

Supporting Information

Dual passivation strategy based on F/N co-doped coal-based graphene quantum dots for high-efficiency carbon-based perovskite solar cells

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1 1、 Experimental Section

2 1.1 Materials

3 Lead iodide (PbI_2) ($\geq 99.5\%$) methylammonium iodide (MAI) (99.9%) were
4 purchased from Xi'an Polymer Light Technology Corp, N, N-dimethylformamide
5 (DMF) (99.8%), dimethyl sulfoxide (DMSO) (99.9%), chlorobenzene (CB) (99%),
6 ethyl acetate (99.5%) and $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ (99.99%) were obtained from Aladdin. FTO
7 substrates were purchased from Ying Kou OPV Tech New Energy Co., Ltd., and Coal
8 comes from Dahuangshan coal mine in Xinjiang, China. All chemical reagents were of
9 analytical grade and used without further purification.

10 1.2 Preparation of coal-based F/N-GQDs

11 Coal-based F/N-GQDs were synthesized by our previously reported method[16]
12 as shown in Figure 1(a). In short, the coal was pretreated and then condensed and
13 refluxed with H_2O_2 solution. After the condensing reflux was completed, the excess
14 H_2O_2 solution was removed to obtain the F/N-GQDs aqueous solution. Then the
15 solution was transferred to a 100 mL Teflon lined stainless steel autoclave, and 0.4 mol
16 L^{-1} HF solution was added and kept at 120 °C for 10 h. After that, the autoclave was
17 naturally cooled down to room temperature. The obtained suspension was dialysed
18 via a 0.22 μm filter to remove large particles, and the purified solution is freeze-dried
19 to obtain F/N-GQDs powder.

20 1.3 Device fabrication

21 In detail, the FTO glass substrate was continuously ultrasonicated in succession
22 with deionized water (DI), isopropanol (IPA), and ethanol for 20 min., respectively.

1 Then it was dried by hot-air flowing and treated with a UV/ozone for 15 min. Next,
2 SnO₂ precursor was spin-coated on the cleaned FTO substrate at 3000 rpm for 30 s and
3 thermally annealed on a hot plate at 200°C for 60 min in ambient air. After that, the 159
4 mg MAI and 461 mg PbI₂ were dissolved in a mixed solvent of 0.7 mL anhydrous DMF
5 and DMSO (9:1, V/V) to obtain perovskite precursor solution. After cooling to room
6 temperature, the obtained films were treated with UV/ozone for 15 min. The perovskite
7 precursor was then deposited on the substrate at the speed of 4000 rpm for 30 s. Then
8 450 μL ethyl acetate (without/with F/N-GQDs) was dropped at the center of the
9 spinning substrate for 17 s before the end of spin-coating. For the post-treatment
10 passivation of perovskite films, F/N-GQDs IPA solution was spin-coated at 3000 rpm
11 for 30 s, followed by annealing at 80°C for 10 min. Subsequently, the commercial
12 carbon paste (Japan JUJO chemical Co., Ltd) was coated on the perovskite layer with
13 a thickness controlled by two layers of polyimide tape (60 μm), and the total thickness
14 is 120 μm, and dried at 60°C for 10 min. The above operation was repeated twice to
15 form CEs and complete the device preparation. All device fabrication processes were
16 carried out under air condition with a temperature range of 20-25 °C and a relative
17 humidity of 10-20%.

18 1.4 Characterizations

19 Microstructure of the F/N-GQDs was characterized by a high-resolution
20 transmission electron microscopy (HRTEM, FEI Talos F200S). Both
21 ultraviolet photoemission spectroscopy (UPS) and X-ray photoelectron spectroscopy

1 (XPS) analyses were performed by using a photoelectron spectrometer (Thermo Fisher,
2 Escalab 250Xi). Steady-state Photoluminescence (PL) and time-resolved
3 photoluminescence (TRPL) were all performed by FLS980 (Edinburgh Instruments
4 Ltd.) with a picosecond pulsed diode laser (EPL-475) to study the carrier transport
5 kinetics. Raman test was carried out using French HORIBA Scientific (Lab-RAM HR
6 Evolution). Surface morphologies of the perovskite films and devices cross-sections
7 were characterized by a field emission scanning electron microscope (SEM, SU8010,
8 Hitachi), and the grain size of the perovskite film in the SEM image was measured by
9 software (Nano Measurer). Wettability was analyzed using a contact angle analyzer
10 (Dataphysics OCA20). Atomic force microscope (AFM, Bruker, Dimension Fast Scan)
11 was used to analyze the surface roughness of the perovskite films. Crystallographic
12 properties were characterized by X-ray diffraction (XRD, D8 Advance, BRUKER) at
13 $Cu K_{\alpha}$ radiation of 40 mA and 45 kV ($\lambda = 1.54056 \text{ \AA}$) in the range of 15-50°. $J-V$ curves
14 of C-PSCs were obtained in ambient air using a Keithley 2400 source meter under
15 irradiation of simulated solar light intensity controlled at AM 1.5 G (100 mW cm⁻²).
16 Light intensity was calibrated by a standard silicon solar cell that NREL certified. The
17 effective area of C-PSCs was 0.08 cm², which was defined using a black metallic mask.
18 The electrochemical impedance spectroscopy (EIS) was carried out by CHI660D
19 electrochemical workstation from 0.1 MHz-0.1 Hz frequency at a bias voltage of 0.8 V
20 in the dark and its Nyquist curve was fitted by the Z-view software. TPV measurement
21 was performed with a system excited by a 532 nm (1000 Hz, 3.2 ns) pulse laser. Before

1 testing, the devices were connected with a digital oscilloscope (DOS-X 3104A) and the
2 internal impedance of the digital oscilloscope is set to $1\text{M}\Omega$ to form an open-circuit
3 condition. During the testing, the solar simulator provided steady-state illumination of
4 100 mW cm^{-2} .

2 Supporting Figures

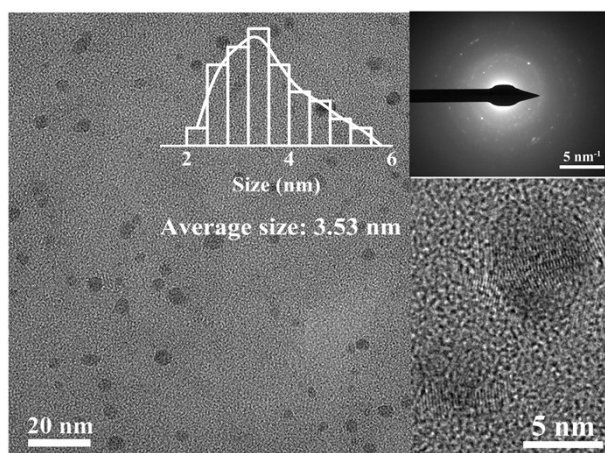


Fig. S1. HR-TEM image of F/N-GQDs; Inset: the histogram of lateral size distribution.

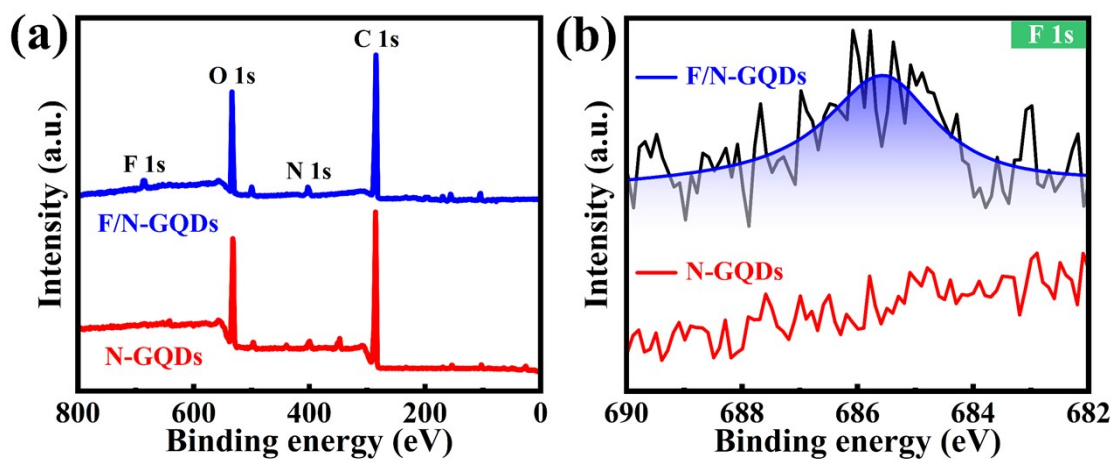


Fig. S2. (a) XPS survey spectrum of N-GQDs and F/N-GQDs. (b) The corresponding high resolution XPS spectra of F 1s.

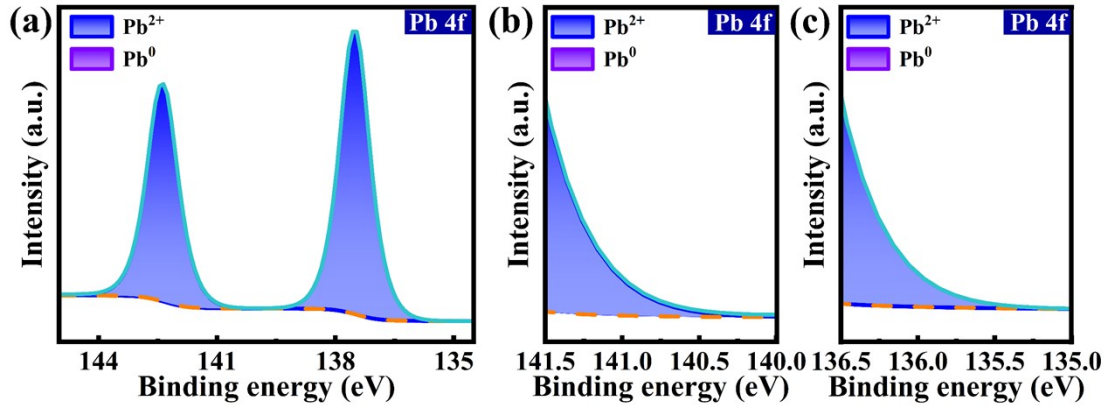


Fig. S3. (a) The corresponding high-resolution XPS spectra of Pb 4f from Dual film.

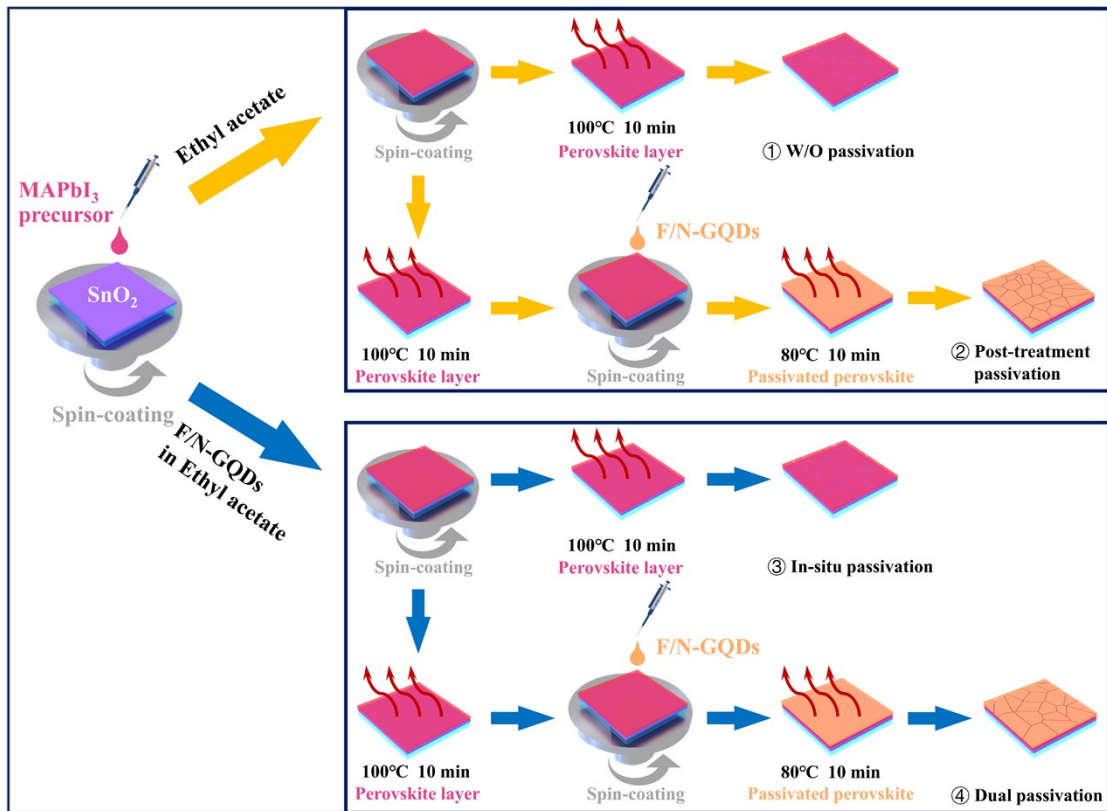


Fig. S4. Schematic diagram of perovskite film fabrication via In-situ passivation strategy, Post-treatment passivation strategy and Dual passivation strategy.

The corresponding films/devices based on the pristine MAPbI₃, MAPbI₃ treated with in-situ passivation, post-treatment passivation, and dual passivation strategy are abbreviated to the Pristine film/device, In-situ film/device, Post film/device, and Dual film/device, respectively.

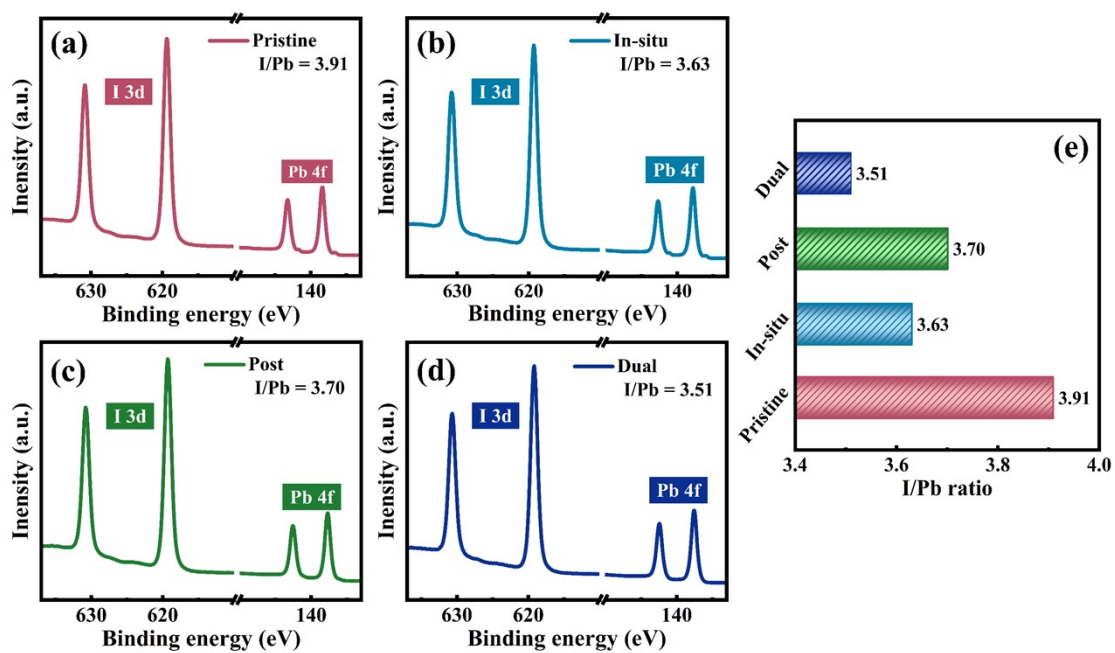
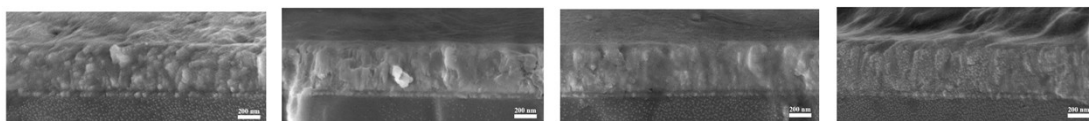


Fig. S5. XPS spectra of the (a) Pristine (b) In-situ (c) Post and (d) Dual films. (e) I/Pb ratio from XPS data.



Figures S6. Cross-section SEM images of different perovskite films.

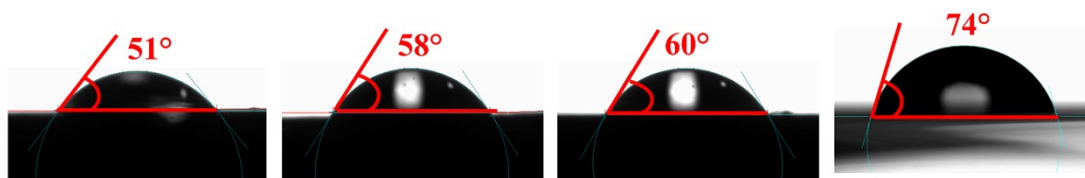


Fig. S7. Water contact angles of different perovskite films.

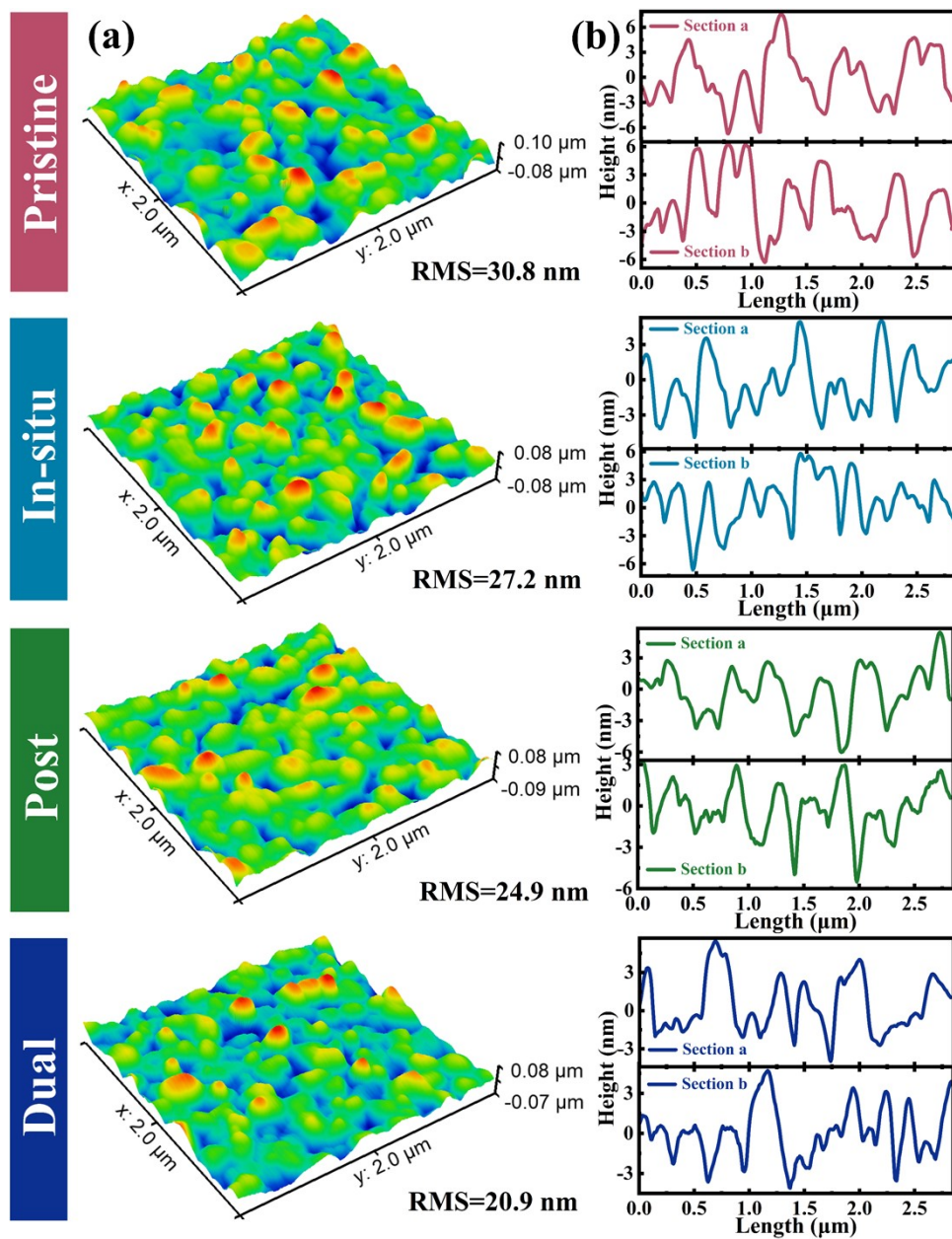


Fig. S8. (a) 3D AFM images of different perovskite films; (b) The height curves vary with distance are recorded from the solid line of the corresponding 2D AFM image.

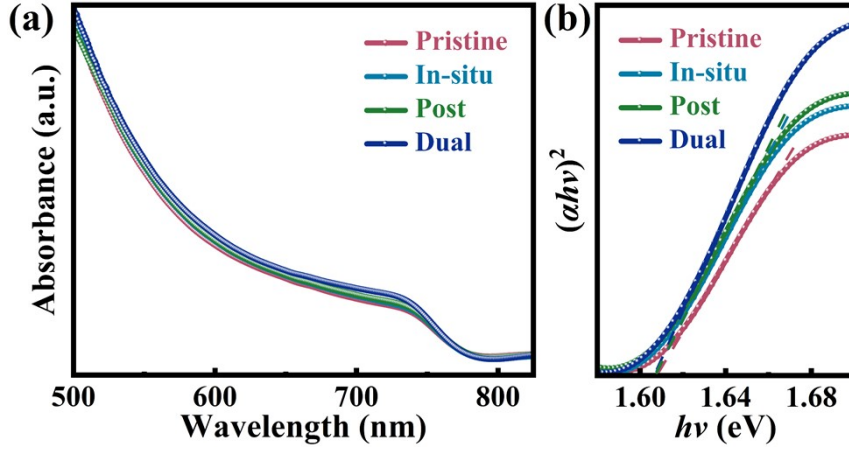


Fig. S9. (a) UV-Vis absorption spectra and (b) Tauc plots of different perovskite films.

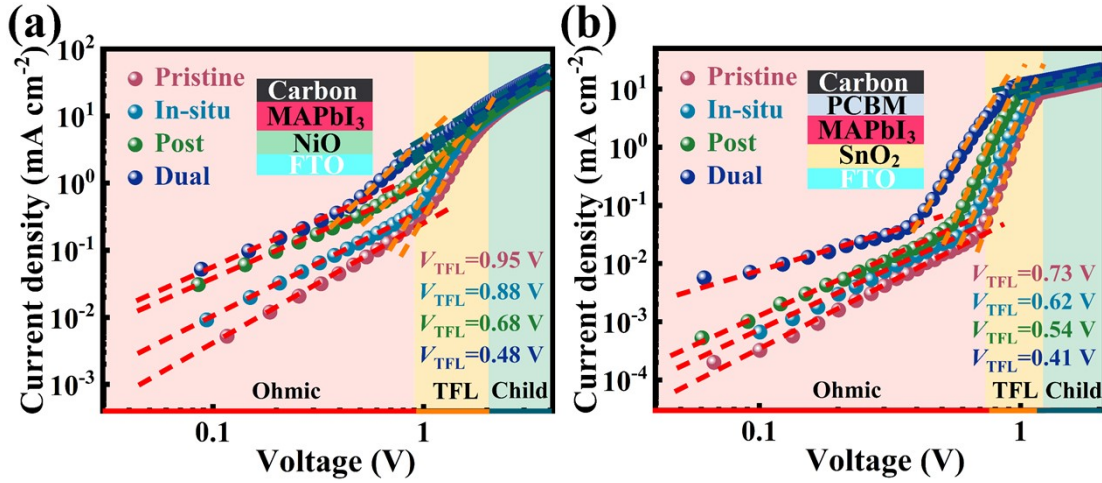


Fig. S10. Dark J - V curves from (a) hole-only and (b) electron-only devices based on different perovskite films.

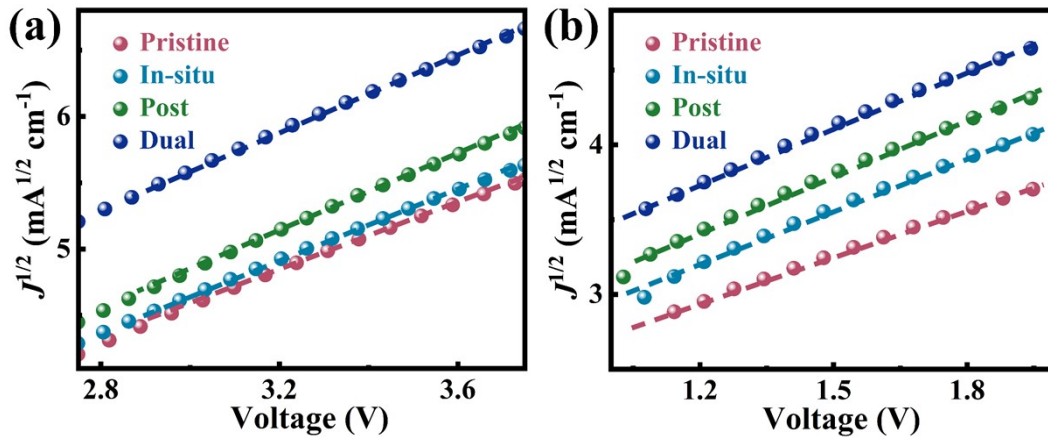


Fig. S11. $J^{1/2}$ - V curves from (a) hole-only and (b) electron-only devices based on different perovskite films.

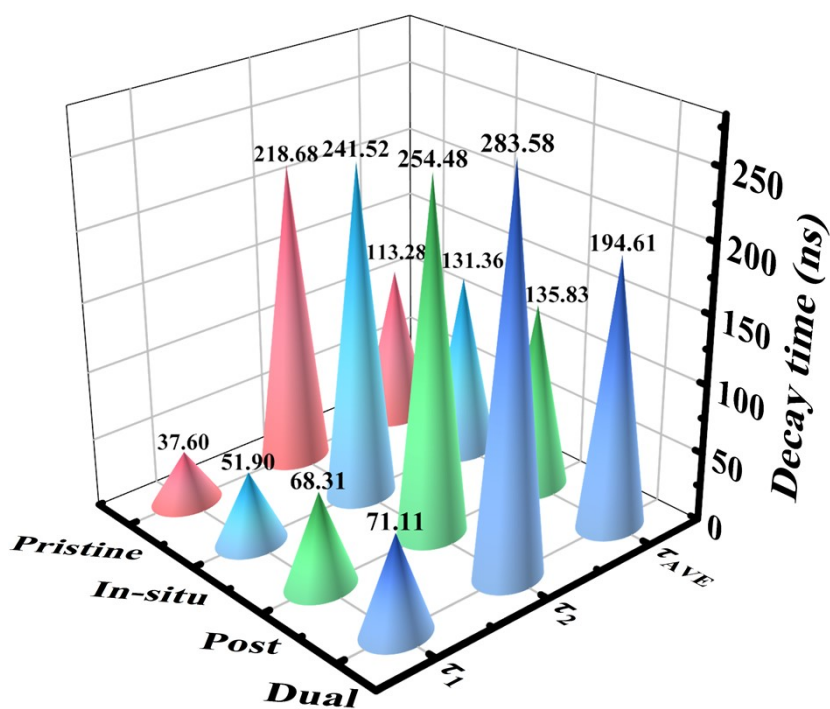


Fig. S12. Carrier lifetime of four kinds of perovskite films on glass substrates.

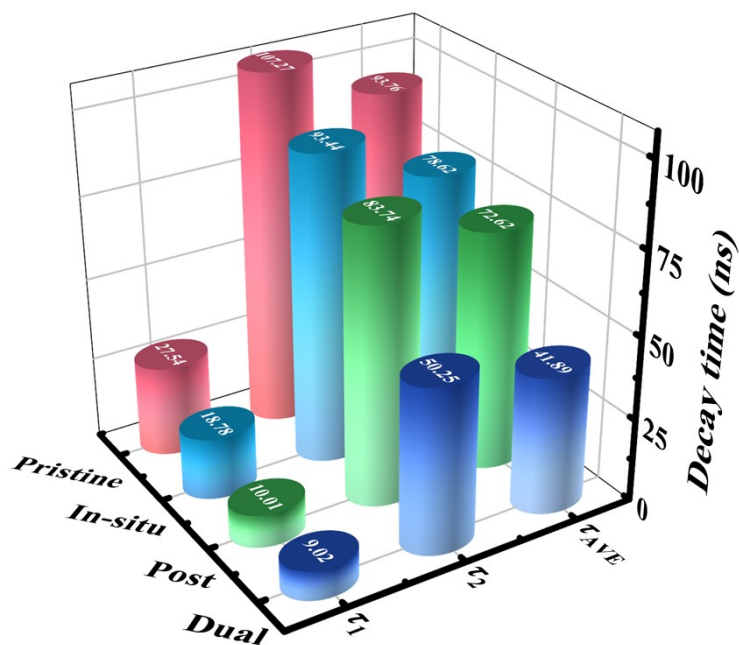


Fig. S13. Carrier lifetime of four kinds of perovskite films covered by the CEs.

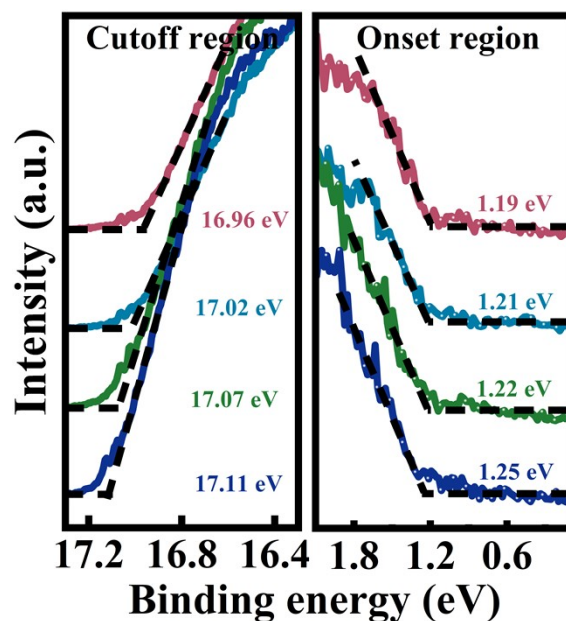


Fig. S14. UPS spectra of different perovskite films.

The passivation treatment of F/N-GQDs may also influence work function of perovskite films and the interface energy level alignment in the devices, where the energy level alignment is another key factor in determining the charge transport behaviors.

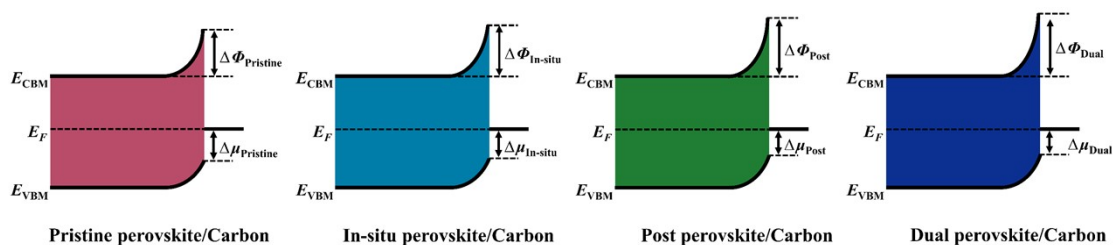


Fig. S15. Schematic illustration of the Perovskite/Carbon interface.

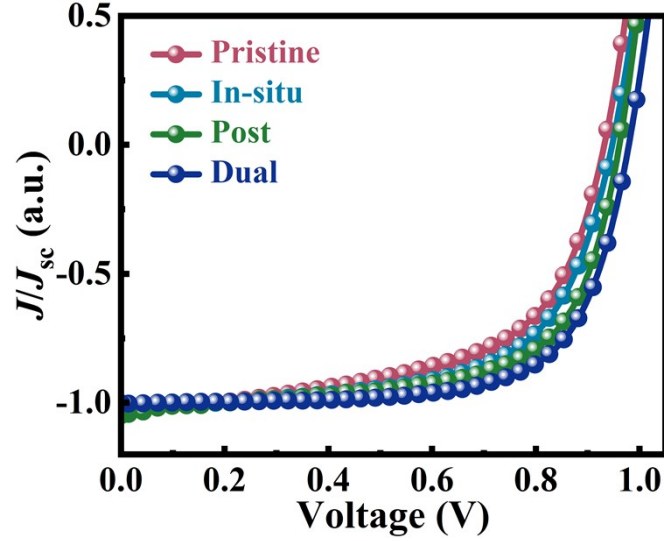


Fig. S16. The J - V curves are normalized by J_{sc} values.

The high field and the low field represents the short circuit (SC) and the open circuit (OC) condition, respectively, to compare the field dependent behavior from SC to MPP. At SC, the steep slope suggests that the charge collection is strongly field dependent.

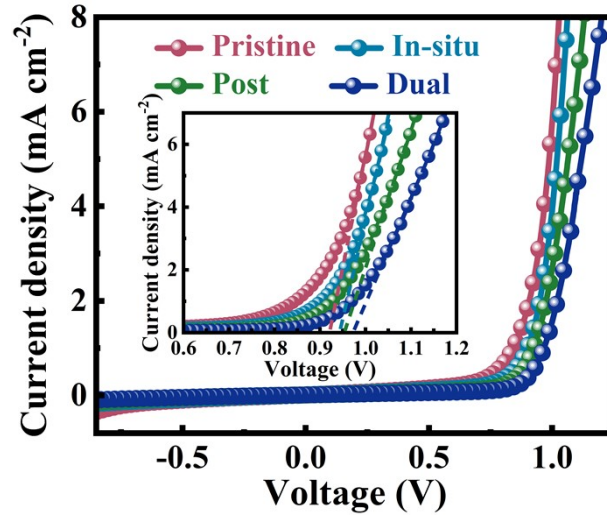


Fig. S17. The curve of dark J - V .

Based on the intercept between the linear part of the curves and the horizontal axis, the values of V_{oc} can be estimated to be 0.923 V (Pristine device), 0.944 V (In-situ device), 0.957 V (Post device) and 0.976 V (Dual device), respectively, confirming that the passivated devices have a higher intrinsic V_{oc} ¹.

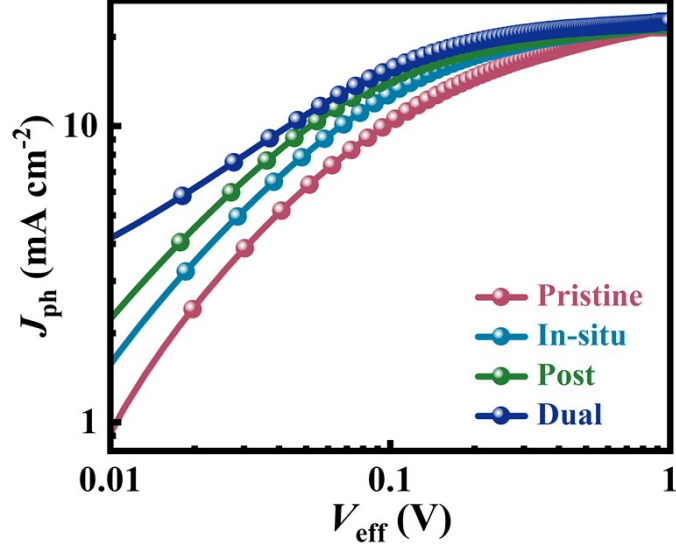


Fig. S18. Plots of J_{ph} with respect to the V_{eff} .

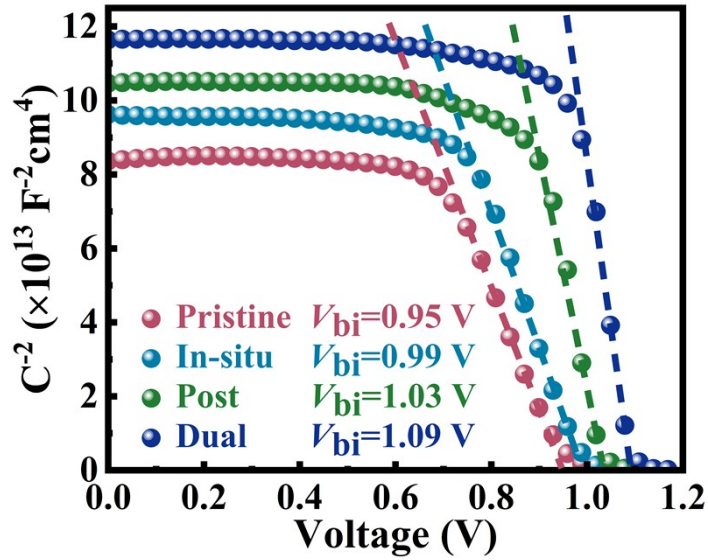


Fig. S19. Mott-Schottky plots of devices based on different perovskite layers.

The C - V measurements under dark conditions was analyzed using Mott-Schottky equation:

$$\frac{1}{C^2} = \frac{2}{q\epsilon\epsilon_0 N} \left(V - V_{bi} - \frac{K_B T}{q} \right)$$

where the built-in potential (V_{bi}) can be extracted from the intercept of C^{-2} versus the applied voltage V , and the charge carrier density can be obtained from the slope, thereby revealing the accumulation/extraction of charge between the perovskite

layer/CE.

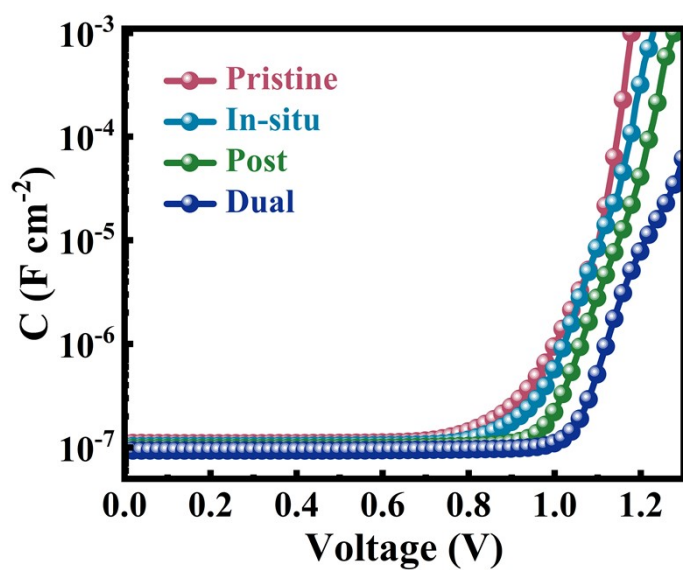


Fig. S20. C - V curves of devices based on different perovskite layers

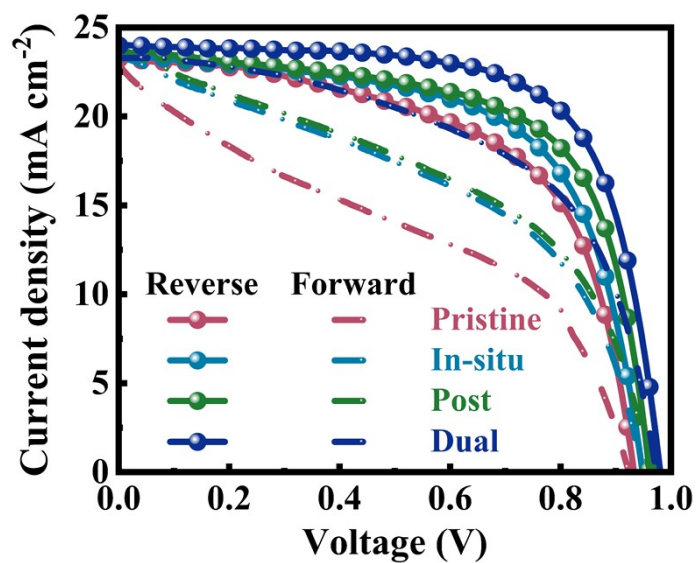


Fig. S21. J - V curves scanned both forward and reverse directions of devices based on different perovskite films.

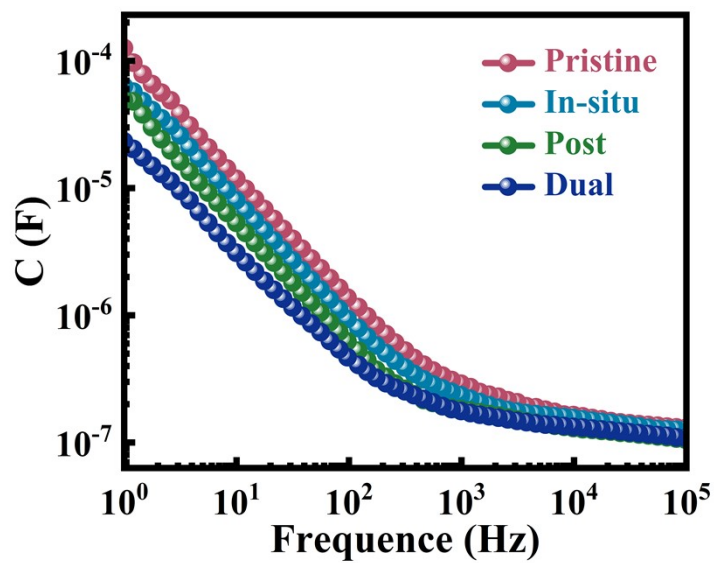


Fig. S22. C - F curves of devices measured at a reverse potential of 0.8 V

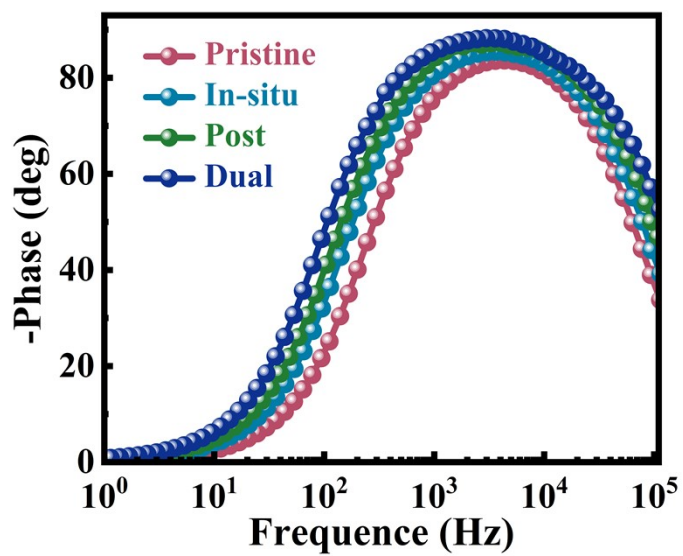


Fig. S23. Bode curves of devices measured at a reverse potential of 0.8 V

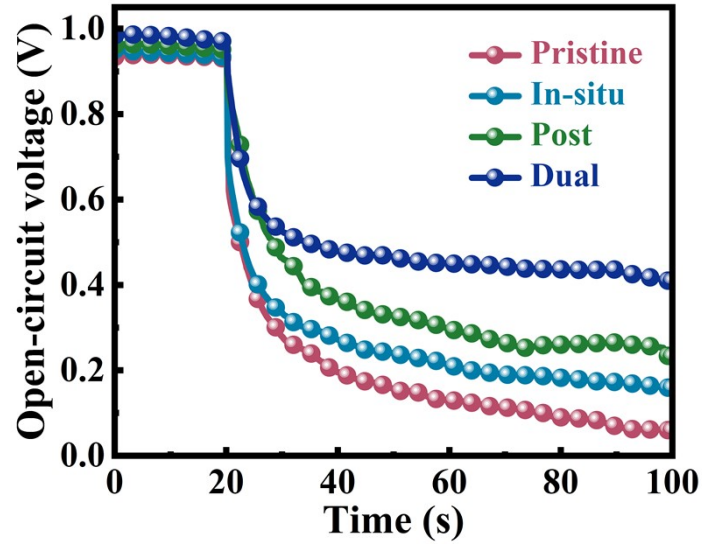


Fig. S24. OCVD of devices based on different perovskite films.

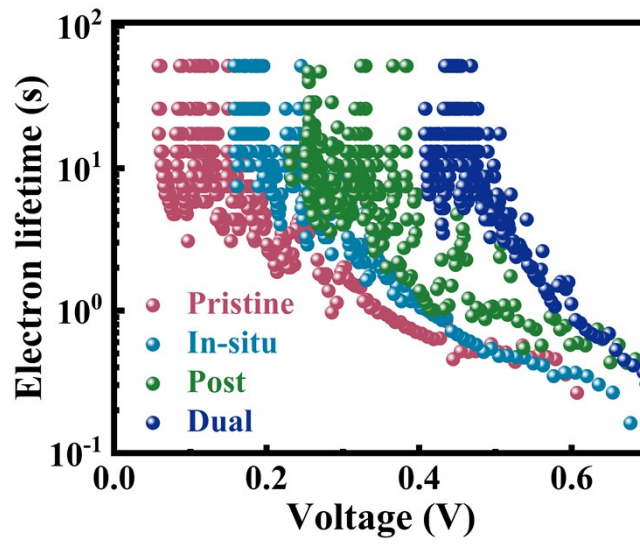


Fig. S25. Electron lifetime curves of devices based on different perovskite films.

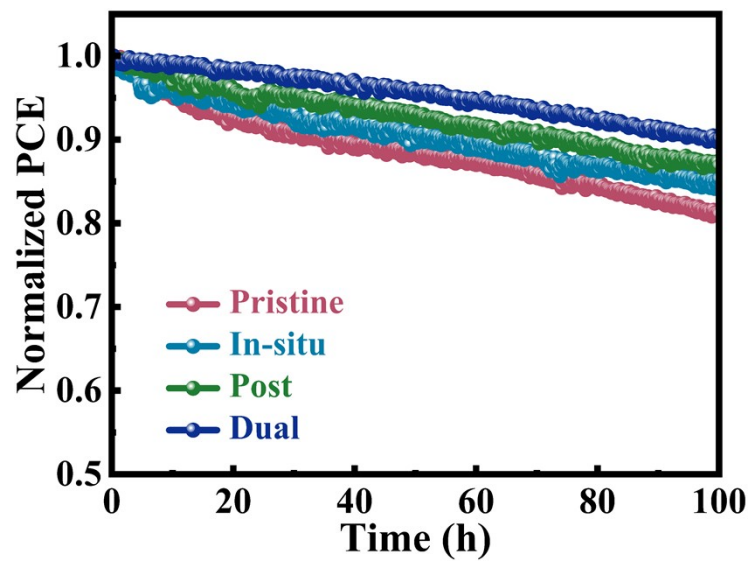


Fig. S26. The long-term stability of unpackaged C-PSCs under continuous MPP tracking.

3 Supporting Tables

| Sample | A_1 (%) | τ_1 (ns) | A_2 (%) | τ_2 (ns) | τ_{AVE} (ns) |
|----------|-----------|---------------|-----------|---------------|-------------------|
| Pristine | 89.01 | 37.60 | 10.99 | 218.68 | 113.28 |
| In-situ | 86.58 | 51.90 | 13.42 | 241.52 | 131.36 |
| Post | 86.75 | 68.31 | 13.25 | 254.48 | 135.83 |
| Dual | 74.18 | 71.11 | 25.82 | 283.58 | 194.61 |

Tables S1. TRPL fitting parameters of perovskite films deposited on glass substrate.

| Sample | A_1 (%) | τ_1 (ns) | A_2 (%) | τ_2 (ns) | τ_{AVE} (ns) |
|----------|-----------|---------------|-----------|---------------|-------------------|
| Pristine | 44.27 | 27.54 | 55.73 | 107.27 | 93.76 |
| In-situ | 55.20 | 18.78 | 44.80 | 93.44 | 78.62 |
| Post | 59.78 | 10.01 | 40.22 | 83.74 | 72.62 |
| Dual | 58.63 | 9.02 | 41.37 | 50.25 | 41.89 |

Tables S2. TRPL fitting parameters of perovskite films covered by the CEs.

| Device | | V_{oc} (V) | J_{sc} (mA cm ⁻²) | FF (%) | PCE (%) |
|----------|---------|--------------|---------------------------------|------------|------------|
| Pristine | Best | 0.935 | 22.88 | 60.17 | 12.87 |
| | Average | 0.932±0.005 | 22.46±0.30 | 56.39±2.44 | 11.80±0.59 |
| In-situ | Best | 0.949 | 22.96 | 64.36 | 14.04 |
| | Average | 0.947±0.004 | 22.80±0.24 | 61.63±1.62 | 13.30±0.39 |
| Post | Best | 0.963 | 23.05 | 66.56 | 14.77 |
| | Average | 0.956±0.006 | 23.04±0.27 | 63.51±1.49 | 13.99±0.37 |
| Dual | Best | 0.983 | 23.88 | 69.88 | 16.37 |
| | Average | 0.977±0.006 | 23.37±0.27 | 67.12±1.78 | 15.34±0.50 |

Tables S3. Summary of the performance photovoltaic parameters of different devices.

1 **4 Supporting Notes**

2 **Note S1.** Williamson-Hall analysis

3 The lattice strain and crystallite size of perovskite films were calculated from XRD
4 patterns using the Williamson-Hall analysis²:

$$5 \quad \beta \cos \theta = 4\varepsilon \sin \theta + \frac{K\lambda}{D} \quad (9)$$

6 Where β is the full width at half maximum (FWHM) of XRD peaks, θ is diffraction
7 angle, ε is the slope of the straight line, representing lattice strain, K is shape factor
8 (0.9), λ is wavelength of X-ray source (0.15418 nm) and D is crystallite size. By linear
9 fitting $\beta \cos \theta$ as a function of $4 \sin \theta$, the lattice strain and the crystallite size can be

10 derived from the slope (ε) and intercept ($\frac{K\lambda}{D}$) of the fitted line, respectively.

11 **Note S2.** Urbach energy calculation

12 Urbach energy (E_u) can be calculated from the UV-vis absorption spectra of the
13 films with this formula³:

$$14 \quad \alpha = \alpha_0 \exp(h\nu/E_u) \quad (6)$$

15 Where α is absorption coefficient (cm^{-1}), α_0 is a constant and $h\nu$ is photon energy
16 (eV).

17 **Note S3.** Space-charge-limited-current (SCLC) analysis

18 Space charge limited current (SCLC) measurements were performed on hole-only
19 device based on the FTO/NiO/MAPbI₃/CE structure and electron-only device based on
20 the FTO/SnO₂/MAPbI₃/PCBM/CE structure, the hole/electron trap state density (N_{trap})
21 and carrier mobility (μ) of the pristine and F/N-GQDs passivated perovskite films were
22 quantitatively analyzed. Among them, NiO was prepared and spin-coated following the
23 literature⁴. The N_{trap} of perovskite film can be measured using the following equation⁵:

$$24 \quad N_{\text{trap}} = \frac{2\varepsilon_0\varepsilon_r V_{\text{TFL}}}{qL^2} \quad (1)$$

25 Where ε_0 , ε_r , and L are vacuum permittivity, relative permittivity, and the thickness
26 of the perovskite film, respectively, and q is elemental charge. And μ of the four kinds

1 of perovskite films were calculated using the Mott-Gurney equation⁶:

$$\mu = \frac{8L^3 J_D}{9\epsilon\epsilon_0 V^2} \quad (2)$$

2 Where J_D is current density and V is bias voltage.

3 **Note S4.** Thermal admittance spectroscopy (TAS) analysis

4 The trap density (N_T) can be estimated from the angular frequency dependent
5 capacitance using the following equation:

$$N_T(E_\omega) = \frac{V_{bi} dC \omega}{qWd\omega k_B T} \quad (7)$$

6 Where V_{bi} denotes built-in potential, W is depletion width, C is capacitance, ω is
7 frequency, k_B is Boltzmann constant, and T is temperature. V_{bi} and W are obtained from
8 the Mott-Schottky plots. The applied angular frequency ω defines the energetic
9 demarcation, according to the following equation⁷:

$$E_\omega = k_B T \ln\left(\frac{\omega_0}{\omega}\right) \quad (8)$$

10 Where ω_0 is attempt-to-escape frequency.

11 **Note S5.** Time-resolved photoluminescence (TRPL) measurements and the calculation
12 of carrier lifetimes

13 The TRPL decay curves were fitted using the following biexponential decay
14 function (ExpDec2)⁸:

$$f(t) = A + B_1 \exp(-t/\tau_1) + B_2 \exp(-t/\tau_2) \quad (3)$$

15 where τ_1 and τ_2 represent the carrier lifetimes for fast and slow recombination
16 processes, and B_1 , B_2 and A are fitting parameters, respectively. The average carrier
17 lifetime (τ_{AVE}) can be calculated according to the following formula⁸:

$$\tau_{AVE} = \frac{\sum B_i \tau_i^2}{\sum B_i \tau_i} \quad (4)$$

18 **Note S6.** Calculation of carrier diffusion length (L_D)

19 For PSCs, L_D is another key factor in characterizing the carrier transport ability,
20 which. We quantified hole diffusion lengths in the perovskite films. L_D can be
21

1 estimated according to the 1D diffusion equation⁹:

$$\frac{\tau}{\tau_0} = 1 + \frac{\pi^2}{4} \times \left(\frac{L_D}{L}\right)^2 \quad (5)$$

3 where L_D is the diffusion length, τ and τ_0 represent the carrier lifetime of the
4 perovskite film without and with a carbon layer, respectively.

5 **Note S7.** Ultraviolet photoelectron spectroscopy (UPS) analysis

6 The UPS analyse was performed by Thermo Fisher, Escalab 250Xi. He I ($h\nu =$
7 21.22 eV) emission source is employed. Figure S14 (left panel) shows the secondary
8 electron cutoff spectra of all the perovskite films, from which the work function (WF)
9 can be obtained using the equation¹⁰:

$$\text{WF} = h\nu - E_{\text{cutoff}}$$

11 where $h\nu$ is the photoelectron energy of He I light, and E_{cutoff} is the secondary
12 electron cutoff. The valence band maximum (VBM) and conduction band minimum
13 (CBM) of the perovskite films sample can be calculated by the

$$\text{VBM} = 21.22 \text{ eV} - (E_{\text{cutoff}} - E_{\text{onset}}) \quad (10)$$

$$\text{CBM} = \text{VBM} + E_g \quad (11)$$

16 where the E_g is the bandgap calculated by UV-vis measurements.

17 **Note S8.** Analysis of the slopes of the normalized J - V curves at each voltage

18 Ideally, under OC conditions, an almost vertical steep curve represents a rapid
19 transition from MPP to OC, indicating efficient photocurrent collection. The increased
20 charge collection at OC is also reflected as charge collection at SC that is less field
21 dependent, since the highly steep slope near OC indicates desirable charge collection
22 efficiency even at the low-field regime, showing a field independent charge collection
23 property¹¹. At SC, the charge collection relies on electron-hole pair separation (exciton
24 dissociation) followed by transport drifting by the internal field. Their slopes at SC
25 remains nearly unchanged, indicating similar drift transport for all films because of the
26 presence of a large internal field. In contrast, at OC, the internal field is low and the
27 charge collection relies more on carrier diffusion. Consequently, any potential insulator

1 or trap states in the active layer or at the interfaces would appreciably deteriorate the
 2 charge collection efficiency¹². At the same time, a high V_{oc} value is also an indication
 3 of low nonradiative recombination at OC.

4 **Note S9.** Photocurrent density (J_{ph}) versus effective voltage (V_{eff}) analysis

5 J_{ph} and V_{eff} are defined as follows:

$$6 \quad J_{ph} = J_L - J_D \quad (13)$$

$$7 \quad V_{eff} = V_0 - V \quad (14)$$

8 where J_L and J_D are the current densities under AM 1.5G 100 mW cm⁻²
 9 illumination and in the dark, respectively. V is applied voltage, and V_0 is voltage when
 10 $J_{ph}=0$. In the lower V_{eff} region, the J_{ph} of the four devices increases sharply with the
 11 increase of V_{eff} , while in the higher V_{eff} region, J_{ph} gradually reaches J_{sat} , indicating that
 12 almost all of the excitons can be dissociated into free carriers, and no charge
 13 recombination occurs before being collected by the electrode. Generally, the saturation
 14 current density (J_{sat}) is related to the maximum exciton generation rate (G_{max}), the G_{max}
 15 of the devices were assessed using the equation of $G_{max} = J_{sat}/qL$ ¹³, where the q and L
 16 denote the electronic charge (1.6×10^{-19} C) and the thicknesses of the film (425 nm in
 17 this study), respectively. The values of G_{max} for the Pristine, In-situ, Post, and Dual
 18 devices are 1.45×10^{28} , 1.54×10^{28} , 1.78×10^{28} , and 2.24×10^{28} m⁻³ s⁻¹, respectively.

19 **Note S10.** Calculations of R_s , A and J_0 from J - V curves

20 A planar structured PSC can be treated as a single junction diode with a large shunt
 21 resistance, and its J - V curve can be described as¹⁴:

$$22 \quad J = J_{sc} - J_0 \left\{ \exp\left[\frac{e(V + JR_s)}{AK_B T}\right] - 1 \right\} \quad (15)$$

23 where J is the current flow through the external load, J_{sc} is photo-induced current
 24 density, J_0 is dark saturate current density, V is applied voltage, A is ideality factor, K_B
 25 is Boltzmann constant, T is temperature, and e is electron charge. Equation (11) can

1 also be transformed into the following equation¹⁴:

$$2 \quad \frac{dV}{dJ} = \frac{AK_B T}{e} (J_{sc} - J)^{-1} + R_s \quad (16)$$

3 A and R_s can be obtained from the slope of the $-dV/dJ$ vs $(J_{sc}-J)^{-1}$ curves and the
4 intersection of the curves with the ordinate. Based on to equation (16), V_{oc} can be
5 expressed as in the following equation¹⁴:

$$6 \quad V_{oc} = \frac{AK_B T}{e} \ln \left(\frac{J_{sc}}{J_0} + 1 \right) \quad (17)$$

7 The above equation shows that J_0 and A can be obtained by fitting the linear part
8 in region II of the dark J - V curves.

9 **Note S11.** Open-circuit photovoltage decay (OCVD) measurements

10 First, the device was illuminated at open-circuit for 20 s to achieve the
11 photostationary state, and when the light was instantaneously switched off, the
12 photocarriers underwent rapid recombination, the recombination process can be clearly
13 reflected through the OCVD measurements. And the corresponding electron lifetime
14 (τ_n) of the devices also can be extracted based on the following formula¹⁵:

$$15 \quad \tau_n = \frac{K_B T}{e} \left(\frac{dV_{oc}}{dt} \right)^{-1} \quad (12)$$

16 where K_B is the Boltzmann constant, T is the absolute temperature, e is the electron
17 charge, and dV_{oc}/dt is the derivative of the open-circuit photovoltage transient.

18

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