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Supporting Information

Doping-induced grain refinement contributes to enhanced

thermoelectric performance of *n*-type PbSe at room temperature

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Experimental section

Sample synthesis

High-purity Pb (99.999%, particles, Zhongnuo), Se (99.999%, particles, Zhongnuo), Ge (99.999%, particles, Zhongnuo), Cu (99.999%, particles, Zhongnuo), and S (99.999%, particles, Zhongnuo) were weighed according to the nominal composition $(Pb_{0.995}Sb_{0.005}Se)_{1-x}(GeS)_x$ (x = 0.012) and $Cu_y(PbSe)_{0.9}(GeS)_{0.1}$ (y = 0.002-0.005) and placed into quartz tubes, which were flame-welded at 10⁻⁴ Torr. These mixed particles were treated by melting–quenching by slowly raising to 1423 K, holding for 6 hours and subsequently quenching and cooling using ice-water. To enhance the stability of the samples, the quenched ingots were annealed at 873 K for 48 hours. The obtained products were hand-ground in an agate motar for 10 minutes to a fine powder, and then densified by the hot pressing (HP) method at 923 K under a uniaxial pressure of 45 MPa for 25 minutes. After hot pressing, some cylindrical samples with a relative density of at least 96% and a diameter of 12.7 mm were obtained.

Materials Performance Characterization

The powder X-ray diffraction patterns were recorded with Cu $K\alpha$ radiation. Scanning electron microscope (SEM) equipped with energy-dispersive spectroscopy (EDS) was used to characterize surface morphology and qualitative and quantitative analysis of composition. The electrical conductivity σ and Seebeck coefficient *S* were measured by CTApro measurement system (Beijing Cryoall Science and Technology Co., Ltd. China). The Hall coefficient, which was closely related to carrier concentration and mobility, was measured using the van der Pauw technique under a reversible magnetic field of 1.5 T. The thermal conductivity (κ_{total}) was calculated by $\kappa=dC_pD$, where *D* is the thermal diffusivity measured by a laser flash technique with the Netzsch LFA467 system, C_p is the heat capacity estimated by $C_p(k_B/atom)=3.07+4.7(T/K-300)/10000.^{1.2}$ Ignoring the bipolar thermal conductivity (κ_{ei}) from the κ_{total} , the κ_e was calculated by the Wiedemann-Franz relationship, $\kappa_e = LT/\rho$, where *L* is the Lorentz number.³ *L* was derived with the single parabolic band (SPB) model.⁴

Computational details

Electrical transport modeling

The density of state mass m^{*} and Lorenz number L were calculated based on single parabolic band (SPB) model by the following equations⁵:

$$S = \frac{k_b}{e} \left[\frac{(r+5/2)F_{r+3/2}(\eta)}{(r+3/2)F_{r+1/2}(\eta)} - \eta \right]$$
 (eq. S1)
$$n_H = \frac{4\pi}{A} \left[\frac{2m^* k_B T}{h^2} \right]^{3/2} F_{1/2}(\xi)$$
 (eq. S2)

$$A = \frac{3}{2}F_{1/2}(\eta)\frac{F_{-1/2}}{2F_0^2}$$

(eq. S3)

$$L = \frac{\kappa_B^2 3F_0 F_2 - 4F_1^2}{e^2 F_0^2}$$
 (eq. S4)
$$F_n(\xi) = \int_a^\infty \frac{x^n}{1 + e^{(x-\xi)}} dx$$

(eq. S5)

Here, $k_{\rm B}$ is the Boltzmann constant, $F_{\rm n}$ is the Fermi integral, and the reduced chemical potential is given by $\xi = E_F/(k_{\rm B}T)$, where E_F is the Fermi energy.

Thermal transport modeling

The lattice thermal conductivity (κ_L) of alloys was calculated by the modified Debye-Callaway model,⁶ which can be expressed by the equation (6).

$$\kappa_{\text{lat}} = \frac{K_B}{2\pi^2 v} \left(\frac{K_B}{\hbar}\right)^3 \int_0^{\Theta/T} \tau_{tot}(x) \frac{x^4 e^x}{\left(e^x - 1\right)^2} dx$$

(eq. S6)

Here, v is the average speed of phonon, \hbar is the reduced Planck constant, Θ is the Debye temperature, x is the relation of $\hbar\omega/k_{\rm B}T$, ω is the phonon frequency, and $\tau_{\rm tot}$ is the total phonon scattering relaxation time. $\tau_{\rm tot}$ can be attributed to scattering from various mechanisms such as normal (N) and Umklapp (U) processes, point defects (PD), nanoprecipitates (NP), boundaries (B), dislocation cores (DC), and dislocation strains (DS) according to the Matthiessen's equation $\tau_{\rm tot}^{-1} = \tau_U^{-1} + \tau_N^{-1} + \tau_{NP}^{-1} + \tau_B^{-1} + \tau_{DS}^{-1} + \tau_{DC}^{-1}$. The phonon scattering relaxation time for

respective mechanism can be expressed as follows:

Umklapp phonon scattering

$$\tau_{U}^{-1} = A_{N} * \frac{2K_{B}\overline{V}^{1/3}\omega^{2}\gamma^{2}T}{(6\pi^{2})^{\frac{1}{3}}\overline{M}v^{3}}$$

Normal phonon scattering \overline{x}

$$\tau_N^{-1} = \frac{2K_B V^{1/3} \omega^2 \gamma^2 T}{(6\pi^2)^3 \overline{M} v^3}$$
(eq. S8)

Point defect phonon scattering

$$\tau_{PD}^{-1} = \frac{\overline{V}_{\omega}^{4}}{4\pi^{3}v^{3}} * \sum (1 - x_{i}) \left[\left(\frac{M_{i} - M}{M}\right)^{2} + \varepsilon \left(\frac{a_{i} - a}{a}\right)^{2} \right]$$

(eq. S9)

(eq. S7)

Precipitates scattering $\tau_{NP}^{-1} = v(\sigma_s^{-1} + \sigma_L^{-1})^{-1}V_p$

(eq. S10)

Boundaries scattering⁷

$$\tau_B^{-1} = \frac{v}{d}$$

(eq. S11)

Dislocation scattering that includes both dislocation core (τ_{DC}) and dislocation strain (τ_{DS}) scattering. $\tau_{DC}^{-1} = N_D \frac{\overline{V}^{4/3}}{v^2} \omega^3$

$$\tau_{DS}^{-1} = A' N_D \gamma^2 B_D^2 \omega \left\{ \frac{1}{2} + \frac{1}{24} \left(\frac{1-2\nu}{1-\nu} \right)^2 \left[1 + \sqrt{2} \left(\frac{\nu_L}{\nu_T} \right)^2 \right] 2 \right\}$$
(eq. S12)
(eq. S13)

In above equations, \overline{V} is the average atomic volume, \overline{M} is the average atomic mass, γ is the Grüneisen parameter, A_N is the ratio between normal process and Umklapp phonon scattering, N_D is the number of dislocations (or stacking faults) crossing a line of unit length, B_D is the magnitude of the Burgers vector of the dislocation.

Supplementary Figures

Figure S1. Crystal structure. Room temperature lattice parameters for (a) $(Pb_{0.995}Sb_{0.005}Se)_{1-x}(GeS)_x$ and (b) $Cu_y(PbSe)_{0.9}(GeS)_{0.1}$ samples.



Figure S2. The back-scattered electron image and corresponding energy dispersive x-ray spectroscopy (EDS) mapping for (a) Pb_{0.995}Sb_{0.005}Se and (b) (Pb_{0.995}Sb_{0.005}Se)_{0.9}(GeS)_{0.1} samples.







Figure S3. The grain boundary of Cu_{0.004}(PbSe)_{0.9}(GeS)_{0.1} sample and corresponding EDS line scan.



Figure S4. (a) The carrier concentration *n* and carrier mobility $\mu_{\rm H}$ in room temperature. (b) The temperature dependent lattice thermal conductivity $\kappa_{\rm L}$.

Figure S5. The room-temperature Hall carrier mobility and concentration of $Cu_y(PbSe)_{0.9}(GeS)_{0.1}$ compared with $(Pb_{0.995}Sb_{0.005}Se)_{0.9}(GeS)_{0.1}$.





Figure S6. The thermal diffusivity and heat capacity of $Cu_y(PbSe)_{0.9}(GeS)_{0.1}$ and $(Pb_{0.995}Sb_{0.005}Se)_{1-x}(GeS)_x$.



Figure S7. The Grain size frequency distribution histogram of $(Pb_{0.995}Sb_{0.005}Se)_{0.9}(GeS)_{0.1}$ and $Cu_{0.004}(PbSe)_{0.9}(GeS)_{0.1}$.

Figure S8. The thermoelectric properties of $(PbSe)_{0.9}(GeS)_{0.1}$: (a) electrical conductivity, σ ; (b) Seebeck coefficient, *S*; (c) total, electrical and lattice thermal conductivity, κ_{tot} , κ_{ele} and κ_{lat} ; (d) figure of merit, *zT*.



Supplementary Tables

Parameters	Values
Lattice constant a, b and c (Å)	<i>a,b,c</i> =6.085
Average atomic mass $M(kg)$	2.2274×10 ⁻²⁵
Average atomic mass volume V_0 (m ³)	2.8625×10 ⁻²⁹
Boltzmann constant K_B (J/K)	1.38×10 ⁻²³
Grüneisen parameter γ	1.78
Point defect scattering parameter Γ	1.9477×10 ⁻¹
Average sound velocity $v(m/s)$	26149
Longitudinal velocity v_L (m/s)	31509
Transverse velocity v_T (m/s)	1600 ⁹
Debye temperature $\mathcal{O}(\mathbf{K})$	13910
Ratio of N- to U- processes β	411
Phenomenological parameter ε_i	6412

 Table S1. Parameters adopted in the Debye-Callaway Model Simulation.

Sample	Density (g cm ⁻³)
Cu _{0.002} (PbSe) _{0.9} (GeS) _{0.1}	7.711
Cu _{0.003} (PbSe) _{0.9} (GeS) _{0.1}	7.701
Cu _{0.004} (PbSe) _{0.9} (GeS) _{0.1}	7.664
Cu _{0.005} (PbSe) _{0.9} (GeS) _{0.1}	7.651
Pb _{0.995} Sb _{0.005} Se	8.282
$(Pb_{0.995}Sb_{0.005}Se)_{0.98}(GeS)_{0.2}$	8.134
$(Pb_{0.995}Sb_{0.005}Se)_{0.96}(GeS)_{0.4}$	8.057
$(Pb_{0.995}Sb_{0.005}Se)_{0.94}(GeS)_{0.6}$	7.996
$(Pb_{0.995}Sb_{0.005}Se)_{0.92}(GeS)_{0.8}$	7.921
$(Pb_{0.995}Sb_{0.005}Se)_{0.9}(GeS)_{0.1}$	7.819
$(Pb_{0.995}Sb_{0.005}Se)_{0.88}(GeS)_{0.12}$	7.769

Table S2. The density of $Cu_{\nu}(PbSe)_{0.9}(GeS)_{0.1}$ and $(Pb_{0.995}Sb_{0.005}Se)_{1-x}(GeS)_{x}$.

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