Electronic supplementary information

## Iridium-Catalyzed Asymmetric Cascade Dearomative Allylation/Acyl

# **Transfer Rearrangement: Access to Chiral N-Substituted 2-Pyridones**

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#### 1. General remarks

<sup>1</sup>H NMR spectra were recorded on a Bruker 400 MHz spectrometer in CDCl<sub>3</sub>. Chemical shifts are reported in ppm with the internal TMS signal at 0.0 ppm as a standard. The data are reported as (s = single, d = double, t = triple, q = quarte, m = multiple or unresolved, br s = broad single, coupling constant(s) in Hz, integration). <sup>13</sup>C NMR spectra were recorded on a Bruker 101 MHz spectrometer in CDCl<sub>3</sub>. Chemical shifts are reported in ppm with the internal chloroform signal at 77.0 ppm as a standard. <sup>19</sup>F NMR spectra were recorded on a Bruker 376 MHz spectrometer in CDCl<sub>3</sub>. Chemical shifts are reported in ppm with the internal CF<sub>3</sub>COOH signal at -76.55 ppm. High resolution mass spectra (HR-MS) were recorded on a LTQ-Orbitrap Elite mass spectrometer with CH<sub>3</sub>CN/MeOH as solvent mixture for the measurements. Commercially obtained reagents were used without further purification. Solvents were purified prior to use according to the standard methods. Unless otherwise noted, all reactions were carried out under nitrogen atmosphere. Enantiomeric excess was determined by chiral-phase HPLC analysis in comparison with authentic racemic materials. Optical rotations were measured on a Rudolph Research Analytical Autopol VI polarimeter with  $[\alpha]_D$  values reported in degrees; concentration (c) is in g/100 mL. Chiral ligands,<sup>4,5</sup> dbcot,<sup>6</sup> and [Ir\*]-1-4 complexes<sup>7,8</sup> were prepared according to the literature procedure. The absolute configuration of product 7 was determined by comparison of optical rotation data with the literature,<sup>9</sup> the absolute configurations of others were assigned by analogy.

### 2. Preparation of aryl 2- pyridyl esters<sup>1,2</sup>

$$R = \frac{1}{V}$$
 +  $Ph OH OH DCM, rt$   $R = \frac{1}{V}$   $N O Ph$ 

To a round-bottomed flask with the carboxylic acid (1.0 equiv., 10 mmol) were added pyridine 2ol (1.0 equiv., 10 mmol), DMAP (0.2 equiv.), EDC·HCl (1.3 equiv.) and DCM (10 mL), The reaction mixture was monitored by TLC. When the starting material was consumed, the reaction was quenched with sat. aq. NaHCO<sub>3</sub> and extracted three times with DCM. The combined organic layer was washed with brine, dried over Na<sub>2</sub>SO<sub>4</sub>, and then filtered. The filtrate was concentrated in vacuo and the residue was purified by column chromatography to afford the corresponding aryl 2pyridyl esters.

The characterization data of new compounds are given as following:

5-fluoropyridin-2-yl benzoate (1b): yield (92%); colorless oil.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 8.28 – 8.25 (m, 1H), 8.22 – 8.18 (m, 2H), 7.65 – 7.60 (m, 1H), 7.56 – 7.46 (m, 3H), 7.22 – 7.16 (m, 1H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 164.6, 157.9 (d, *J* = 253.7 Hz), 153.7 (d, *J* = 2.2 Hz), 135.9

(d, *J* = 26.4 Hz), 133.9, 130.2, 128.6, 128.5, 126.4 (d, *J* = 21.0 Hz), 117.4 (d, *J* = 5.1 Hz).

<sup>19</sup>F NMR (376 MHz, Chloroform-*d*) δ -130.40 - -130.43 (m).

**HRMS** (ESI+) Calcd. For C<sub>12</sub>H<sub>9</sub>FNO<sub>2</sub><sup>+</sup> ([M+H]<sup>+</sup>): 218.0612, found: 218.0613.

5-nitropyridin-2-yl benzoate (1c): yield (35%); white solid; m.p. 122 °C.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 9.30 (d, *J* = 2.8 Hz, 1H), 8.67 – 8.54 (m, 1H), 8.29 – 8.18 (m, 2H), 7.73 – 7.66 (m, 1H), 7.58 – 7.51 (m, 2H), 7.49 – 7.42 (m, 1H).

<sup>13</sup>**C NMR** (101 MHz, Chloroform-*d*) δ 163.8, 161.7, 145.0, 142.7, 134.9, 134.5, 130.5, 128.8, 128.0, 116.9.

HRMS (ESI+) Calcd. For C<sub>12</sub>H<sub>8</sub>N<sub>2</sub>O<sub>4</sub>Na<sup>+</sup> ([M+Na]<sup>+</sup>): 267.0376, found: 267.0377.



methyl 2-((4-methoxybenzoyl)oxy) nicotinate (1d): yield (90%); colorless oil.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-d) δ 8.61 (dd, *J* = 4.7, 1.5 Hz, 1H), 8.45 (d, *J* = 7.8, 1.4 Hz, 1H),

8.19 (d, *J* = 8.3 Hz, 2H), 7.42 – 7.36 (m, 1H), 7.00 (d, *J* = 8.4 Hz, 2H), 3.89 (s, 3H), 3.77 (s, 3H).

<sup>13</sup>**C NMR** (101 MHz, Chloroform-*d*) δ 164.5, 164.0, 163.9, 157.1, 152.2, 141.7, 132.5, 122.2, 121.2, 119.3, 113.8, 55.4, 52.5.

**HRMS** (ESI+) Calcd. For  $C_{15}H_{13}NO_5Na^+$  ([M+Na]<sup>+</sup>): 310.0686, found: 310.0684.

$$R + \bigcup_{\substack{N \\ H}} O + Ph CI \xrightarrow{Na_2CO_3, nBu_4NHSO_4} R + \bigcup_{\substack{N \\ H}} O + Ph CI \xrightarrow{Na_2CO_3, nBu_4NHSO_4} R + \bigcup_{\substack{N \\ H}} O + Ph O + P$$

To a solution of pyridine 2-ol (4 mmol, 1.0 equiv.) in  $CH_2Cl_2$  (10 mL) was added <sup>n</sup>Bu<sub>4</sub>NHSO<sub>4</sub> (0.04 mmol, 1.0 mol %) and Na<sub>2</sub>CO<sub>3</sub> (10 mmol, 2.5 equiv.), then stirred for 10 min at room temperature. Acid chloride (6 mmol, 1.5 equiv.) was added dropwise, the resulting mixture was stirred for 12 hours at room temperature. The reaction mixture was filtered and extracted with  $CH_2Cl_2$ , the combined organic layers were washed with brine, dried over Na<sub>2</sub>SO<sub>4</sub> and concentrated under vacuum. the residue was purified by column chromatography to give desired product.

The characterization data of new compounds are given as following:

6-chloropyridazin-3-yl benzoate (1e): yield (26%); white solid; m.p. 91.5 °C.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 8.27 – 8.22 (m, 2H), 7.74 – 7.64 (m, 2H), 7.60 – 7.48 (m, 3H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 164.0, 161.1, 155.3, 134.7, 131.2, 130.7, 128.9, 127.8, 124.4. HRMS (ESI+) Calcd. For C<sub>11</sub>H<sub>7</sub>ClN<sub>2</sub>O<sub>2</sub>Na<sup>+</sup> ([M+Na]<sup>+</sup>): 257.0088, found: 257.0088.

5-formylpyridin-2-yl benzoate (1f): yield (79%); white solid; m.p. 72 °C.

<sup>1</sup>H NMR (400 MHz, Chloroform-*d*) δ 10.14 (s, 1H), 8.95 (d, *J* = 2.4 Hz, 1H), 8.35 (dd, *J* = 8.4, 2.4 Hz, 1H), 8.27 – 8.22 (m, 2H), 7.73 – 7.65 (m, 1H), 7.58 – 7.50 (m, 2H), 7.42 (d, *J* = 8.4 Hz, 1H).
<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 189.2, 164.1, 161.9, 152.0, 139.3, 134.3, 130.5, 130.3, 128.7, 128.4, 117.2.

**HRMS** (ESI+) Calcd. For C<sub>13</sub>H<sub>9</sub>NO<sub>3</sub>Na<sup>+</sup> ([M+Na]<sup>+</sup>): 250.0475, found: 250.0477.

#### 3. General procedure for chiral N-substituted 2-pyridones<sup>[3]</sup>

$$R = \frac{1}{2} + \frac{1}{2} +$$

A flame dried Schlenk tube was cooled to rt and evacuated and backfilled with argon for three times. To this Schlenk tube were added (S,S,S)-[Ir\*]-1 (0.002 mmol, 1 mol%), pyridin-2-yl benzoates 1 (0.20 mmol, 1.0 equiv.), VEC 2 (0.60 mmol, 3.0 equiv.), Cs<sub>2</sub>CO<sub>3</sub> (0.2 mmol, 1.0 equiv.) and DCM:THF = 1:1 (2 mL). The reaction was stirred at 50 °C for 12 hours. Once starting material was consumed (monitored by TLC), the solvent was evaporated under reduced pressure and the residue was purified by column chromatography to give the desired product, which was then directly analyzed by HPLC to determine the enantiomeric excess.

#### 4. Spectral characterization data for the products



(S)-2-(2-oxopyridin-1(2H)-yl)but-3-en-1-yl benzoate (3a): yield (80%); colorless oil;  $[\alpha]^{15}_{D} = -188.1$  (*c* 0.25, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 94% ee (Chiralpak OD-H, *i*-propanol/hexane = 20/80, flow rate 1.0 mL/min,  $\lambda = 230$  nm); t<sub>r</sub> = 10.20 and 12.14 min.

<sup>1</sup>**H NMR (400 MHz, Chloroform-d)** δ 7.95 (dd, *J* = 8.2, 1.4 Hz, 2H), 7.61 – 7.52 (m, 1H), 7.45 – 7.39 (m, 2H), 7.38 (dd, *J* = 7.0, 2.0 Hz, 1H), 7.34 – 7.29 (m, 1H), 6.61 (dd, *J* = 9.2, 1.3 Hz, 1H), 6.23 – 6.18 (m, 1H), 6.11 – 5.99 (m, 2H), 5.50 – 5.35 (m, 2H), 4.75 – 4.63 (m, 2H).

<sup>13</sup>**C NMR** (101 MHz, Chloroform-*d*) δ 165.9, 162.4, 139.3, 134.8, 133.2, 132.5, 129.5, 129.4, 128.4, 120.8, 120.2, 106.1, 64.0, 55.2.

HRMS (ESI+) Calcd. For C<sub>16</sub>H<sub>16</sub>NO<sub>3</sub><sup>+</sup> ([M+H]<sup>+</sup>): 270.1125, found: 270.1125.





(S)-2-(3-methyl-2-oxopyridin-1(2H)-yl)but-3-en-1-yl benzoate (3b): yield (75%); colorless oil;  $[\alpha]^{15}_{D} = -158.8$  (c 0.22, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 95% ee (Chiralpak AD-H, *i*-propanol/hexane = 10/90, flow rate 1.0 mL/min,  $\lambda = 210$  nm); t<sub>r</sub> = 12.72 and 14.27 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 7.98 – 7.92 (m, 2H), 7.59 – 7.51 (m, 1H), 7.45 – 7.38 (m, 2H), 7.29 – 7.22 (m, 1H), 7.18 (d, *J* = 6.6 Hz, 1H), 6.17 – 6.10 (m, 1H), 6.09 – 5.99 (m, 2H), 5.47 – 5.33 (m, 2H), 4.76 – 4.63 (m, 2H), 2.16 (s, 3H).

<sup>13</sup>**C NMR** (101 MHz, Chloroform-*d*) δ 165.9, 162.7, 136.5, 133.2, 132.8, 132.2, 129.8, 129.6, 129.5, 128.4, 119.9, 105.7, 64.1, 55.6, 17.4.

**HRMS** (ESI+) Calcd. For  $C_{17}H_{18}NO_3^+$  ([M+H]<sup>+</sup>): 284.1281, found: 284.1281.



Peak	RetTime	Туре	Width	Area	Height	Area	Peak	RetTime	Туре	Width	Area	Height	Area
#	[min]		[min]	[mAU*s]	[mAU]	%	#	[min]		[min]	[mAU*s]	[mAU]	%
1	12.764	VV	0.5070	9958.22754	296.37408	49.7663	1	12.723	vv	0.5202	2.06043e4	595.39166	97.5652
2	14.183	VB	0.6158	1.00517e4	247.85780	50.2337	2	14.271	VV	0.5795	514.19867	12.63891	2.4348



(S)-2-(4-methyl-2-oxopyridin-1(2H)-yl)but-3-en-1-yl benzoate (3c): yield (74%); colorless oil;  $[\alpha]^{15}_{D} = -141.5$  (*c* 0.29, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 94% ee (Chiralpak OD-H, *i*-propanol/hexane = 15/85, flow rate 1.0 mL/min,  $\lambda = 230$  nm); t<sub>r</sub> = 11.89 and 13 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 7.96 (d, *J* = 7.8 Hz, 2H), 7.60 – 7.52 (m, 1H), 7.47 – 7.40 (m, 2H), 7.29 – 7.22 (m, 1H), 6.41 (s, 1H), 6.09 – 5.94 (m, 3H), 5.46 – 5.35 (m, 2H), 4.75 – 4.61 (m, 2H), 2.17 (s, 3H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 165.9, 162.3, 150.9, 133.7, 133.2, 132.7, 129.6, 129.4, 128.4, 119.9, 119.1, 108.7, 64.1, 54.7, 21.1.

**HRMS** (ESI+) Calcd. For C<sub>17</sub>H<sub>18</sub>NO<sub>3</sub><sup>+</sup> ([M+H]<sup>+</sup>): 284.1281, found: 284.1279.





(S)-2-(5-methyl-2-oxopyridin-1(2H)-yl)but-3-en-1-yl benzoate (3d): yield (72%); colorless oil;  $[\alpha]^{15}_{D} = -124.14$  (*c* 0.25, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 98% ee (Chiralpak OD-H, *i*-propanol/hexane = 10/90, flow rate 1.0 mL/min,  $\lambda$ = 230 nm); t<sub>r</sub> = 22.96 and 24.49 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 8.02 – 7.93 (m, 2H), 7.61 – 7.52 (m, 1H), 7.47 – 7.38 (m, 2H), 7.17 (dd, *J* = 9.3, 2.5 Hz, 1H), 7.13 – 7.10 (m, 1H), 6.56 (d, *J* = 9.2 Hz, 1H), 6.11 – 5.97 (m, 2H), 5.50 – 5.34 (m, 2H), 4.67 (d, *J* = 5.5 Hz, 2H), 2.05 (s, 3H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 165.9, 161.6, 141.9, 133.2, 132.8, 132.0, 129.5, 129.4, 128.4, 120.4, 120.0, 115.0, 64.1, 54.9, 17.2.

**HRMS** (ESI+) Calcd. For C<sub>17</sub>H<sub>18</sub>NO<sub>3</sub><sup>+</sup> ([M+H]<sup>+</sup>): 284.1281, found: 284.1281.

#### HPLC chromatogram of compound 3d





(S)-2-(5-fluoro-2-oxopyridin-1(2H)-yl)but-3-en-1-yl benzoate (3e): yield (66%); colorless oil; m.p. 69.8 °C.  $[\alpha]^{15}_{D} = -209.9$  (*c* 0.28, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 95% ee (Chiralpak OD-H, i-propanol/hexane = 20/80, flow rate 1.0 mL/min,  $\lambda$ = 230 nm); t<sub>r</sub> = 12.55 and 14.22 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 7.96 (dd, *J* = 8.2, 1.4 Hz, 2H), 7.62 – 7.52 (m, 1H), 7.48 – 7.40 (m, 2H), 7.35 – 7.23 (m, 2H), 6.59 (dd, *J* = 10.0, 5.4 Hz, 1H), 6.07 – 5.94 (m, 2H), 5.56 – 5.38 (m, 2H), 4.74 – 4.60 (m, 2H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 165.8, 160.5, 147.2 (d, *J* = 232.0 Hz), 133.3, 132.0, 131.3 (d, *J* = 24.1 Hz), 129.5, 129.2, 128.5, 121.4 (d, *J* = 7.2 Hz), 120.8, 120.1 (d, *J* = 37.5 Hz), 63.8, 55.4.

<sup>19</sup>**F NMR** (376 MHz, Chloroform-*d*) δ -147.45 - -147.49 (m).

HRMS (ESI+) Calcd. For C<sub>16</sub>H<sub>15</sub>FNO<sub>3</sub><sup>+</sup> ([M+H]<sup>+</sup>): 288.1030, found: 288.1027.

HPLC chromatogram of compound 3e





(S)-2-(4-chloro-2-oxopyridin-1(2H)-yl)but-3-en-1-yl benzoate (3f): yield (82%); colorless oil;  $[\alpha]^{15}_{D} = -102.0 \ (c \ 0.26, CH_2Cl_2)$ ; The product was analyzed by HPLC to determine the enantiomeric excess: 95% ee (Chiralpak IA, i-propanol/hexane = 20/80, flow rate 1.0 mL/min,  $\lambda = 210$  nm); t<sub>r</sub> = 9.62 and 12.78 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 8.00 – 7.89 (m, 2H), 7.63 – 7.52 (m, 1H), 7.47 – 7.41 (m, 2H), 7.33 (d, *J* = 7.4 Hz, 1H), 6.64 (d, *J* = 2.3 Hz, 1H), 6.23 (dd, *J* = 7.5, 2.3 Hz, 1H), 6.08 – 5.90 (m, 2H), 5.57 – 5.36 (m, 2H), 4.74 – 4.60 (m, 2H).

<sup>13</sup>**C NMR** (101 MHz, Chloroform-*d*) δ 165.8, 161.3, 146.6, 135.2, 133.3, 132.1, 129.5, 129.2, 128.5, 120.6, 119.2, 107.9, 63.8, 55.3.

**HRMS** (ESI+) Calcd. For C<sub>16</sub>H<sub>15</sub>ClNO<sub>3</sub><sup>+</sup> ([M+H]<sup>+</sup>): 304.0735, found: 304.0734.





(S)-2-(5-bromo-2-oxopyridin-1(2H)-yl)but-3-en-1-yl benzoate (3g): yield (75%); colorless oil;  $[\alpha]^{15}_{D} = -83.1$  (*c* 0.41, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 95% ee (Chiralpak OD-H, i-propanol/hexane = 20/80, flow rate 1.0 mL/min,  $\lambda$  = 230 nm); t<sub>r</sub> = 21.58 and 24.01 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 8.00 – 7.92 (m, 2H), 7.61 – 7.54 (m, 1H), 7.51 (d, *J* = 2.7 Hz, 1H), 7.44 (dd, *J* = 8.5, 7.1 Hz, 2H), 7.34 (dd, *J* = 9.7, 2.7 Hz, 1H), 6.53 (d, *J* = 9.7 Hz, 1H), 6.16 – 5.87 (m, 2H), 5.61 – 5.37 (m, 2H), 4.80 – 4.37 (m, 2H).

<sup>13</sup>**C NMR** (101 MHz, Chloroform-*d*) δ 165.8, 160.7, 142.4, 135.1, 133.3, 132.0, 129.6, 129.2, 128.5, 122.0, 121.0, 98.0, 63.7, 55.5.

**HRMS** (ESI+) Calcd. For  $C_{16}H_{15}BrNO_3^+$  ([M+H]<sup>+</sup>): 348.0230, found: 348.0229.



Peak	RetTime	Туре	Width	Area	Height	Area	Peak	RetTime	Туре	Width	Area	Height	Area
#	[min]		[min]	[mAU*s]	[mAU]	%	#	[min]		[min]	[mAU*s]	[mAU]	%
1	21.378	BV	0.8063	1.38235e4	261.68234	49.5396	1	21.586	BB	0.6987	535.37653	9.01685	2.6042
2	24.002	VB	0.9014	1.40804e4	236.21667	50.4604	2	24.014	BB	0.8898	2.00228e4	340.64465	97.3958



(S)-2-(5-iodo-2-oxopyridin-1(2H)-yl)but-3-en-1-yl benzoate (3h): yield (80%); yellow oil;  $[\alpha]^{15}_{D}$ = -58.7 (*c* 0.25, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 93% ee (Chiralpak OD-H, i-propanol/hexane = 15/85, flow rate 0.8 mL/min,  $\lambda$  = 232 nm); t<sub>r</sub> = 21.6 and 23.93 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 8.00 – 7.93 (m, 2H), 7.65 – 7.54 (m, 2H), 7.49 – 7.38 (m, 3H), 6.43 (d, *J* = 9.5 Hz, 1H), 6.07 – 5.97 (m, 1H), 5.96 – 5.89 (m, 1H), 5.55 – 5.40 (m, 2H), 4.74 – 4.68 (m, 1H), 4.66 – 4.58 (m, 1H).

<sup>13</sup>**C NMR** (101 MHz, Chloroform-*d*) δ 165.8, 160.7, 146.7, 140.3, 133.3, 132.1, 129.6, 129.2, 128.5, 122.6, 121.0, 64.3, 63.7, 55.4.

**HRMS** (ESI+) Calcd. For C<sub>16</sub>H<sub>15</sub>INO<sub>3</sub><sup>+</sup> ([M+H]<sup>+</sup>): 396.0091, found: 396.0089.





(S)-2-(3,5-dibromo-2-oxopyridin-1(2H)-yl)but-3-en-1-yl benzoate (3i): yield (72%); colorless oil;  $[\alpha]^{15}_{D} = -107.0 \ (c \ 0.23, CH_2Cl_2)$ ; The product was analyzed by HPLC to determine the enantiomeric excess: 94% ee (Chiralpak OD-H, i-propanol/hexane = 20/80, flow rate 0.6 mL/min,  $\lambda$  = 222 nm); t<sub>r</sub> = 20.93 and 22.65 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 8.00 – 7.89 (m, 2H), 7.79 (d, *J* = 2.5 Hz, 1H), 7.62 – 7.55 (m, 1H), 7.53 (d, *J* = 2.6 Hz, 1H), 7.45 (dd, *J* = 8.4, 7.1 Hz, 2H), 6.11 – 5.87 (m, 2H), 5.67 – 5.32 (m, 2H), 4.78 – 4.49 (m, 2H).

<sup>13</sup>**C NMR** (101 MHz, Chloroform-*d*) δ 165.7, 157.5, 143.7, 134.6, 133.4, 131.5, 129.6, 129.1, 128.5, 121.6, 117.5, 96.9, 63.5, 57.6.

HRMS (ESI+) Calcd. For C<sub>16</sub>H<sub>14</sub>Br<sub>2</sub>NO<sub>3</sub><sup>+</sup> ([M+Na]<sup>+</sup>): 447.9154, found: 447.9153.

#### HPLC chromatogram of compound 3i





(S)-2-(3-methoxy-2-oxopyridin-1(2H)-yl)but-3-en-1-yl benzoate (3j): yield (76%); colorless oil;  $[\alpha]^{15}_{D} = -84.9$  (*c* 0.25, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 97% ee (Chiralpak OD-H, i-propanol/hexane = 20/80, flow rate 1.0 mL/min,  $\lambda = 230$  nm); t<sub>r</sub> = 15.17 and 18.26 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 7.98 – 7.93 (m, 2H), 7.58 – 7.52 (m, 1H), 7.45 – 7.39 (m, 2H), 7.03 – 6.97 (m, 1H), 6.63 – 6.56 (m, 1H), 6.17 – 6.11 (m, 1H), 6.11 – 6.00 (m, 2H), 5.46 – 5.33 (m, 2H), 4.78 – 4.62 (m, 2H), 3.82 (s, 3H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 166.0, 158.0, 149.9, 133.2, 132.6, 129.6, 129.4, 128.4, 125.5,

120.0, 111.8, 104.9, 64.1, 55.8, 55.5.

**HRMS** (ESI+) Calcd. For C<sub>17</sub>H<sub>18</sub>NO<sub>4</sub><sup>+</sup> ([M+H]<sup>+</sup>): 300.1230, found: 300.1229.

#### HPLC chromatogram of compound 3j





methyl (S)-1-(1-(benzoyloxy)but-3-en-2-yl)-2-oxo-1,2-dihydropyridine-3-carboxylate (3k): yield (60%); colorless oil;  $[\alpha]^{15}_{D} = -103.0$  (*c* 0.21, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: > 99% ee (Chiralpak IA, i-propanol/hexane = 30/70, flow rate 1.0 mL/min,  $\lambda = 230$  nm); t<sub>r</sub> = 15.13, 19.01 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 8.16 (dd, *J* = 7.2, 2.2 Hz, 1H), 7.97 – 7.92 (m, 2H), 7.63 (dd, *J* = 6.8, 2.2 Hz, 1H), 7.59 – 7.54 (m, 1H), 7.47 – 7.39 (m, 2H), 6.33 – 6.23 (m, 1H), 6.14 – 5.96 (m, 2H), 5.56 – 5.37 (m, 2H), 4.86 – 4.61 (m, 2H), 3.91 (s, 3H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 165.8, 165.7, 159.1, 144.8, 140.2, 133.3, 132.2, 129.6, 129.2, 128.5, 120.9, 120.8, 104.8, 63.6, 56.4, 52.4.

**HRMS** (ESI+) Calcd. For  $C_{18}H_{18}NO_5^+$  ([M+H]<sup>+</sup>): 328.1179, found: 328.1181.





(S)-2-(3-cyano-2-oxopyridin-1(2H)-yl)but-3-en-1-yl benzoate (3l): yield (90%); colorless oil;  $[\alpha]^{15}_{D} = -255.4$  (*c* 0.30, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 94% ee (Chiralpak AD-H, i-propanol/hexane = 10/90, flow rate 0.8 mL/min,  $\lambda = 210$  nm); t<sub>r</sub> = 56.57 and 62.20 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 7.92 (dd, *J* = 8.1, 1.5 Hz, 2H), 7.81 (dd, *J* = 7.1, 2.1 Hz, 1H), 7.70 (dd, *J* = 6.9, 2.1 Hz, 1H), 7.60 – 7.54 (m, 1H), 7.47 – 7.40 (m, 2H), 6.37 – 6.31 (m, 1H), 6.13 – 6.01 (m, 1H), 5.98 – 5.91 (m, 1H), 5.59 – 5.42 (m, 2H), 4.82 – 4.66 (m, 2H).

<sup>13</sup>**C NMR** (101 MHz, Chloroform-*d*) δ 165.7, 159.4, 147.0, 140.5, 133.4, 131.2, 129.4, 129.0, 128.5, 121.6, 115.4, 105.54, 105.45, 63.3, 56.9.

**HRMS** (ESI+) Calcd. For  $C_{17}H_{15}N_2O_3^+$  ([M+H]<sup>+</sup>): 295.1077, found: 295.1078.



Peak	RetTime	Type	Width	Area	Height	Area	Peak	RetTime	Туре	Width	Area	Height	Area
#	[min]		[min]	[mAU*s]	[mAU]	%	#	[min]		[min]	[mAU*s]	[mAU]	%
1	55.186	VB R	1.5071	8655.49902	78.00778	50.7385	1	56.577	VB R	1.5477	4.92125e4	437.67819	96.7731
2	61.661	BV R	1.3637	8403.52832	77.61050	49.2615	2	62.202	BB	1.1698	1641.00391	16.65577	3.2269



(S)-2-(5-nitro-2-oxopyridin-1(2H)-yl)but-3-en-1-yl benzoate 3m: yield (80%); colorless oil;  $[\alpha]^{15}_{D} = -41.5$  (*c* 0.28, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 95% ee (Chiralpak IA, i-propanol/hexane = 15/85, flow rate 1.0 mL/min,  $\lambda = 304$  nm); t<sub>r</sub> = 17.03 and 19.67 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 8.78 (d, *J* = 3.0 Hz, 1H), 8.08 (dd, *J* = 10.1, 3.1 Hz, 1H), 7.98 – 7.91 (m, 2H), 7.63 – 7.54 (m, 1H), 7.49 – 7.41 (m, 2H), 6.60 (d, *J* = 10.0 Hz, 1H), 6.15 – 6.04 (m, 1H), 6.01 – 5.93 (m, 1H), 5.68 – 5.50 (m, 2H), 4.79 (dd, *J* = 12.1, 5.4 Hz, 1H), 4.66 (dd, *J* = 12.1, 4.0 Hz, 1H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 165.7, 161.0, 137.6, 133.6, 133.0, 131.0, 130.8, 129.5, 128.8, 128.6, 122.4, 119.5, 63.5, 56.5.

**HRMS** (ESI+) Calcd. For C<sub>16</sub>H<sub>15</sub>N<sub>2</sub>O<sub>5</sub><sup>+</sup> ([M+H]<sup>+</sup>): 315.0975, found: 315.0977.



#	[min]	туре	[min]	[mAU*s]	[mAU]	%	#	[min]	турс	[min]	[mAU*s]	[mAU]	%
								0000000					
1	17.055	MM	0.8205	2926.54956	59.44670	49.8147	1	17.033	BB	0.7326	4969.93701	99.52761	97.5272
2	19.550	MM	0.9205	2948.31812	53.38530	50.1853	2	19.678	BB	0.5424	126.01509	2.77192	2,4728



(S)-2-(2-oxo-5-(trifluoromethyl)pyridin-1(2H)-yl)but-3-en-1-yl benzoate (3n): yield (78%); colorless oil;  $[\alpha]^{15}_{D} = -106.7$  (*c* 0.25, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 92% ee (Chiralpak OD-H, i-propanol/hexane = 20/80, flow rate 1.0 mL/min,  $\lambda$  = 230 nm); t<sub>r</sub> = 10.53 and 11.91 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 7.97 – 7.89 (m, 2H), 7.85 – 7.81 (m, 1H), 7.60 – 7.54 (m, 1H), 7.48 – 7.39 (m, 3H), 6.67 (d, *J* = 9.6 Hz, 1H), 6.11 – 6.00 (m, 1H), 6.00 – 5.94 (m, 1H), 5.60 – 5.45 (m, 2H), 4.81 – 4.73 (m, 1H), 4.68 – 4.59 (m, 1H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 165.7, 161.5, 135.2 (q, J = 5.28 Hz), 134.9 (q, J = 2.34 Hz), 133.4, 131.6, 129.5, 129.1, 128.5, 123.2 (q, J = 269.94 Hz), 121.5, 121.3, 109.6 (q, J = 34.96 Hz), 63.5, 55.9.

<sup>19</sup>**F NMR** (376 MHz, Chloroform-*d*) δ -62.47.

**HRMS** (ESI+) Calcd. For C<sub>17</sub>H<sub>15</sub>F<sub>3</sub>NO<sub>3</sub><sup>+</sup> ([M+H]<sup>+</sup>): 338.0999, found: 338.1002.

HPLC chromatogram of compound 3n





(S)-2-(5-formyl-2-oxopyridin-1(2H)-yl)but-3-en-1-yl benzoate (30): yield (96%); yellow oil;

 $[\alpha]^{15}_{D} = -69.5$  (*c* 0.43, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 93% ee (Chiralpak AD-H, i-propanol/hexane = 20/80, flow rate 0.6 mL/min,  $\lambda = 278$  nm); t<sub>r</sub> = 41.55 and 46.29 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 9.58 (s, 1H), 8.04 (d, *J* = 2.5 Hz, 1H), 7.92 (d, *J* = 7.7 Hz, 2H), 7.80 (dd, *J* = 9.5, 2.5 Hz, 1H), 7.61 – 7.53 (m, 1H), 7.48 – 7.37 (m, 2H), 6.64 (d, *J* = 9.4 Hz, 1H), 6.16 – 6.04 (m, 1H), 6.04 – 5.96 (m, 1H), 5.57 – 5.48 (m, 2H), 4.82 – 4.67 (m, 2H).

<sup>13</sup>**C NMR** (101 MHz, Chloroform-*d*) δ 186.0, 165.7, 162.1, 144.5, 135.5, 133.4, 131.5, 129.4, 129.0, 128.5, 121.6, 120.8, 118.3, 63.5, 56.0.

HRMS (ESI+) Calcd. For C<sub>17</sub>H<sub>16</sub>NO<sub>4</sub><sup>+</sup> ([M+H]<sup>+</sup>): 298.1074, found: 298.1074.

#### HPLC chromatogram of compound 3o





(S)-2-(3-chloro-6-oxopyridazin-1(6H)-yl)but-3-en-1-yl benzoate (3p): yield (40%); colorless oil;  $[\alpha]^{15}_{D} = -14.7$  (*c* 0.30, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 94% ee (Chiralpak OD-H, i-propanol/hexane = 15/85, flow rate 0.6 mL/min,  $\lambda = 210$  nm); t<sub>r</sub> = 26.44 and 29.23 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 7.97 – 7.92 (m, 2H), 7.58 – 7.52 (m, 1H), 7.45 – 7.38 (m, 2H), 7.15 (d, *J* = 9.7 Hz, 1H), 6.92 (d, *J* = 9.7 Hz, 1H), 6.12 – 6.01 (m, 1H), 5.99 – 5.92 (m, 1H), 5.49 – 5.38 (m, 2H), 4.73 – 4.55 (m, 2H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 165.9, 158.8, 137.8, 133.4, 133.1, 131.8, 131.8, 129.6, 129.5,

#### 128.4, 120.9, 64.5, 58.8.

HRMS (ESI+) Calcd. For C<sub>15</sub>H<sub>14</sub>ClN<sub>2</sub>O<sub>3</sub><sup>+</sup> ([M+H]<sup>+</sup>): 305.0687, found: 305.0691.

#### HPLC chromatogram of compound 3p





Methyl (S)-1-(1-((4-methoxybenzoyl)oxy)but-3-en-2-yl)-2-oxo-1,2-dihydropyridine-3 carboxylate (3q): yield (33%); colorless oil;  $[\alpha]^{15}_{D} = -51.6$  (*c* 0.51, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 97% ee (Chiralpak IA, i-propanol/hexane = 30/70, flow rate 0.8 mL/min,  $\lambda = 254$  nm); t<sub>r</sub> = 23.82 and 28.55 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 8.16 (dd, *J* = 7.1, 2.2 Hz, 1H), 7.89 (d, *J* = 8.8 Hz, 2H), 7.62 (dd, *J* = 6.8, 2.2 Hz, 1H), 6.90 (d, *J* = 8.8 Hz, 2H), 6.32 – 6.24 (m, 1H), 6.12 – 5.97 (m, 2H), 5.53 – 5.39 (m, 2H), 4.76 – 4.63 (m, 2H), 3.91 (s, 3H), 3.85 (s, 3H).

<sup>13</sup>**C NMR** (101 MHz, Chloroform-*d*) δ 165.8, 165.6, 163.6, 159.2, 144.8, 140.3, 132.2, 131.7, 121.6, 120.8, 120.7, 113.7, 104.7, 63.3, 56.4, 55.4, 52.4.

**HRMS** (ESI+) Calcd. For  $C_{19}H_{19}NO_6Na^+$  ([M+Na]<sup>+</sup>): 380.1105, found: 380.1108.



(S)-2-(2-oxopyridin-1(2H)-yl)but-3-en-1-yl 4-chlorobenzoate (3r): yield (90%); colorless oil;  $[\alpha]^{15}_{D} = -131.7$  (*c* 0.24, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 95% ee (Chiralpak OD-H, i-propanol/hexane = 20/80, flow rate 1.0 mL/min,  $\lambda$  = 230 nm); t<sub>r</sub> = 10.43 and 12.22 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 7.94 – 7.83 (m, 2H), 7.44 – 7.37 (m, 2H), 7.36 – 7.27 (m, 2H), 6.60 (d, *J* = 9.1 Hz, 1H), 6.24 – 6.17 (m, 1H), 6.10 – 5.97 (m, 2H), 5.49 – 5.38 (m, 2H), 4.69 – 4.67 (m, 2H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 165.1, 162.4, 139.7, 139.3, 134.7, 132.4, 130.9, 128.8, 127.8, 120.9, 120.3, 106.2, 64.2, 55.2.

**HRMS** (ESI+) Calcd. For C<sub>16</sub>H<sub>14</sub>ClNO<sub>3</sub>Na<sup>+</sup> ([M+Na]<sup>+</sup>): 326.0554, found: 326.0555.





(S)-2-(2-oxopyridin-1(2H)-yl)but-3-en-1-yl 4-cyanobenzoate (3s): yield (92%); colorless oil;  $[\alpha]^{15}_{D} = -92.9$  (*c* 0.34, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 95% ee (Chiralpak OD-H, i-propanol/hexane = 20/80, flow rate 1.0 mL/min,  $\lambda = 230$  nm); t<sub>r</sub> = 24.54 and 28.44 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 8.04 (d, *J* = 8.2 Hz, 2H), 7.79 – 7.68 (m, 2H), 7.37 – 7.29 (m, 2H), 6.64 – 6.55 (m, 1H), 6.26 – 6.15 (m, 1H), 6.11 – 5.98 (m, 2H), 5.54 – 5.34 (m, 2H), 4.80 – 4.63 (m, 2H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 164.3, 162.4, 139.4, 134.5, 133.2, 132.3, 132.3, 130.1, 121.0, 120.5, 117.8, 116.6, 106.3, 64.7, 55.0.

**HRMS** (ESI+) Calcd. For C<sub>17</sub>H<sub>15</sub>N<sub>2</sub>O<sub>3</sub><sup>+</sup> ([M+H]<sup>+</sup>): 295.1077, found: 295.1077.

#### HPLC chromatogram of compound 3s





(S)-2-(2-oxopyridin-1(2H)-yl)but-3-en-1-yl acetate(3t): yield (60%); colorless oil;  $[\alpha]^{15}_{D} = -97.5$ (*c* 0.31, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 91% ee (Chiralpak OD-H, i-propanol/hexane = 5/95, flow rate 1.0 mL/min,  $\lambda = 230$  nm); t<sub>r</sub> = 31.26 and 32.78 min.

<sup>1</sup>**H** NMR (400 MHz, Chloroform-*d*)  $\delta$  7.28 – 7.20 (m, 2H), 6.52 (d, *J* = 9.1 Hz, 1H), 6.15 – 6.10 (m,

1H), 5.95 – 5.84 (m, 1H), 5.83 – 5.77 (m, 1H), 5.35 (dd, *J* = 10.6, 1.7 Hz, 1H), 5.24 (dd, *J* = 17.4, 1.8 Hz, 1H), 4.44 – 4.31 (m, 2H), 1.95 (s, 3H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 170.4, 162.3, 139.2, 134.8, 132.6, 120.8, 119.9, 106.0, 63.5, 55.0, 20.6.

HRMS (ESI+) Calcd. For C<sub>11</sub>H<sub>14</sub>NO<sub>3</sub><sup>+</sup> ([M+H]<sup>+</sup>): 208.0968, found: 208.0969.

HPLC chromatogram of compound 3t





(S)-2-(2-oxopyridin-1(2H)-yl)but-3-en-1-yl pivalate (3u): yield (30%); white solid; m.p. 61.5 °C.  $[\alpha]^{15}_{D} = -84.6$  (*c* 0.22, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 95% ee (Chiralpak OD-H, i-propanol/hexane = 10/90, flow rate 1.0 mL/min,  $\lambda = 230$  nm); t<sub>r</sub> = 13.81 and 15.15 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 7.35 – 7.28 (m, 2H), 6.58 (d, *J* = 9.0 Hz, 1H), 6.22 – 6.15 (m, 1H), 6.01 (ddd, *J* = 17.4, 10.7, 5.6 Hz, 1H), 5.85 – 5.77 (m, 1H), 5.45 – 5.29 (m, 2H), 4.45 (d, *J* = 5.2 Hz, 2H), 1.44 (s, 9H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 162.3, 153.0, 139.2, 135.3, 132.5, 120.9, 120.1, 105.9, 82.7, 66.0, 55.7, 27.6.

HRMS (ESI+) Calcd. For C<sub>14</sub>H<sub>19</sub>NO<sub>3</sub>Na<sup>+</sup> ([M+Na]<sup>+</sup>): 272.1257, found: 272.1261.



#### 5. Control experiments and mechanistic investigations

a) Investigation of kinetic resolution



A flame dried Schlenk tube was cooled to rt, and it was then evacuated and backfilled with argon for three times. To this Schlenk tube was added (S,S,S)-[Ir\*]-1 (0.002 mmol, 1 mol%), pyridine 1a (0.20 mmol, 1 equiv.), *rac*-2 (0.2 mmol, 1 equiv.) and DCE:THF = 1:1 (2 mL). The reaction was stirred at 50 °C for 12 hours. Once starting material was consumed (monitored by TLC), the solvent was evaporated under reduced pressure, and the residue was purified by column chromatography to give the desired product, which was then directly analyzed by HPLC to determine the enantiomeric excess. And recovered *rac*-2 in Scheme (b and c) was then directly analyzed by GC to determine the enantiomeric excess.



(*R*)-4-vinyl-1,3-dioxolan-2-one (2): yield (44%); colorless oil;  $[\alpha]^{15}_{D} = 4.1$  (*c* 0.72, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by GC to determine the enantiomeric excess: 39% ee (Beta DEX-390, N<sub>2</sub> flow rate 1.0 mL/min, 60 min at 150 °C); t<sub>r</sub> = 18.54 and 19.41 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-d) δ 5.92 (ddd, *J* = 17.3, 10.4, 7.0 Hz, 1H), 5.58 – 5.41 (m, 2H), 5.24 – 5.09 (m, 1H), 4.70 – 4.57 (m, 1H), 4.23 – 4.12 (m, 1H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 154.7, 132.0, 121.1, 77.3, 69.0.

#### GC chromatogram of compound (R)-2



b) Cross-over experiments



A flame dried Schlenk tube was cooled to rt, and it was then evacuated and backfilled with argon for three times. To this Schlenk tube was added (*S*,*S*,*S*)-[**Ir**\*]-1 (0.002 mmol, 1 mol %), pyridine 1a (0.20 mmol, 1.0 equiv.), 1q (0.20 mmol, 1.0 equiv.), VEC 2 (1.2 mmol, 6 equiv.),  $Cs_2CO_3$  (0.4 mmol, 2 equiv.) and DCE:THF = 1:1 (4 mL). The reaction was stirred at 50 °C for 12 hours. Once

starting material was consumed (monitored by TLC), the solvent was evaporated under reduced pressure and the residue was purified by column chromatography to give the products **3a**, **3q**, **3aq** and **3k**, which were then directly analyzed by HPLC to determine the enantiomeric excess.



(S)-2-(2-oxopyridin-1(2H)-yl)but-3-en-1-yl 4-methoxybenzoate (3aq): yield (23%); colorless oil;  $[\alpha]^{15}_{D} = -28.9$  (*c* 0.20, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 96% ee (Chiralpak OD-H, i-propanol/hexane = 20/80, flow rate 1.0 mL/min,  $\lambda = 230$  nm); t<sub>r</sub> = 13.48 and 17.08 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 7.91 (d, *J* = 8.5 Hz, 2H), 7.39 – 7.29 (m, 2H), 6.90 (d, *J* = 8.5 Hz, 2H), 6.61 (d, *J* = 9.1 Hz, 1H), 6.23 – 6.16 (m, 1H), 6.10 – 5.98 (m, 2H), 5.50 – 5.32 (m, 2H), 4.73 – 4.59 (m, 2H), 3.85 (s, 3H).

<sup>13</sup>**C NMR** (101 MHz, Chloroform-*d*) δ 165.7, 163.6, 162.4, 139.3, 134.9, 132.7, 131.7, 121.8, 120.9, 120.1, 113.7, 106.1, 63.8, 55.4, 55.2.

HRMS (ESI+) Calcd. For C<sub>17</sub>H<sub>17</sub>NO<sub>4</sub>Na<sup>+</sup> ([M+Na]<sup>+</sup>): 322.1050, found: 322.1052.

#### HPLC chromatogram of compound 3aq



#### 6. Synthetic transformation



#### (S)-2-(2-oxopyridin-1(2H)-yl)butyl benzoate (4)



A 10 mL dried Schlenk tube equipped with magnetic stirring bar. Then, (S)-**3a** (26.9 mg, 0.1 mmol), Pd/C (5.3 mg, 0.05 equiv.) and MeOH (2.0 mL) were added, respectively. The mixtures were degassed and stirred at room temperature under H<sub>2</sub> balloon pressure for 2 h. After completion, the solution was filtered via Kieselguhr and washed with DCM. The filtrate was concentrated in vacuo. The mixtures were purified by column chromatography to give the desired product **4**.

yield (99%); colorless oil;  $[\alpha]^{15}_{D}$  = -122.6 (*c* 0.26, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 94% ee (Chiralpak OD-H, i-propanol/hexane = 15/85, flow rate 1.0 mL/min,  $\lambda$  = 230 nm); t<sub>r</sub> = 15.05 and 16.46 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 7.96 (dd, *J* = 7.9, 1.5 Hz, 2H), 7.61 – 7.52 (m, 1H), 7.48 – 7.39 (m, 2H), 7.37 – 7.26 (m, 2H), 6.60 (dd, *J* = 9.2, 1.3 Hz, 1H), 6.28 – 6.17 (m, 1H), 5.42 – 5.22 (m, 1H), 4.63 (dd, *J* = 11.8, 6.6 Hz, 1H), 4.51 (dd, *J* = 11.8, 4.1 Hz, 1H), 2.05 – 1.80 (m, 2H), 0.97 (t, *J* = 7.4 Hz, 3H).

<sup>13</sup>**C NMR** (101 MHz, Chloroform-*d*) δ 166.0, 162.8, 138.8, 133.9, 133.1, 129.5, 129.4, 128.4, 120.9, 106.1, 65.1, 55.4, 23.3, 10.3.

HRMS (ESI+) Calcd. For C<sub>16</sub>H<sub>18</sub>NO<sub>3</sub><sup>+</sup> ([M+H]<sup>+</sup>): 272.1281, found: 272.1279.



(S)-2-(5-(hydroxymethyl)-2-oxopyridin-1(2H)-yl)but-3-en-1-yl benzoate (5)



A 10 mL dried flask equipped with magnetic stirring bar. Then, (S)-**3a** (53.8 mg, 0.2 mmol) and MeOH (2.0 mL) were added, respectively. Add sodium borodeuteride (15 mg, 0.4 mmol) to solution slowly at 0 °C. The mixture was allowed to react at this temperature and stir for 30 min. After completion, the solution was filtered via Kieselguhr and washed with ethyl acetate. The filtrate was concentrated in vacuo. The mixtures were purified by column chromatography to give the desired product **5**.

yield (75%); colorless oil;  $[\alpha]^{15}_{D} = -96.3$  (*c* 0.25, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 92% ee (Chiralpak AD-H, i-propanol/hexane = 20/80, flow rate 1.0 mL/min,  $\lambda = 230$  nm); t<sub>r</sub> = 39.71 and 45.01 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 7.97 – 7.90 (m, 2H), 7.59 – 7.50 (m, 1H), 7.44 – 7.38 (m, 3H), 7.37 – 7.31 (m, 1H), 6.56 (d, *J* = 9.2 Hz, 1H), 6.08 – 5.95 (m, 2H), 5.49 – 5.34 (m, 2H), 4.71 – 4.60 (m, 2H), 4.48 – 4.35 (m, 2H).

<sup>13</sup>**C NMR** (101 MHz, Chloroform-*d*) δ 165.9, 162.2, 139.7, 133.3, 132.8, 132.4, 129.6, 129.3, 128.4, 120.6, 120.4, 119.4, 64.0, 61.7, 55.2.

**HRMS** (ESI+) Calcd. For  $C_{17}H_{18}NO_4^+$  ([M+H]<sup>+</sup>): 300.1230, found: 300.1231.



#### (S)-2-cyclopropyl-2-(2-oxopyridin-1(2H)-yl)ethyl benzoate (6)



To a Schlenk tube were added fresh prepared diazomethane solution (0.5 M in Et<sub>2</sub>O, 2 mL) and (*S*)-**3a** (29.4 mg, 0.1 mmol) under a positive nitrogen pressure. The reaction mixture was cooled to - 20 °C, and then  $Pd(OAc)_2$  (1.5 mg, 2 mol %) was added in one portion with gas evolution. After stirring for 1 hour at -20 °C, the reaction was moved to room temperature and stirred overnight. While the reaction was completed, the solvent was removed under reduced pressure and the residue was purified by a flash column chromatography to afford the product (*S*)-**6**.

yield (71%); yellow oil;  $[\alpha]^{15}_{D} = -151.4$  (*c* 0.25, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 94% ee (Chiralpak AS-H, i-propanol/hexane = 10/90, flow rate 0.5 mL/min,  $\lambda = 230$  nm); t<sub>r</sub> = 63.18 and 74.81 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 7.96 (d, *J* = 7.7 Hz, 2H), 7.63 – 7.53 (m, 2H), 7.48 – 7.39 (m, 2H), 7.35 – 7.29 (m, 1H), 6.58 (d, *J* = 9.1 Hz, 1H), 6.26 – 6.21 (m, 1H), 4.74 – 4.62 (m, 2H), 4.57 – 4.47 (m, 1H), 1.44 – 1.32 (m, 1H), 0.91 – 0.78 (m, 1H), 0.68 – 0.58 (m, 2H), 0.44 – 0.35 (m, 1H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 166.1, 162.6, 139.1, 134.7, 133.2, 129.62, 129.56, 128.4, 120.7, 105.9, 65.3, 59.9, 11.4, 6.3, 3.7.

**HRMS** (ESI+) Calcd. For  $C_{17}H_{18}NO_3^+$  ([M+H]<sup>+</sup>): 284.1281, found: 284.1282.



#### (S)-1-(1-hydroxybut-3-en-2-yl)pyridin-2(1H)-one (7)



A 10 mL dried flask equipped with magnetic stirring bar. Then, (*S*)-**3a** (53.8 mg, 0.2 mmol) and MeOH (2.0 mL) were added, respectively. Add KOH (aq., 1 M) to solution slowly at room temperature. The mixture was allowed to react at this temperature and stir for 2 h. After completion, the aqueous phase was extracted with ethyl acetate. the combined organic layers were washed with brine, dried by  $Na_2SO_4$  and concentrate in vacuo. The mixture was purified by column chromatography to give the desired product 7.

yield (80%); white solid; m.p. 89 °C.  $[\alpha]^{15}_{D}$  = -62.3 (*c* 0.23, CH<sub>2</sub>Cl<sub>2</sub>); The product was analyzed by HPLC to determine the enantiomeric excess: 92% ee (Chiralpak AS-H, i-propanol/hexane = 25/75, flow rate 1.0 mL/min,  $\lambda$  = 230 nm); t<sub>r</sub> = 18.58 and 23.79 min.

<sup>1</sup>**H NMR** (400 MHz, Chloroform-*d*) δ 7.42 – 7.30 (m, 2H), 6.57 (d, *J* = 9.1 Hz, 1H), 6.29 – 6.21 (m, 1H), 6.07 – 5.96 (m, 1H), 5.64 – 5.55 (m, 1H), 5.41 (d, *J* = 10.6 Hz, 1H), 5.30 (dd, *J* = 17.4, 1.7 Hz, 1H), 4.08 – 4.00 (m, 1H), 3.98 – 3.90 (m, 1H), 3.72 – 3.64 (m, 1H).

<sup>13</sup>C NMR (101 MHz, Chloroform-*d*) δ 163.3, 139.5, 135.6, 133.0, 120.6, 119.6, 106.5, 63.5, 59.6. HRMS (ESI+) Calcd. For C<sub>9</sub>H<sub>12</sub>NO<sub>2</sub><sup>+</sup> ([M+H]<sup>+</sup>): 166.0863, found: 166.0863.



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### 8. NMR spectra











10 0 -10 -20 -30 -40 -50 -60 -70 -80 -90 -100 -110 -120 -130 -140 -150 -160 -170 -180 -190 -200 -210 f1 (ppm)

# <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>) of 1b



O<sub>2</sub>N O N O Ph



# <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) of 1c

	~ 163.8 ~ 161.7	145.0 142.7 134.5 134.5 130.5 128.8 128.8	— 116.9	77.3 77.3
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<sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) of 1c







CI O N-N-O Ph



# <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) of 1e

0 <del>-</del> 0	<u> </u>
4 - 0	4-00-4
200	N $N$ $N$ $N$ $N$ $N$ $N$ $N$ $N$ $N$
171	



210 200 190 180 170 160 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 -10 11 (ppm)

# <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) of 1e

#### - 10.139 8.949 8.949 8.949 8.353 8.353 8.353 8.353 8.333 8.333 8.333 8.333 8.333 8.258 8.333 8.2588 8.258 8.2588 8.258 8.258 8.258 8.258 8.258 8.258 8.258 8.258 8

OHC O Ph



210 200 190 180 170 160 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 -10 F1 (ppm)

# $^{13}C$ NMR (101 MHz, CDCl\_3) of 1f







210 200 190 180 170 160 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 -10 11 (ppm)

# $^{13}C$ NMR (101 MHz, CDCl<sub>3</sub>) of 3b



<sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) of 3c





 $^{13}C$  NMR (101 MHz, CDCl<sub>3</sub>) of 3d

7 7 965 7 7 965 7 965 7 965 7 965 7 965 7 965 7 965 8 965 7 965 8



210 200 190 180 170 160 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 -10 11 (ppm)

# <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) of 3e





10 0 -10 -20 -30 -40 -50 -60 -70 -80 -90 -100 -110 -120 -130 -140 -150 -160 -170 -180 -190 -200 -210 f1 (ppm)

# <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>) of 3e



# $^{13}C$ NMR (101 MHz, CDCl<sub>3</sub>) of 3f





# $^{13}C$ NMR (101 MHz, CDCl\_3) of 3g





210 200 190 180 170 160 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 -10 f1 (ppm)

# $^{13}C$ NMR (101 MHz, CDCl<sub>3</sub>) of 3h





## <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) of **3i**





# $\begin{array}{c} 8.168\\ 8.162\\ 8.162\\ 8.1450\\ 8.1450\\ 7.944\\ 7.926\\ 6.0535\\ 6.022\\ 6.022\\ 6.033\\$



# $^{13}C$ NMR (101 MHz, CDCl<sub>3</sub>) of 3k

7,033 7,033 7,032 7,032 7,032 7,032 7,032 7,033 7,035



<sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) of **3**l





210 200 190 180 170 160 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 -10 f1 (ppm)

# $^{13}C$ NMR (101 MHz, CDCl<sub>3</sub>) of 3m



<sup>&</sup>lt;sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) of **3n** 



10 0 -10 -20 -30 -40 -50 -60 -70 -80 -90 -100 -110 -120 -130 -140 -150 -160 -170 -180 -190 -200 -210 f1 (ppm)

# <sup>19</sup>F NMR (376 MHz, CDCl<sub>3</sub>) of **3n**





210 200 190 180 170 180 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 -10 f1 (ppm)

# $^{13}C$ NMR (101 MHz, CDCl<sub>3</sub>) of 3o







# $\begin{array}{c} 8.168\\ 8.162\\ 8.1450\\ 8.1450\\ 8.1450\\ 8.1450\\ 8.275\\ 8.275\\ 8.275\\ 8.279\\ 6.097$



# $^{13}C$ NMR (101 MHz, CDCl<sub>3</sub>) of 3q









 $\begin{array}{c} 8.058\\ 8.054\\ 8.054\\ 8.054\\ 7.733\\ 7.$ 





<sup>210</sup> 200 190 180 170 180 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 -10 <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) of **3s** 

#### (7, 7, 27) (7, 7, 28) (7, 7, 28) (7, 7, 28) (7, 7, 28) (7, 7, 28) (7, 28) (7, 28) (7, 28) (7, 28) (7, 28) (7, 28) (7, 28) (7, 28) (7, 28) (6, 109) (6, 203) (6, 203) (6, 203) (6, 203) (6, 109) (6, 109) (6, 109) (6, 109) (6, 109) (6, 109) (6, 109) (6, 109) (6, 203) (6, 203) (6, 203) (6, 203) (6, 203) (6, 203) (6, 203) (6, 203) (6, 109) (7, 10)(7, 10)



210 200 190 180 170 160 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 -10 f1 (ppm)

# $^{13}C$ NMR (101 MHz, CDCl<sub>3</sub>) of 3t



210 200 190 180 170 160 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 -10 f1 (ppm)

# $^{13}C$ NMR (101 MHz, CDCl<sub>3</sub>) of 3u





210 200 190 180 170 180 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 -10 f1 (ppm)

# $^{13}\text{C}$ NMR (101 MHz, CDCl<sub>3</sub>) of 4



# $^{13}C$ NMR (101 MHz, CDCl\_3) of 5







v v Abban

# $^{13}\text{C}$ NMR (101 MHz, CDCl<sub>3</sub>) of 6

#### 7 339 7 399 7 394 7 378 7 378 7 356 7 357 7 357 7 357 7 357 7 357 7 357 7 356 7 357 7 356 7 356 7 357 7 357 7 356 7 356 7 357 7 419 7 555 7 419 7 555 7 410 7 5555 7 5555 7 555 7 5555 7 5555 7 5555 7 5555 7 5555 7





210 200 190 180 170 160 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 0 -10 f1 (spm)

# $^{13}\text{C}$ NMR (101 MHz, CDCl\_3) of 7

#### 5.960 5.942 5.942 5.942 5.999 5.899 5.899 5.899 5.849 5.849 5.444 5.446 5.442 5.442 5.1420



210 200 190 180 170 160 150 140 130 120 110 100 90 80 70 60 50 40 30 20 10 6 -10 f1 (ppm)

# <sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) of (*R*)-2

# $\begin{array}{c} 7&316\\ 7&3395\\ 7&3395\\ 7&3395\\ 7&3395\\ 7&3395\\ 7&3349\\ 7&3349\\ 7&3317\\ 7&3316\\ 7&3317\\ 7&3316\\ 7&3329\\ 6&5910\\ 6&5910\\ 6&5910\\ 6&5910\\ 6&5105\\$



<sup>13</sup>C NMR (101 MHz, CDCl<sub>3</sub>) of 3aq