Catalytic Synthesis of Seven-Membered Carbocycles via Ring

Expansion of Cyclic β-Ketoesters

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I. General Information.

Commercially available chemicals were directly used without further purification, unless otherwise mentioned, all experiments and manipulations involving air- or moisture-sensitive compounds were performed using standard Schlenk technique. All solvents were purified and dried using typical procedures. Proton nuclear magnetic resonance (¹H NMR) spectra were recorded on a Bruker AVANCE III HD400 (400 MHz) and ECZ600S (600 MHz) spectrometer. Chemical shifts were recorded in parts per million (ppm, δ) relative to tetramethylsilane ($\delta = 0.00$ ppm). ¹H NMR splitting patterns are designated as singlet (s), doublet (d), triplet (t), quartet (q), dd (doublet of doublets); m (multiplet), and etc. All first-order splitting patterns were assigned on the basis of the appearance of the multiplet. Splitting patterns that could not be easily interpreted are designated as multiplet (m) or broad (br). Carbon nuclear magnetic resonance (¹³C NMR) spectra were recorded on a Bruker AVANCE III HD400 (101

MHz) and ECZ600S (151 MHz) spectrometers. High resolution mass spectral analysis (HRMS) was performed on Thermo Fisher Scientific Q Exactive Plus Hybrid Quadrupole-Orbitrap Mass Spectrometer. X-ray crystallography analysis was performed on Agilent Super Nova X-ray diffractionmeter. Analytical thin-layer chromatography (TLC) was carried out on WFH-203 F254 pre-coated silica gel plate (0.2 mm thickness). Visualization was performed using a UV lamp or 2,4-Dinitrophenylhydrazine or potassium permanganate stain or phosphomolybdic acid. All substrates of alkynyl diketones were prepared according to the literature reports.^[1-4]

II. X-ray crystallographic analysis

Method for single crystals cultivation: a pure solid sample (10–20 mg) was dissolved in dichloromethane/ethyl acetate/THF (1 mL) in a vial at room temperature, and petroleum ether/hexane (2 mL) was added into the above solution slowly while keeping the sample completely dissolved. The vial was properly sealed with parafilm and kept at room temperature to allow the slow evaporation of the solvents until a single crystal was obtained.





Table S1 Crystal data and structure refinement for 5a.

Identification code	5a
Empirical formula	$C_{22}H_{20}O_4$
Formula weight	348.38
Temperature/K	100(2)
Crystal system	monoclinic
Space group	$P2_1/n$
a/Å	6.593
b/Å	15.25490(10)
c/Å	17.53070(10)
α/\circ	90
β/°	93.1820(10)
γ/°	90
Volume/Å ³	1760.335(15)
Z	4
$\rho_{calc}g/cm^3$	1.315
μ/mm^{-1}	0.464
F(000)	736.0
Crystal size/mm ³	$0.1 \times 0.1 \times 0.1$
Radiation	GaKa ($\lambda = 1.3405$)
2Θ range for data collection/	° 6.682 to 120.956
Index ranges	$\textbf{-8} \leq h \leq \textbf{8}, \textbf{-19} \leq k \leq \textbf{19}, \textbf{-22} \leq \textbf{l} \leq \textbf{22}$

Reflections collected	69133
Independent reflections	4034 [$R_{int} = 0.0873$, $R_{sigma} = 0.0250$]
Data/restraints/parameters	4034/0/237
Goodness-of-fit on F ²	1.037
Final R indexes [I>= 2σ (I)]	$R_1 = 0.0378, wR_2 = 0.0962$
Final R indexes [all data]	$R_1 = 0.0445, wR_2 = 0.0989$
Largest diff. peak/hole / e Å-3	0.32/-0.22

Table S2 Crystal data and structure refinement for 7	b.
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Identification code	7b
Empirical formula	$C_{21}H_{24}O_7$
Formula weight	388.40
Temperature/K	100(2)
Crystal system	monoclinic
Space group	$P2_1/n$
a/Å	17.1896(3)
b/Å	5.80260(10)
c/Å	20.7903(4)
α/\circ	90
β/°	110.598(2)
γ/°	90
Volume/Å ³	1941.15(7)
Z	4
$\rho_{calc}g/cm^3$	1.329
μ/mm^{-1}	0.529
F(000)	824.0
Crystal size/mm ³	$0.1 \times 0.1 \times 0.1$
Radiation	$GaK\alpha (\lambda = 1.3405)$
2Θ range for data collection/	°5.012 to 121.02
Index ranges	$-22 \le h \le 22, -5 \le k \le 7, -25 \le l \le 26$
Reflections collected	38963
Independent reflections	4411 [$R_{int} = 0.0901$, $R_{sigma} = 0.0444$]
Data/restraints/parameters	4411/0/258
Goodness-of-fit on F ²	1.102
Final R indexes [I>= 2σ (I)]	$R_1 = 0.0397, wR_2 = 0.1072$
Final R indexes [all data]	$R_1 = 0.0464, wR_2 = 0.1116$
Largest diff. peak/hole / e Å ⁻	³ 0.31/-0.24

Table S3 Crystal data and structure refinement for cl-1_auto.

Identification code cl-1_auto

Empirical formula	$C_{23}H_{20}O_5$		
Formula weight	376.39		
Temperature/K	100(2)		
Crystal system	triclinic		
Space group	P-1		
a/Å	8.6573(7)		
b/Å	10.0811(7)		
c/Å	12.3197(11)		
α/\circ	84.259(7)		
β/°	69.811(8)		
$\gamma/^{\circ}$	69.254(7)		
Volume/Å ³	943.40(15)		
Z	2		
$\rho_{calc}g/cm^3$	1.325		
μ/mm^{-1}	0.486		
F(000)	396.0		
Crystal size/mm ³	0.1 imes 0.1 imes 0.1		
Radiation	$GaK\alpha \ (\lambda = 1.3405)$		
2Θ range for data collection/ ^c	8.156 to 120.668		
Index ranges	$-11 \le h \le 11, -13 \le k \le 13, -11 \le l \le 15$		
Reflections collected	12253		
Independent reflections	4188 [$R_{int} = 0.0220, R_{sigma} = 0.0213$]		
Data/restraints/parameters	4188/0/256		
Goodness-of-fit on F ²	1.052		
Final R indexes [I>= 2σ (I)]	$R_1 = 0.0339, wR_2 = 0.0849$		
Final R indexes [all data]	$R_1 = 0.0355, wR_2 = 0.0861$		
Largest diff. peak/hole / e Å ⁻³	0.36/-0.23		

Table S4 Crystal data and structure refinement for 8c.

Identification code	8c
Empirical formula	$C_{21}H_{18}NO_3$
Formula weight	318.35
Temperature/K	100(2)
Crystal system	monoclinic
Space group	P2 ₁
a/Å	9.44830(10)
b/Å	9.97000(10)
c/Å	9.7662(2)
$\alpha/^{\circ}$	90
β/°	118.501(2)

γ/°	90
Volume/Å ³	808.48(2)
Z	2
$\rho_{calc}g/cm^3$	1.308
μ/mm^{-1}	0.443
F(000)	336.0
Crystal size/mm ³	0.1 imes 0.1 imes 0.1
Radiation	GaKa ($\lambda = 1.3405$)
2Θ range for data collection/c	8.958 to 120.866
Index ranges	$\textbf{-12} \leq h \leq 12, \textbf{-12} \leq k \leq 12, \textbf{-12} \leq l \leq 12$
Reflections collected	33268
Independent reflections	3678 [$R_{int} = 0.0995$, $R_{sigma} = 0.0364$]
Data/restraints/parameters	3678/1/217
Goodness-of-fit on F ²	1.042
Final R indexes [I>= 2σ (I)]	$R_1 = 0.0335, wR_2 = 0.0804$
Final R indexes [all data]	$R_1 = 0.0352, wR_2 = 0.0813$
Largest diff. peak/hole / e $Å^{-3}$	0.18/-0.18
Flack parameter	-0.10(15)

III. Procedures for the preparation of substrates.

Substrates **1a–1h** are known compounds and were prepared according to the literature reports.^[1-5]

Substrates 4a-4i are known compounds and were prepared according to the literature reports.^[6-7]

Substrates **6a**, **6b** are known compounds and were prepared according to the literature reports.^[8-9]

IV. Typical procedures for the ring expansion reactions.



1a (47 mg, 0.2 mmol), **2a** (48 uL, 0.4 mmol), and Cs_2CO_3 (13.1 mg, 0.04 mmol) was added to ^{*i*}PrOH (1.0 mL) at room temperature. The reaction system was stirred for 4 h. the solvent was removed under reduced pressure and the residue was purified by flash chromatography (petroleum ether/ethyl acetate, v:v = 3:1) to give the product **3a** (63 mg, 84% yield). Compounds **3b–3h** were synthesized using the same method.



4a (206 mg, 1 mmol), **2a** (0.19 mL, 1.5 mmol), and Cs_2CO_3 (65 mg, 0.2 mmol) was added to ^{*i*}PrOH (5.0 mL) at room temperature. The reaction system was stirred for 4 h. the solvent was removed under reduced pressure and the residue was purified by flash chromatography (petroleum ether/ethyl acetate, v:v = 5:1) to give the product **5a** (303 mg, 87% yield). Compounds **5b**–**5j** were synthesized using the same method.

$$EtO_{2}C \xrightarrow{Ph} O \xrightarrow{CO_{2}Me} CO_{2}Me \xrightarrow{Cs_{2}CO_{3} (20 \text{ mol}\%)} PrO_{2}C \xrightarrow{Ph} CO_{2}Me$$

$$6a 2a 7a$$

6a (40 mg, 0.2 mmol), **2a** (48 uL, 0.4 mmol), and Cs_2CO_3 (13 mg, 0.04 mmol) was added to ^{*i*}PrOH (1.0 mL) at room temperature. The reaction system was stirred for 4 h. the solvent was removed under reduced pressure and the residue was purified by flash chromatography (petroleum ether/ethyl acetate, v:v = 5:1) to give the product **7a** (58 mg, 81% yield). Compounds **7b** was synthesized using the same method.

V. Procedures for the product derivatizations.



3a (38 mg, 0.1 mmol), allyl bromide (17 uL, 0.2 mmol), and K_2CO_3 (28 mg, 0.2 mmol) was added to acetone (0.5 mL) at 50 °C. The reaction system was stirred for 24 h. The mixture was quenched by aqueous NH₄Cl and extracted with ethyl acetate (3×5 mL). The organic solvent was removed under reduced pressure and the residue was purified by flash chromatography (petroleum ether/ethyl acetate, v:v = 3:1) to afford yellow oil **8a** (23 mg, 55% yield)



5a (105 mg, 0.3 mmol), allyl bromide (39 uL, 0.45 mmol), and K₂CO₃ (83 mg, 0.6 mmol) was add to acetone (1.5 mL) at 50 °C. The reaction system was stirred for 24 h. The mixture was quenched by aqueous NH₄Cl and extracted with ethyl acetate (3×5 mL). The organic solvent was removed under reduced pressure and the residue was purified by flash chromatography (petroleum ether/ethyl acetate, v:v = 5:1) to afford yellow solid **8b** (87 mg, 75% yield)



To a 10 mL flame-dry Schlenk tube equipped with a magnetic stir bar was added **5a** (70 mg, 0.2 mmol) and the catalyst **A** (6 mg, 0.01 mol), then formic acid/Et₃N azeotrope (127 mg, 5:4, 5.0 equiv) dissolved in DMF (1 mL) was added and the mixture was stirred at 50 °C under argon atmosphere. After completion of the reaction as indicated by TLC, it was quenched with water, extracted with ethyl acetate (3 × 10 mL), washed with brine, dried over anhydrous Na₂SO₄, filtered and concentrated. The residue was purified by chromatography (petroleum ether/ethyl acetate, v:v = 5:1) to give white solid **8c** (27 mg, 42% yield, 98% *ee*).

VI. Characterizations of new compounds



methyl

4-hydroxy-3-(2-oxo-2-phenylacetyl)-2-phenylcyclohepta-1,3-diene-1-carboxylate (**3a**): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 3:1), white solid, mp 148–150 °C, 63 mg, 84% yield. ¹H NMR (400 MHz, acetone-d₆) δ 7.64–7.62 (m, 2H), 7.47–7.43 (m, 3H), 7.38 (s, 1H), 7.25–7.24 (m, 3H), 7.13–7.10 (m, 2H), 3.43 (s, 3H), 3.13–3.05 (m, 1H), 3.02–2.94 (m, 1H), 2.65–2.62 (m, 2H), 2.41–2.34 (m, 2H); ¹³C NMR (101 MHz, acetone-d₆) δ 195.9, 193.3, 170.3, 138.8, 138.2, 136.8, 133.0, 129.4, 128.7, 128.5, 127.7, 127.7, 126.0, 111.9, 104.3, 51.1, 30.3, 30.0, 29.9. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₃H₂₀O₅Na⁺ 399.1203; Found 399.1208. IR (KBr thin film, cm⁻¹): v 3261, 2360, 2342, 1670, 1232, 764, 669. 655, 501.





rboxylate (3b): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 3:1), white solid, mp 165–167 °C, 60 mg, 73% yield. ¹H NMR (400 MHz, DMSO-d₆) δ 8.57 (s, 1H), 7.48–7.43 (m, 5H), 7.34–7.32 (m, 2H), 7.07–7.05 (m, 2H), 3.44 (s, 3H), 3.11–3.03 (m, 1H), 2.97–2.89 (m, 1H), 2.59–2.57 (m, 2H), 2.31–2.24 (m, 2H); ¹³C NMR (151 MHz, DMSO-d₆) δ 197.2, 194.7, 170.3, 138.0, 137.4, 137.0, 133.4, 133.0, 130.7, 130.1, 129.4, 128.5, 126.5, 111.7, 105.3, 52.5, 30.6, 30.4, 30.2. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₃H₁₉ClO₅Na⁺ 433.0813; Found 433.0813. IR (KBr thin film, cm⁻¹): v 3734, 2360, 2342, 1717, 1264, 731, 702, 669.



methyl

4-hydroxy-2-(4-methoxyphenyl)-3-(2-oxo-2-phenylacetyl)cyclohepta-1,3-diene-1-carboxylate (3c): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 3:1), white solid, mp 185–187 °C, 75 mg, 92% yield. ¹H NMR (400 MHz, DMSO-d₆) δ 8.57 (s, 1H), 7.51–7.48 (m, 2H), 7.47–7.44 (m, 3H), 7.00–6.96 (m, 2H), 6.84–6.81 (m, 2H), 3.76 (s, 3H), 3.46 (s, 3H), 3.06–2.98 (m, 1H), 2.91–2.83 (m, 1H), 2.55–2.52 (m, 2H), 2.34–2.27 (m, 2H); ¹³C NMR (101 MHz, DMSO-d₆) δ 197.0, 194.8, 171.1, 159.7, 138.3, 137.1, 131.8, 131.0, 130.2, 130.0, 129.4, 126.4, 114.0, 112.1, 105.5, 55.9, 52.4, 31.5, 30.4, 30.0. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₄H₂₂O₆Na⁺ 429.1309; Found 429.1307. IR (KBr thin film, cm⁻¹): v 3358, 2949, 2360, 2342, 1700, 1575, 1510, 1244, 1176, 1125, 1032, 832, 764, 669.



3d

methyl

4-hydroxy-2-(2-methoxyphenyl)-3-(2-oxo-2-phenylacetyl)cyclohepta-1,3-diene-1-carboxylate (3d): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 3:1), white solid, mp 115–117 °C, 58 mg, 71% yield. ¹H NMR (400 MHz, DMSO-d₆) δ 8.38 (s, 1H), 7.36 (m, 5H), 7.14–7.10 (m, 1H), 6.83–6.71 (m, 3H), 3.43 (s, 3H), 3.29 (s, 3H), 3.01–2.92 (m, 1H), 2.84–2.76 (m, 1H), 2.58–

2.48 (m, 2H), 2.21–2.16 (m, 2H); ¹³C NMR (101 MHz, DMSO-d₆) δ 196.5, 192.5, 169.5, 156.7, 136.9, 135.2, 132.6, 129.8, 129.5, 129.1, 128.8, 127.6, 126.0, 120.0, 112.2, 110.7, 55.4, 51.6, 30.1, 29.4, 29.3. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₄H₂₂O₆Na⁺ 429.1309; Found 429.1311. IR (KBr thin film, cm⁻¹): v 3373, 2949, 1705, 1560, 1434, 1244, 1124, 1023, 732, 700.



methyl

2-(benzo[d][1,3]dioxol-5-yl)-4-hydroxy-3-(2-oxo-2-phenylacetyl)cyclohepta-1,3-di ene-1-carboxylate (3e): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 3:1), white solid, mp 208–210 °C, 67 mg, 80% yield. ¹H NMR (400 MHz, DMSO-d₆) δ 8.52 (s, 1H), 7.44–7.39 (m, 5H), 6.79–6.76 (m, 1H), 6.55–6.48 (m, 2H), 5.98 (s, 2H), 3.42 (s, 3H), 3.01–2.93 (m, 1H), 2.87–2.79 (m, 1H), 2.49–2.40 (m, 2H), 2.26–2.22 (m, 2H); ¹³C NMR (101 MHz, DMSO-d₆) δ 196.5, 194.3, 170.5, 147.3, 147.2, 136.6, 132.5, 131.9, 129.6, 128.9, 126.0, 122.1, 111.5, 108.9, 108.1, 101.5, 52.0, 30.6, 29.9, 29.8. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₄H₂₀O₇Na⁺ 443.1101; Found 443.1104. IR (KBr thin film, cm⁻¹): v 3735, 2360, 2342, 1700, 1235, 1036, 764, 669.



methyl

2-butyl-4-hydroxy-3-(2-oxo-2-phenylacetyl)cyclohepta-1,3-diene-1-carboxylate

(**3f**): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 3:1), yellow oil, 43 mg, 60% yield. ¹H NMR (400 MHz, DMSO-d₆) δ 8.49 (s, 1H), 7.40–7.35 (m, 5H), 3.65 (s, 3H), 2.88–2.79 (m, 1H), 2.76–2.70 (m, 1H), 2.62 (t, *J* = 6.4 Hz, 2H), 2.33–2.30 (m, 2H), 2.14–2.06 (m, 2H), 1.18–1.12 (m, 4H), 0.75–0.70 (m, 3H); ¹³C NMR (101 MHz, DMSO-d₆) δ 197.9, 193.3, 169.2, 142.0, 136.8, 130.0, 129.6, 128.9, 125.9, 111.7, 52.0, 31.6, 30.1, 29.7, 29.5, 28.9, 22.4, 14.3. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₁H₂₄O₅Na⁺ 379.1516;

Found 379.1520. IR (KBr thin film, cm⁻¹): v 3055, 1728, 1435, 1264, 1025, 896, 131, 702.



methyl

4-hydroxy-3-(2-oxo-2-phenylacetyl)-2-phenylcyclohepta-1,3-diene-1-carboxylate (**3g**): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 3:1), white solid, mp 82–84 °C, 62 mg, 64% yield. ¹H NMR (400 MHz, acetone-d₆) δ 7.61–7.58 (m, 2H), 7.55–7.52 (m, 2H), 7.44 (s, 1H), 6.99–6.97 (m, 2H), 6.77–6.75 (m, 2H), 3.75 (s, 3H), 3.43 (s, 3H), 3.06–2.98 (m, 1H), 2.95–2.87 (m, 1H), 2.57–2.54 (m, 2H), 2.38–2.31 (m, 2H); ¹³C NMR (101 MHz, acetone-d₆) δ 195.7, 193.8, 170.6, 159.8, 137.9, 136.4, 132.2, 131.9, 130.9, 129.9, 128.4, 123.3, 113.3, 112.2, 103.9, 55.0, 51.3, 31.0, 30.1, 29.8. HRMS (MALDI-Quadrupole-Orbitrap) m/z: $[M + Na]^+$ Calcd for C₂₄H₂₁BrO₆Na⁺ 507.0414; Found 507.0411. IR (KBr thin film, cm⁻¹): v 3734, 2360, 2342, 1700, 1508, 1264, 731, 702, 669.



methyl

4-hydroxy-2-(4-methoxyphenyl)-3-(2-(4-methoxyphenyl)-2-oxoacetyl)cyclohepta-1,3-diene-1-carboxylate (3h): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 3:1), white solid, mp 198–200 \mathbb{C} , 57 mg, 66% yield. ¹H NMR (400 MHz, DMSO-d₆) δ 8.41 (s, 1H) 7.37–7.35 (m, 2H), 6.96–6.92 (m, 4H), 6.80-6.77 (m, 2H), 3.76 (s, 3H), 3.72 (s, 3H), 3.41 (s, 3H), 2.97-2.90 (m, 1H), 2.84–2.76 (m, 1H), 2.48–2.46 (m, 2H), 2.32–2.21 (m, 2H); ¹³C NMR (101 MHz, DMSO-d₆) δ 196.6, 194.1, 170.7, 160.3, 159.3, 131.1, 130.6, 129.8, 128.7, 127.5, 31.1, 114.3. 113.5. 111.6. 55.7. 55.5, 51.9, 30.0. 29.6. HRMS (MALDI-Quadrupole-Orbitrap) m/z: $[M + Na]^+$ Calcd for C₂₅H₂₄O₇Na⁺ 459.1414; Found 459.1422. IR (KBr thin film, cm⁻¹): v 3735, 2360, 2342, 1700, 1509, 1247, 1176, 749, 734, 700, 669.



methyl

9-hydroxy-8-(2-oxo-2-phenylacetyl)-7-phenyl-5*H***-benzo[7]annulene-6-carboxylat e (3**): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 3:1), white solid, mp 210–211 °C, 43 mg, 51% yield. ¹H NMR (400 MHz, acetone-d₆) δ 8.12–8.10 (m, 2H), 7.79–7.75 (m, 1H), 7.68–7.65 (m, 2H), 7.61–7.57 (m, 2H), 7.53–7.49 (m, 2H), 7.46–7.41 (m, 3H), 7.19–7.17 (m, 3H), 7.05–7.03 (m, 2H), 3.70 (brs, 2H), 3.37 (s, 3H); ¹³C NMR (101 MHz, acetone-d₆) δ 196.0, 181.6, 167.6, 140.2, 137.9, 137.8, 136.5, 134.5, 129.2, 128.6, 128.4, 128.1, 127.5, 127.3, 127.2, 126.1, 125.8, 123.4, 113.0, 50.8, 35.7. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₇H₂₀O₅Na⁺ 447.1203; Found 447.1204. IR (KBr thin film, cm⁻¹): v 3304, 2946, 1717, 1683, 1541, 1399, 1247, 1124, 763, 695.



methyl 3-benzoyl-4-hydroxy-2-phenylcyclohepta-1,3-diene-1-carboxylate (5a): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 5:1), white solid, mp 139–141 °C, 303 mg, 87% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.22–7.18 (m, 3H), 7.12–7.08 (m, 2H), 6.95–6.90 (m, 3H), 6.81–6.78 (m, 2H), 3.45 (s, 3H), 2.90–2.63 (m, 3H), 2.58–2.45 (m, 1H), 2.38–2.24 (m, 2H); ¹³C NMR (101 MHz, CDCl₃) δ 195.4, 191.0, 170.9, 145.3, 140.2, 137.1, 131.0, 130.6, 128.7, 127.8, 127.8, 127.3, 127.2, 113.0, 51.7, 35.2, 31.0, 29.4. HRMS (MALDI-Quadrupole-Orbitrap) m/z: $[M + Na]^+$ Calcd for C₂₂H₂₀O₄Na⁺ 371.1254; Found 371.1261. IR (KBr thin film, cm⁻¹): v 3735, 2360, 2342, 1705, 1265, 731, 696, 669.



methyl

3-(4-bromobenzoyl)-4-hydroxy-2-phenylcyclohepta-1,3-diene-1-carboxylate (5b): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 5:1), white solid, mp 131–133 °C, 397 mg, 93% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.25–7.23 (m, 2H), 7.09–7.07 (m, 2H), 7.00–6.95 (m, 3H), 6.80–6.78 (m, 2H), 3.46 (s, 3H), 2.86–2.62 (m, 3H), 2.57–2.46 (m, 1H), 2.34–2.30 (m, 2H); ¹³C NMR (101 MHz, CDCl₃) δ 195.5, 189.9, 170.8, 144.7, 140.1, 136.0, 131.1, 131.0, 129.4, 128.7, 127.7, 127.5, 125.6, 113.0, 51.8, 35.2, 31.1, 29.4. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₂H₁₉O₄Na⁺ 449.0359; Found 449.0364. IR (KBr thin film, cm⁻¹): v 3735, 2360, 2342, 1716, 1264, 1011, 731, 702, 669.



methyl

4-hydroxy-3-(4-methoxybenzoyl)-2-phenylcyclohepta-1,3-diene-1-carboxylate

(5c): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 5:1), white solid, mp 120–122 °C, 269 mg, 71% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.31–7.29 (m, 2H), 6.98–6.96 (m, 3H), 6.88–6.86 (m, 2H), 6.64–6.61 (m, 2H), 3.73 (s, 3H), 3.47 (s, 3H), 2.85–2.64 (m, 3H), 2.60–2.44 (m, 1H), 2.37–2.21 (m, 2H); ¹³C NMR (101 MHz, CDCl₃) δ 194.8, 189.8, 171.1, 162.1, 145.5, 140.2, 130.5, 130.3, 129.6, 128.7, 127.5, 127.3, 113.2, 112.2, 55.4, 51.8, 35.2, 31.1, 29.5. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₃H₂₂O₅Na⁺ 401.1359; Found 401.1363. IR (KBr thin film, cm⁻¹): v 3735, 2948, 2360, 2342, 1705, 1603, 1251, 1169, 1029, 839, 765, 613.



methyl

3-(4-bromobenzoyl)-4-hydroxy-2-(4-methoxyphenyl)cyclohepta-1,3-diene-1-carb oxylate (5d): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 5:1), white solid, mp 141–143 °C, 430 mg, 94% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.26–7.24 (m, 2H), 7.11–7.09 (m, 2H), 6.75–6.72 (m, 2H), 6.52–6.49 (m, 2H), 3.68 (s, 3H), 3.50 (s, 3H), 2.85–2.57 (m, 3H), 2.52–2.45 (m, 1H), 2.36–2.23 (m, 2H); ¹³C NMR (101 MHz, CDCl₃) δ 195.3, 190.0, 170.9, 159.0, 144.6, 136.1, 132.6, 131.1, 130.1, 129.6, 129.3, 125.6, 113.1, 112.9, 55.3, 51.8, 35.1, 31.2, 29.4. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + H]⁺ Calcd for C₂₃H₂₁BrO₅Na⁺ 479.0465; Found 479.0470. IR (KBr thin film, cm⁻¹): v 3735, 2949, 2360, 2342, 1701, 1588, 1508, 1247, 1173, 1011, 831, 734, 678.



methyl 3-benzoyl-2-cyclopropyl-4-hydroxycyclohepta-1,3-diene-1-carboxylate (**5e**): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 5:1), colorless oil, 181 mg, 58% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.69–7.65 (m, 2H), 7.48–7.36 (m, 3H), 3.80 (s, 3H), 2.74 (m, 1H), 2.45–2.42 (m, 2H), 2.27–2.20 (m, 3H), 2.09–2.00 (m, 1H), 0.82–0.78 (m, 1H), 0.15–0.11 (m, 3H); ¹³C NMR (101 MHz, CDCl₃) δ 196.4, 186.7, 168.8, 151.7, 137.4, 131.7, 129.6, 128.6, 128.3, 109.7, 51.5, 35.2, 30.6, 28.1, 16.6. HRMS (MALDI-Quadrupole-Orbitrap) m/z: $[M + H]^+$ Calcd for C₁₉H₂₀O₄Na⁺ 335.1254; Found 335.1258. IR (KBr thin film, cm⁻¹): v 3628, 2947, 2360, 2342, 1706, 1559, 1431, 1233, 1156, 1106, 1020, 762, 695, 677.



methyl

3-(3,7-dimethyloct-6-enoyl)-4-hydroxy-2-phenylcyclohepta-1,3-diene-1-carboxyla te (5f): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 5:1), colorless oil, 305 mg, 77% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.33–7.27 (m, 3H), 7.20–7.17 (m, 2H), 5.01–4.96 (m, 1H), 3.50 (s, 3H), 2.77–2.63 (m, 2H), 2.44–2.34 (m, 2H), 2.27–2.15 (m, 2H), 1.87–1.70 (m, 4H), 1.67–1.65 (m, 4H), 1.56–1.52 (m, 3H), 1.06–0.84 (m, 2H), 0.74–0.59 (m, 3H); ¹³C NMR (101 MHz, CDCl₃) δ 197.5, 197.3, 195.1, 195.0, 171.1, 171.0, 143.2, 143.1, 140.9, 140.7, 132.0, 131.9, 131.6, 131.5, 128.4, 128.3, 128.3, 124.4, 124.3, 113.4, 113.1, 51.8, 44.7, 37.0, 36.8, 35.1, 35.0, 31.0, 30.3, 30.1, 29.1, 25.8, 25.3, 25.2, 19.4, 19.1, 17.7. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₅H₃₂O₄Na⁺ 419.2193; Found 419.2193. IR (KBr thin film, cm⁻¹): v 3735, 2927, 2360, 2342, 1714, 1559, 1431, 1168, 1099, 764, 701, 680.



methyl

(R)-4-hydroxy-2-phenyl-3-(4-(prop-1-en-2-yl)cyclohex-1-ene-1-carbonyl)cyclohep ta-1,3-diene-1-carboxylate (5g): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 5:1), white solid, mp 100–102 °C, 287 mg, 73%

yield. ¹H NMR (400 MHz, CDCl₃) δ 7.25–7.20 (m, 3H), 7.03–7.01 (m, 2H), 5.88–5.87 (m, 1H), 4.65 (s, 1H), 4.55 (s, 1H), 3.48 (s, 3H), 2.79–2.45 (m, 4H), 2.28–2.21 (m, 3H), 2.03–1.96 (m, 1H), 1.79–1.72 (m, 1H), 1.60 (s, 5H), 1.45–1.41 (m, 1H); ¹³C NMR (101 MHz, CDCl₃) δ 196.6, 171.2, 149.2, 149.1, 146.0, 141.7, 135.2, 129.7, 128.7, 127.8, 127.6, 109.1, 51.8, 35.7, 31.1, 30.8, 29.5, 26.4. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₅H₂₈O₄Na⁺ 415.1880; Found 415.1884. IR (KBr thin film, cm⁻¹): v 3735, 2360, 2342, 1559, 1264, 896, 731, 703, 669.

methyl 3-acetyl-4-hydroxy-2-phenylcyclohepta-1,3-diene-1-carboxylate (5h): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 5:1), white solid, mp 93–95 °C, 246 mg, 86% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.33–7.29 (m, 3H), 7.19–7.17 (m, 2H), 3.49 (s, 3H), 2.69–2.63 (m, 2H), 2.45–2.38 (m, 2H), 2.22–2.10 (m, 2H), 1.53 (s, 3H); ¹³C NMR (101 MHz, CDCl₃) δ 195.2, 194.7, 171.1, 143.0, 140.8, 131.9, 128.4, 128.4, 128.2, 113.0, 51.8, 34.9, 31.2, 29.1, 25.5. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₁₇H₁₈O₄Na⁺ 309.1097; Found 309.1105. IR (KBr thin film, cm⁻¹): v 3735, 2360, 2341, 1715, 1266, 1171, 1101, 732, 702, 669.

methyl

3-(cyclohexanecarbonyl)-2-cyclopropyl-4-hydroxycyclohepta-1,3-diene-1-carbox ylate (5i): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 5:1), colorless oil, 175 mg, 55% yield. ¹H NMR (400 MHz, CDCl₃) δ 3.78 (s, 3H), 2.68–2.57 (m, 3H), 2.31–2.25 (m, 1H), 2.12–2.10 (m, 4H), 1.78–1.70 (m, 3H), 1.67–1.57 (m, 3H), 1.23–1.21 (m, 4H), 0.97–0.90 (m, 1H), 0.72–0.65 (m, 1H), 0.51–0.45 (m, 1H), 0.17–0.11 (m, 1H); ¹³C NMR (101 MHz, CDCl₃) δ 199.2, 192.9, 168.9, 150.3, 130.0, 108.5, 51.6, 45.5, 34.2, 30.8, 30.8, 27.7, 27.3, 26.4, 25.8, 25.4, 15.8, 8.2, 6.0. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₁₉H₂₆O₄Na⁺ 341.1723; Found 341.1728. IR (KBr thin film, cm⁻¹): v 3735, 2930, 2855, 2360, 2342, 1706, 1576, 1448, 1297, 1167, 1104, 961, 764, 735, 669.



ethyl (1E,3Z)-3-benzoyl-4-hydroxy-2-phenylcycloocta-1,3-diene-1-carboxylate (5j): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 5:1), white solid, mp 101–103 °C, 132 mg, 35% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.26–7.22 (m, 3H), 7.16–7.12 (m, 2H), 7.00–6.91 (m, 3H), 6.74–6.72 (m, 2H), 3.96–3.81 (m, 2H), 3.16–3.11 (m, 1H), 2.72–2.58 (m, 2H), 2.45–2.38 (m, 1H), 2.12–2.04 (m, 1H), 1.97–1.90 (m, 1H), 1.69–1.60 (m, 1H), 1.41–1.30 (m, 1H), 0.78 (t, *J* = 7.1 Hz, 3H); ¹³C NMR (101 MHz, CDCl₃) δ 194.4, 191.4, 171.2, 141.0, 140.8, 138.3, 133.8, 130.8, 128.3, 127.9, 127.4, 127.4, 127.2, 112.8, 60.8, 35.0, 32.1, 24.5, 24.4, 13.7. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₄H₂₄O₄Na⁺ 399.1567; Found 399.1574. IR (KBr thin film, cm⁻¹): v 3735, 2360, 2342, 1705, 1559, 1264, 1154, 1107, 732, 696, 669.



3-benzoyl-4-hydroxy-2-phenylcyclohepta-1,3-diene-1-carbonitrile (**5k**): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 5:1), white solid, mp 171–172 °C, 276 mg, 88% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.22–7.19 (m, 3H), 7.14–7.10 (m, 4H), 7.06–7.04 (m, 3H), 2.71–2.69 (m, 2H), 2.61–2.46 (m, 2H), 2.44–2.38 (m, 2H); ¹³C NMR (101 MHz, CDCl₃) δ 195.4, 191.0, 155.8, 138.0, 136.5, 131.4, 129.1, 128.9, 127.9, 127.9, 127.8, 120.3, 111.3, 108.4, 35.3, 31.0, 30.4. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₁H₁₇NO₂Na⁺ 338.1151; Found 338.1153. IR (KBr thin film, cm⁻¹): v 3051, 2969, 2197, 1562, 1441, 761, 691.



methyl

4-hydroxy-3-(2-isopropoxy-2-oxoacetyl)-2-phenylcyclohepta-1,3-diene-1-carboxyl ate (7a): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 5:1), brown oil, 87 mg, 81% yield. ¹H NMR (400 MHz, CDCl₃) δ 15.82 (s, 1H), 7.14–7.13 (m, 3H), 7.01–6.99 (m, 2H), 4.31–4.25 (m, 1H), 3.36 (s, 3H), 2.59–2.37 (m, 4H), 2.20–2.13 (m, 2H), 0.95–0.68 (m, 6H); ¹³C NMR (101 MHz, CDCl₃) δ 195.5, 183.7, 170.4, 161.9, 142.5, 139.5, 131.3, 129.8, 128.5, 127.9, 112.1, 70.5, 51.8, 34.5, 32.0, 29.0, 21.3. HRMS (MALDI-Quadrupole-Orbitrap) m/z: $[M + Na]^+$ Calcd for $C_{20}H_{22}O_6Na^+$ 381.1309; Found 381.1316 IR (KBr thin film, cm⁻¹): v 3735, 2984, 2360, 2342, 1732, 1559, 1243, 1200, 1100, 948, 765, 733, 701, 669.



methyl

4-hydroxy-3-(2-isopropoxy-2-oxoacetyl)-2-(4-methoxyphenyl)cyclohepta-1,3-dien e-1-carboxylate (7b): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 5:1), white solid, mp 95–97 °C, 56 mg, 72% yield. ¹H NMR (400 MHz, CDCl₃) δ 15.86 (s, 1H), 7.06–7.03 (m, 2H), 6.80–6.77 (m, 2H), 4.48–4.42 (m, 1H), 3.78 (s, 3H), 3.52 (s, 3H), 2.72–2.47 (m, 4H), 2.30–2.26 (m, 2H), 1.09–0.84 (m, 6H); ¹³C NMR (101 MHz, CDCl₃) δ 195.0, 184.3, 170.5, 162.0, 159.9, 142.6, 132.0, 131.3, 129.8, 113.3, 112.4, 70.5, 55.4, 51.8, 34.4, 32.3, 28.9, 21.3. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₁H₂₄O₇Na⁺ 411.1414; Found 411.1419. IR (KBr thin film, cm⁻¹): v 3734, 2983, 2360, 2342, 1732, 1508, 1248, 1175, 1100, 834, 733, 702.



methyl

2-(allyloxy)-3-oxo-2,4-diphenyl-3,6,7,8-tetrahydro-2H-cyclohepta[b]furan-5-carb oxylate (8a): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 3:1), yellow oil, 23 mg, 55% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.95–7.92 (m, 2H), 7.61–7.57 (m, 1H), 7.51–7.47 (m, 2H), 7.36–7.27 (m, 5H), 5.09–5.00 (m, 1H), 4.86 (dd, *J* = 10.4, 1.2 Hz, 1H), 4.70 (dd, *J* = 17.1, 1.5 Hz, 1H), 3.93 (d, *J* = 5.7 Hz, 2H), 3.52 (s, 3H), 2.69–2.62 (m, 4H), 2.04–1.97 (m, 2H); ¹³C NMR (101 MHz, CDCl₃) δ 200.4, 191.0, 170.3, 164.0, 142.4, 140.7, 135.3, 134.0, 131.8, 130.7, 129.2, 128.3, 127.9, 127.7, 121.4, 119.1, 77.4, 71.1, 51.9, 38.7, 28.8, 25.6. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₆H₂₄O₅Na⁺ 439.1516; Found 439.1524. IR (KBr thin film, cm⁻¹): v 2949, 1712, 1669, 1553, 1264, 1086, 731, 701.



methyl 4-(allyloxy)-3-benzoyl-2-phenylcyclohepta-1,3-diene-1-carboxylate (8b): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 5:1), white solid, mp 103–105 °C, 87 mg, 75% yield. ¹H NMR (600 MHz, CDCl₃) δ 7.42–7.40 (m, 2H), 7.30–7.26 (m, 1H), 7.17–7.14 (m, 2H), 6.97–6.96 (m, 3H), 6.84–6.83 (m, 2H), 5.67–5.61 (m, 1H), 5.04 (dd, J = 20.3, 17.6 Hz, 2H), 4.27 (d, J =4.9 Hz, 2H), 3.30 (s, 3H), 2.59 (t, J = 14.3 Hz, 2H), 2.46 (t, J = 14.2 Hz, 2H), 2.34–2.29 (m, 2H); ¹³C NMR (101 MHz, CDCl₃) δ 195.1, 170.7, 169.8, 148.9, 139.6, 139.5, 132.6, 132.1, 131.9, 128.7, 128.4, 128.1, 127.6, 127.6, 120.4, 117.8, 71.1, 51.6, 37.9, 29.7, 28.7. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₅H₂₄O₄Na⁺ 411.1567; Found 411.1574. IR (KBr thin film, cm⁻¹): v 2360, 2342, 1705, 1234, 765, 669.



(1*R*,5*S*)-9-benzoyl-8-phenyl-6-oxabicyclo[3.2.2]non-8-en-7-one (8c): purified by flash chromatography on silica gel (petroleum ether/ethyl acetate, v:v = 5:1), white solid, mp 134–136 °C, 27 mg, 42% yield. ¹H NMR (400 MHz, CDCl₃) δ 7.62–7.60 (m, 2H), 7.34–7.30 (m, 1H), 7.19–7.15 (m, 2H), 7.13–7.09 (m, 5H), 5.30 (dd, *J* =5.0, 1.7 Hz, 1H), 3.74 (t, *J* = 4.0 Hz, 1H), 2.28–2.20 (m, 1H), 2.06–1.97 (m, 1H), 1.96–1.88 (m, 4H); ¹³C NMR (151 MHz, CDCl₃) δ 195.9, 175.0, 144.5, 135.9, 135.9, 133.6, 133.2, 129.4, 129.4, 128.6, 128.4, 128.3, 78.4, 48.0, 27.9, 23.7, 20.2. HRMS (MALDI-Quadrupole-Orbitrap) m/z: [M + Na]⁺ Calcd for C₂₁H₁₈O₃Na⁺ 341.1148; Found 341.1147. [α]_D²⁹: +133.4 (*c* 0.36, CHCl₃); HPLC analysis: 98% *ee* (Chiralcel OD-H, 5:95 ^{*i*}PrOH/Hexane, 1 mL/min), R_t (major) = 10.5 min, R_t (minor) = 8.2 min. IR (KBr thin film, cm⁻¹): v 3054, 1699, 1605, 1488, 1408, 1264, 1092, 1014, 734, 703.

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VII. ¹H NMR and ¹³C NMR spectra of products



















































VIII. HPLC spectra for ee determination





<Peak Table>

???A 220nm

1 8.119 1879900 97493 50.014 2 10.647 1878821 80081 49.986	Name	Mark	Unit	Conc.	Height	Area	Ret. Time	Peak#
2 10.647 1878821 80081 49.986				50.014	97493	1879900	8.119	1
				49.986	80081	1878821	10.647	2
Total 3758721 177573					177573	3758721		Total

<Chromatogram>

mV



<Peak Table>

???A 220nm Peak# Ret. Time 1 8.199 Height Conc. Unit Mark Name Area 54478 1.140 2911 Μ 2 10.534 4725980 201840 98.860 Total 4780458 204751