Greening Physical Recycling of HDPE:

Dissolution Precipitation with Natural

Solvents

Sofia Aparício¹, Pedro M. Castro², Bernardo D. Ribeiro³, and Isabel M. Marrucho^{1†}

Supporting Information

¹Departamento de Engenharia Química e Centro de Química Estrutural, Instituto Superior de Lisboa, Universidade de Lisboa, Av. Rovisco Pais 1, 1049-001 Lisboa, Portugal

² CERENA, Departamento de Engenharia Química, Instituto Superior Técnico, Universidade de Lisboa, Lisboa 1049-001, Portugal.

³Escola de Química, Universidade Federal Do Rio de Janeiro, 21941-598, Rio de Janeiro, Brazil

[†]Corresponding author. Tel.: +351 218413385. E-mail address: *isabel.marrucho@tecnico.ulisboa.pt* (I. M. Marrucho).

List of Figures

Figure S1 - HDPE molecule (with charge density points) used for the COSMO-SAC calculations (orange p	oints
represent positive polarity and blue points negative polarity)	4
Figure S2 - σ -profile and σ -potential of HDPE, drawn in COSMO-SAC	6
Figure S3 - Ternary liquid-liquid equilibrium of limonene, ethanol and water, drawn in COSMO-SAC	7
Figure S4 - Ternary liquid-liquid equilibrium of limonene, 2-propanol and water, drawn in COSMO-SAC	7
Figure S5 - Ternary liquid-liquid equilibrium of limonene, 1-butanol and water, drawn in COSMO-SAC	7
Figure S6 - Ternary liquid-liquid equilibrium of limonene, 1,2-propanediol and water, drawn in COSMO-SA	.C7
Figure S7 - Ternary liquid-liquid equilibrium of limonene, 1,2-butanediol and water, drawn in COSMO-SAC	28
Figure S8 - Ternary liquid-liquid equilibrium of limonene, glycerol and water, drawn in COSMO-SAC	8
Figure S9 - Ternary liquid-liquid equilibrium of limonene, ethylene glycol and water, drawn in COSMO-SAG	C8
Figure S10 - Ternary liquid-liquid equilibrium of limonene, diethylene glycol and water, drawn in COSMO-S	SAC.
Figure S11 - Ternary liquid-liquid equilibrium of limonene, triethylene glycol and water, drawn in COSMO-	0 SAC
	.Ο.ΛC Ω
Figure S12 - Ternary liquid-liquid equilibrium of g-ninene, ethanol and water, drawn in COSMO-SAC	0
Figure S12 - Ternary liquid-liquid equilibrium of a-pinene, ethanol and water, drawn in COSMO-SAC	ΔC Q
Figure S10 - Ternary liquid-liquid equilibrium of a-pinene, diethylene glycol and water, drawn in COSMO-5/	ςδC
	10
Figure S15 - Ternary liquid-liquid equilibrium of p-cymene, ethanol and water, drawn in COSMO-SAC	10
Figure S16 - Ternary liquid-liquid equilibrium of p-cymene, 1.2-propanediol and water, drawn in COSMO-8	SAC.
······································	10
Figure S17 - Ternary liquid-liquid equilibrium of p-cymene, diethylene glycol and water, drawn in COSMO-S	SAC.
	10
Figure S18 – Thermogram of recovered HDPE from α-pinene/ethanol system, from TGA analysis.	11
Figure S19 - FTIR spectra of pure and recovered α-pinene	12
Figure S20 - ¹ H NMR spectra of recovered α-pinene	13
Figure S21 - ¹³ C NMR spectra of recovered α-pinene	14
Figure S22 - ¹ H NMR spectra of pure α-pinene	14
Figure S23 - ¹³ C NMR spectra of pure α-pinene.	15
Figure S24 – FTIR spectra of pure and recovered α -pinene after 3 consecutive uses, using ethance	ol as
antisolvent	16
Figure S25 - ¹ H NMR spectra of recovered α-pinene after 3 consecutive uses	16
Figure S26 - ¹³ C NMR spectra of recovered α-pinene after 3 consecutive uses	17
Figure S27 - FTIR spectra of virgin and recovered HDPF from HDPF/PFT mixture	18
Figure S28 - Variation of recovered PET's residual weight with temperature resulting from TGA analysis	
Figure S29 - FTIR spectra of virgin and recovered PET	
Figure S30 - FTIR spectra of pure and recovered thymol:carvacrol NaDES (1:1).	19
	-

List of Tables

Table S1 - Identification, purity, source, and indicative price of solvents used for HDPE dissolution and
precipitation
Table S2 - Solvent and antisolvent properties considered in COSMO calculations ^{2,3}
Table S3 - Properties and unitary cost of utilities used in Aspen Plus simulation ⁴ 5
Table S4 - Solubility (wt%) of HDPE in different solvents at 110°C, 120°C and 130°C, as calculated in COSMO-
SAC
Table S5 – HDPE and limonene recovery at different dissolution temperatures and initial solid contents6
Table S6 - HDPE and limonene recovery for different antisolvents and antisolvent/solvent ratios, after dissolution
at 110°C for 10 min9
Table S7 - HDPE and α -pinene recovery for different antisolvents, after dissolution at 110°C for 10 min10
Table S8 - HDPE and p-cymene recovery for different antisolvents, after dissolution at 110°C for 10 min10
Table S9 - Tukey HSD test regarding HDPE recovery with different solvents
Table S10 - Tukey HSD test regarding solvent recovery after HDPE dissolution in different solvents and
precipitation
Table S11 - Characteristic FTIR bands of HDPE and corresponding vibrations ⁶ 12
Table S12 - Characteristic FTIR bands of α -pinene and corresponding vibrations ⁷ . (NA stands for not available)
Table S13 - HDPE and α-pinene recovery using ethanol as antisolvent, in the antisolvent/solvent ratio 2/1, at
two different scales: 50 mg HDPE and 250 mg HDPE15
Table S14 – HDPE and α -pinene recovery using ethanol as antisolvent, in the antisolvent/solvent ratio 2/1, for
3 consecutive solvent utilizations
Table S15 - HDPE, PET, α-pinene and thymol:carvacrol (1:1) recovery from selective dissolution and
precipitation

1. Materials and Methods

1.1. Materials

	Solvent	CAS	Purity (mass fraction)	Source	Price (€/kg)¹
	Limonene			Sumol-Compal	78.04
J	(1S)-(-)-α-Pinene	7785-26-4	98%	Thermo scientific	64.5
olve	p-cymene	99-87-6	>95%	Fluka	61.5
Š	Thymol	89-83-8	99%	Sigma-Aldrich	426
	Carvacrol	499-75-2		Thermo scientific	352
	Ethanol	64-17-5	96%	LABCHEM	2.82
	2-propanol	67-63-0	>99.9%	Carlo Erba	66.24
	1-butanol	71-36-3	99.5%	Sigma-Aldrich	51.36
ent.	1,2-propanediol	57-55-6	>99%	Fischer scientific	31.57
	1,2-butanediol	584-03-2	>98%	Sigma-Aldrich	65.71
ntise	Glycerol	56-81-5	>99%	Alfa aesar	36.80
Ā	Ethylene glycol	203-473-3	>99.5%	Carlo Erba	57.86
	Diethylene glycol	11-46-6	99%	Sigma-Aldrich	34.78
	Triethylene glycol	112-27-6	99%	Sigma-Aldrich	34.31

Table S1 - Identification, purity, source, and indicative price of solvents used for HDPE dissolution and precipitation.

1.2. Thermodynamic estimations: COSMO-SAC solvent screening



Figure S1 - HDPE molecule (with charge density points) used for the COSMO-SAC calculations (orange points represent positive polarity and blue points negative polarity).

		ρ (g/cm³)	T _m (°C)	ΔH _f (kcal/mol)
	Toluene	0.862	-94.9	1.59
	p-xylene	0.861	13.3	4.09
	d-limonene	0.841	-95.5	2.72
nts	α-pinene	0.858	-62.0	2.73
lvei	p-cymene	0.857	-68.9	2.31
So	Terpinolene	0.863	-93.3	NA
	γ-terpinene	0.843	-10.0	NA
	3-carene	0.860	NA	NA
	β-caryophyllene	0.908	NA	NA
	Water	0.995	0.0	1.44
	Ethanol	0.790	-114.1	1.19
	1-butanol	0.810	-88.6	2.22
S	2-propanol	0.786	-90	1.62
/ent	1,2-propanediol	1.036	-60	2.01
solv	1,2-butanediol	1.002	-50.0	NA
nti	Glycerol	1.260	16.9	4.37
∢	Ethylene glycol	1.114	-12.7	2.38
	Diethylene glycol	1.120	-10,2	NA
	Triethylene glycol	1.125	-7.0	NA

Table S2 - Solvent and antisolvent properties considered in COSMO calculations^{2,3}.

1.3. Process simulation in Aspen Plus

Table S3 - Properties and unitary cost of utilities used in Aspen Plus simulation⁴.

	Inlet temperature (°C)	Outlet temperature (°C)	Cost
Air	100	95	0.96 €/GJ
Low pressure steam	125	124	5.08 €/GJ
Cooling water	20	25	0.42 €/GJ
Refrigeration water	5	15	5.34 €/GJ

2. Results and Discussion

2.1. Solvent screening in COSMO-SAC



Figure S2 - σ -profile and σ -potential of HDPE, drawn in COSMO-SAC.

Table S4 - Solubility (wt%) of HDPE in different solvents at 110°C, 120°C and 130°C, as calculated in COSMO-SAC.

Solubility (wt%)	110°C	120°C	130°C
Toluene	0.00	11.89	71.98
p-Xylene	0.00	0.93	66.88
d-Limonene	0.00	0.00	54.47
α-Pinene	0.00	1.06	58.01
p-Cymene	0.00	0.00	55.05
Terpinolene	0.00	0.07	57.22
γ-Terpinene	0.00	0.01	55.46
3-Carene	0.00	0.02	56.35
β- Caryophyllene	0.00	0.00	32.11

2.2. Limonene as HDPE solvent

Table S5 – HDPE and limonene recovery at different dissolution temperatures and initial solid contents.

	HDPE recovery (wt%)			Limo	onene recovery (wt%)
HDPE content (wt%)	100°C	110ºC	120°C	100°C	110ºC	120°C
1	56.53 ± 0.40	70.00 ± 2.83	59.57 ± 13.88	47.35 ± 4.46	46.70 ± 10.73	54.11 ± 11.00
2	62.50 ± 11.79	75.13 ± 8.27	73.69 ± 1.10	48.74 ± 6.47	53.60 ± 0.94	48.75 ± 16.74
3	72.87 ± 3.02	80.51 ± 3.55	80.71 ± 0.51	42.76 ± 2.44	47.26 ± 4.96	37.59 ± 7.61
4	81.13 ± 2.67	81.23 ± 5.48	89.14 ± 1.95	23.92 ± 3.28	41.58 ± 2.32	34.25 ± 14.74



Figure S3 - Ternary liquid-liquid equilibrium of limonene, ethanol and water, drawn in COSMO-SAC.



Figure S5 - Ternary liquid-liquid equilibrium of limonene, 1-butanol and water, drawn in COSMO-SAC.



Figure S4 - Ternary liquid-liquid equilibrium of limonene, 2-propanol and water, drawn in COSMO-



Limonene Figure S6 - Ternary liquid-liquid equilibrium of limonene, 1,2-propanediol and water, drawn in COSMO-SAC.

7





Figure S7 - Ternary liquid-liquid equilibrium of limonene, 1,2-butanediol and water, drawn in



Limonene Figure S8 - Ternary liquid-liquid equilibrium of limonene, glycerol and water, drawn in COSMO-SAC.



Figure S9 - Ternary liquid-liquid equilibrium of limonene, ethylene glycol and water, drawn in COSMO-SAC.





Figure S11 - Ternary liquid-liquid equilibrium of limonene, triethylene glycol and water, drawn in COSMO-SAC.

	HDPE recovery (wt%)			HDPE recovery (wt%) Limonene recovery (wt%)			t%)
Ratio	1/1	2/1	3/1	1/1	2/1	3/1	
Water	92.87 ± 7.15	88.39 ± 13.45	98.72 ± 4.29	9.30 ± 12.51	7.07 ± 6.69	2.64 ± 4.58	
Ethanol	76.76 ± 1.58	77.73 ± 3.09	79.11 ± 1.35	43.09 ± 5.21	56.99 ± 5.67	53.56 ± 6.58	
2-propanol	74.09 ± 8.42	61.75 ± 6.57	72.96 ± 2.13				
1-butanol	79.44 ± 5.15	72.80 ± 7.89	70.16 ± 2.67				
1,2-butanediol	82.69 ± 2.53	84.47 ± 1.27	86.37 ± 0.85	16.87 ± 5.24	12.93 ± 11.05	13.85 ± 2.06	
1,2-propanediol	82.60 ± 7.21	84.45 ± 4.49	85.52 ± 1.49	19.83 ± 5.05	20.58 ± 8.46	20.50 ± 9.11	
Glycerol	102.72 ± 8.25	116.25 ± 14.24	102.18 ± 14.44	0.00	14.82 ± 10.02	19.20 ± 4.17	
Ethylene glycol	90.47 ± 4.26	86.63 ± 8.00	86.75 ± 3.63	21.89 ± 5.32	31.95 ± 5.82	19.59 ± 6.10	
Diethylene glycol	83.92 ± 2.62	86.59 ± 5.79	83.69 ± 3.12	7.00 ± 5.61	9.72 ± 4.81	27.52 ± 3.78	
Triethylene glycol	89.80 ± 3.03	84.03 ± 3.21	87.50 ± 4.44	5.45 ± 2.47	20.40 ± 5.26	13.78 ± 6.79	

 Table S6 - HDPE and limonene recovery for different antisolvents and antisolvent/solvent ratios, after dissolution at 110°C for 10 min.

2.3. α-pinene and p-cymene as HDPE solvents



Figure S12 - Ternary liquid-liquid equilibrium of α-pinene, ethanol and water, drawn in COSMO-SAC.



Figure S13 - Ternary liquid-liquid equilibrium of α-pinene, 1,2-propanediol and water, drawn in COSMO-SAC.



0.00 1.00 0.25 0.75 Ethanol Water 0.50 0.50 0.75 0.25 1.00 0.00 0.00 0.2́5 0.50 0.75 1.00 p-cymene

Figure S14 - Ternary liquid-liquid equilibrium of α-pinene, diethylene glycol and water, drawn in COSMO-SAC.





p-cymene, 1,2-propanediol and water, drawn in COSMO-SAC.



Figure S17 - Ternary liquid-liquid equilibrium of p-cymene, diethylene glycol and water, drawn in COSMO-SAC.

Recovery (wt%)	HDPE	α-pinene
Ethanol	86.84 ± 2.47	50.52 ± 3.95
1,2-propanediol	90.09 ± 5.72	16.19 ± 2.04
Diethylene glycol	89.97 ± 6.83	7.59 ± 1.68

Table S8 - HDPE and p-cymene recovery for different antisolvents, after dissolution at 110°C for 10 min.

	HDPE recovery (wt%)	α-pinene recovery (wt%)
Ethanol	72.09 ± 1.94	48.17 ± 1.92
1,2-propanediol	81.75 ± 0.78	8.38 ± 10.47
Diethylene glycol	75.29 ± 0.33	7.64 ± 7.22

2.4. Solvent Selection for HDPE dissolution-precipitation- Tukey Honestly Significant Difference (HSD) test

Tukey HSD tests were performed in the software IBM SPSS Statistics 27, through a univariate analysis. This test is suitable for a wide range of situations, namely for pair-wise comparisons⁵. The solvent was defined as fixed factor and the recovery as dependent variable. The degree of significance was set at 95%.

solvent	Ν	Subset	
		1	2
p-cymene	2	72.090 0	
Limonene	3	77.730 0	
α-pinene	3		86.843 3
Significanc e		0.079	1.000

Table S9 - Tukey HSD test regarding HDPE recovery with different solvents.

Table S10 - Tukey HSD test regarding solvent recovery after HDPE dissolution in different solvents and precipitation.

Solvent	Ν	Subset
		1
p-cymene	3	46.063 3
α-pinene	3	50.526 7
Limonene	3	56.990 0
Significanc e		0.060

2.5. Recovered HDPE Characterization



Figure S18 – Thermogram of recovered HDPE from α -pinene/ethanol system, from TGA analysis.

Table S11 - Characteristi	c FTIR bands of HDPE and	corresponding vibrations ⁶ .
---------------------------	--------------------------	---

HDPE	Correspondence
bands (cm ⁻¹)	Correspondence
719	CH_2 bending
730	CH ₂ bending
1368	methyl C-H bend
1463	CH ₂ bending
1473	CH ₂ bending
2848	C-H symmetric
	stretching
2916	CH ₂ asymmetric
0	stretching

2.6. Recovered solvent characterization



Figure S19 - FTIR spectra of pure and recovered α -pinene.

Table S12 - Characteristic FTIR bands of α -pinene and corresponding vibrations⁷. (NA stands for not available)

α-pinene	Corresponden	α-pinene	Correspondence
band (cm-	се	band (cm-	
1)		1)	
564	NA	1329	C-H bending
619	NA	1335	C-H bending
772	C-H bending	1365	C-H bending
787	C=C bending	1375	C-H bending
886	C-H bending	1381	C-H bending
928	NA	1437	C-H bending
953	NA	1446	C-H bending
1015	NA	1470	C-H bending
1063	NA	1659	C=C stretching
1084	NA	2725	C-H stretching
1101	NA	2835	C-H stretching
1125	NA	2880	C-H stretching
1166	NA	2916	C-H stretching
1182	NA	2949	C-H stretching
1204	NA	2986	C-H stretching
1220	NA	3026	C-H stretching
1265	NA		(alkene)



Figure S21 - ¹³C NMR spectra of recovered α -pinene.



Figure S23 - ¹³C NMR spectra of pure α -pinene.

2.7. Scale-up

Recovery (wt%)	Initial scale (50 mg)	Scale-up (250 mg)
HDPE	83.85 ± 3.00	93.21 ± 0.13
α-pinene from precipitation step	53.96 ± 2.93	56.85 ± 6.91
α -pinene from washing step	17.62 ± 1.75	16.48 ± 2.29
α -pinene total	71.58 ± 1.18	73.32 ± 4.62

Table S13 - HDPE and α -pinene recovery using ethanol as antisolvent, in the antisolvent/solvent ratio 2/1, at two different scales: 50 mg HDPE and 250 mg HDPE.

2.8. Solvent reuse

Table S14 – HDPE and α -pinene recovery using ethanol as antisolvent, in the antisolvent/solvent ratio 2/1, for 3 consecutive solvent utilizations.

Recovery (wt%)	1 st cycle	2 nd cycle	3 rd cycle
HDPE	93.21 ±	92.10 ±	89.74 ±
	0.13	0.22	0.59
α-pinene from precipitation step	56.85 ±	58.39 ±	45.68 ±
	6.91	5.43	2.15
α -pinene from washing step	16.48 ±	11.96 ±	19.44 ±
	2.29	0.38	1.21
α-pinene total	73.32 ±	70.36 ±	65.12 ±
	4.62	5.05	3.36



Figure S24 – FTIR spectra of pure and recovered α -pinene after 3 consecutive uses, using ethanol as antisolvent.



Figure S26 - ¹³C NMR spectra of recovered α -pinene after 3 consecutive uses.

2.9. Process simulation

The dissolution and precipitation operations were performed in RSTOIC reactors (DISSOL and PRECIP, respectively), assuming both steps are complete, and thus 100% of the polymer leaves the precipitation vessel in the solid state. For these steps, the amounts of α -pinene and ethanol entering the system were specified according to the experimental conditions chosen: 3wt% of HDPE in the dissolution, at 110°C, and a mass ratio of 2/1 between the antisolvent and the solvent.

The stream resulting from the precipitation step is then filtered, in FILTER, modeled with the block Filter. To match the solvent recovery attained for this step in the laboratory, it was assumed that 55% of the liquid phase is separated from the polymer.

The polymer stream is washed using 2 kg/hr of ethanol, in the equipment WASH (model SWash), removing the remaining solvent, and the polymer is finally dried at 80°C (DRIER). Both the liquid outlet stream from the filter (10) and the washing solution (14) are mixed with water and decanted (DECANTER). The amount of water was defined so that it corresponds to 50wt% of the mixed stream. According to the liquid-liquid equilibrium estimated in the decanter model, it is possible to recover α -pinene with a mass purity of 98.5%, in stream 18. A purge was inserted in the simulation to mimic the loss of solvent observed experimentally, and thus only 70% of the α -pinene is recycled back to the dissolution vessel (stream 21), and the utility cost was corrected with the assumption that this amount was lost through evaporation.

The second liquid phase, composed mainly of ethanol and water, is distilled at atmospheric pressure, using a distillation column modeled as RADFRAC (DIST COLUMN), allowing the recovery of ethanol with 95% mass purity (stream 24), and water with 99.4% purity (stream 22). Both streams can be recycled back to their respective operations.

2.10. PET and HDPE separation

Table S15 - HDPE, PET, α -pinene and thymol:carvacrol (1:1) recovery from selective dissolution and precipitation.

	HDPE	PET
Polymer	79.77 ± 1.27	84.16 ± 5.26
Solvent from precipitation step	34.47 ± 12.39	68.11 ± 8.70
Solvent from washing step	20.38 ± 12.81	
Total solvent	54.85 ± 7.60	68.11 ± 8.70



Figure S27 - FTIR spectra of virgin and recovered HDPE from HDPE/PET mixture.



Figure S28 - Variation of recovered PET's residual weight with temperature, resulting from TGA analysis.



Figure S29 - FTIR spectra of virgin and recovered PET.



Figure S30 - FTIR spectra of pure and recovered thymol:carvacrol NaDES (1:1).

References

- (1) Merck KGaA. *Merck*. https://www.sigmaaldrich.com/PT/en (accessed 2023-05-11).
- U.S. Department of Commerce National Institut of Standards and Technology. *NIST Web Book*. https://webbook.nist.gov/chemistry/ (accessed 2023-05-22).
- (3) National Library of Medicine. *PubChem*. https://pubchem.ncbi.nlm.nih.gov/ (accessed 2023-05-22).
- (4) Turton, R.; Schaeiwitz, J. A.; Bhattacharyya, D.; Whiting, W. B. Estimation of Manufacturing Costs. In Analysis, Synthesis, and Design of Chemical Processes; Prentice Hall, 2018.
- (5) Brown, A. M. A New Software for Carrying out One-Way ANOVA Post Hoc Tests. Comput Methods Programs Biomed 2005, 79 (1), 89–95. https://doi.org/10.1016/j.cmpb.2005.02.007.
- (6) Nishikida, K.; Coates, J. Handbook of Plastics Analysis; Lobo, H., Bonilla, J., Eds.; CRC Press, 2003.
- (7) Merck KGaA. IR Spectrum Table & Chart. https://www.sigmaaldrich.com/PT/en/technicaldocuments/technical-article/analytical-chemistry/photometry-and-reflectometry/ir-spectrum-table (accessed 2023-09-26).