

Supporting Information (SI)

**Oxygen Defect Regulation, Catalytic Mechanism, and
Modification of HfO₂ as a Novel Catalyst for Lithium-oxygen
Batteries**

Liwei Su,^{*a} Lei Zhang,^a Xingyi Zhan,^a Yifan Zhang,^a Lianbang Wang^{*a}, Yuanhao
Wang,^{b*}

^a *State Key Laboratory Breeding Base of Green Chemistry-Synthesis Technology,
College of Chemical Engineering, Zhejiang University of Technology, Hangzhou
310014, P. R. China*

^b *Hoffmann Institute of Advanced Materials, Shenzhen Polytechnic, Shenzhen 518055,
P. R. China*

*Corresponding author.

E-mail: sulawei@zjut.edu.cn (L. Su); wanglb99@zjut.edu.cn (L. Wang);
wangyuanhao@szpt.edu.cn (Y. Wang)

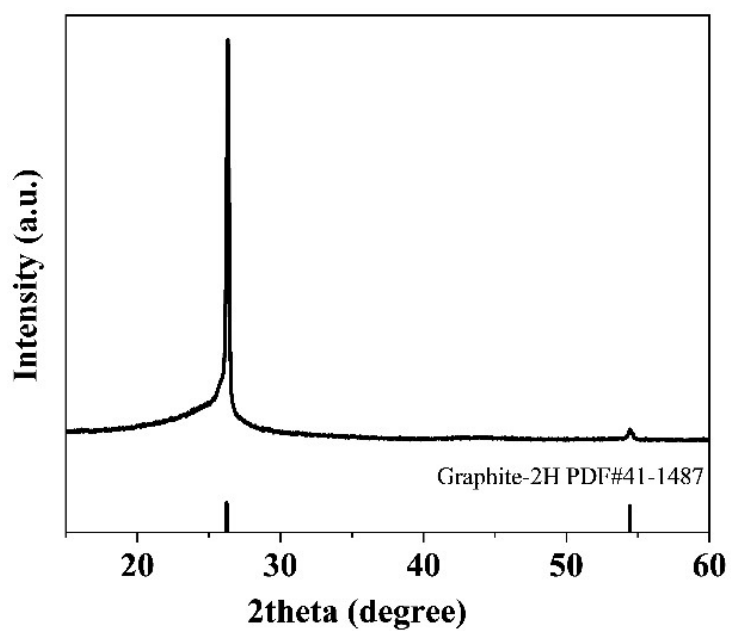


Figure S1. XRD pattern of carbon paper.

Table S1. Surface energy of HfO₂ crystal planes.

Planes	A (Å ²)	E _{slab} (eV)	E _{bulk} (eV)	n	γ (eV/Å ²)
(111)	50.12	-361.53	-124.12	3	0.11
(-111)	44.76	-353.22	-124.12	3	0.21
(020)	27.28	-236.74	-124.12	2	0.21
(200)	27.98	-240.51	-124.12	2	0.14
(110)	39.08	-362.67	-124.12	3	0.12

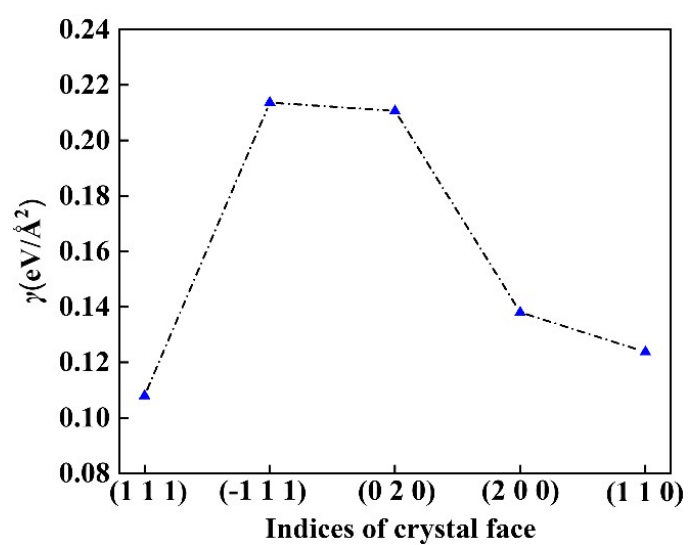


Figure S2. Surface energy curve of HfO₂ crystal planes.

Computational details of the formation energy:

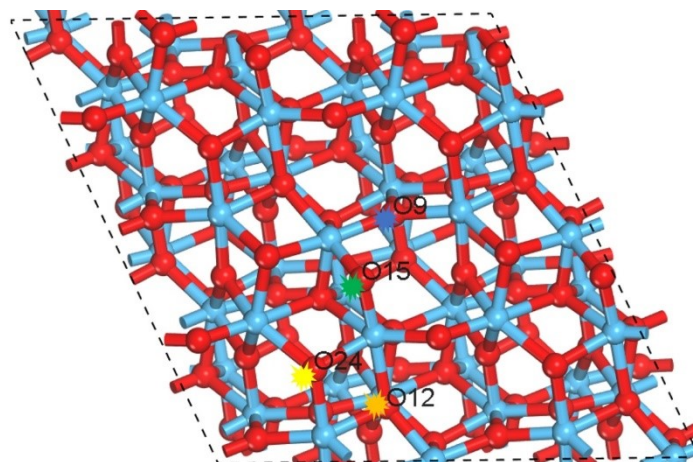
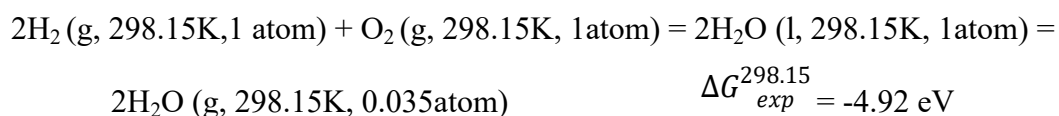


Figure S3. Schematic diagram of the vacancy types (O24, O15, O12, and O9) in 12 HfO₂ units.

The formation energy of oxygen vacancies ($E_{\text{form-ovac}}$) was calculated using the following formula: $(E_{\text{form-ovac}}) = (E_{\text{ovac}} + 1/2\mu(\text{O}_2)) - E_{\text{slab}}$, where E_{ovac} is the structural energy (eV) after oxygen vacancy formation, $\mu(\text{O}_2)$ is the chemical potential (eV) of O₂, and E_{slab} is the energy (eV) of the complete (111) crystal plane. Considering the large error in the energy calculation of O₂ in the triplet state using DFT, the calculation process combines the ORR experimental values to calculate $\mu(\text{O}_2)$. That is:



$\mu(\text{O}_2)$ can be derived from the above equation:

$$\mu(\text{O}_2(\text{g}, 298.15\text{K}, 1 \text{ atom})) = 2\mu(\text{H}_2\text{O}(\text{g}, 298.15\text{K}, 0.035 \text{ atom})) - 2\mu(\text{H}_2(\text{g}, 298.15\text{K}, 1 \text{ atom})) - \Delta G_{\text{exp}}^{298.15}$$

Table S2. Statistics data to calculate $\mu(\text{O}_2)$.

	E_{DFT} (eV)	dG (eV)	G (eV)
H ₂ O (g, 298.15K, 0.035bar)	-14.22	0.00	-14.22

H ₂ (g, 298.15K, 1bar)	-6.77	-0.04	-6.81
O ₂ (g, 298.15K, 1bar)			-9.90

Table S3. Formation energy for four types of oxygen vacancies.

	E _{ovac_x} (eV)	E _{2slab} (eV)	μ (O ₂) (eV)	E _{form-ovac} (eV)
O _{vca24}	-1434.61	-1446.11	-9.90	6.55
O _{vca15}	-1434.44	-1446.11	-9.90	6.72
O _{vca12}	-1434.94	-1446.11	-9.90	6.22
O _{vca9}	-1434.47	-1446.11	-9.90	6.69

Table S4. Calculated free energies of each component involved in reactions

	E _{DFT} (eV)	dG (eV)	G _{tot} (eV)
Li ₂ O ₂ *HfO _x	-369.1329	0.1743	-368.9586
LiO ₂ *HfO ₂	-375.2276	0.0949	-375.1327
LiO ₂ *HfO _x	-369.8218	0.1279	-369.6940
Li _{bulk}	-3.9738		-3.9738
HfO ₂ (111)	-361.5251		-361.5251
O ₂			-9.90338
HfO ₂	-361.5251		
HfO _x	-349.9398		

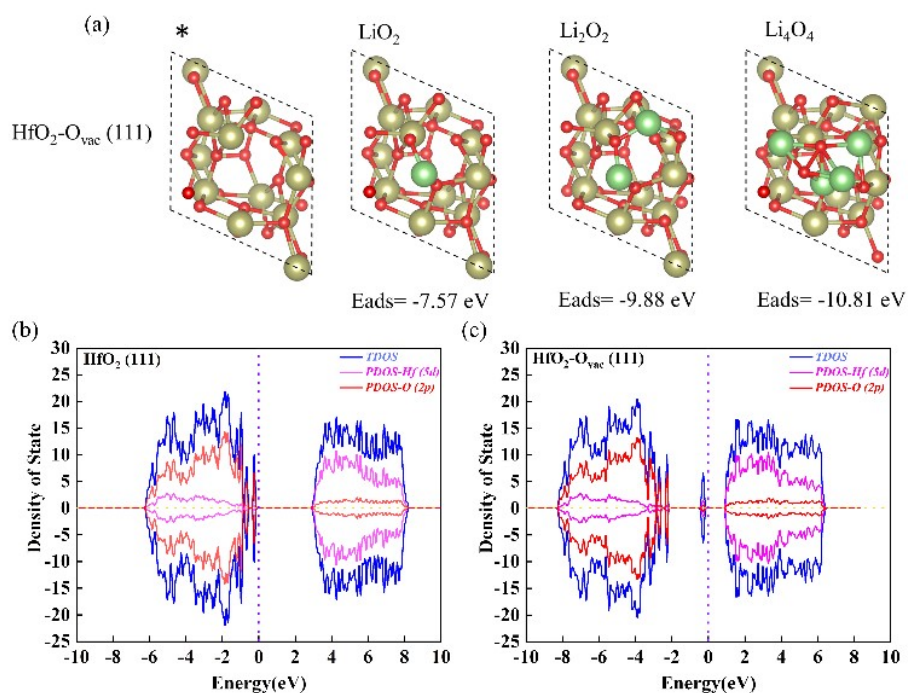


Figure S4. (a) The structure and corresponding adsorption energies of LiO_x on oxygen-defect HfO₂(111) plane; calculated total DOS of (b) HfO₂(111) and (c) oxygen-defect HfO_x(111) plane.

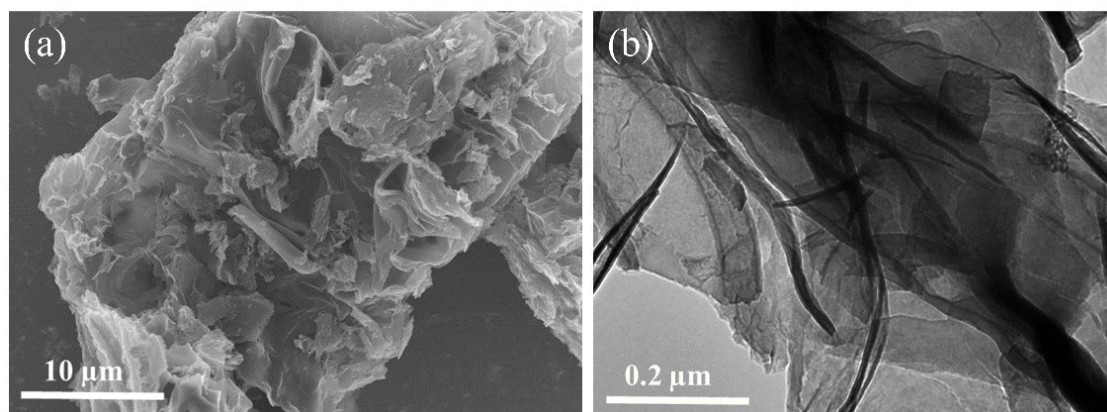


Figure S5. (a) SEM and (b) TEM images of pure NC nanosheets.

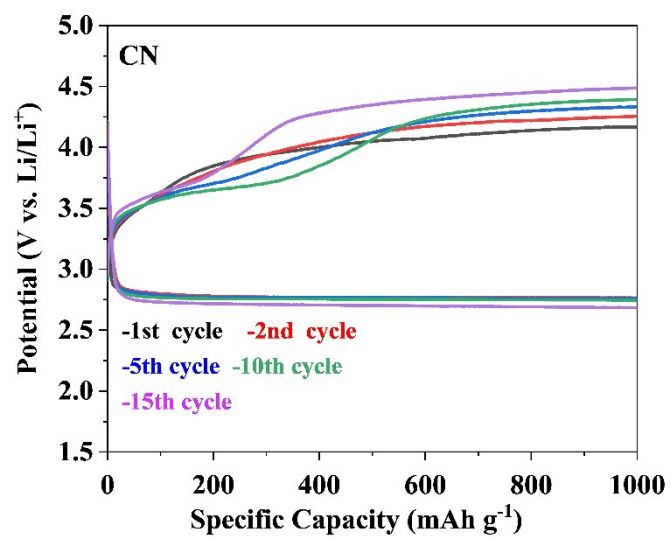


Figure S6. Charge/discharge curves of pure NC nanosheets under the limited-capacity mode.