Supplementary Information

Substituent effects and electron delocalization in five-membered N-heterocycles

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Computational details

Geometry optimizations and all electronic structure calculations were performed using DFT with double-hybrid functional at revDSD-PBEP86-G3BJ/def2-TZVPP level of theory^{1,2} (tight convergence criteria, UltraFine grid) in Gaussian 16 C.01 program.³ This functional is one of the most accurate DFT methods as tested in several benchmarks.¹ Vibrational frequencies calculations were performed to confirm that each optimized geometry correspond to the minimum on the potential energy surface. Natural orbital calculations were performed using NBO 7 software.⁴

EDDB is a method which allows a very detailed analysis of electron delocalization directly from the information encoded in the wavefunction. In EDDB the total electron density is decomposed into the densities of electrons localized at atoms (core electrons, lone pairs), localized at chemical bonds, and delocalized between several bonds. The last density is called electron density of delocalized bonds (EDDB), from which the method takes its name. Full theory on how decomposition is performed we refer the readers to the cited articles.^{5–8}

The EDDB can be further decomposed into contributions from different delocalization types. EDDB_G (G - global) contains all delocalized electrons, EDDB_H excludes the contribution from bonds with H atoms, while EDDB_P contains only electrons delocalized in cyclic Kekulean pathway (excluding cross-ring delocalization associated in benzene with Dewar forms). Each of the delocalization types can be quantitatively analyzed in terms of contributions from electrons of σ , π and other orbital symmetries. Hence, in this paper, EDDB_p(π) are the cyclically delocalized π electrons. The delocalized electron density grids can be visualized in the form of isosurfaces and subtracted from each other. For example, EDDB_G-P = EDDB_G – EDDB_P function is the global electron density minus the cyclically delocalized electron density, in other words, it is a density of non-cyclically delocalized electrons. All EDDB calculations were performed using the density matrices in the NBO basis. EDDB grids were generated in cubegen subprogram available within Gaussian 16 C.01.

HOMA^{9,10} and HOMED^{11,12} geometric aromaticity indices were calculated from Equation 1:

HOMA, HOMED =
$$1 - \frac{1}{n} \sum_{j}^{n} \alpha_{j} (d_{o,j} - d_{j})^{2}$$
 (1)

where *n* is the number of bonds in considered fragment, α_j is the normalization constant for *j* bond, for HOMA tabularized (but can also be calculated for specific computational level, Equation 2).

$$\alpha_i = 2\{(d_o - d_s)^2 + (d_o - d_d)^2\}^{-1}$$
(2)

For HOMED, if the number of bonds considered is even, Equation 1 is also used. However, if the fragment has odd number of bonds, α_j in HOMED is calculated from Equations 3 or 4:

for a system with *i* double bonds and *i*+1 single bonds:

$$\alpha_j = (2i+1) \cdot \{(i+1)(d_0 - d_s)^2 + i(d_0 - d_d)^2\}^{-1}$$
(3)

for a system with *i*+1 double bonds and *i* single bonds:

$$\alpha_j = (2i+1) \cdot \{i(d_0 - d_s)^2 + (i+1)(d_0 - d_d)^2\}^{-1}$$
(4)

In this paper HOMA was calculated using standard parameters,¹⁰ while HOMED was parametrized at revDSD-PBEP86-G3BJ/def2-TZVPP level of theory, using reference systems proposed by Raczynska.¹¹ The reference

bond lengths are provided in the Table S9. Calculation of HOMA and HOMED values, as well as EDDB grid subtraction was performed in Multiwfn program.¹³

Anisotropy of the induced current density (AICD)¹⁴ maps were generated using Gaussian and AICD 3.03 program at B3LYP/6-311+G(d,p), NMR=CSGT, with contribution of all orbitals.

Electron donating and withdrawing properties were assessed using the cSAR method. cSAR of substituent X is calculated from Equation 5:

$$cSAR(X) = q(X) + q(ipso)$$
(5)

where q(X) is the sum of charges at all atoms of X, and q(ipso) is the charge at the *ipso* atom of the substituted system, the atom to which X is attached. In this paper, Hirshfeld atomic charges were used.¹⁵

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Figure S1. Relations between characteristics of five-membered N-heterocyclic rings and number of cyclically delocalized electrons, $EDDB_P(\pi)$.

Table S1. Coefficients *a* (slope) and R^2 of linear correlations EDDB_P(π) vs cSAR(X) presented in Figure 2.

	а	<i>R</i> ²
imiC2	-6.865	0.919
1,2,4-triC3 (N1H)	-5.014	0.872
pyraC3	-4.962	0.902
pyraC5	-4.252	0.785
1,2,4-triC5	-3.844	0.882
1,2,4-triC3 (N4H)	-3.703	0.926
pyrrC2	-2.621	0.448
1,2,3-triC5	-2.421	0.768
1,2,3-triC4 (N2H)	-2.383	0.290
imiC5	-2.070	0.724
imiC4	-2.018	0.781
pyrrC3	0.065	0.001
1,2,3-triC4 (N1H)	0.523	0.081
pyraC4	3.751	0.842



Table S2. Isosurfaces of EDDB_P(π) function (0.02), representing the density of cyclically delocalized π -electrons.

























Figure S2. Relationships between EDDB_P(π) and resonance substituent constant *R*.

















Figure S3. Relations between lengths of bonds In the ring and resonance R constants (left column) or the number of cyclically delocalized π -electrons, EDDB_P(π) (right column).

n in spⁿ hybrids (NBO analysis)



Figure S4. NBO analysis – natural hybrid orbital character of hybrids at ring atoms. Example – C4 substituted pyrazole derivatives (pyraC4).

		R						ref
imic4		constant	N1-C2	C2-N3	N3-C4	C4-C5	C5-N1	
	NO2	0.13	1.3673	1.3126	1.3605	1.3711	1.3685	
	ехр		1.3479	1.3187	1.3630	1.3614	1.3500	KOMHAV [1]
	Br	-0.22	1.3602	1.3170	1.3668	1.3705	1.3773	
	exp		1.3399	1.3242	1.3453	1.3606	1.3529	LUDJAX [2]
imic2			N1-C2	C2-N3	N3-C4	C4-C5	C5-N1	
	NO2	0.15	1.3673	1.3126	1.3605	1.3711	1.3685	
	exp		1.3426	1.3178	1.3703	1.3756	1.3689	CELBAW [3]
	Cl	-0.19	1.3593	1.3047	1.3827	1.3686	1.3803	
	exp		1.3243	1.3169	1.3833	1.3658	1.3796	EJEGOS [4]
	NH2	-0.74	1.3617	1.3110	1.3861	1.3644	1.3879	
	exp-nh-co-	-nh-ph	1.3448	1.3235	1.3910	1.3475	1.3836	CIVRUV [5]
pyrac4			N1-N2	N2-C3	C3-C4	C4-C5	C1-N5	
	NO2	0.13	1.3504	1.3275	1.4082	1.3797	1.3456	
	exp		1.3588	1.3283	1.3905	1.3796	1.3259	WIKZUL [6]
	NH2	-0.74	1.3357	1.3358	1.4127	1.3828	1.3631	
	exp		1.3457	1.3299	1.3879	1.3859	1.3540	HIWJIG [7]
1,2,3-triC4								
(N1H)			N1-N2	N2-N3	N3-C4	C4-C5	C5-N1	
	NO2	0.13						
	ехр		1.3451	1.3025	1.3410	1.3631	1.3226	RAMVUW [8]
	SF5	0.12	1.3427	1.3136	1.3550	1.3683	1.3324	LIPTOU [9]
1,2,4-triC3 (N1H)								
	NO2	0.13	1.3450	1.3207	1.3487	1.3199	1.3499	
	exp 1		1.3502	1.3131	1.3428	1.3290	1.3325	CIFROY [10]
	Br	-0.22	1.3539	1.3234	1.3574	1.3217	1.3449	
	ехр		1.3549	1.3073	1.3458	1.3232	1.3193	BIJLEL01 [11]
	Cl	-0.19	1.3537	1.3231	1.3569	1.3214	1.3446	
	exp		1.3671	1.3133	1.3500	1.3291	1.3157	CLTRZL [12]
1,2,4-triC3 (N4H)								
			N1-N2	N2-C3	C3-N4	N4-C5	C5-N1	
	NH2	-0.74	1.3917	1.3074	1.3650	1.3724	1.3028	
	ехр		1.3875	1.3375	1.3465	1.3643	1.2943	CEBPEH [13]
[1] 10.1107/S0108	2701910080 fm [6] 10 11	65, [2] <u>10.55</u> 07/\$010876	5 <u>17/cc1j0nd</u> 8194004180	l, [3] <u>10.110</u>) [7] 10 551	7/S0108270 7/cc3tvvs [9	<u>18400384X</u> , 8] 10 5517/c	[4] <u>10.5517</u>	<u>/ccdc.csd.cc25wqd6,</u> 10 1021/ol701602a

Table S3. Crystallographic data found for monosubstituted compounds in CCSD.

[5] <u>10.5517/ccq5bfm</u>, [6] 10.1107/S0108768194004180, [7] <u>10.5517/cc3tyys</u>, [8] <u>10.5517/cc52rnm</u>, [9] 10.1021/ol701602a,
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Figure S5. π -Orbital shapes independent of X substituent type.

Table S4. Isosurfaces of $EDDB_{G-P}$ function (0.02 electrons) – a visual representation of non-cyclic electron delocalization.













	pyrrC2	pyrrC3
NO2		











	1,2,4-triC3 (N1H)	1,2,4-triC5 (N1H)	1,2,4-triC3 (N4H)
NO2	J		







Table S5. Values of cSAR(X) for NO₂, Cl and NH₂ substituents, the number of inductive and resonance interactions between substituent and endocyclic N atoms in each heterocycle and resonance structures associated with resonance effect of substituents.

	indN ^a	resN ^b	$indN_2^c$	NO ₂	Cl	NH ₂	resonance (singly excited structures) ^d
1,2,4-triC5 (N1H)	20	10 1p	1	0.000	0.119	0.230	$ \begin{array}{c} \overset{N}{\underset{N}{\overset{N}{\underset{N}{\overset{H}{\underset{N}{\overset{N}{\underset{N}{\overset{H}{\underset{N}{\overset{N}{\underset{N}}}}}}}}}}}}}}}}}}}}}} \right)} } $
1,2,4-triC3 (N4H)	20	10	1	-0.043	0.097	0.195	$ \begin{array}{c} \overset{\bigwedge}{_{HN}}_{HN} & \overset{\longrightarrow}{_{HN}}_{HN} \overset{\bigoplus}{_{\oplus}} & \overset{\ominus}{_{HN}}_{HN} \overset{_{N}}{_{\oplus}} \\ \underset{X}{_{HN}} & \overset{_{HN}}{_{X}} \overset{_{HN}}{_{X}} \end{array} $
1,2,4-triC3 (N1H)	20	10	1	-0.045	0.072	0.186	$ \begin{array}{c} \overset{H}{\underset{N}{}} \overset{N}{\underset{N}{}} \overset{H}{\underset{N}{}} \overset{H}{\underset{N}{}} \overset{N}{\underset{N}{}} \overset{H}{\underset{N}{}} \overset{N}{\underset{N}{}} \overset{\Theta}{\underset{X}{}} \end{array} $
imiC2	20	10	0	-0.056	0.079	0.182	$ \begin{array}{c} & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & $
1,2,3-triC5 (N1H)	10	1 <i>p</i>	2	-0.101	0.033	0.135	$\overset{N, \overset{N}{\longrightarrow} NH}{\underset{X}{{\longrightarrow}}} \overset{N, \overset{N}{\longrightarrow} NH}{\underset{X}{{\longrightarrow}}} \overset{N, \overset{N}{\longrightarrow} NH}{\underset{X}{{\longrightarrow}}} \overset{N, \overset{O}{{\longrightarrow}} NH}{\underset{X}{{\longrightarrow}}}$
pyraC5	10	1 <i>p</i>	1	-0.112	0.024	0.126	$ \begin{array}{c} \overset{N}{\underset{N}{\underset{N}{\overset{N}{\underset{N}{\overset{N}{\underset{N}{\overset{N}{\underset{N}{\underset{N}{\overset{N}{\underset{N}{\underset{N}{\overset{N}{\underset{N}{\underset{N}{\overset{N}{\underset{N}{N$
1,2,3-triC4 (N2H)	10	10	2	-0.118	0.003	0.115	$N \xrightarrow{H} N \xrightarrow{H} N \xrightarrow{H} N^{\odot}$
pyraC3	10	10	1	-0.128	-0.007	0.104	$ \begin{array}{c} \overset{H}{\underset{\times}{\overset{\times}{\overset{\times}{\overset{\times}{\overset{\times}{\overset{\times}{\overset{\times}{\times$
1,2,3-triC4 (N1H)	10	0	2	-0.140	-0.016	0.092	$HN^{N}_{X}N HN^{N}_{N}N$
imiC4	10	0	1	-0.164	-0.034	0.079	$HN \xrightarrow{N} HN \xrightarrow{N} HN \xrightarrow{N}$
imiC5	1 <i>0</i>	0	1	-0.164	-0.014	0.062	$N \xrightarrow{N} NH \longleftrightarrow N \xrightarrow{N} NH \longleftrightarrow N \xrightarrow{\Theta} NH$
pyrrC2	10	0	0	-0.177	-0.018	0.058	$H_{N} _{X} H_{N} _{X} \oplus H_{N} $
pyraC4	0	0	2	-0.224	-0.095	0.020	$\underset{X}{\overset{N}{\underset{Y}{\atopY}{$
pyrrC3	0	0	1	-0.216	-0.103	0.012	$\underset{X}{\overset{HN}{\longrightarrow}} \underset{\mathfrak{S}}{\overset{HN}{\longrightarrow}} \underset{\mathfrak{S}}{\overset{HN}{\longrightarrow}} $

^a indN – the number of endocyclic N atoms in *ortho* positions relative to substituent, 20 – grey shading of compound name, 10 – light grey shading, 0 – no shading.

^b resN – the number of endocyclic N atoms interacting via resonance (singly excited structures) with substituent and their position relative to substituent: *o* – *ortho*, *p* – *para*.

^c indN_2 – the number of non-*ortho* endocyclic N atoms interacting via induction with substituent.

^d electron-donating substituent delocalizes negative charge, electron-withdrawing positive; the structures look similar.

Table S6. Values of cSAR(X) of NO₂, Cl and NH₂ substituents in derivatives of selected six-membered heterocycles. Data taken from *Molecules* **2021**, *26* (21), 6543.

Figure S6. Relations between geometric aromaticity indices and the number of cyclically delocalized electrons from the EDDB_P(π) function.

2.410 Å, 91.9 deg

pyrrC2-NO2 2.404 Å, 93.08 deg

pyrrC2-NH2 2.623 Å, 65.93 deg

pyraC5-NH2 2.700 Å, 61.72 deg

pyrrC2-OH 2.575 Å, 64.33 deg

imiC5-NO2 2.461 Å, 91.01 deg

pyrrC3-NO2

imiC4-NH2 2.474 Å, 73.95 deg

pyraC3-NH2 2.467 Å, 72.56 deg

pyraC5-OH 2.625 Å, 61.49 deg

imiC4-NO2

pyraC3-NO2

imiC5-NH2 2.674 Å, 64.21 deg

pyraC5-NO2 2.481 Å, 89.44 deg

pyraC3-OH 2.338 Å, 78.02 deg

2.966 Å, 66.75 deg

2.574 Å, 80.99 deg

Figure S7. Non covalent interaction analysis (NCI method). Reduced density gradient isosurfaces (RDG = 0.5), colored according to the value of sgn($\lambda_2(r)$)· $\rho(r)$. Distances and angles of DH···A ortho contacts (D = N,O,S; A = N,O).

	-	cyclic π -electron delocalization								
	² H ¹ HN 5 4	H_{1}^{1}		1 HN 5 4 0	1 N 3 5 4 NH					
EDDB _P (π)	2.050	1.581	1.365	0.896	0.713	0.605	0.564	0.491	0.474	0.471
HOMED	0.881	0.820	0.853	0.744	0.818	0.764	0.745	0.805	0.778	0.838
cSAR(X)	-0.416	-0.322	-0.272	-0.306	-0.165	-0.183	-0.221	-0.237	-0.167	-0.223
d _{cx} /Å	1.241	1.223	1.223	1.228	1.214	1.218	1.215	1.223	1.210	1.220
ΔE^{a}	33.8	45.6	15.9	7.7	-6.8	-9.0	15.6	12.5	13.5	15.9
					thioketo f	orms (=S)				
EDDB _P (π)	2.225	2.203	2.222	1.310	0.930	0.831	0.853	0.762	0.825	0.803
HOMED	0.961	0.924	0.940	0.862	0.882	0.840	0.854	0.897	0.860	0.921
cSAR(X)	-0.564	-0.450	-0.389	-0.444	-0.253	-0.283	-0.333	-0.340	-0.256	-0.316
dcx∕Å	1.698	1.673	1.668	1.679	1.653	1.662	1.662	1.666	1.650	1.660
ΔE^{b}	35.3	38.8	9.7	11.1	-6.6	-8.3	14.7	14.0	16.2	17.1
					imino for	ms (=NH)				
EDDB _P (π)	1.775	0.982	1.113	0.889	0.619	0.583	0.562	0.011	0.403	0.406
HOMED	0.886	0.838	0.877	0.813	0.810	0.749	0.802	0.593	0.773	0.845
cSAR(X)	-0.391	-0.279	-0.241	-0.281	-0.130	-0.150	-0.197	-0.135	-0.137	-0.188
dcx∕Å	1.309	1.293	1.291	1.298	1.281	1.285	1.285	1.281	1.277	1.288
ΔE^{c}	55.7	65.0	36.6	30.9	13.4	11.0	34.7	22.9	30.4	34.0

Table S7. Keto, thioketo and imino forms of studied 5-membered heterocycles with their EDDB_P(π), cSAR(X), HOMED, CX bond lengths (d_{CX}) and ΔE values (in kcal/mol).

a - the energy of keto form relative to the enol form; b - the energy of thioketo form relative to the thiol form; c - the energy of imino form relative to the amino form.

Figure S8. Anisotropy of the induced current density (AICD) plots (isosurface=0.03) and EDDB_P isosurfaces (0.02) with corresponding populations of cyclically delocalized electrons for enol and keto forms of substituted imidazole and pyrazole.

Figure S9. Correlation between the number of cyclically delocalized electrons and electron withdrawing properties of =NH/=O/=S groups for all studied heterocycles.

Figure S10. Energies of HOMO, LUMO and the HOMO–LUMO gap (Δ H–L) as a function of cSAR(X).

Table S8.	Summary of slop	es from	Figure S9.

	номо	LUMO
1,2,4-triC3(N1H)	9.311	11.143
1,2,3-triC4(N1H)	9.177	8.875
pyraC4	8.525	9.309
1,2,3-triC4(N2H)	8.466	6.861
1,2,3-triC5(N1H)	8.194	11.657
1,2,4-triC5(N1H)	8.191	13.351
1,2,4-triC3(N4H)	8.034	7.678
pyraC3	7.640	10.898
imiC4	7.427	8.522
pyrrC3	6.844	8.254
imiC5	6.488	10.962
pyrrC2	5.965	10.528
pyraC5	5.951	4.627
imiC2	5.495	11.288

Table S9. Reference bonds lengths (Å) calculated at revDSD-PBEP86-G3BJ/def2-TZVPP level of theory and values of HOMED normalization constants α .

HOMED	ds	d_{d}	do	α
CC	1.5266	1.3312	1.3936	82.226
	ethane	ethene	benzene	
CN	1.4643	1.2709	1.3337	84.611
	methylamine	methylimine	triazine	
NN	1.4687	1.2399	1.3175	62.022
	Н		N ^{-N} N	
	_N _N _H	⊂ ^N ≥N		
			N/N	
		~	D6 _h	

Table S10. AICD plots (isosurface = 0.03) of selected substituted and unsubstituted systems.

