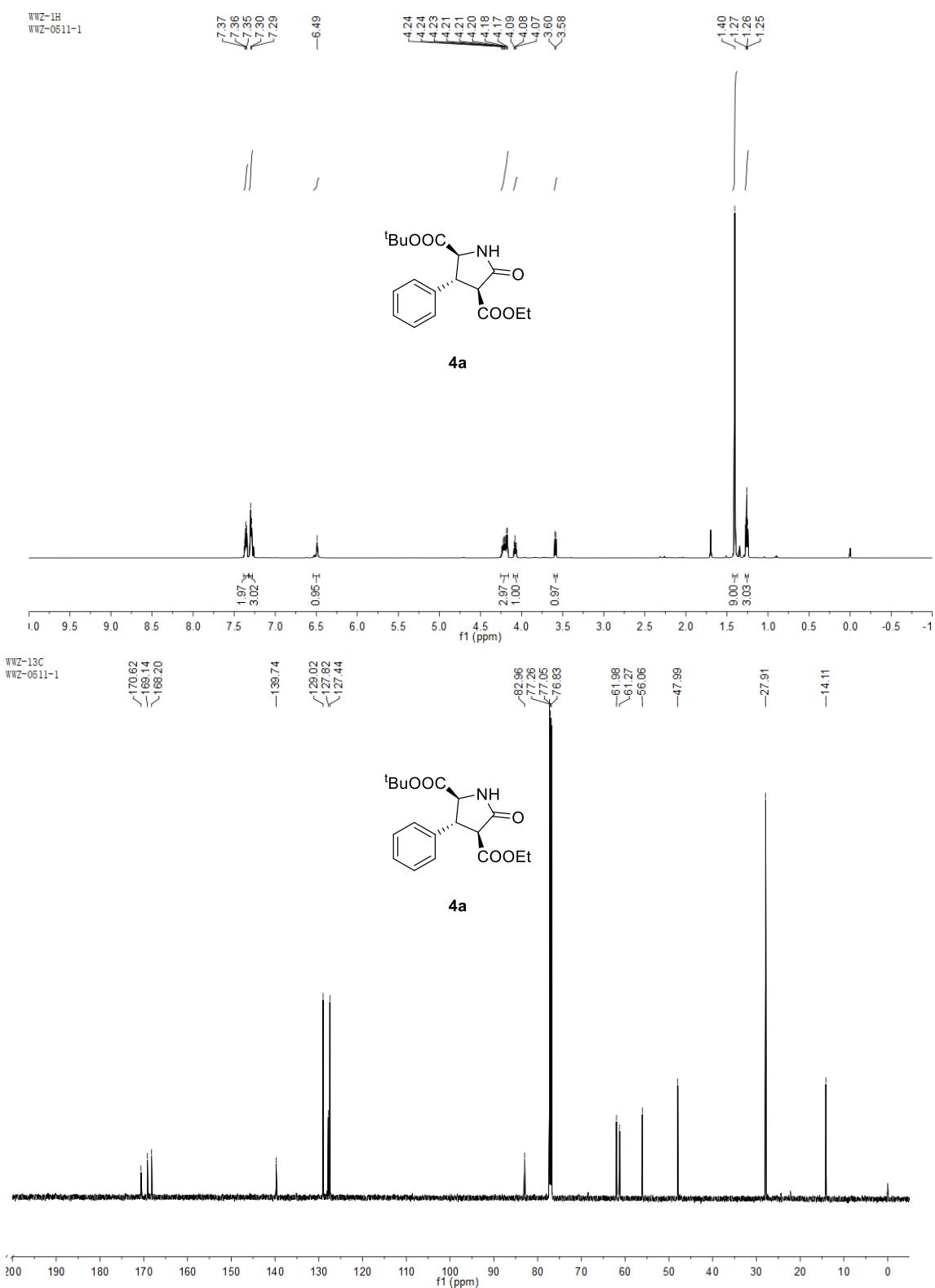


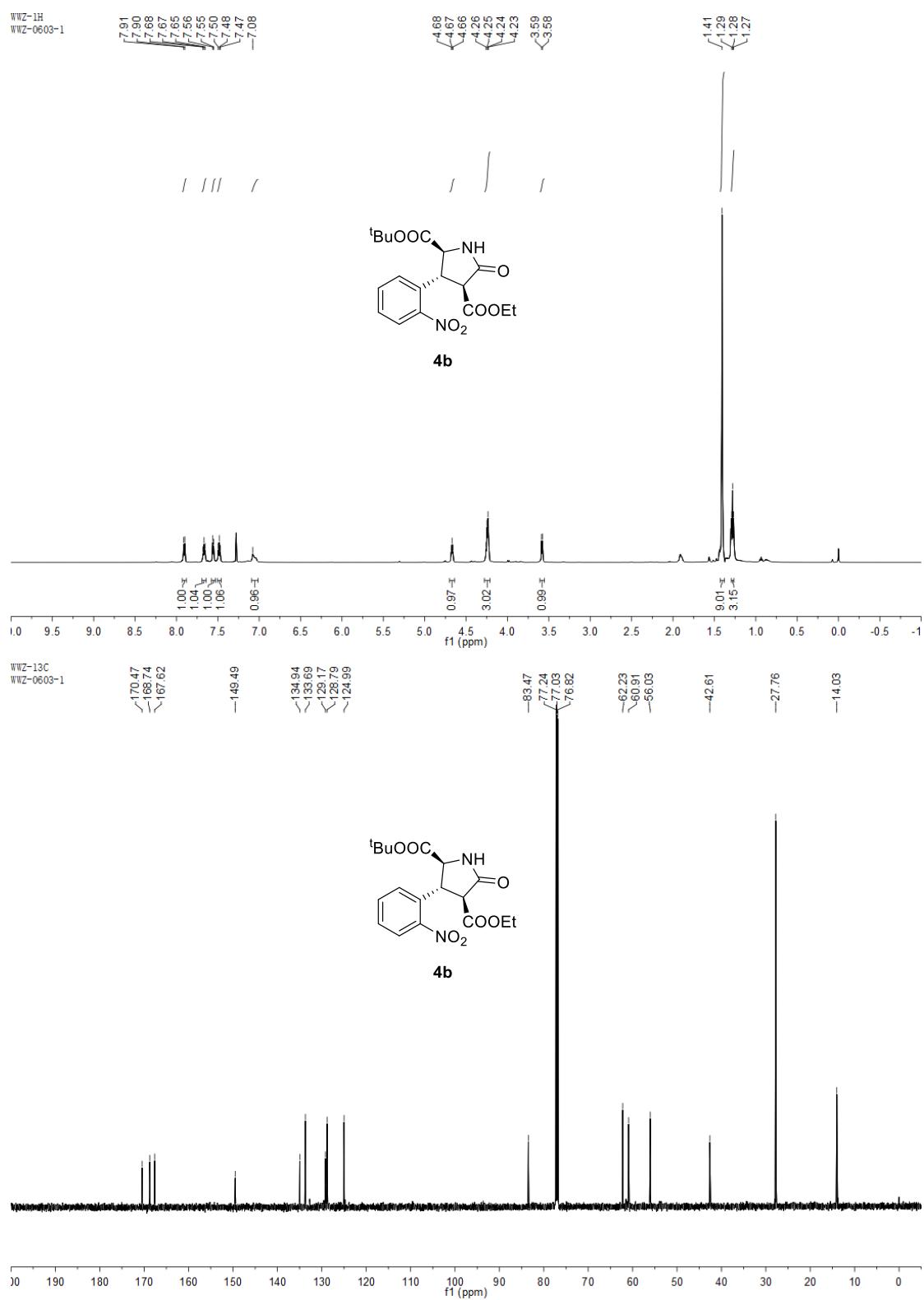


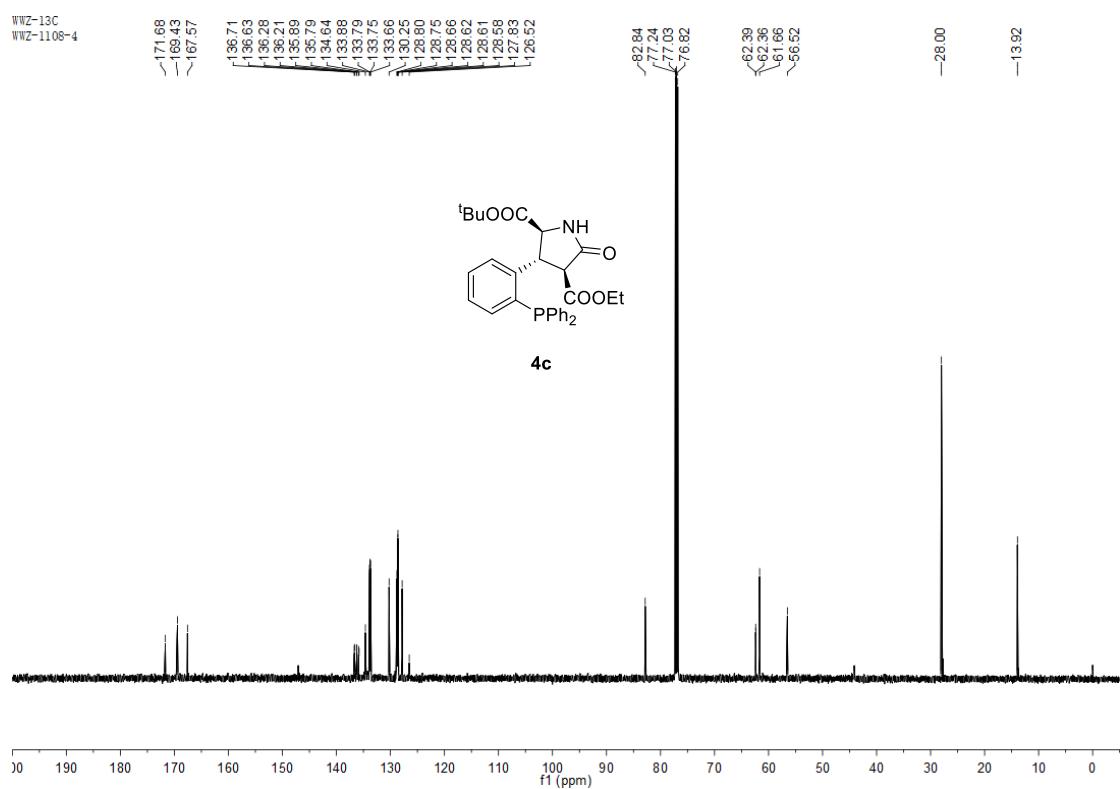
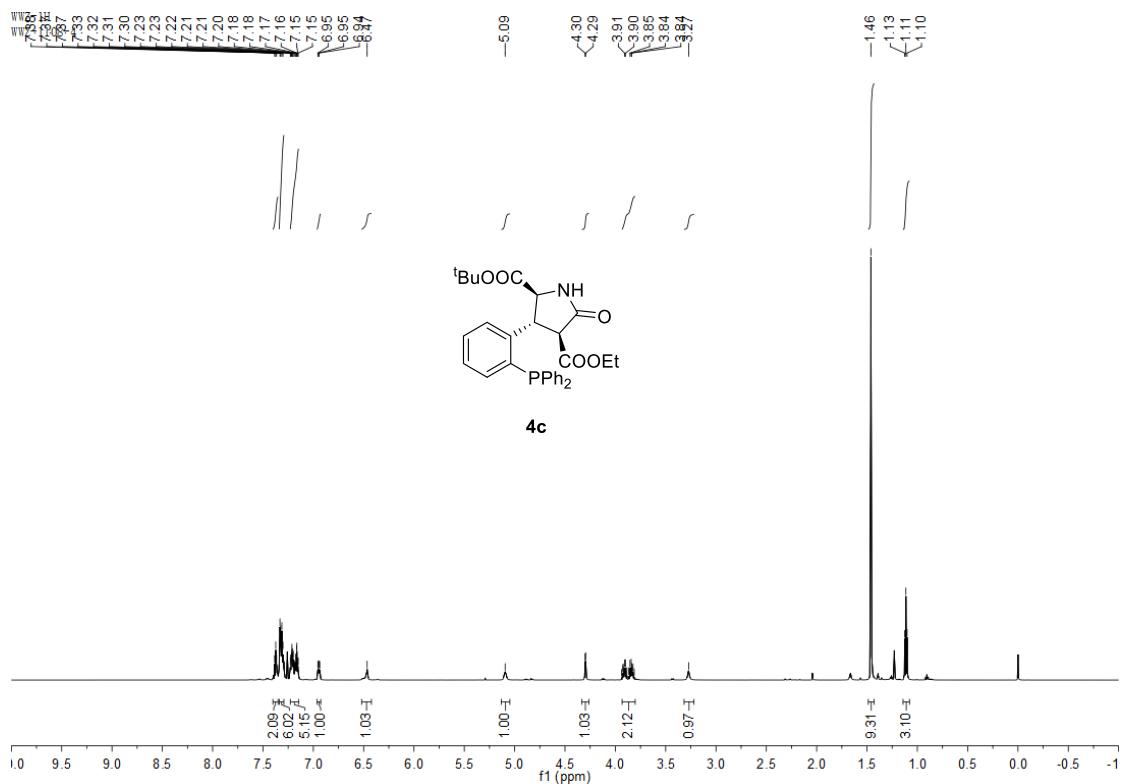
## 5. References

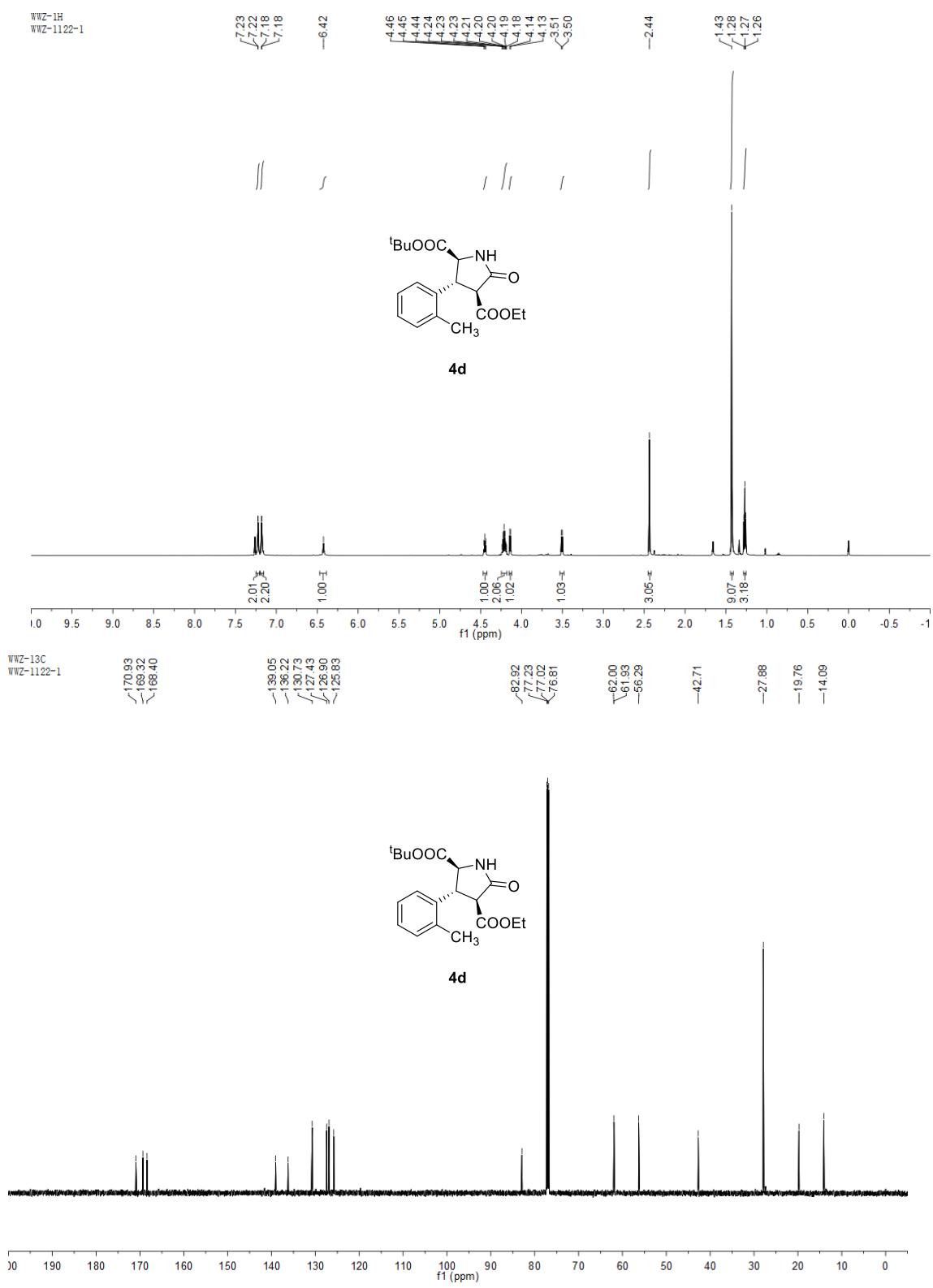
- [1]. I. A. Andreev, N. K. Ratmanova, A. U. Augustin, O. A. Ivanova, I. I. Levina, V. N. Khrustalev, D. B. Werz, I. V. Trushkov, *Angew. Chem. Int. Ed.* 2021, **60**, 7927–7934.
- [2]. B.-C. Hong, N. Dange, P.-J. Yen, G.-H. Lee and J.-H. Liao, *Org. Lett.* 2012, **14**, 5346.
- [3]. L. Chen, M.-J. Luo, F. Zhu, W. Wen and Q.-X. Guo, *J. Am. Chem. Soc.* 2018, **140**, 9774.
- [4]. J. Hernández-Toribio, R. G. Arrayás and J. C. Carretero, *Chem. – Eur. J.*, 2011, **17**, 6334-6337.

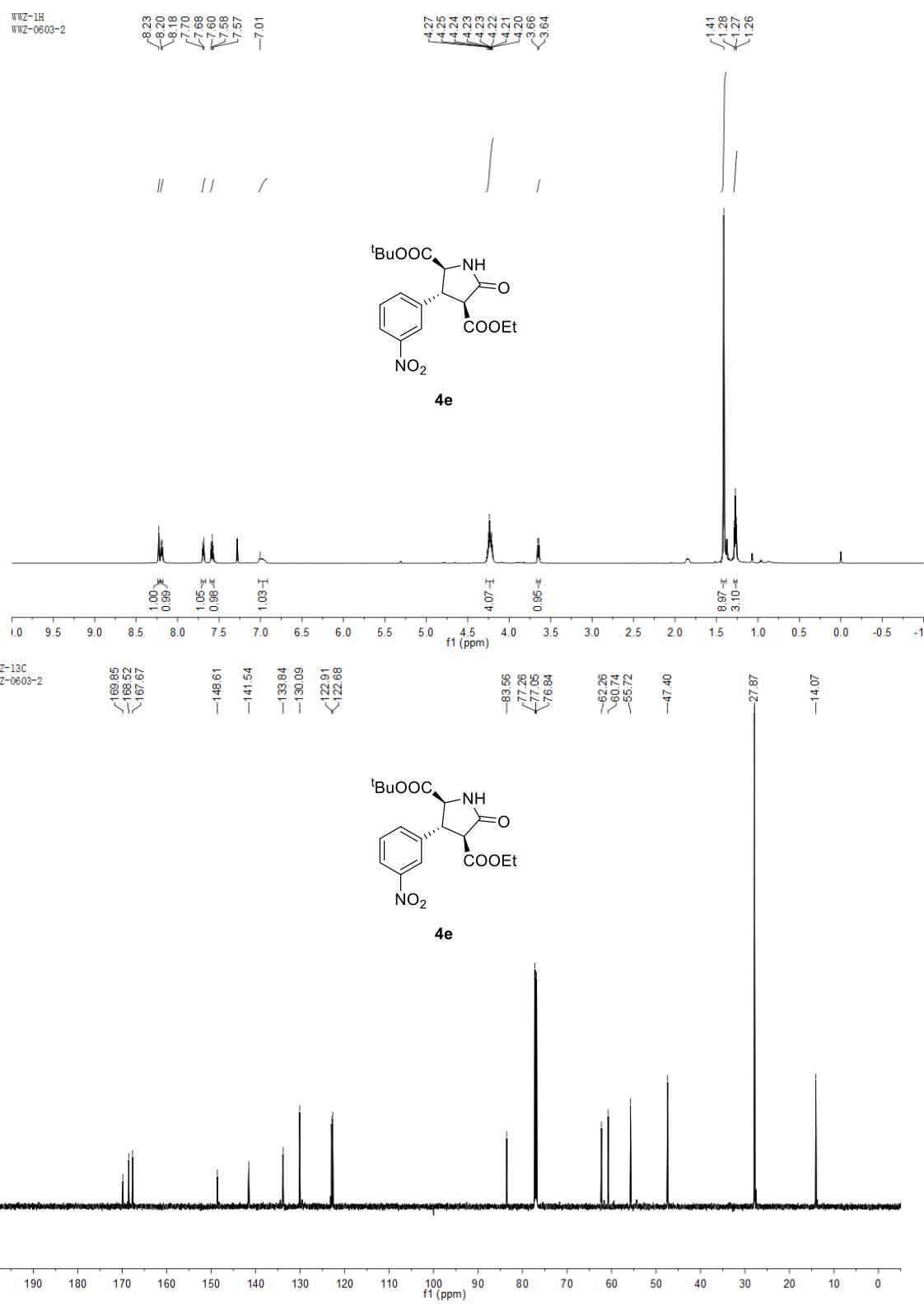
## 6. The spectrums of $^1\text{H}$ NMR and $^{13}\text{C}$ NMR

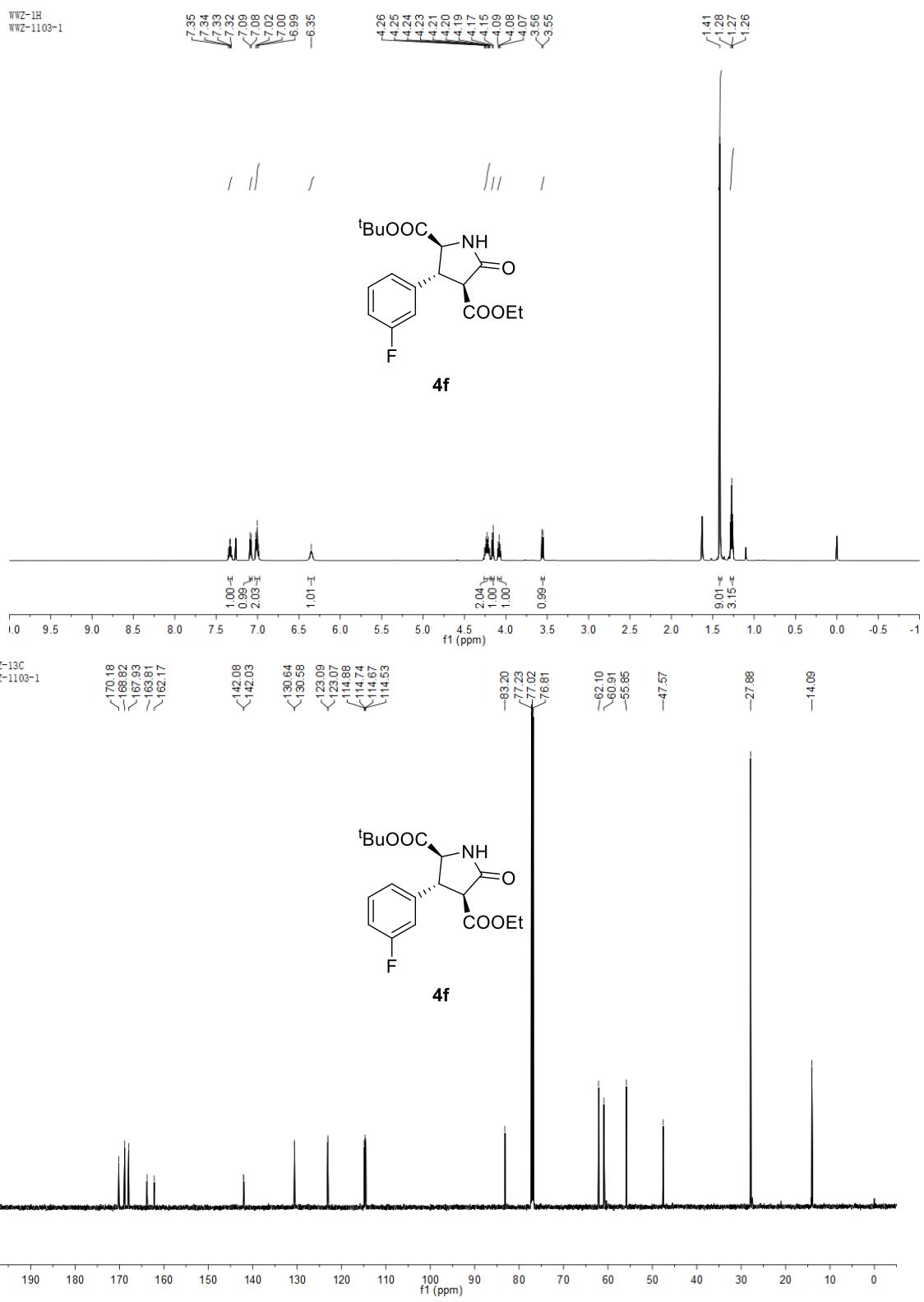


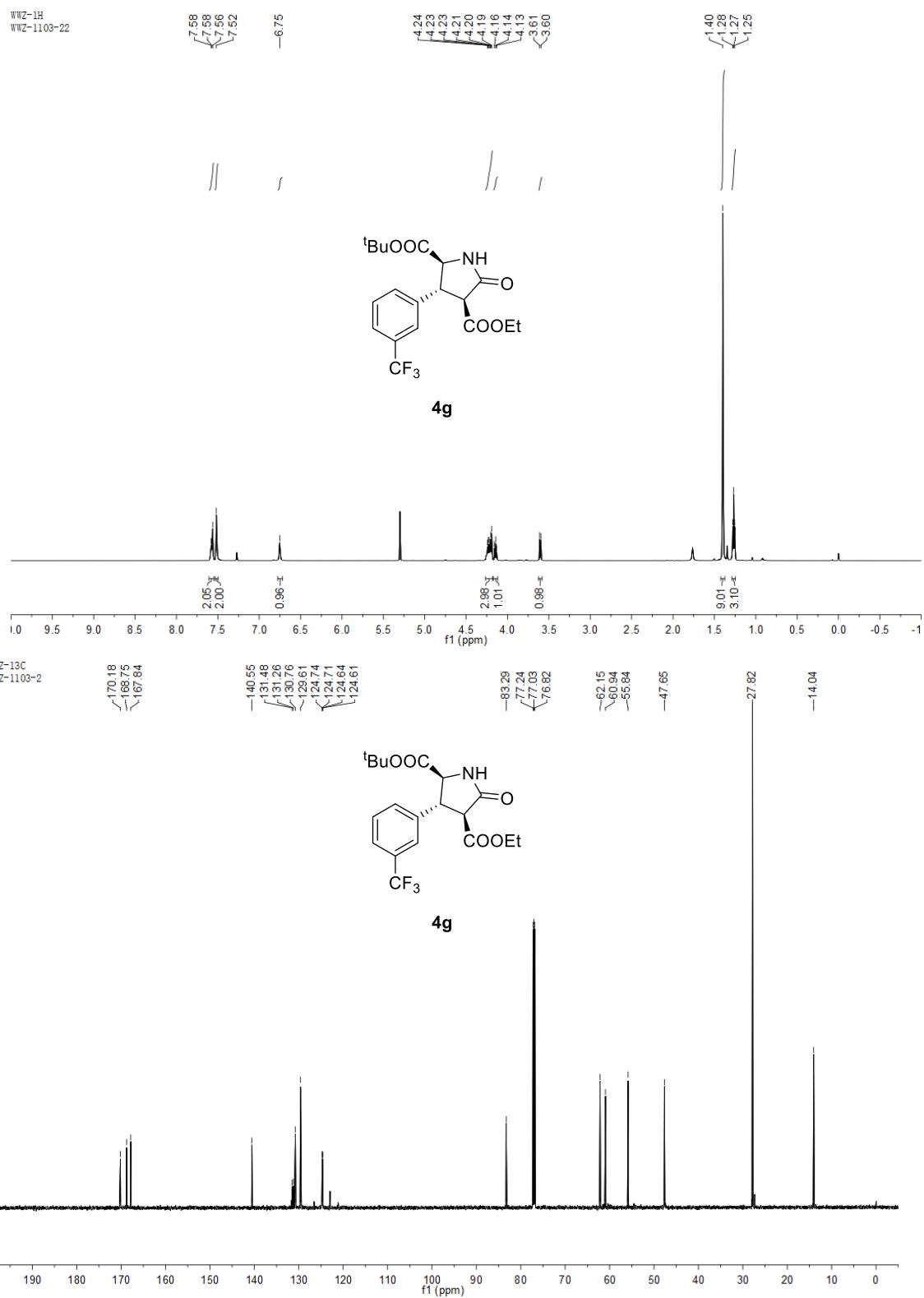












WWZ-1H  
WWZ-0609-2

7.46  
7.44  
7.25  
7.24  
-6.92

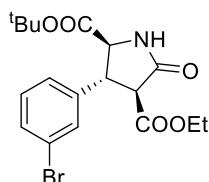
4.25  
4.23  
4.22  
4.21  
4.20  
4.16  
4.15  
4.04  
4.02  
3.59  
3.57

1.42  
1.28  
1.27  
1.26

|| | |

|| | |

|| | |



**4h**

WWZ-13C  
WWZ-0609-2

170.34  
168.63  
167.91

-141.89

130.96  
130.80  
130.56  
126.07  
122.92

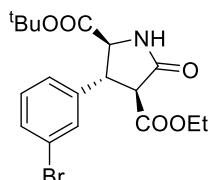
-83.19  
-77.26  
-77.05  
-76.84

-62.09  
-61.05  
-55.79

-47.44

-27.89

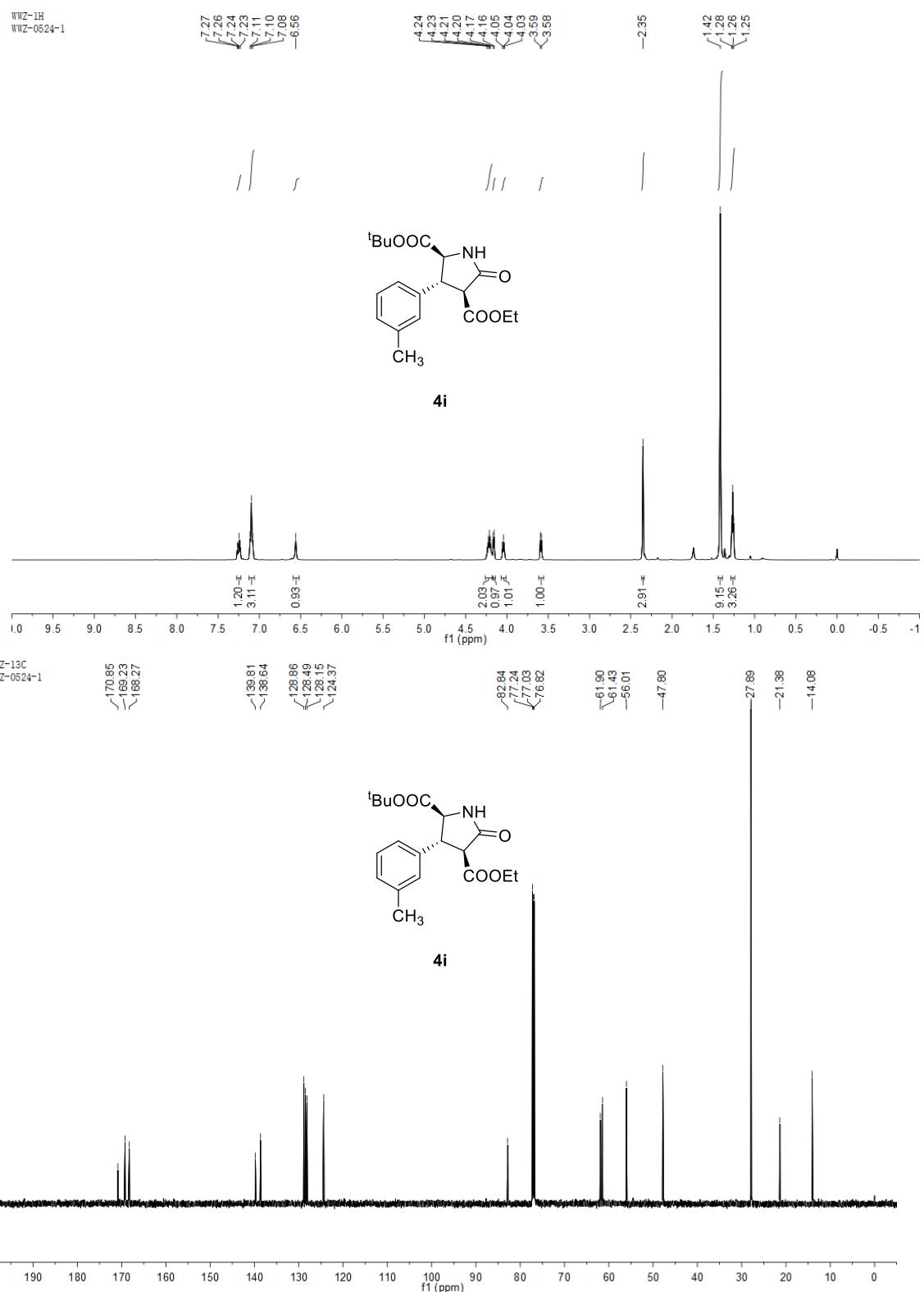
-14.09  
-0.02

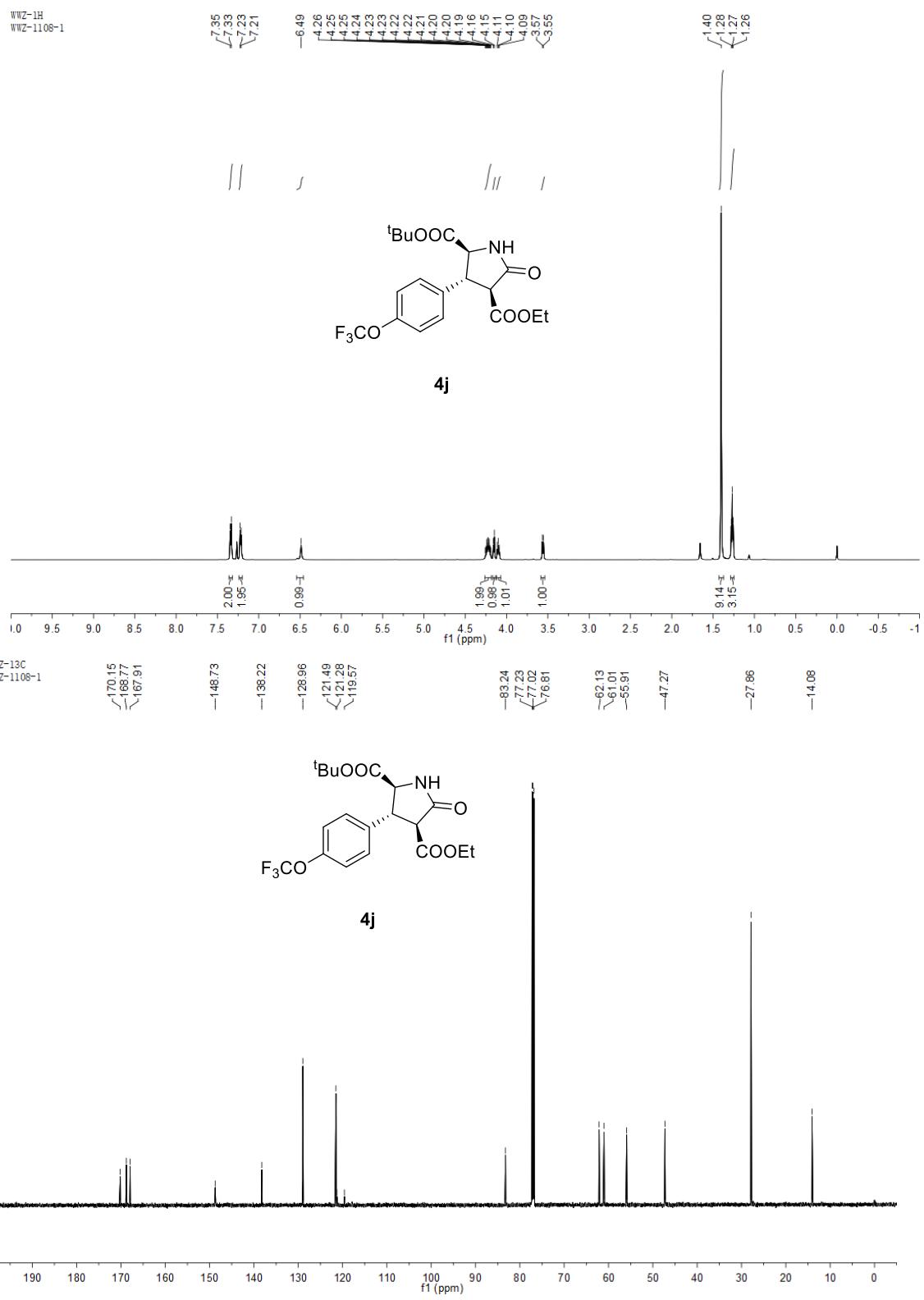


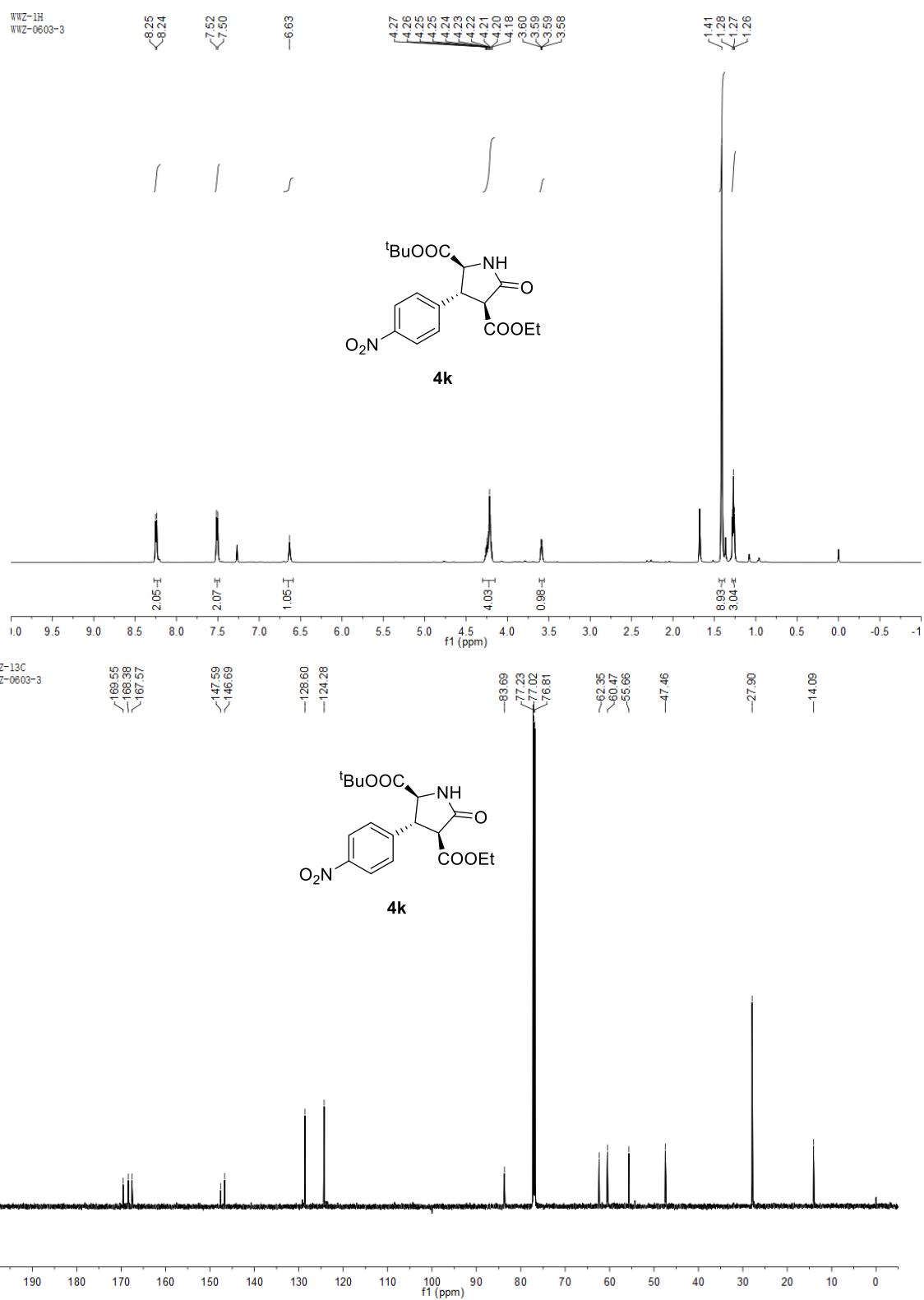
**4h**

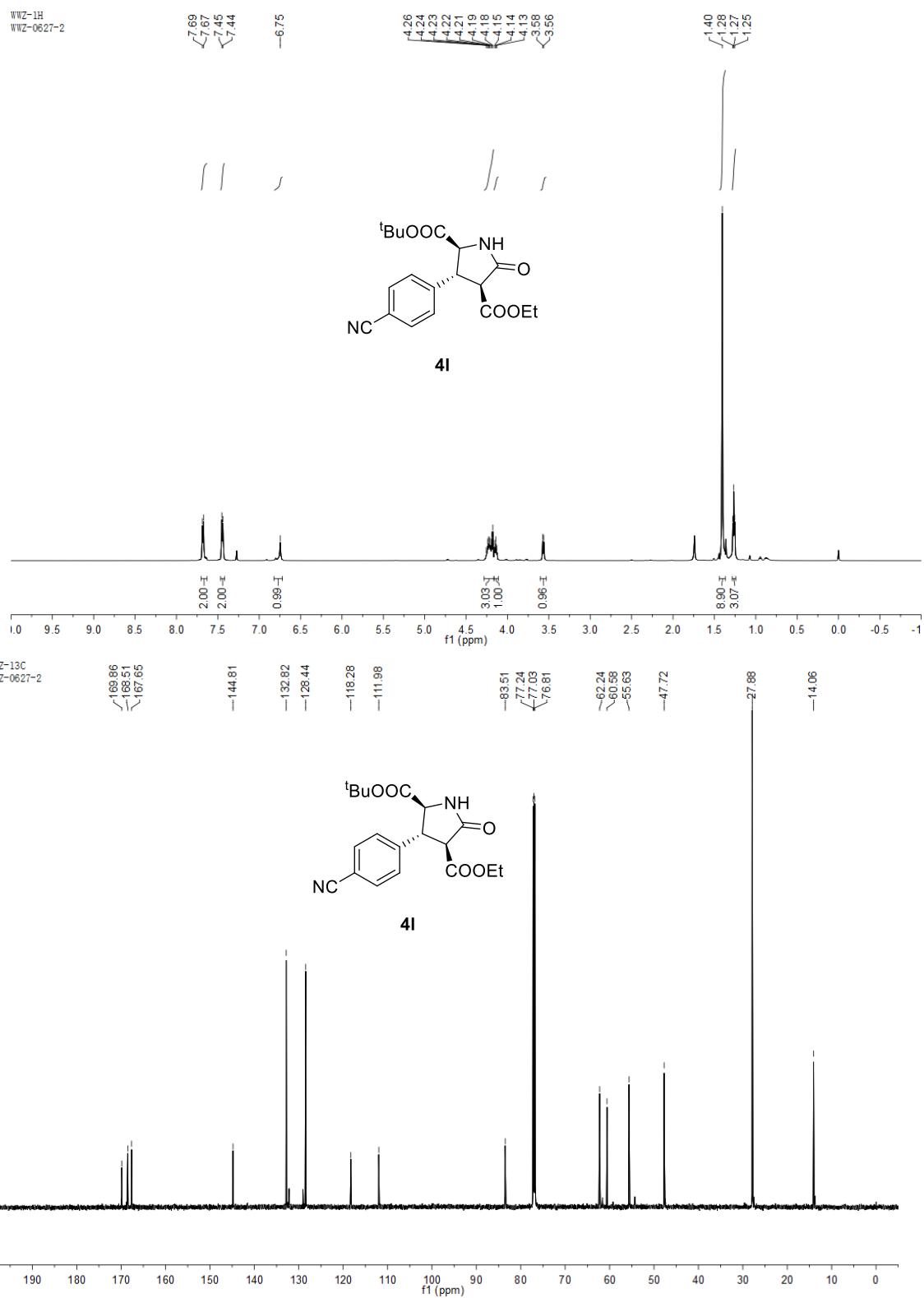
30 190 180 170 160 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0

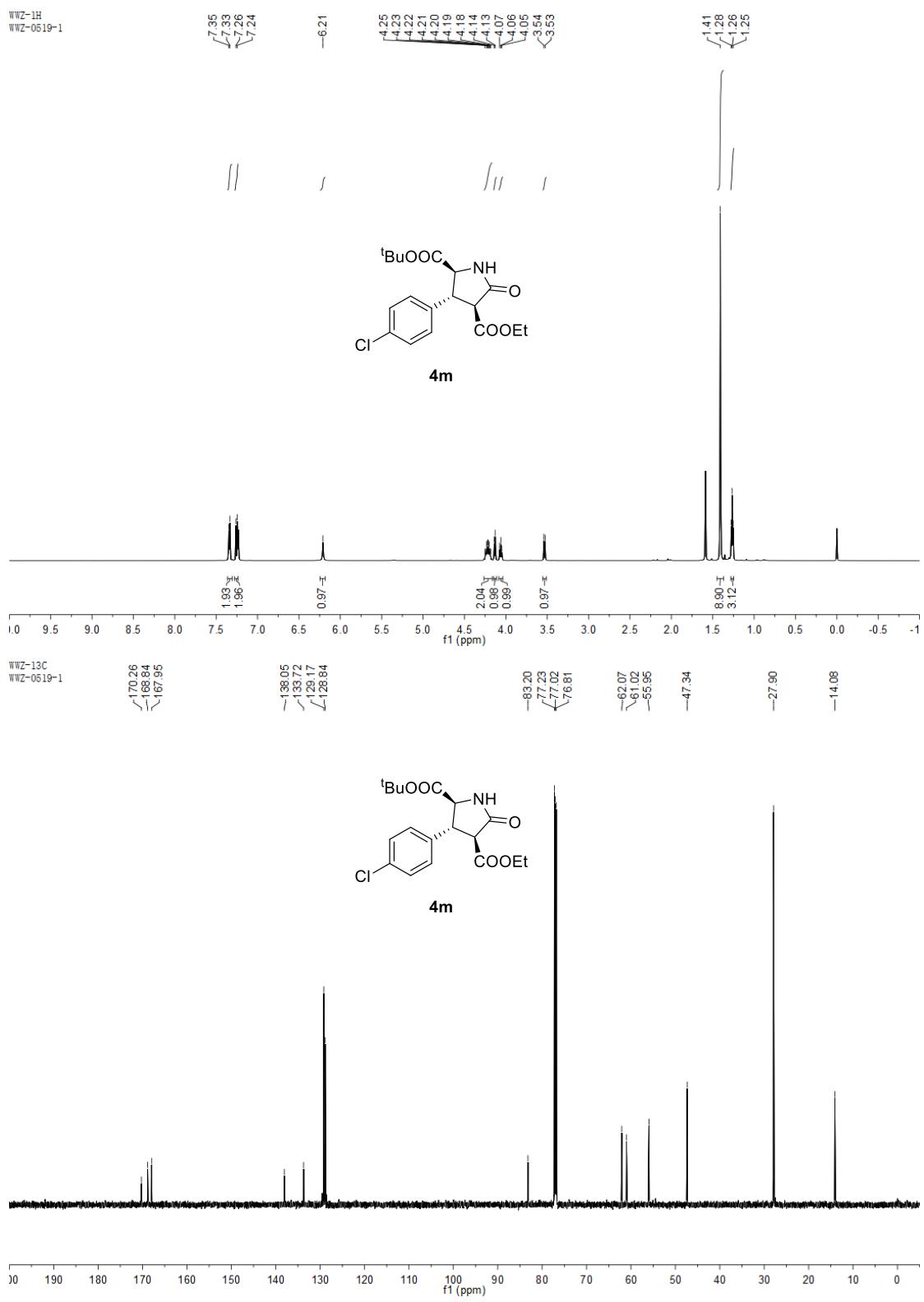
f1 (ppm)

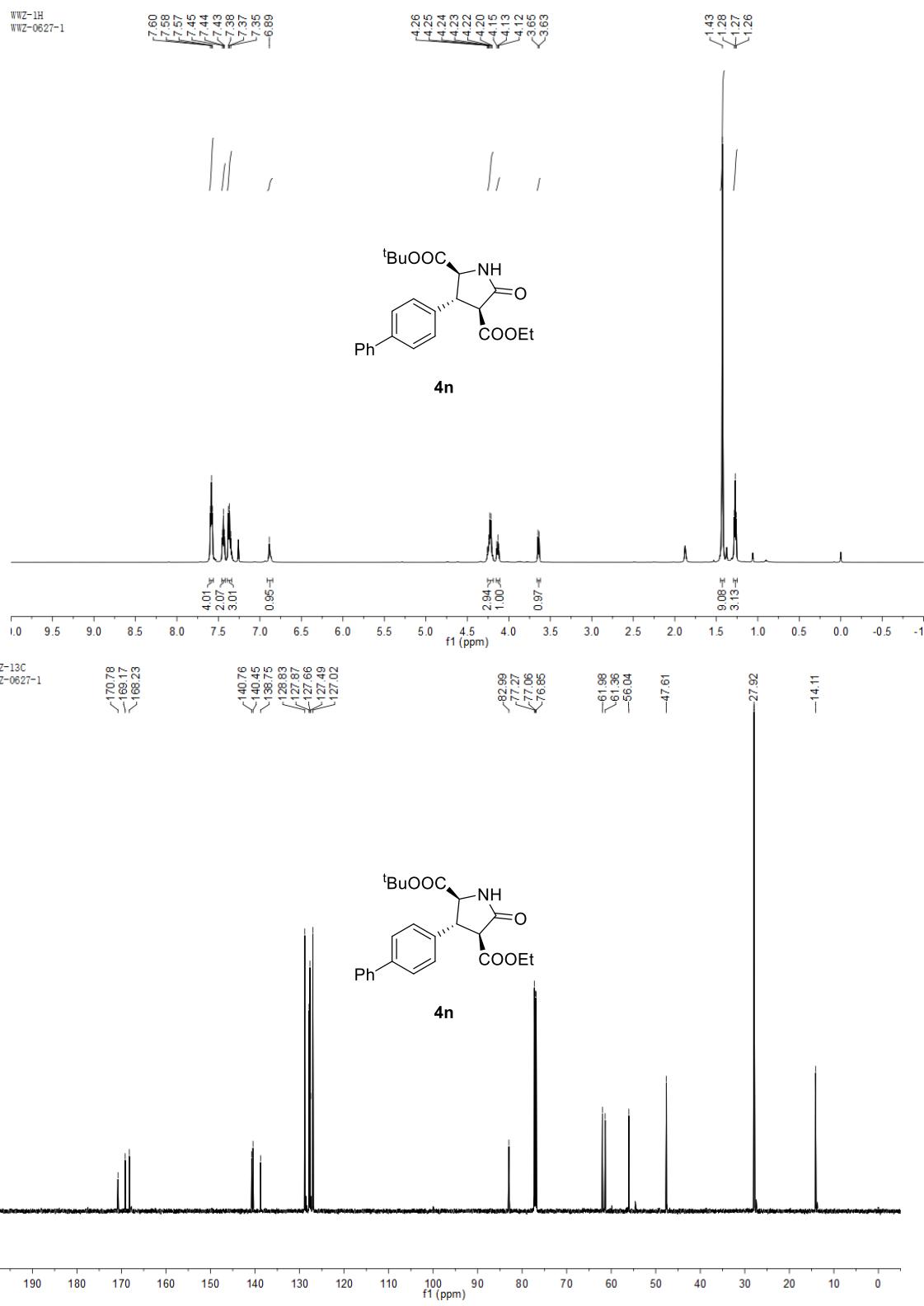


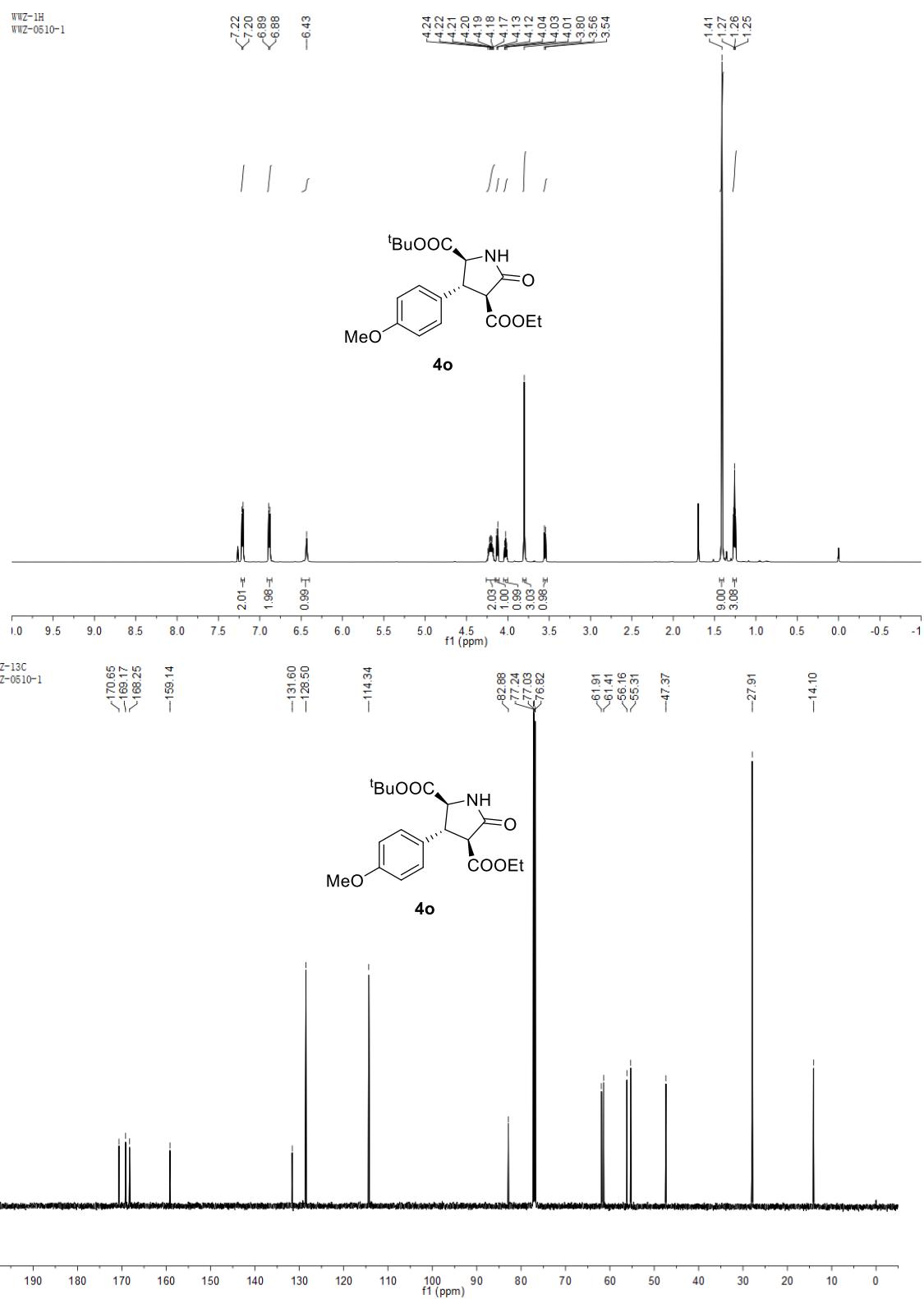


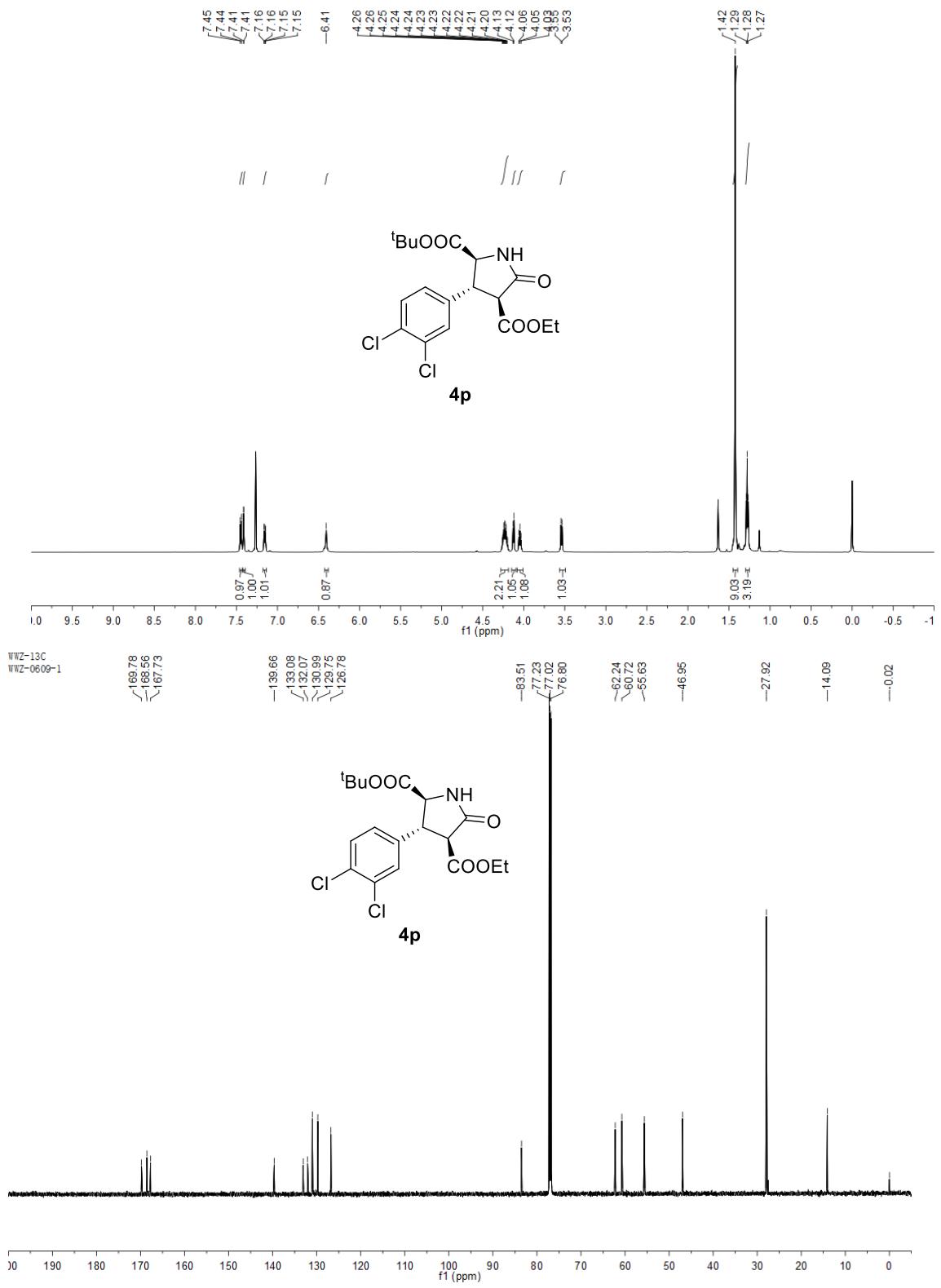


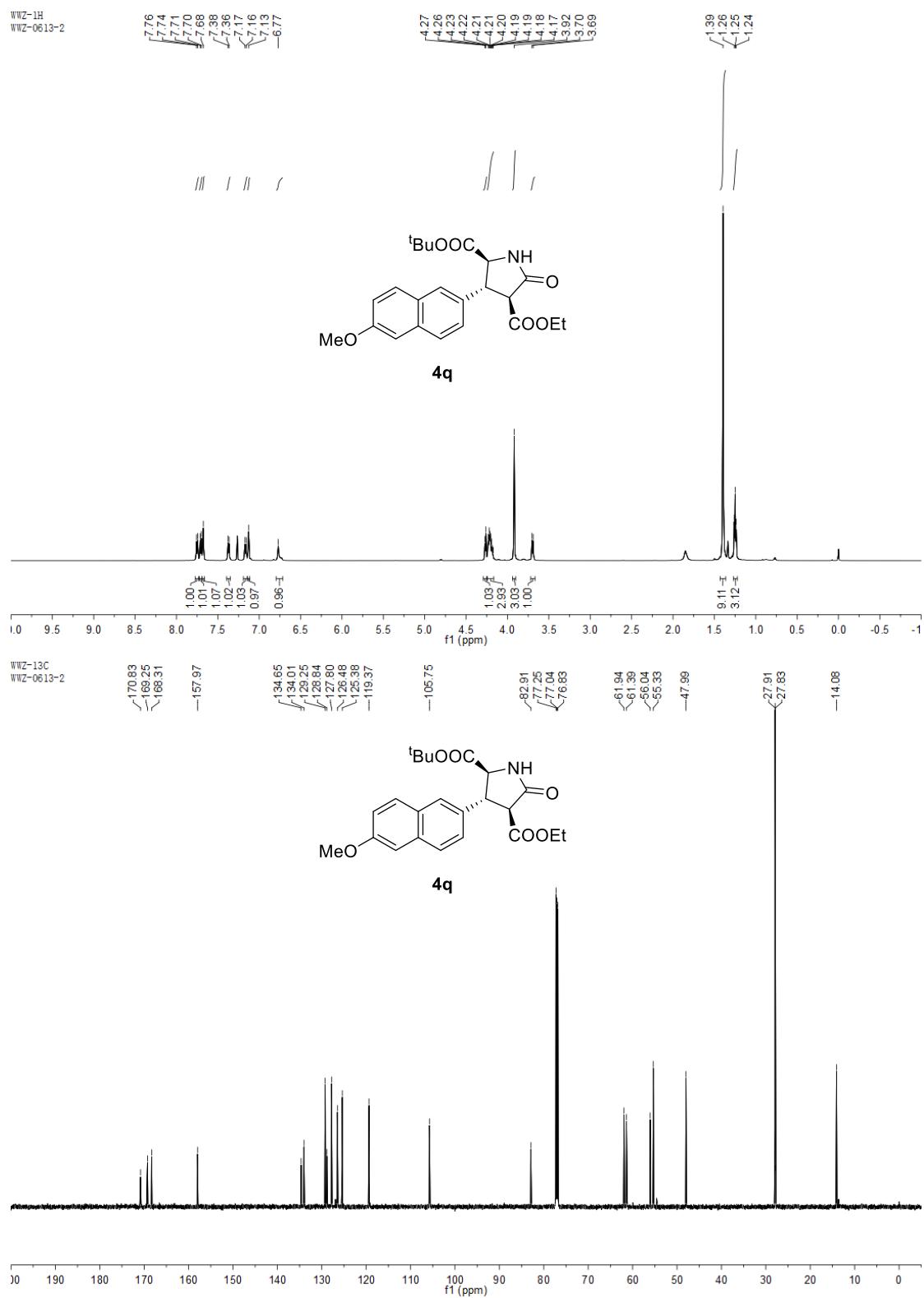


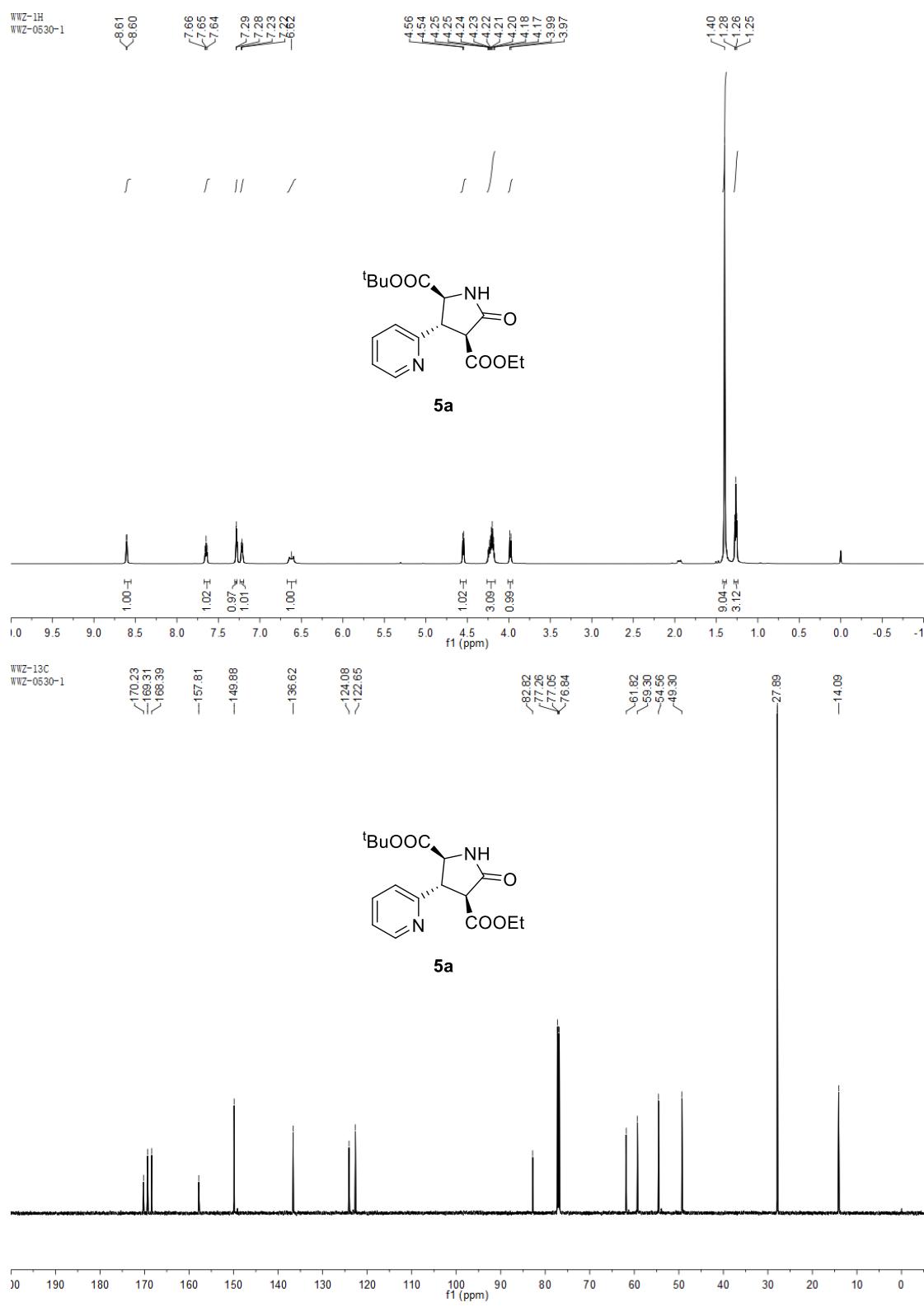


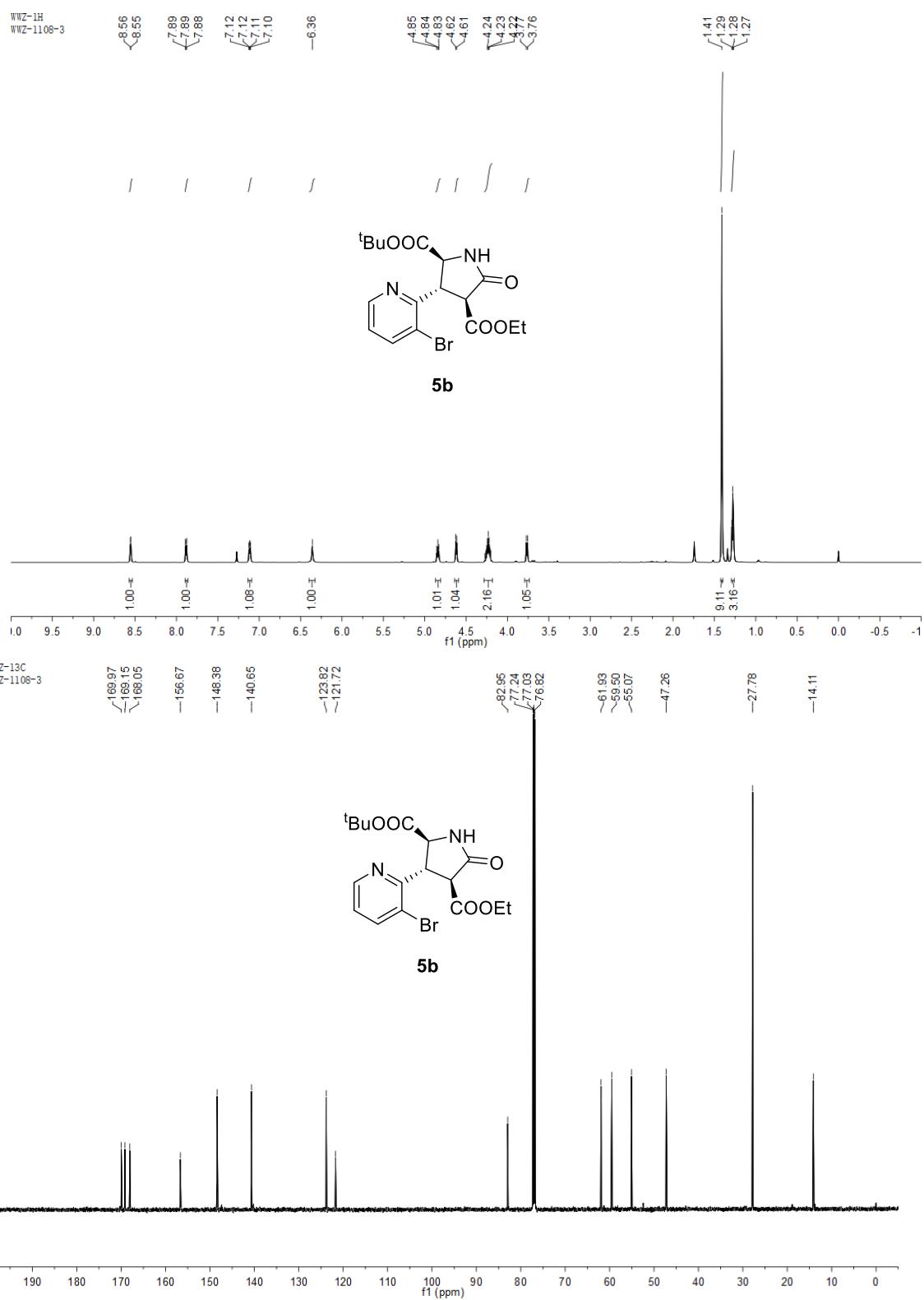


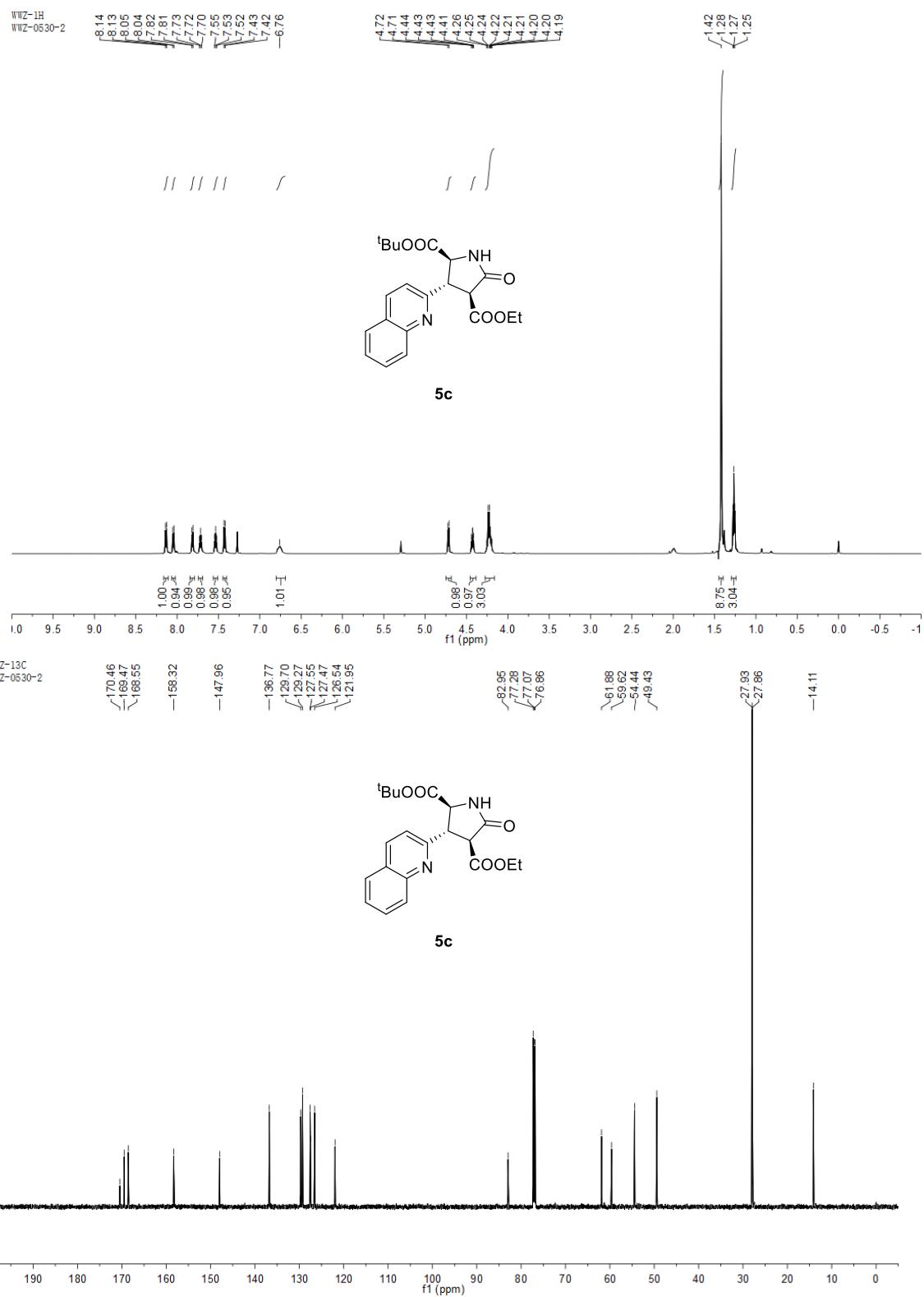


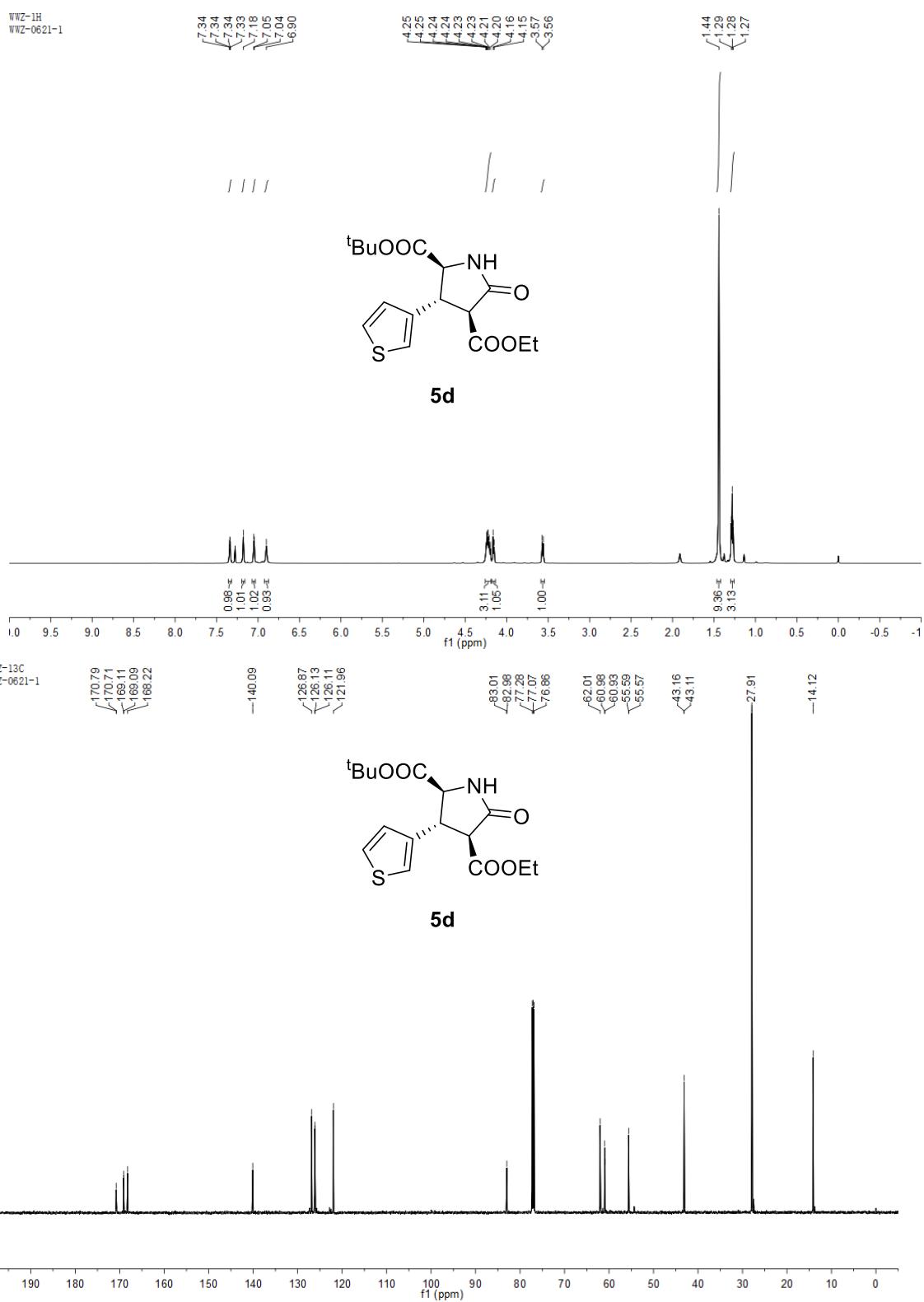


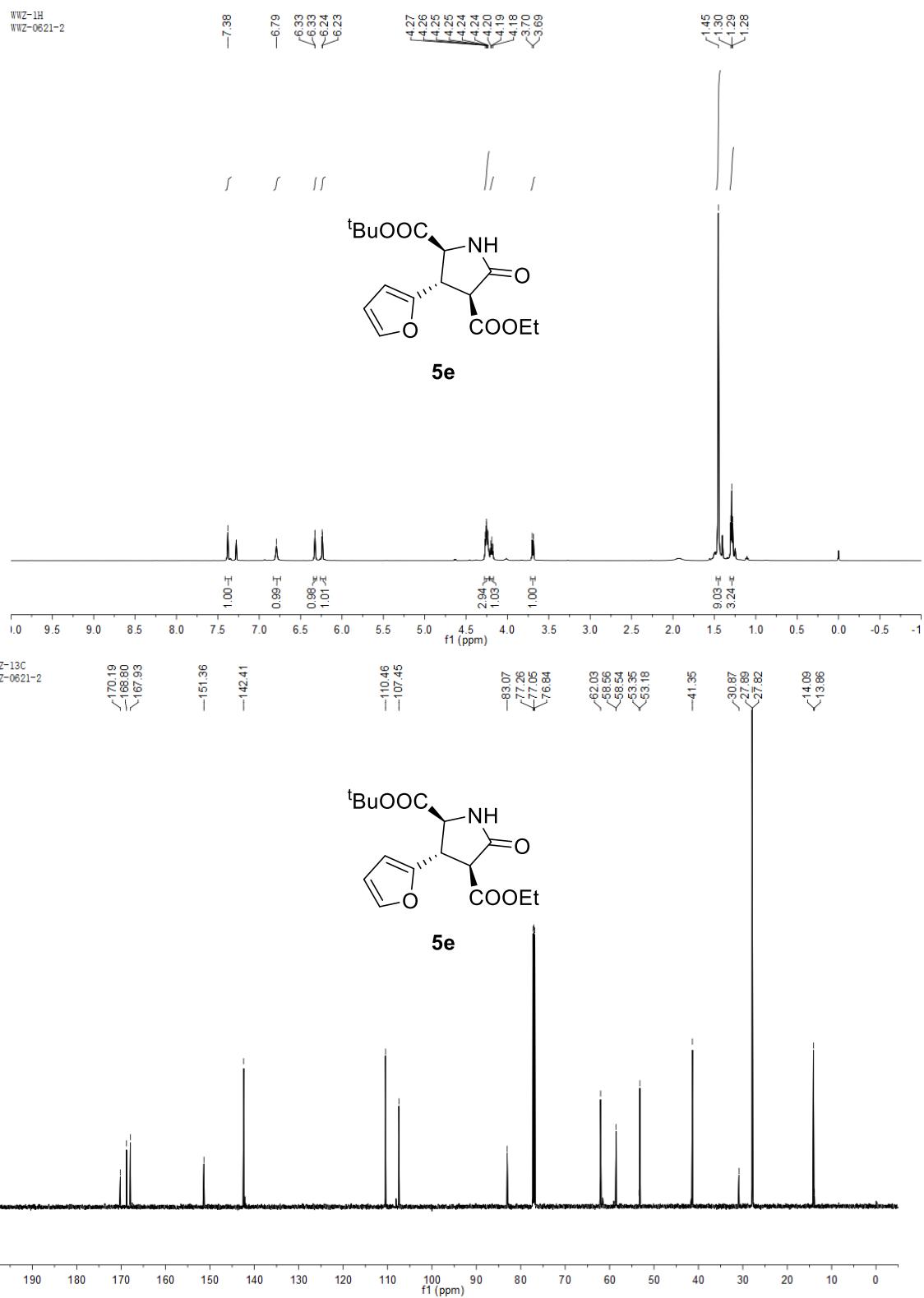


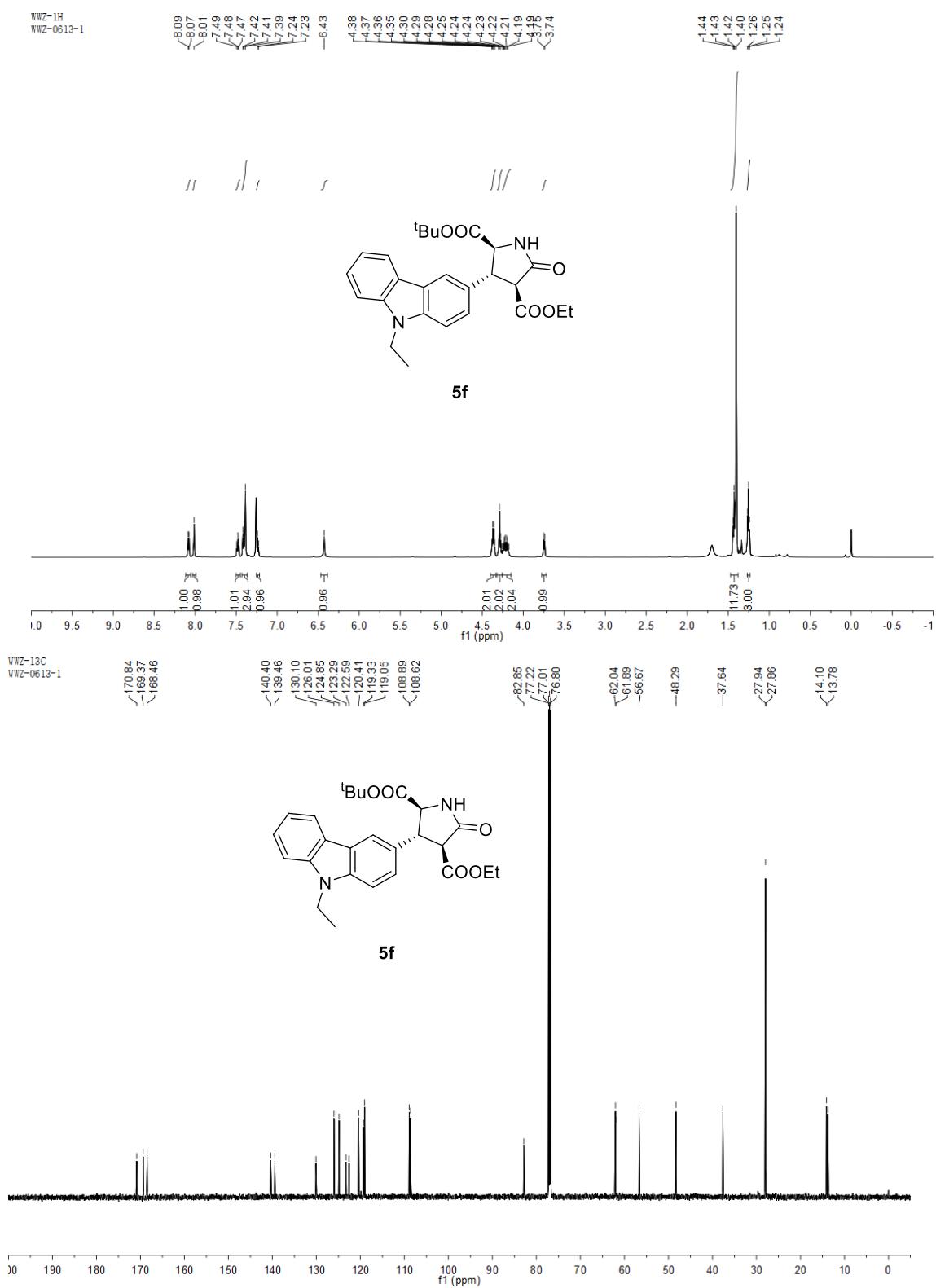


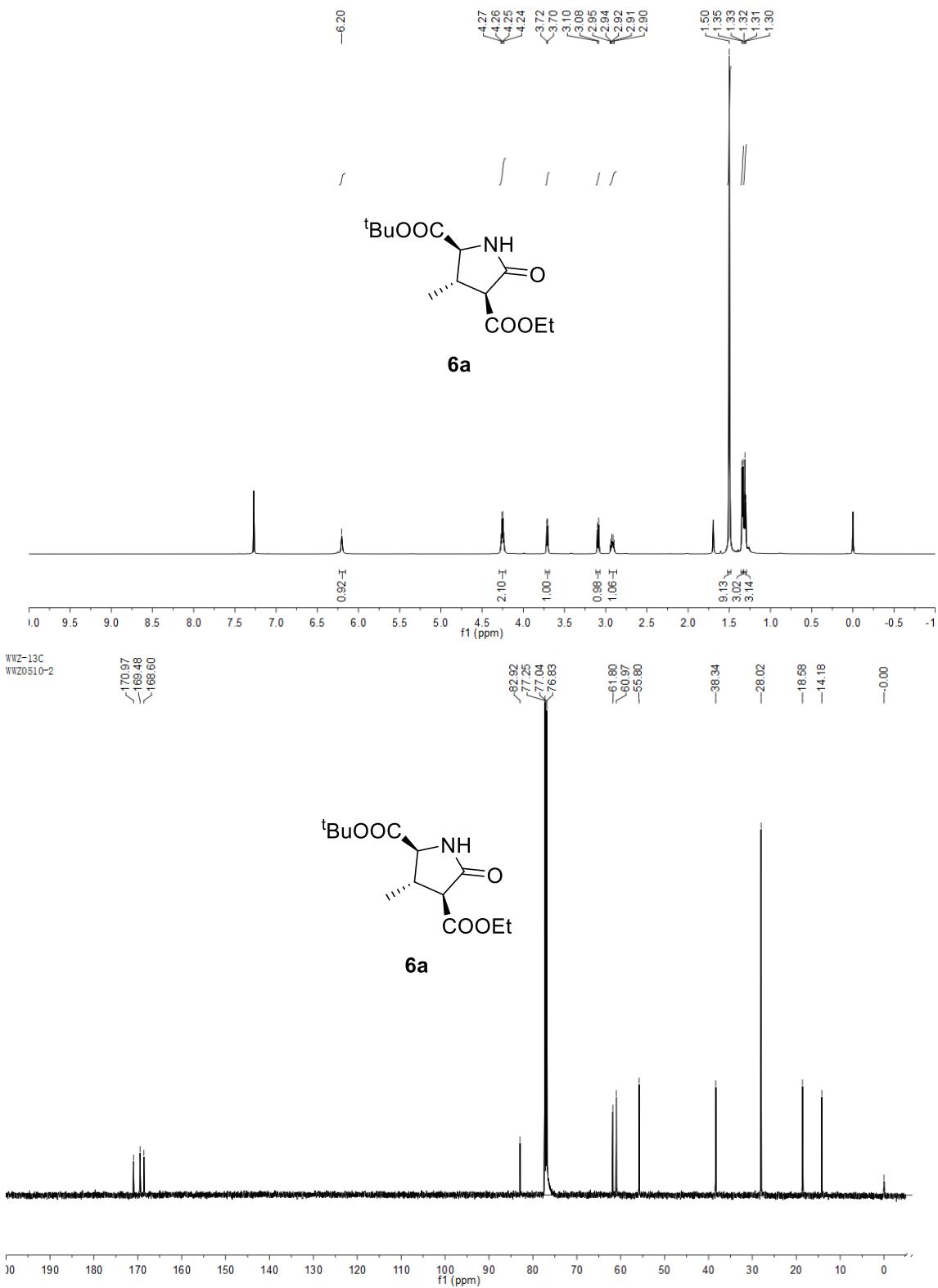


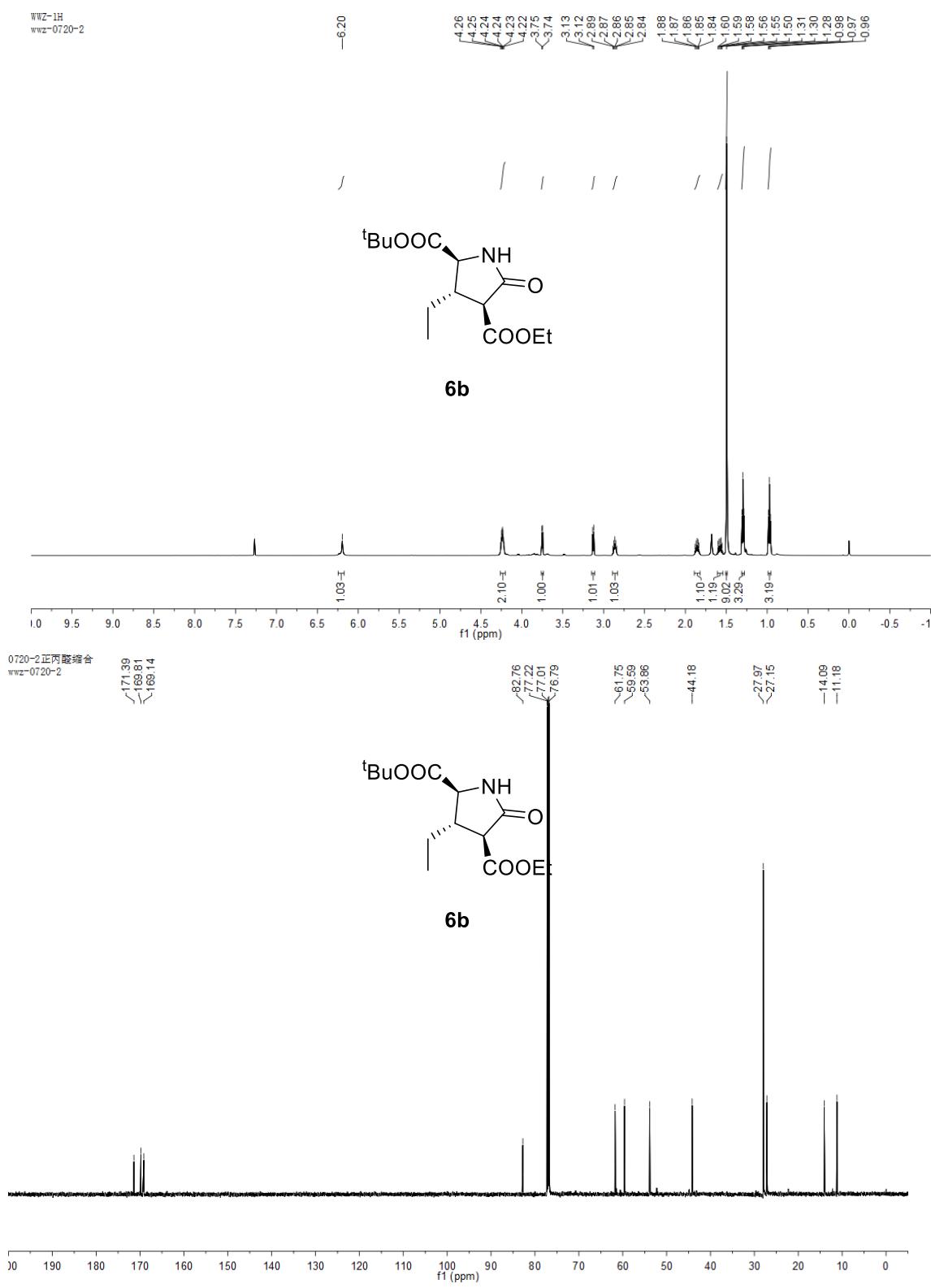




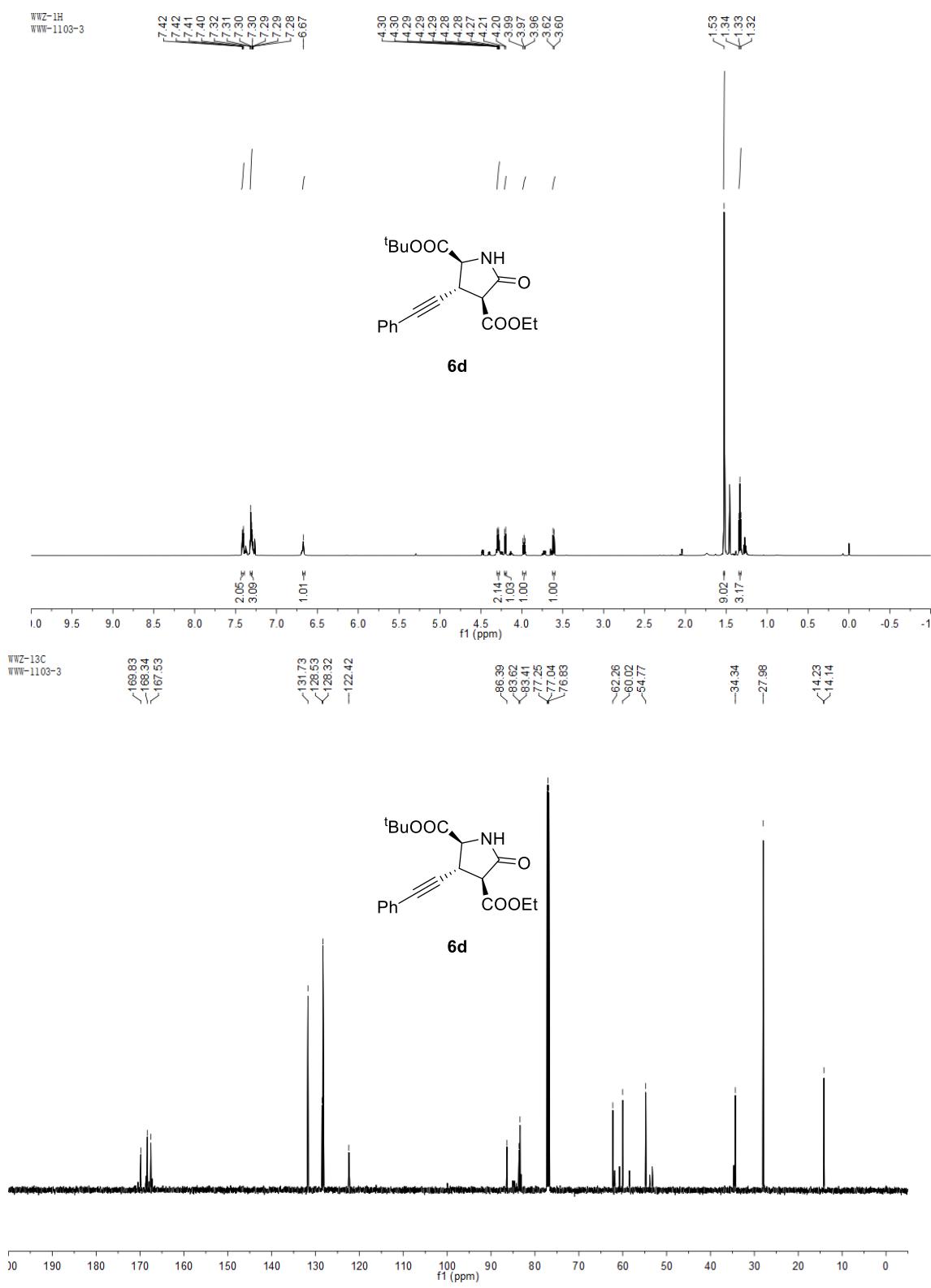




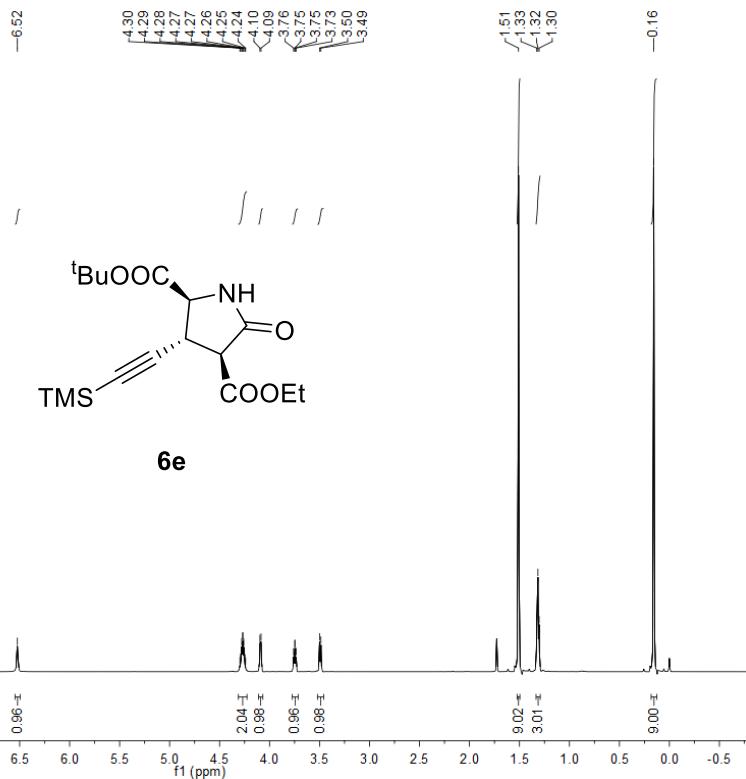








WWZ-1H  
WWZ-1101-2-3



WWZ-13C  
WWZ-1101-2-3

