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Supporting Information

Mechanistic understanding of the effect of alloying Au with Ni on N₂ electroreduction into NH₃: theoretical considerations

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1. Model and Computational Details

1.1 Surface and Solvation Model

Low-index (111) crystal facet of Au is chosen as representative surface for present theoretical studies due to experimentally proved high thermal and kinetic stability.¹ Considering the complexity of N₂ electroreduction systems, the electrode/aqueous interface is included in the present study, in which 12 explicit H₂O molecules with two relaxed bilayer structures chosen to fill up the vacuum region were used to model the solvation effect in order to better simulate the interactions among surface, solvent and adsorbates and decrease the size of the simulated systems as much as possible (See Figure S1). In fact, the formation of an ordered H₂O bilayer structure in a hexagonal arrangement with respect to the surface normal had been demonstrated by X-ray absorption spectroscopy, thermal desorption spectroscopy, low-energy electron diffraction, X-ray photoelectron spectroscopy and scanning electron microscopy along with DFT calculations in previous experimental and theoretical studies on the meal surface.²⁻⁴ Our present solvation model is on the basis of the previous studies on structure and orientation of H₂O. However, many different H₂O solvation structures may also exist, which all are approximate in energy.⁵ Since all energies of interest in this study are energy differences, which are not sensitive to the accurate model of H₂O as long as the same model is consistently used and a reasonable model in a local minimum structure is choose when calculating the energy differences. Considering different Au/Ni atomic ratios (Au: Ni = 3:1, 1:1 and 1:3), a (4x4) Au₃Ni(111), Au₁Ni₁(111) and AuNi₃(111) slab model with 16 metal atoms per layer and respective theoretical equilibrium lattice constant by using four metal layers was created. The calculated equilibrium

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lattice constant for bimetallic Au₃Ni₁, Au₁Ni₁ and Au₁Ni₃ alloys is 4.04, 3.88 and 3.71 Å, respectively.



Figure S1. The solvation model on Au(111) at the electrochemical interface: (a) Side view; (b) Top view.

1.2 Computational Parameters

Using the generalized gradient approximation of the Perdew-Burke-Ernzerhof exchange correlation functional, all calculations were performed in the framework of DFT.⁶ The ultrasoft pseudopotentials were employed to describe the nuclei and core electrons and the Kohn-Sam equations were self-consistently solved using a plane-wave basis set.7 A kinetic energy cutoff of 30 Ry and a charge-density cutoff of 300 Ry were used to make the basis set finite. The Fermi surface has been treated by the smearing technique of Methfessel-Paxton with a smearing parameter of 0.02 Ry.8 The PWSCF codes in Quantum ESPRESSO distribution were employed to perform all calculations.⁹ Brillouin-zone integrations were implemented using a $(3\times3\times1)$ uniformly shifted k-mesh for (4×4) supercell with the special-point technique, which was tested to converge to a subset of the relative energies reported herein. A vacuum layer of 16 Å was placed above the top layer of slab, which is sufficiently large to ensure that the interactions are negligible between repeated slabs in a direct normal to the surface. The Au and M atoms in the bottom two layers are fixed at the theoretical bulk positions, whereas the top two layers and all adsorbates including solvent are allowed to relax to minimize the total energy of the system. Structural optimization was performed until the Cartesian force components acting on each atom were brought below 10-3 Ry/Bohr and the total energy was converged to within 10⁻⁵ Ry. Using the climbing image nudged elastic band (CI-NEB) method, the saddle points and minimum energy paths (MEPs) were located.^{10, 11} Zero point energy (ZPE) corrections were applied into the calculations of activation and reaction energies from MEP analysis, in which density functional perturbation theory within the linear response was used to study the vibrational properties.¹² The ZPEs were calculated using the PHONONS code that contained in the Quantum ESPRESSO distribution.9

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