# **Supplementary information On the nature of Cu-carbon interaction by N-modified for enhanced ethanol synthesis from syngas and methanol**

Mingxue Yang,<sup>a#</sup> Bing Bai,<sup>a#</sup> Hui Bai,<sup>a\*</sup> Zhongzeng Wei,<sup>a</sup> Haojie Cao,<sup>a</sup> Zhijun Zuo,<sup>a</sup> Zhihua Gao,<sup>a</sup> Vladimir A. Vinokurov,<sup>b</sup> Jianping Zuo,<sup>c</sup> Qiang Wang,<sup>d</sup> Wei Huang<sup>a\*</sup>

*a. State Key Laboratory of Clean and Efficient Coal Utilization, college of chemical engineering and technology, Taiyuan University of Technology, Taiyuan 030024, Shanxi, China*

*b. Department of Physical and Colloid Chemistry, Gubkin Russian State University of*

*Oil and Gas (National Research University), Leninskiy prospect 65/1, Moscow, 119991, Russia*

*c. School of Mechanics and Civil Engineering, China University of Mining and Technology,*

## *Beijing 100083, China*

*d. National Key Laboratory of High Efficiency and Low Carbon Utilization of Coal, Chinese Academy of Sciences Institute of Coal Chemistry, Taiyuan 030001, China*

## **Corresponding authors:**

Email: baihui@tyut.edu.cn; huangwei@tyut.edu.cn

# These authors contributed equally to this work.

#### **The details of the thermodynamic corrections**

To calculate the free energy of gaseous states, we use the shomate gas equation [1]:

$$
G(T) = H(T) - TS(T)
$$
 (a)

$$
H(T) = Eelec + EZPE + \int Cp dT
$$
 (b)

$$
E_{ZPE} = \frac{1}{2} \sum h_i \omega_i \tag{c}
$$

$$
C_p = A + B \times t + C \times t^2 + D \times t^3 - \frac{E}{t^2}
$$
 (d)

$$
S = A \times \ln(t) + B \times t + \frac{C \times t^2}{2} + \frac{D \times t^3}{3} - \frac{E}{2 \times t^2} + G
$$
 (e)

From the National Institute of Standards and Technology (NIST) website, the parameters for different gas species can be obtained from A to G, and Eelec is the DFT energy, EZPE is the zero point energy .

In order to calculate the Gibbs free energy of adsorbates, the harmonic approximation method was used [2] :

$$
G(T) = H(T) - TS(T)
$$
 (f)

$$
H(T) = E_{elec} + E_{ZPE} + \sum \frac{\varepsilon_i}{e^{\varepsilon_i/k_B} - 1}
$$
 (g)

$$
\varepsilon_i = h\omega_i \tag{h}
$$

This equation contains four important variables: where  $\varepsilon_i$  is harmonic energy,  $\omega_i$  is angular frequency,  $k_B$  is Boltzmann constant, *T* is reaction temperature, and *h* is plank constant. As a result of the following equation, the entropy of the surface intermediate can be calculated:

$$
S(T) = k_B \sum_{i}^{harmDOF} \frac{\varepsilon_i}{k_B T (e^{\varepsilon_i / k_B} - 1)} - \ln(1 - e^{-\varepsilon_i / k_B} - 1)
$$
 (i)

# **Elementary reactions**

All elementary steps involved in the microkinetic modelling for C1 and C2 products formation are listed below. Adsorption and desorption reactions are shown as A  $\rightarrow$  B. Activated reactions are described as A + B  $\leftrightarrow$  A-B  $\rightarrow$  AB. A-B represents for the transition state.

$$
CO_{\underline{g}} + ^{*} \underline{s} \rightarrow CO_{\underline{s}}
$$
  
\n
$$
CH_{3}OH_{\underline{g}} + ^{*} \underline{s} \rightarrow CH_{3}OH_{\underline{s}}
$$
  
\n
$$
H_{2\underline{g}} + 2^* \underline{h} \Longleftrightarrow H + H_{\underline{h}} + ^* \underline{h} \rightarrow 2H_{\underline{h}}
$$
  
\n
$$
CH_{3}OH_{\underline{s}} + ^* \underline{h} \Longleftrightarrow CH_{3}OH_{\underline{s}} + ^* \underline{h} \rightarrow CH_{3\underline{s}} + OH_{\underline{h}}
$$
  
\n
$$
CH_{3\underline{s}} + CO_{\underline{s}} + ^* \underline{h} \Longleftrightarrow H - CH_{2}CO_{\underline{s}} + ^* \underline{h} \rightarrow CH_{2}CO_{\underline{s}} + ^* \underline{h} \rightarrow H_{\underline{h}}
$$
  
\n
$$
CH_{2}CO_{\underline{s}} + H_{\underline{h}} \Longleftrightarrow CH_{2}CO_{\underline{h}} + ^* \underline{h} \rightarrow CH_{3}CO_{\underline{s}} + ^* \underline{h}
$$
  
\n
$$
CH_{3}CO_{\underline{s}} + H_{\underline{h}} \Longleftrightarrow CH_{3}CO + _{\underline{s}} + ^* \underline{h} \rightarrow CH_{3}COH_{\underline{s}} + ^* \underline{h}
$$
  
\n
$$
CH_{3}COH_{\underline{s}} + H_{\underline{h}} \Longleftrightarrow CH_{3}COH_{\underline{s}} + ^* \underline{h} \rightarrow CH_{3}CHOH_{\underline{s}} + ^* \underline{h}
$$
  
\n
$$
CH_{3}CHOH_{\underline{s}} + H_{\underline{h}} \Longleftrightarrow CH_{3}CHOH_{\underline{s}} + ^* \underline{h} \rightarrow CH_{3}CH_{2}OH_{\underline{g}} + ^* \underline{s} + ^* \underline{h}
$$
  
\n
$$
CH_{3\underline{s}} + H_{\underline{h}} \Longleftrightarrow CH_{3}CHOH_{\underline{s}} + ^* \underline{h} \rightarrow CH_{3}CH_{2}OH_{\underline{g}} + ^* \underline{s} + ^* \underline{h}
$$
  
\n
$$
H_{2}O_{\underline{s}} \rightarrow H_{2}O_{\underline{s}} + ^* \underline{h} \rightarrow H_{2}O_{\underline{s}} + ^* \underline{h}
$$
  
\n
$$
H_{2}O_{\underline{s}} \rightarrow H_{2}O_{\underline{s}} + ^* \underline{h}
$$

Where \*s represents the surface site, and \*h represents the hydrogen storage site.

**Table S1** Adsorption energy (eV) and key geometrical parameters (Å) of reactants, possible intermediates, and products involved in syngas conversion on the  $C_{13}/Cu(111)$ surface.

	Species	$E_{ads}/eV$	Configuration	Key parameters/Å				
$\mathbf{1}$	H <sub>2</sub>	$-0.31$	no bond					
$\overline{2}$	CO	$-1.56$	bridge C via C2 and Cu10	C2-C: 1.486; Cu10-C: 2.017				
3	CO <sub>2</sub>	$-1.18$	bridge O-C-O via C2, Cu5, Cu9,	C2-C: 1.507; Cu10-C: 2.228;				
			and Cu10	Cu <sub>5</sub> -O: 2.063; Cu <sub>9</sub> -O: 2.128				
$\overline{4}$	H <sub>2</sub> O	$-0.74$	O via Cu9 Cu9-O: 2.233					
5	<b>CHO</b>	$-4.01$	bridge C-O via C2, Cu9, and	C2-C: 1.456; Cu10-C: 2.203;				
			Cu10	Cu9-O: 2.213				
6	COH	$-4.86$		C2-C: 1.470; Cu5-C: 2.050;				
			bridge C via C2, Cu5, and Cu10	Cu10-C: 2.119				
$\tau$	CH <sub>3</sub> OH	$-0.94$	O via Cu5	$Cu5 - O: 2.156$				
8	CH <sub>3</sub> OCH <sub>3</sub>	$-0.47$	O via C2	$C2 - O: 1.606$				
9	CH <sub>3</sub>	$-4.71$	C via C3	$C3-C: 1.484$				
10	CH <sub>4</sub>	$-0.44$	no bond					
11	CH <sub>3</sub> CH <sub>3</sub>	$-0.62$	no bond					
12	CH <sub>3</sub> CO	$-4.59$	bridge C-O via C4 and Cu3	C4-C: 1.451; Cu3-O: 2.063				
13	CH <sub>3</sub> CHO	$-2.55$	bridge C-O via C4, Cu2, and	C4-C: 1.523; Cu2-O: 1.997;				
			Cu <sub>3</sub>	Cu <sub>3</sub> -O: 1.975				
14	CH <sub>3</sub> COH	$-5.40$	C via C4	$C4 - C: 1.387$				
15	CH <sub>3</sub> CHOH	$-4.52$	C via C4	$C4 - C: 1.514$				
16	$C_2H_5OH$	$-0.99$	O via Cu3	Cu3-O: 2.140				
17	<b>COOH</b>	$-4.94$	bridge C-O via C3 and Cu2	C3-C: 1.464; Cu2-O: 2.083				
18	<b>HCOOH</b>	$-1.56$	bridge O-C-O via C3, Cu2, and	C3-C: 1.554; Cu2-O: 1.922;				
			Cu <sub>3</sub>	Cu3-O: 2.173				
19	CH <sub>3</sub> COOH	$-1.22$	bridge C-O via C4, Cu2, and	C4-C: 1.528; Cu2-O: 2.007;				
			Cu <sub>3</sub>	Cu3-O: 1.994				
20	<b>HOOCCOOH</b>	$-1.46$	bridge C-O via C3 and Cu2	C3-C: 1.536; Cu2-O: 1.917				

**Table S2** The comparison of activation barriers ( $E_a$ /eV) and reaction energies ( $\Delta E$ /eV) of the key elementary reactions on the  $C_{13}/Cu(111)$ , graphene/Cu(111), Cu<sub>1</sub>/graphene, Cu(111), and Cu(211) catalysts.

Reaction	$C_{13}/Cu(111)$		gra/Cu(111)		Cu <sub>1</sub> /graphene		Cu(111)		Cu(211)	
	(this work)		(Ref.17)		(Ref.15)		(Ref.59)		(Ref.58)	
	$E_a/eV$	$\Delta E/eV$	$E_a/eV$	$\Delta E/eV$	$E_o/eV$	$\Delta E/eV$	$E_a/eV$	$\Delta E/eV$	$E_a/eV$	$\Delta E/eV$
$CH_3OH \rightarrow CH_3 + OH$	0.61	$-1.89$			0.52	$-0.04$			1.73	$-0.22$
$CH3+CHO\rightarrow CH3CHO$			0.92	$-0.64$	0.51	$-1.15$	0.51	$-1.04$	0.91	$-0.94$
$CH3+CO\rightarrow CH2CO+H$	1.05	0.26								
$CH_3CHOH+H\rightarrow C_2H_5OH$	3.19	2.78	0.15	$-1.05$	1.98	$-0.58$				
$H+OH \rightarrow H2O$	1.09	0.88	1.16	0.29			1.18	$-0.21$		
$CO+O \rightarrow CO2$	1.54	0.46	0.66	$-0.34$			0.65	$-1.12$		
$CH_3+H\rightarrow CH_4$	4.08	2.50	0.59	$-0.04$	0.54	$-0.78$	0.80	$-0.67$	1.34	$-0.23$

**Fig S1** Optimized configurations of three carbon skeletons of (a) phenalenyl, (b) anthracene, and (c) phenanthrene as different graphene fragments loaded on the Cu(111) surface. Calculated Bader charge of carbon atoms and its surrounding Cu atoms are labeled.



**Fig. S2** Top and side views of the most stable adsorption configurations of possible species involved in syngas conversion over  $C_{13}/Cu(111)$  catalyst. Red and white spheres represent O and H atoms, respectively.



**Fig. S3** The structures of IS TS and FS in the other possible competitive pathways in Fig. 3.



**Fig.** S4 Simulated STM images for the closed-shell (a)  $C_{13}/Cu(111)$  and (b)  $C_{11}N_2/Cu(111)$  systems at a bias of V=-0.6 V. The wireframe structures are overlapped to the simulated STM images.



Based on the Tersoff–Hamann approximation[3], we further simulated the STM images in the Fig. S4 for the closed-shell  $C_{13}/Cu(111)$  and  $C_{11}N_2/Cu(111)$ catalyst models by the Multiwfn software[4]. The STM tip is scanned above the measured  $C_{13}/Cu(111)$  and  $C_{11}N_2/Cu(111)$  catalysts. When the catalyst is close enough to the tip and a bias voltage (V) is applied, tunneling current (I) is formed between them due to the tunneling effect. STM image is formed according to the  $I(x,y,z)$  function, so I is different when the tip is in different positions. When V is negative, electrons flow from the catalyst to the tip, and when V is positive, electrons flow from the tip to the catalyst.

Here the images were obtained at a negative bias  $V = -0.6$  V which had been used in the STM experiment for the synthesized unsubstituted phenalenyl radical on Au(111) surface.<sup>27</sup> The bright features because of the obvious tunneling current in the simulated STM image are associated to the  $C_{13}$  and  $C_{11}N_2$  fragments, while the dark features due to negligible tunneling current correspond to the  $Cu(111)$  surfaces. Note that in Fig. 9 the orange color of four C atoms in the center of  $C_{13}$  is brighter, however, the color of four atoms including two N and two C atom at the edge of  $C_{11}N_2$  is brighter, both of the brighter regions are associate with higher electron density. The simulated STM images could provide a support in favor of experimental synthesis of N-doped graphene fragment on the metal surface in the future.

### **References:**

- [1] P. Atkins and J. De Paula, Atkins' Physical Chemistry, 2006.
- [2] E.B. Wilson, J.C. Decius and P.C. Cross, Molecular Vibrations: The Theory of Infrared and Raman Vibrational Spectra, Dover Publications, 1980.
- [3] J. Tersoff and D. R. Hamann, Phys. Rev. Lett., 1983, 50, 1998–2001.
- [4] T. Lu and F. Chen, J. Comput. Chem., 2012, 33, 580–592.