

Electronic Supplementary Information

for

Controlled Ring-Opening (Co)Polymerization of Macrolactones: A Pursuit for Efficient Aluminum-Based Catalysts

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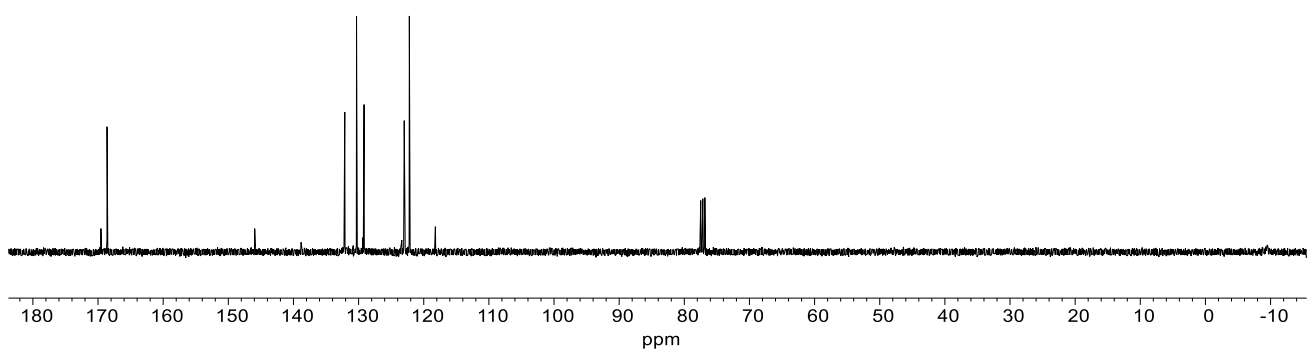
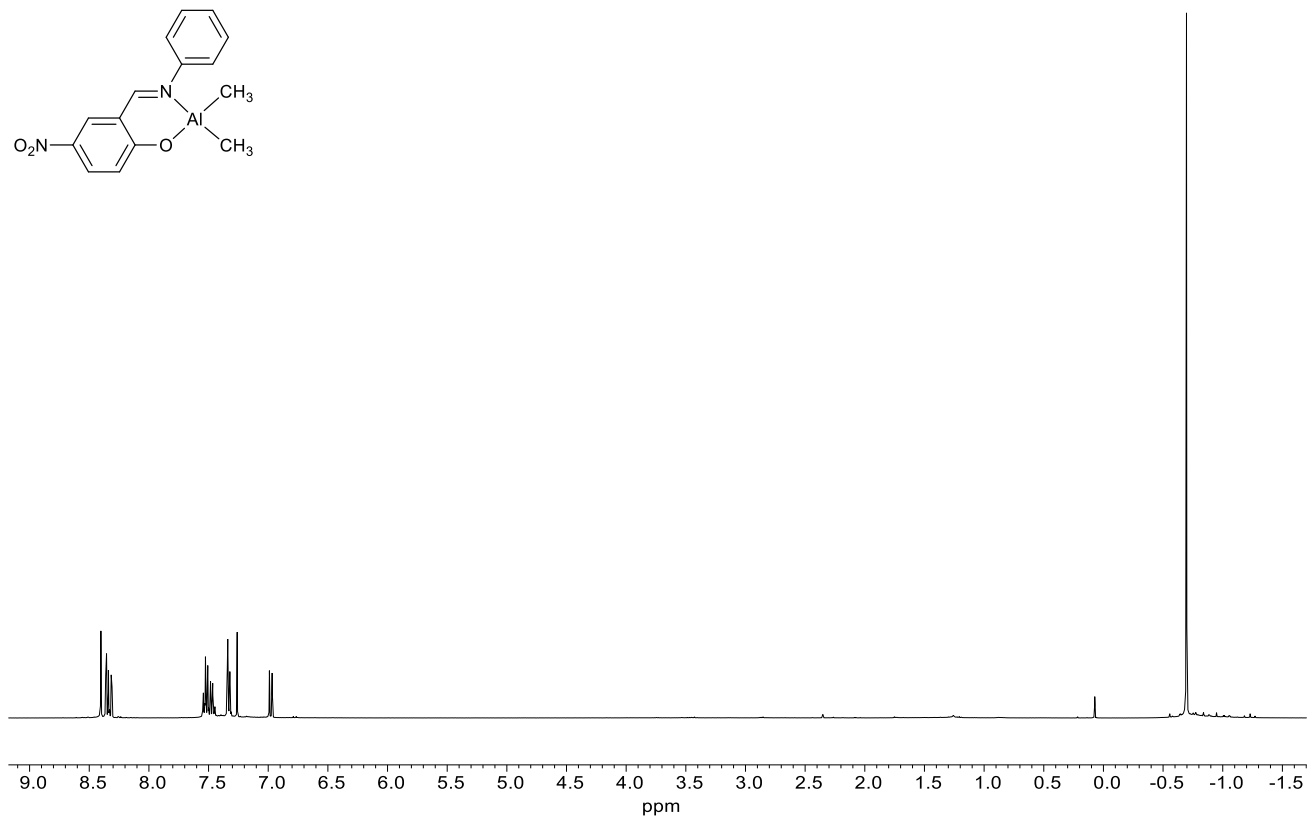
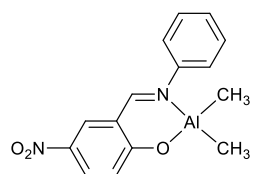


Fig. S1 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of **1** in CDCl_3 at 298 K.

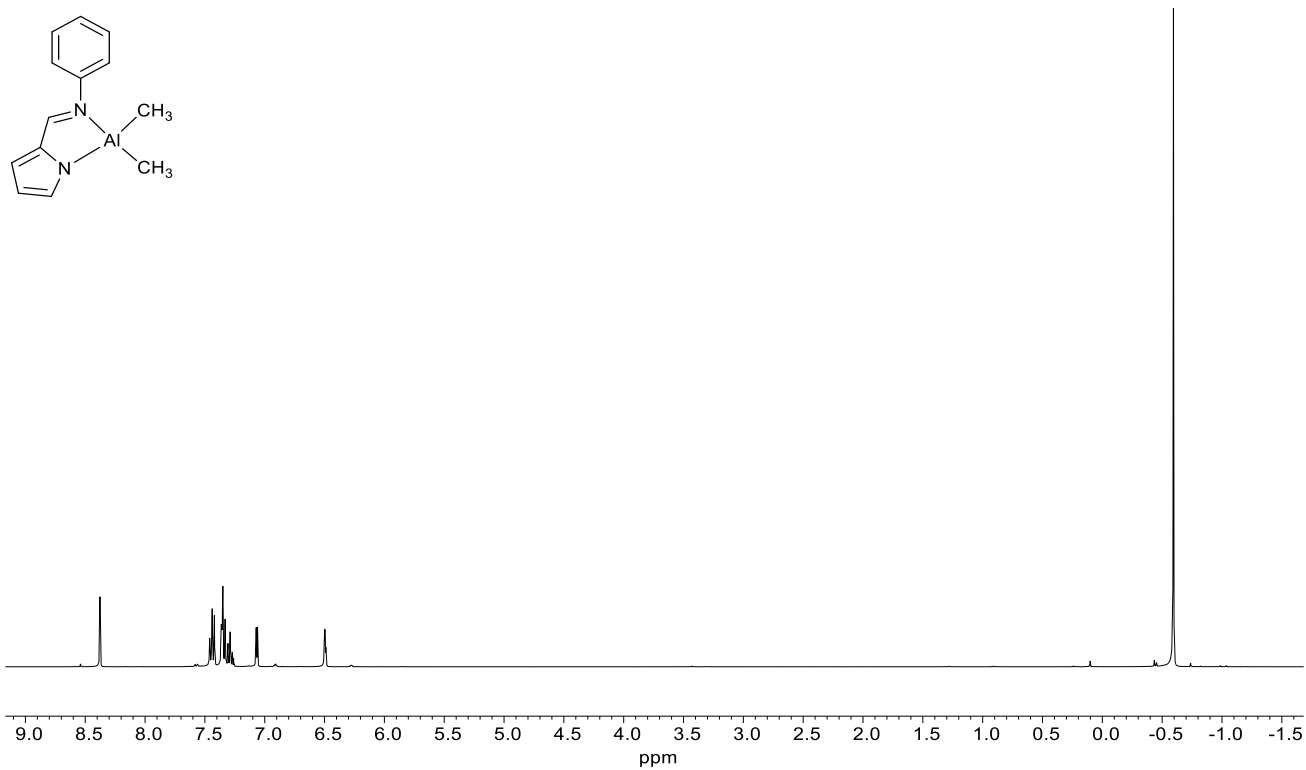


Fig. S2 ¹H NMR spectrum of **2** in CDCl₃ at 298 K.

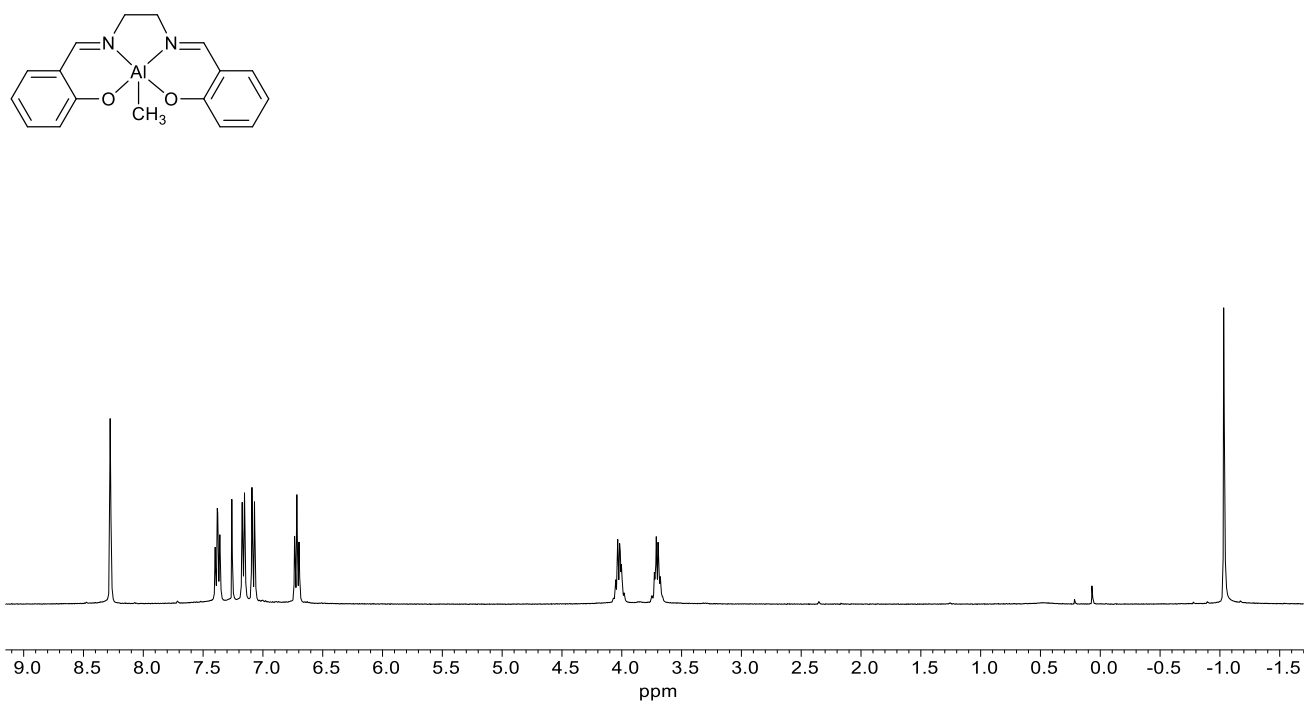


Fig. S3 ¹H NMR spectrum of **3** in CDCl₃ at 298 K.

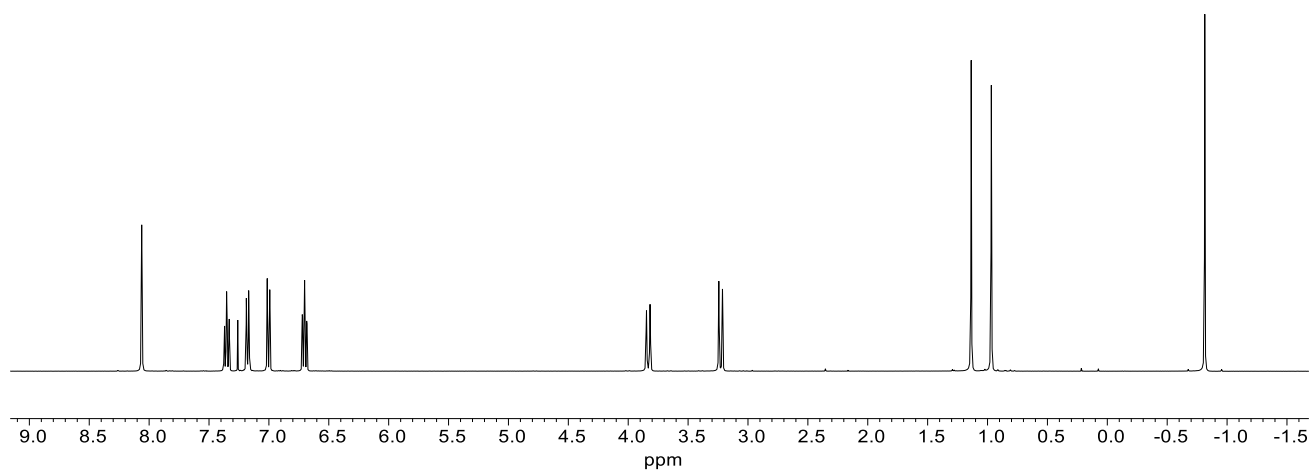
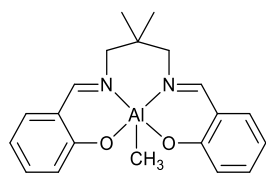


Fig. S4 ¹H NMR spectrum of **4** in CDCl₃ at 298 K.

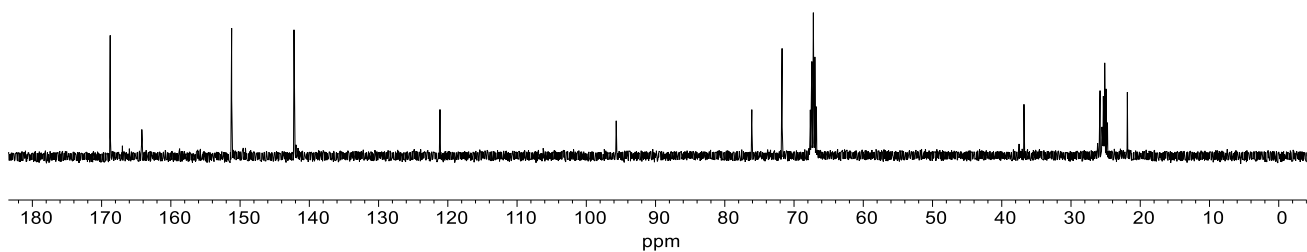
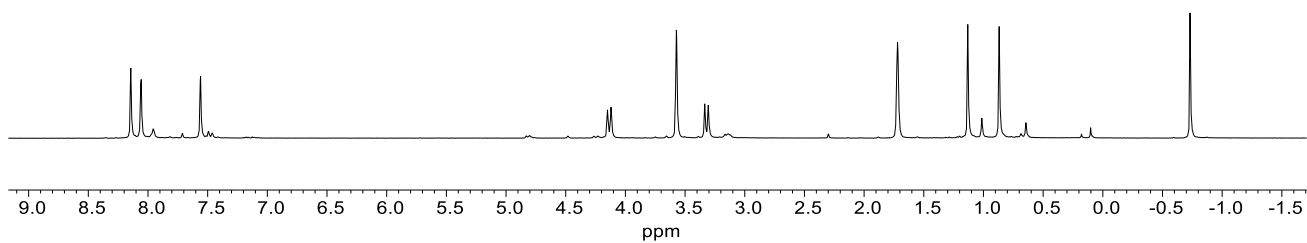
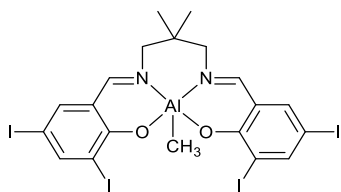


Fig. S5 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of **5** in $\text{THF-}d_8$ at 298 K.

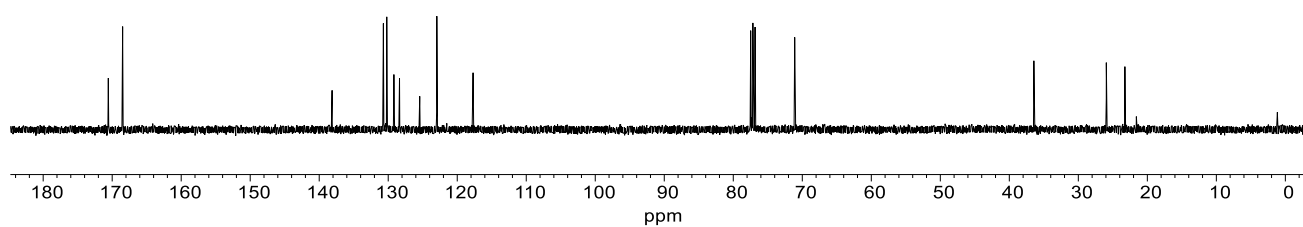
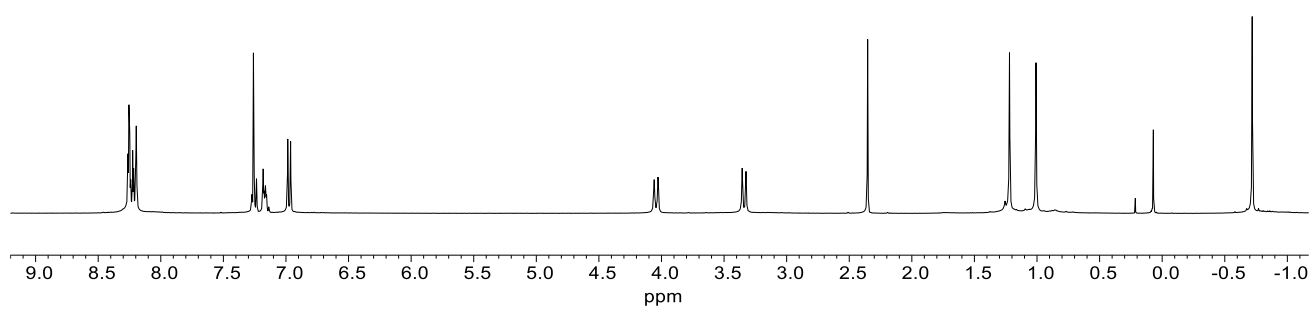
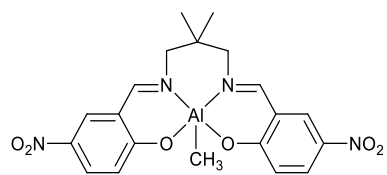


Fig. S6 ¹H and ¹³C{¹H} NMR spectra of **6** in CDCl₃ at 298 K.

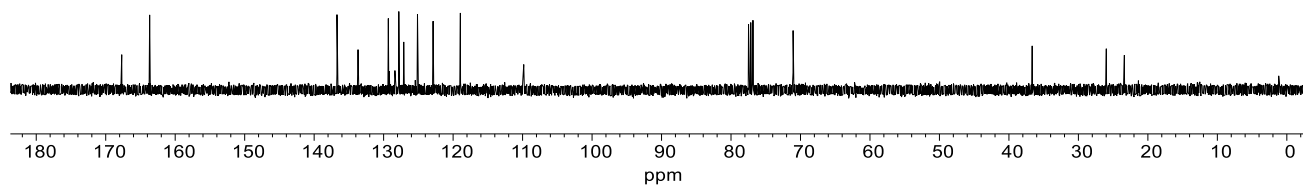
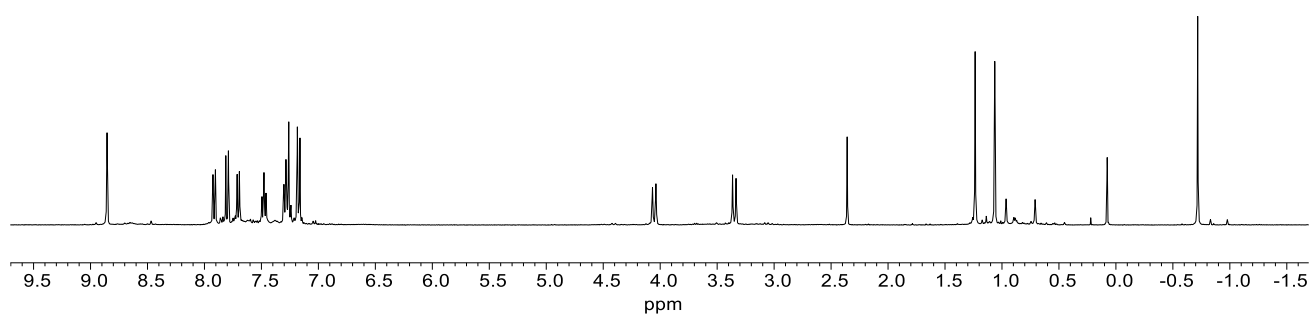
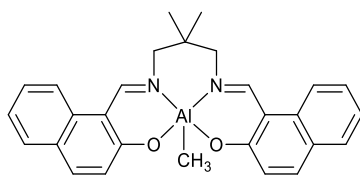


Fig. S7 ¹H and ¹³C{¹H} NMR spectra of **7** in CDCl₃ at 298 K.

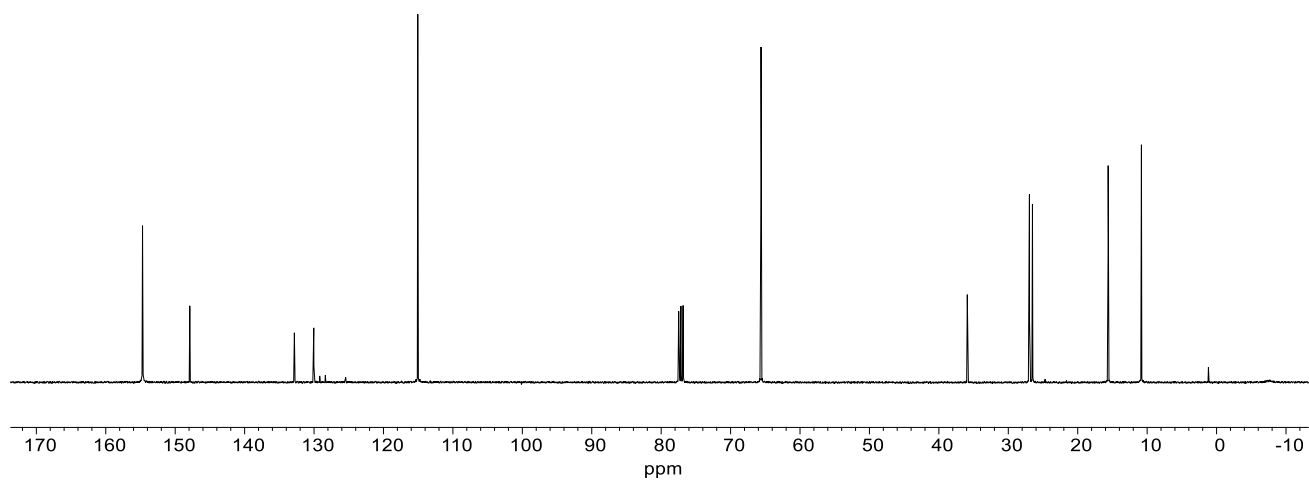
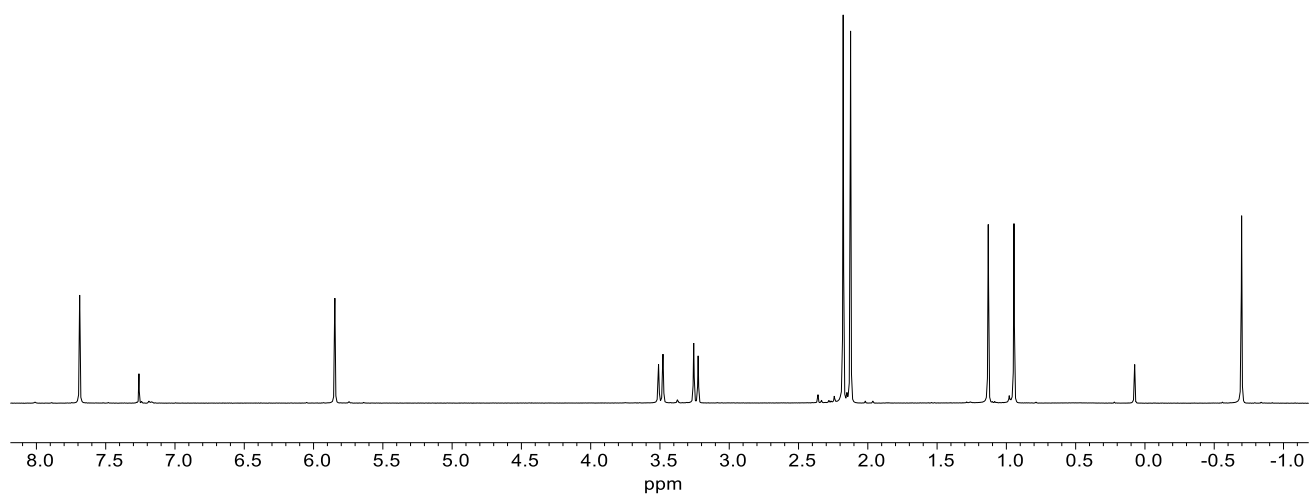
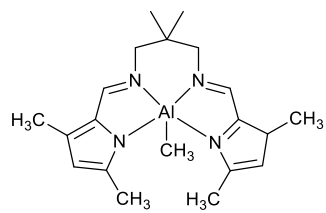


Fig. S10 ¹H and ¹³C{¹H} NMR spectra of **10** in CDCl₃ at 298 K.

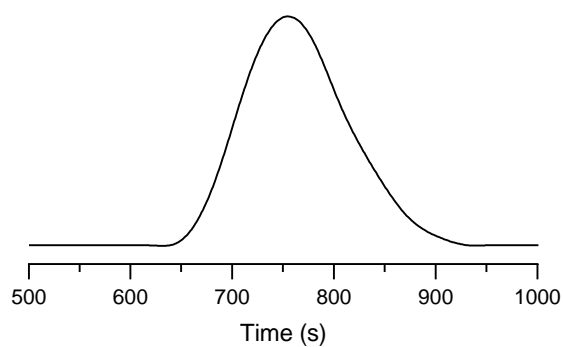


Fig. S11 GPC trace of PPDL using complex **9** (Table 1, entry 9)

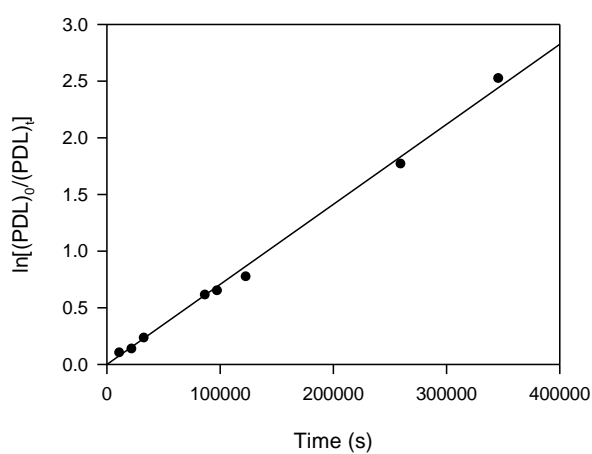


Fig. S12 Semilogarithmic plot of PDL conversion versus time in C_6D_6 at 70 °C with complex **1** ($[PDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[PDL]_0 = 1.25$, $[Al] = 12.5$ mM).

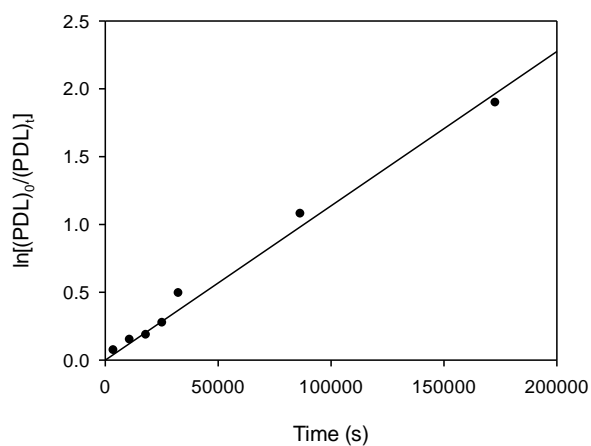


Fig. S13 Semilogarithmic plot of PDL conversion versus time in C_6D_6 at 70 °C with complex **2** ($[PDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[PDL]_0 = 1.25$, $[Al] = 12.5$ mM).

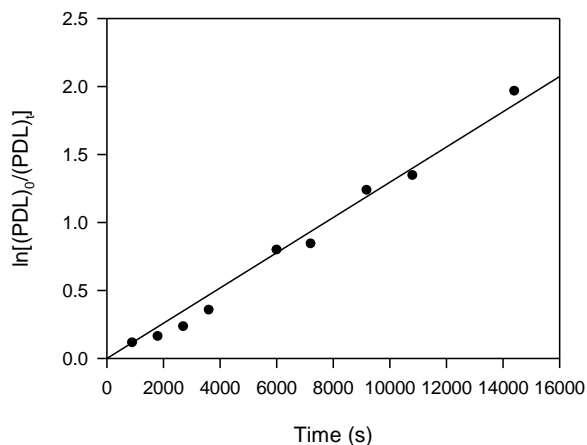


Fig. S14 Semilogarithmic plot of PDL conversion versus time in C_6D_6 at 70 °C with complex **3** ($[PDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[PDL]_0 = 1.25$, $[Al] = 12.5$ mM).

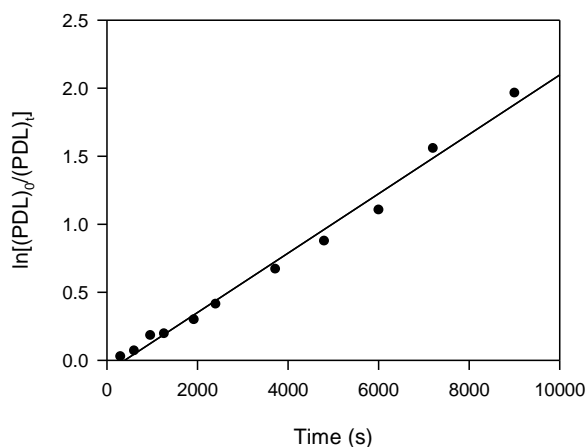


Fig. S15 Semilogarithmic plot of PDL conversion versus time in C_6D_6 at 70 °C with complex **4** ($[PDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[PDL]_0 = 1.25$, $[Al] = 12.5$ mM).

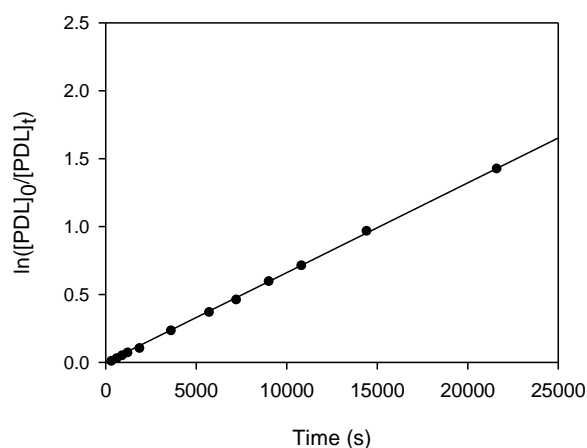


Fig. S16 Semilogarithmic plot of PDL conversion versus time in C_6D_6 at 70 °C with complex **5** ($[PDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[PDL]_0 = 1.25$, $[Al] = 12.5$ mM).

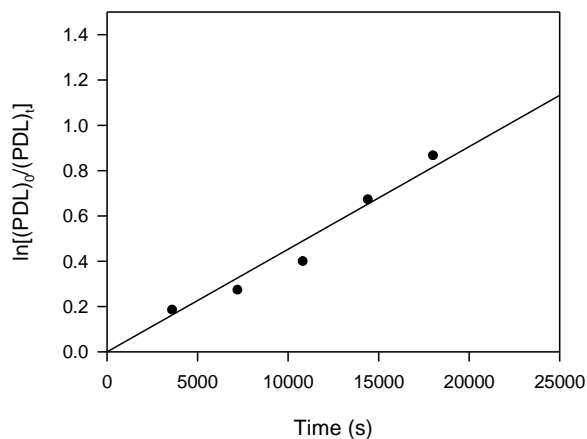


Fig. S17 Semilogarithmic plot of PDL conversion versus time in C₆D₆ at 70 °C with complex **6** ([PDL]₀/[Al] = 100, [Al]/[BnOH] = 1, [PDL]₀ = 1.25, [Al] = 12.5 mM).

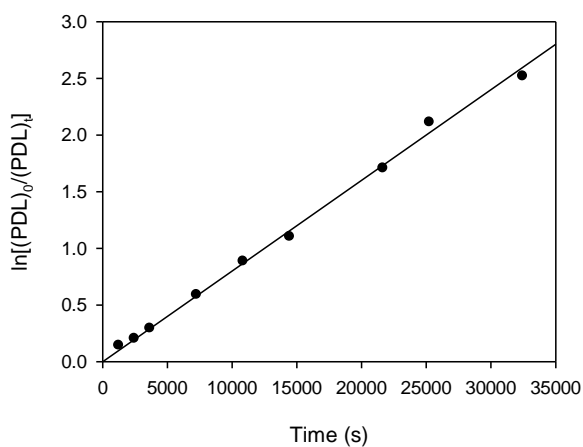


Fig. S18 Semilogarithmic plot of PDL conversion versus time in C₆D₆ at 70 °C with complex **7** ([PDL]₀/[Al] = 100, [Al]/[BnOH] = 1, [PDL]₀ = 1.25, [Al] = 12.5 mM).

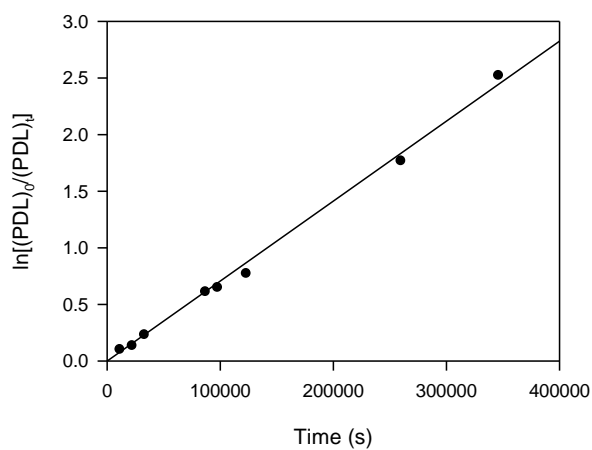


Fig. S19 Semilogarithmic plot of PDL conversion versus time in C₆D₆ at 70 °C with complex **8** ([PDL]₀/[Al] = 100, [Al]/[BnOH] = 1, [PDL]₀ = 1.25, [Al] = 12.5 mM).

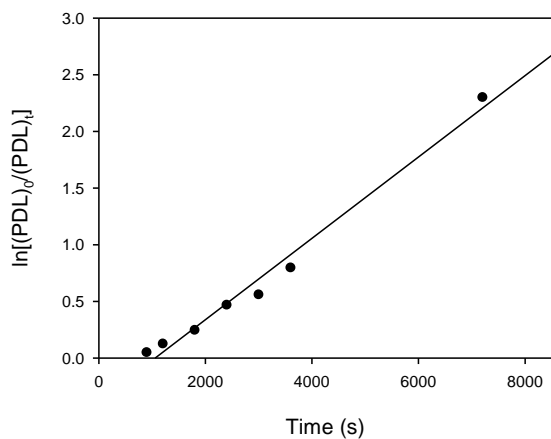


Fig. S20 Semilogarithmic plot of PDL conversion versus time in C_6D_6 at 70 °C with complex **9** ($[PDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[PDL]_0 = 1.25$, $[Al] = 12.5$ mM).

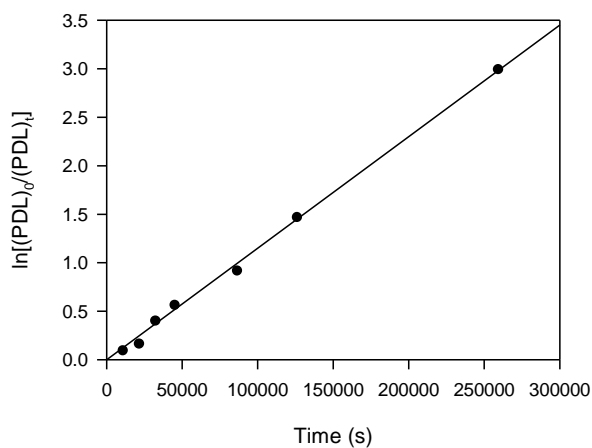


Fig. S21 Semilogarithmic plot of PDL conversion versus time in C_6D_6 at 70 °C with complex **10** ($[PDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[PDL]_0 = 1.25$, $[Al] = 12.5$ mM).

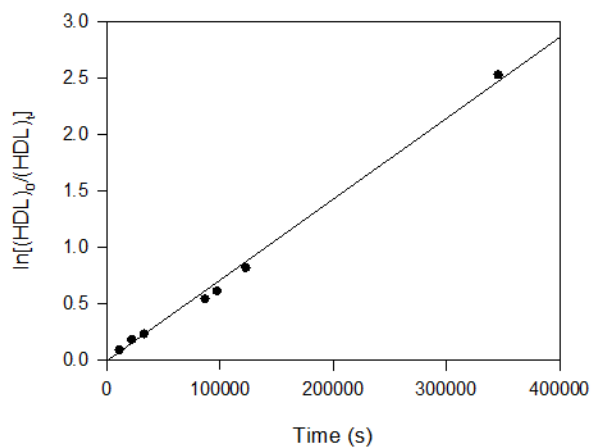


Fig. S22 Semilogarithmic plot of HDL conversion versus time in C_6D_6 at 70 °C with complex **1** ($[HDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[HDL]_0 = 1.25$, $[Al] = 12.5$ mM).

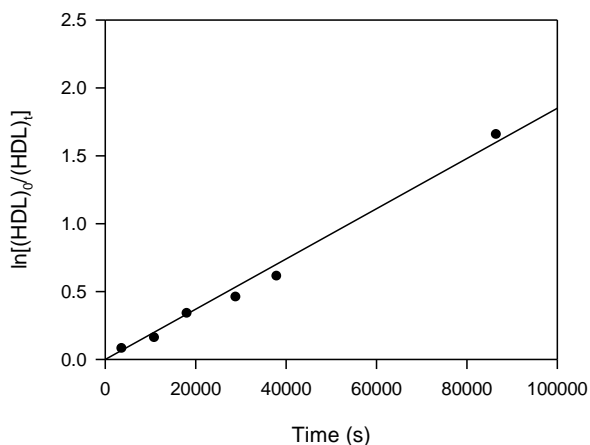


Fig. S23 Semilogarithmic plot of HDL conversion versus time in C_6D_6 at $70\text{ }^\circ\text{C}$ with complex **2** ($[HDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[HDL]_0 = 1.25$, $[Al] = 12.5\text{ mM}$).

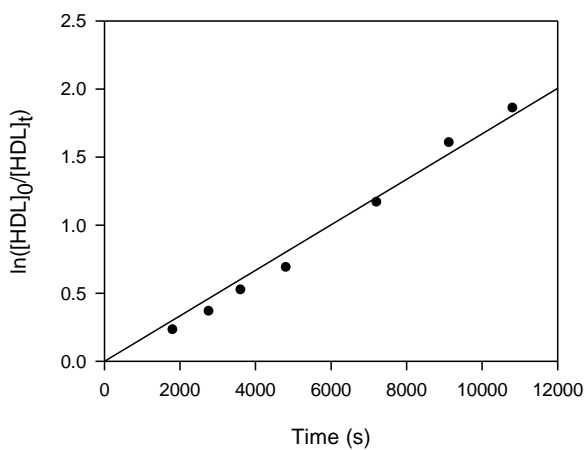


Fig. S24 Semilogarithmic plot of HDL conversion versus time in C_6D_6 at $70\text{ }^\circ\text{C}$ with complex **3** ($[HDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[HDL]_0 = 1.25$, $[Al] = 12.5\text{ mM}$).

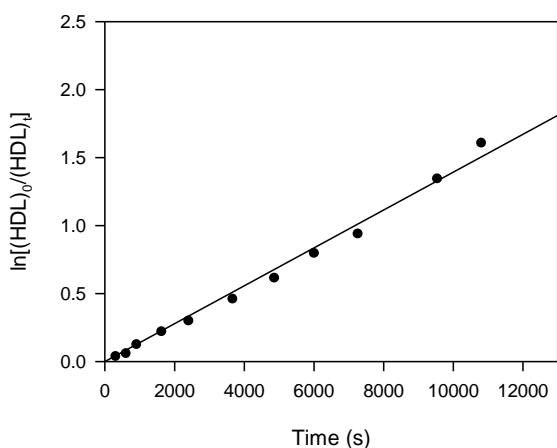


Fig. S25 Semilogarithmic plot of HDL conversion versus time in C_6D_6 at $70\text{ }^\circ\text{C}$ with complex **4** ($[HDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[HDL]_0 = 1.25$, $[Al] = 12.5\text{ mM}$).

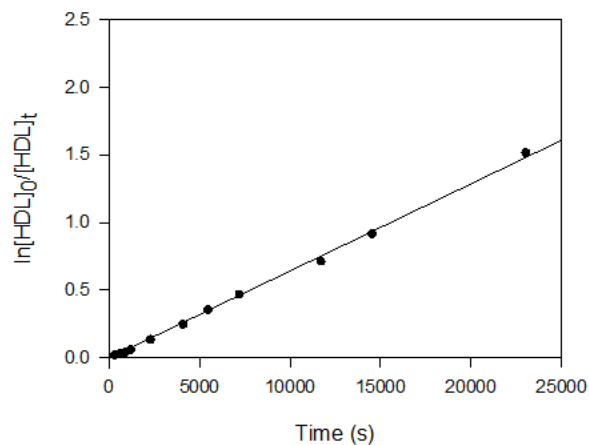


Fig. S26 Semilogarithmic plot of HDL conversion versus time in C₆D₆ at 70 °C with complex **5** ([HDL]₀/[Al] = 100, [Al]/[BnOH] = 1, [HDL]₀ = 1.25, [Al] = 12.5 mM).

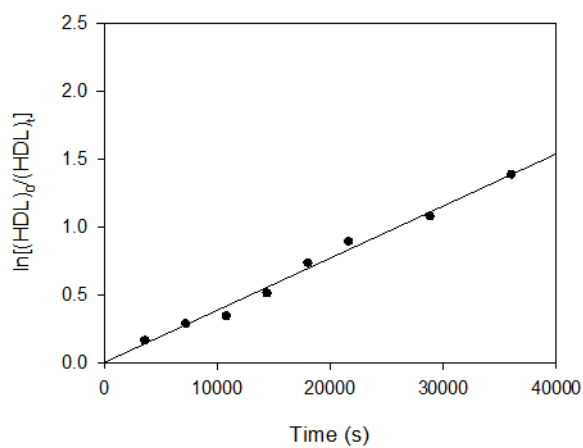


Fig. S27 Semilogarithmic plot of HDL conversion versus time in C₆D₆ at 70 °C with complex **6** ([HDL]₀/[Al] = 100, [Al]/[BnOH] = 1, [HDL]₀ = 1.25, [Al] = 12.5 mM).

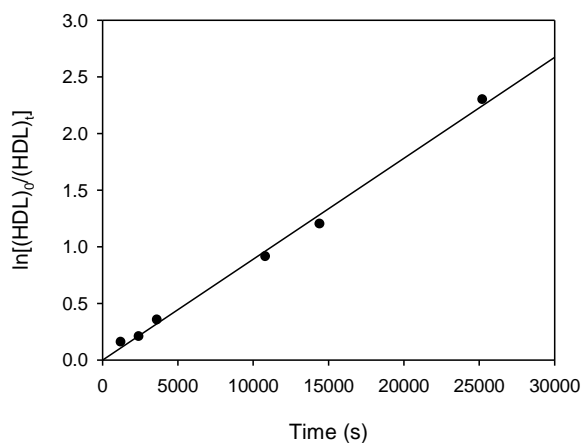


Fig. S28 Semilogarithmic plot of HDL conversion versus time in C₆D₆ at 70 °C with complex **7** ([HDL]₀/[Al] = 100, [Al]/[BnOH] = 1, [HDL]₀ = 1.25, [Al] = 12.5 mM).

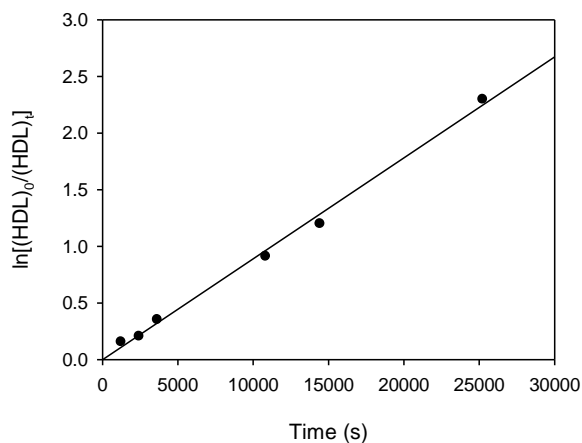


Fig. S29 Semilogarithmic plot of HDL conversion versus time in C_6D_6 at 70°C with complex **8** ($[\text{HDL}]_0/[\text{Al}] = 100$, $[\text{Al}]/[\text{BnOH}] = 1$, $[\text{HDL}]_0 = 1.25$, $[\text{Al}] = 12.5$ mM).

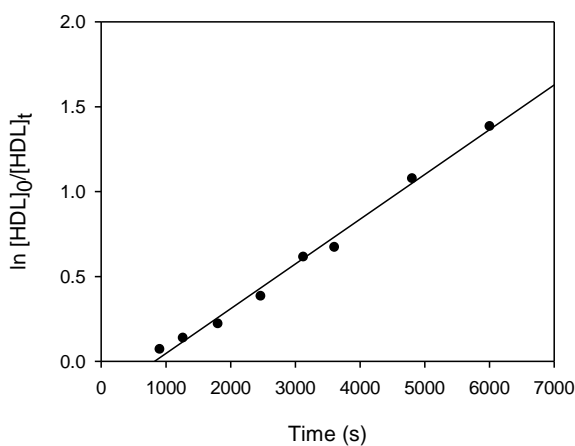


Fig. S30 Semilogarithmic plot of HDL conversion versus time in C_6D_6 at 70°C with complex **9** ($[\text{HDL}]_0/[\text{Al}] = 100$, $[\text{Al}]/[\text{BnOH}] = 1$, $[\text{HDL}]_0 = 1.25$, $[\text{Al}] = 12.5$ mM).

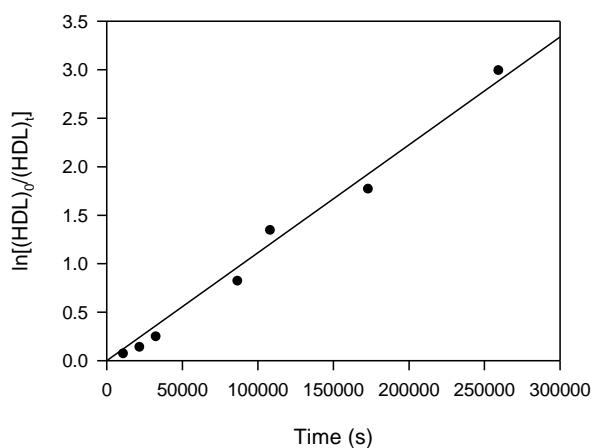


Fig. S31 Semilogarithmic plot of HDL conversion versus time in C_6D_6 at 70°C with complex **10** ($[\text{HDL}]_0/[\text{Al}] = 100$, $[\text{Al}]/[\text{BnOH}] = 1$, $[\text{HDL}]_0 = 1.25$, $[\text{Al}] = 12.5$ mM).

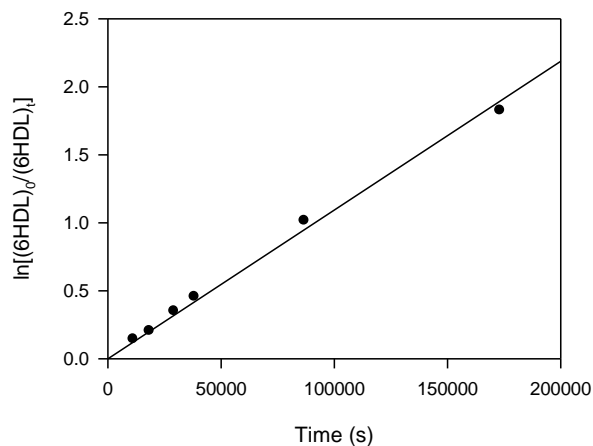


Fig. S32 Semilogarithmic plot of 6HDL conversion versus time in C_6D_6 at 70 °C with complex **1** ($[6HDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[6HDL]_0 = 1.25$, $[Al] = 12.5$ mM).

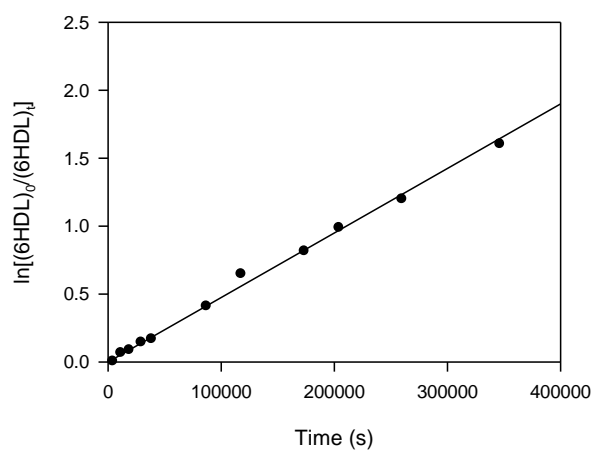


Fig. S33 Semilogarithmic plot of 6HDL conversion versus time in C_6D_6 at 70 °C with complex **2** ($[6HDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[6HDL]_0 = 1.25$, $[Al] = 12.5$ mM).

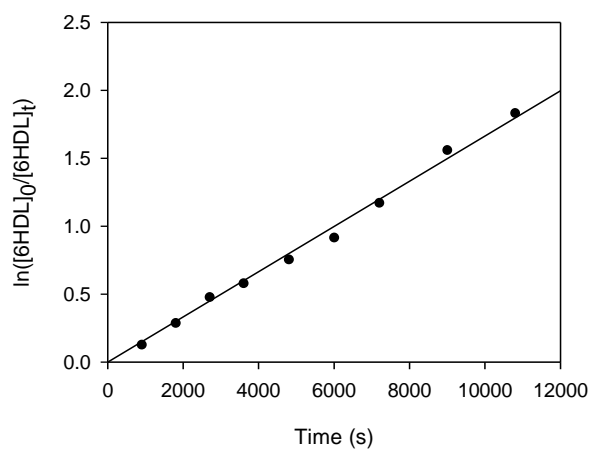


Fig. S34 Semilogarithmic plot of 6HDL conversion versus time in C_6D_6 at 70 °C with complex **3** ($[6HDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[6HDL]_0 = 1.25$, $[Al] = 12.5$ mM).

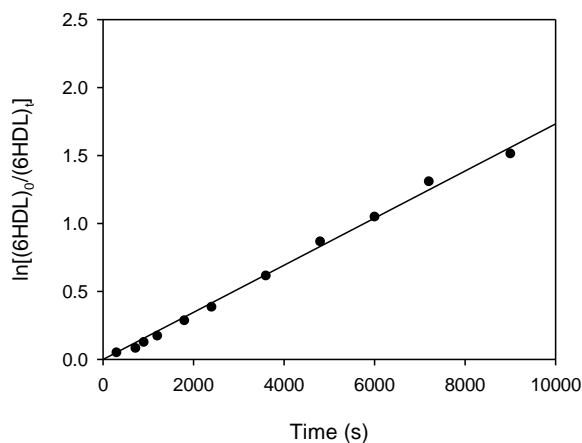


Fig. S35 Semilogarithmic plot of 6HDL conversion versus time in C_6D_6 at 70 °C with complex **4** ($[6HDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[6HDL]_0 = 1.25$, $[Al] = 12.5$ mM).

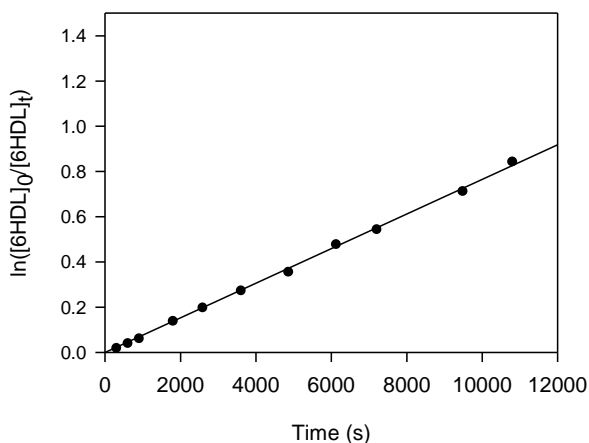


Fig. S36 Semilogarithmic plot of 6HDL conversion versus time in C_6D_6 at 70 °C with complex **5** ($[6HDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[6HDL]_0 = 1.25$, $[Al] = 12.5$ mM).

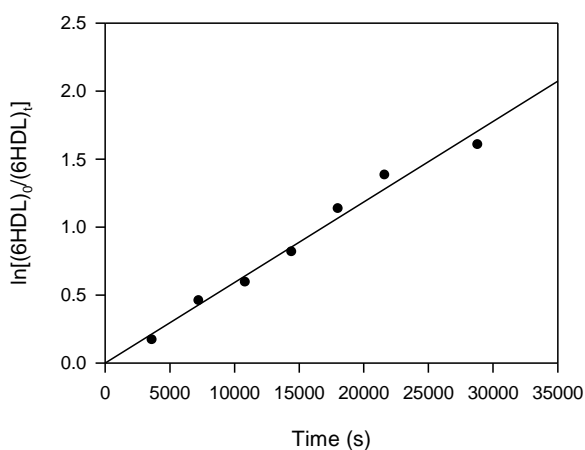


Fig. S37 Semilogarithmic plot of 6HDL conversion versus time in C_6D_6 at 70 °C with complex **6** ($[6HDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[6HDL]_0 = 1.25$, $[Al] = 12.5$ mM).

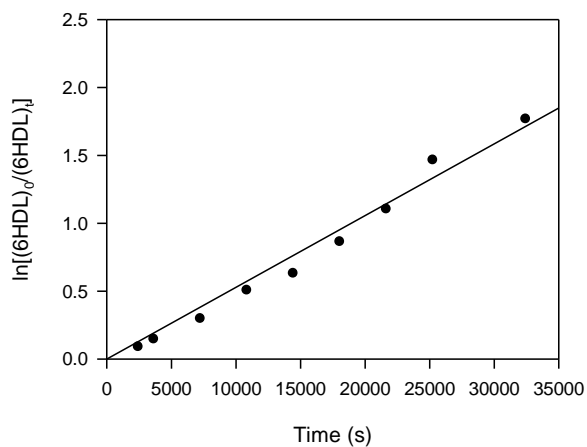


Fig. S38 Semilogarithmic plot of 6HDL conversion versus time in C_6D_6 at 70 °C with complex **7** ($[6HDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[6HDL]_0 = 1.25$, $[Al] = 12.5$ mM).

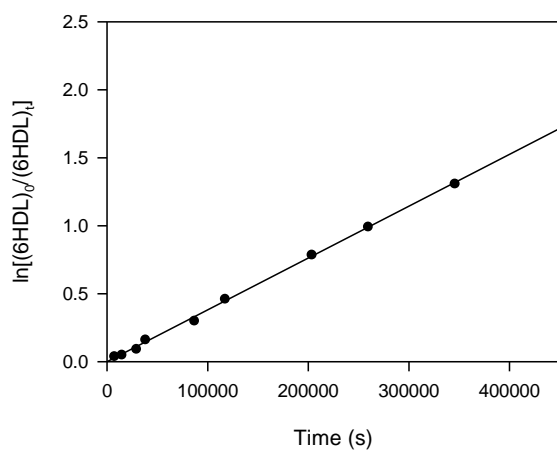


Fig. S39 Semilogarithmic plot of 6HDL conversion versus time in C_6D_6 at 70 °C with complex **8** ($[6HDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[6HDL]_0 = 1.25$, $[Al] = 12.5$ mM).

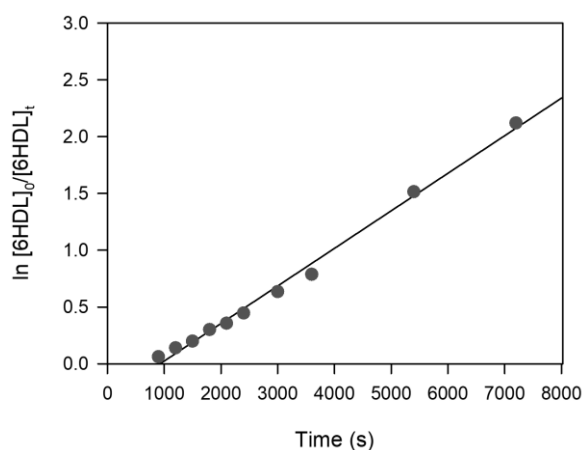


Fig. S40 Semilogarithmic plot of 6HDL conversion versus time in C_6D_6 at 70 °C with complex **9** ($[6HDL]_0/[Al] = 100$, $[Al]/[BnOH] = 1$, $[6HDL]_0 = 1.25$, $[Al] = 12.5$ mM).

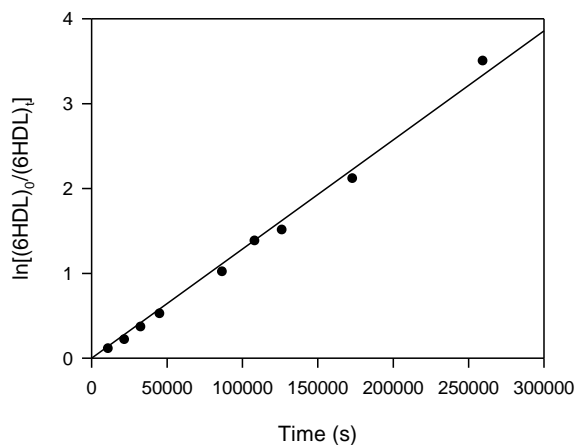


Fig. S41 Semilogarithmic plot of 6HDL conversion versus time in C_6D_6 at $70\text{ }^\circ\text{C}$ with complex **10** ($[\text{P6HDL}]_0/[\text{Al}] = 100$, $[\text{Al}]/[\text{BnOH}] = 1$, $[\text{6HDL}]_0 = 1.25$, $[\text{Al}] = 12.5\text{ mM}$).

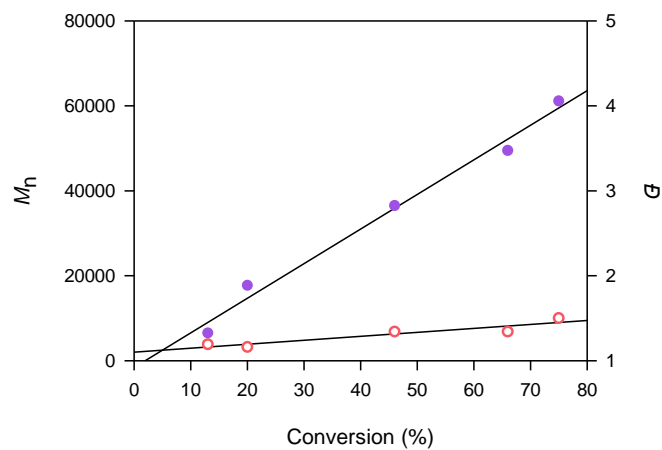


Fig. S42 Plot of the HDL M_n (\bullet) (versus polystyrene standards) and D (\circ) as a function of monomer conversion for HDL using **9**/BnOH as an initiator ($[\text{HDL}]_0/[\text{Al}] = 100$, C_6D_6 , $70\text{ }^\circ\text{C}$).

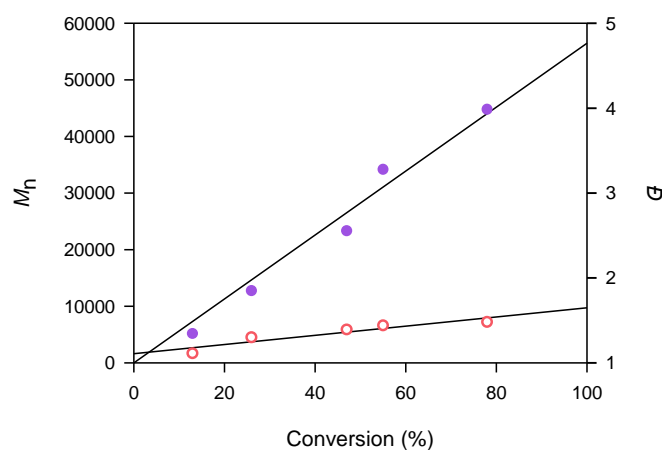


Fig. S43 Plot of the 6HDL M_n (\bullet) (versus polystyrene standards) and PDI (\circ) as a function of monomer conversion for 6HDL using **9**/BnOH as an initiator ($[\text{6HDL}]_0/[\text{Al}] = 100$, C_6D_6 , $70\text{ }^\circ\text{C}$).

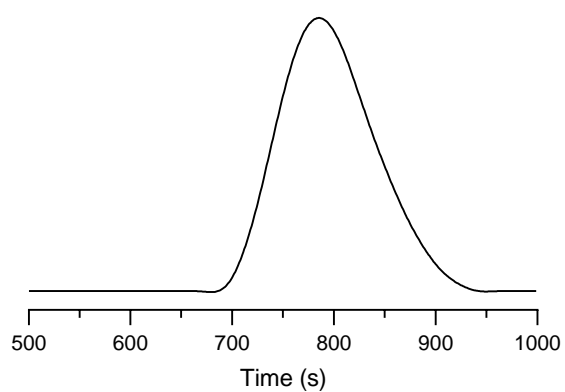


Fig. S44 GPC curve of Poly(PDL-*b*-L-LA) using complex **9** (Table 6, entry 1)

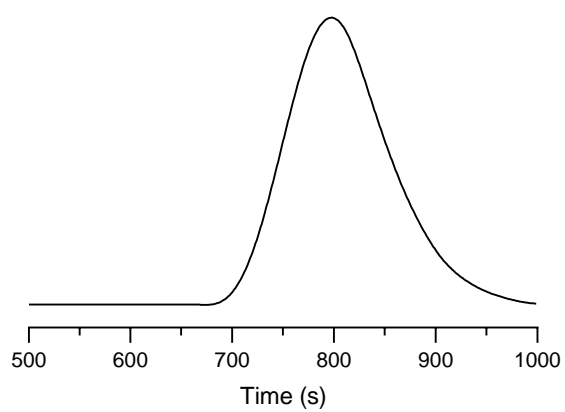


Fig. S45 GPC curve of Poly(L-LA-*co*-PDL) using complex **9** (Table 6, entry 2)

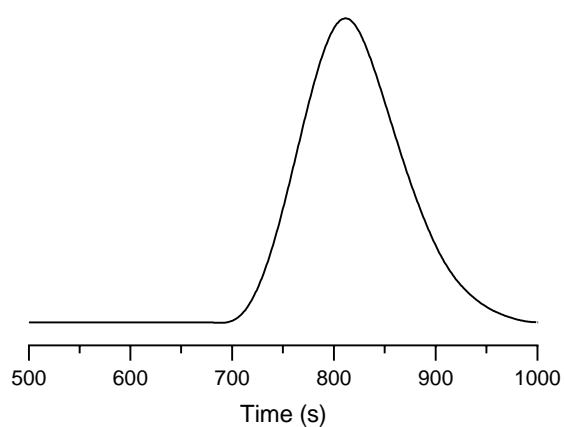


Fig. S46 GPC curve of Poly(L-LA-*co*-PDL) using complex **9** (Table 6, entry 3)

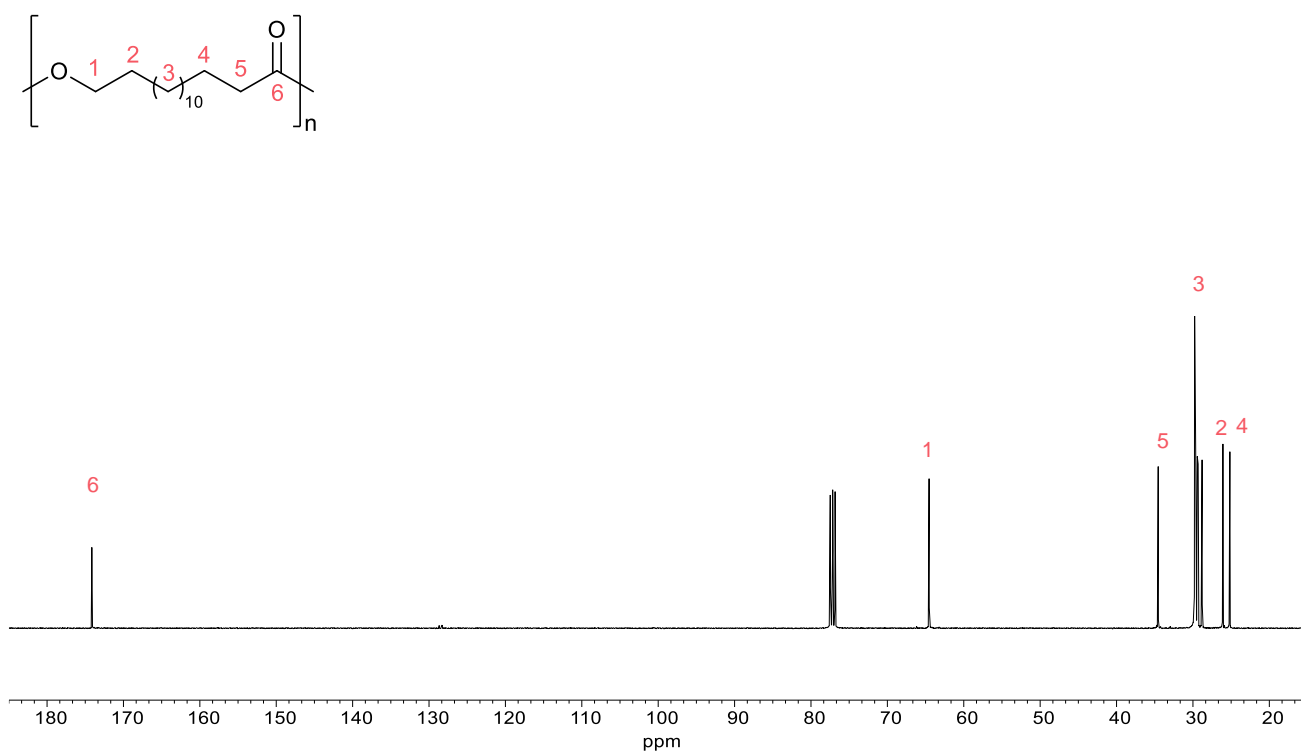
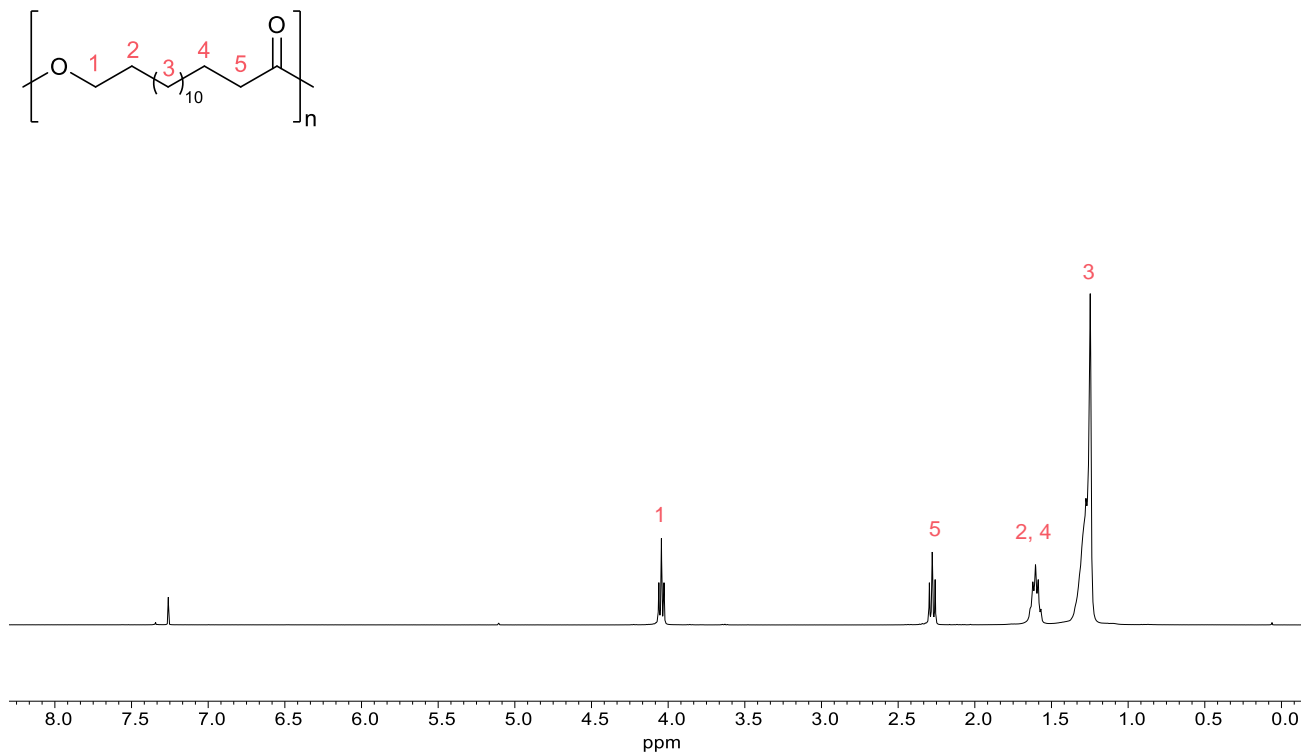


Fig. S47 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of poly(pentadecalactone) (PPDL) in CDCl_3 at 298 K.

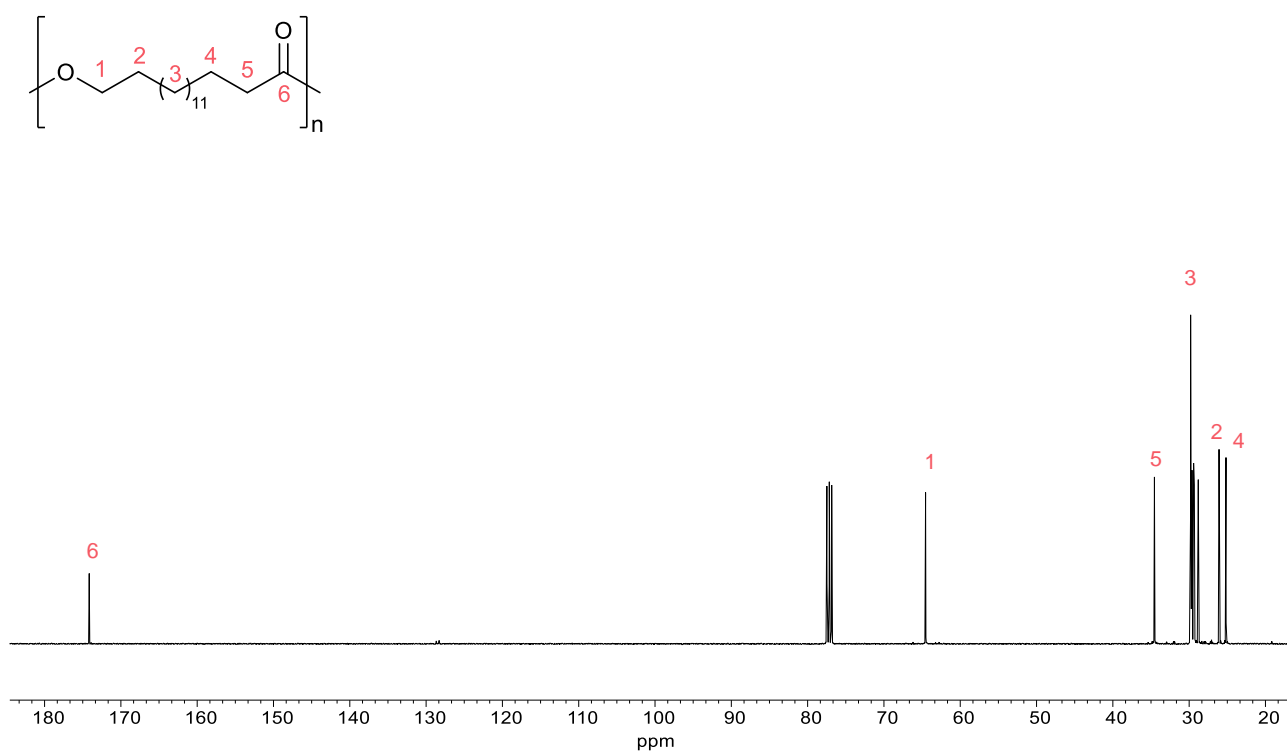
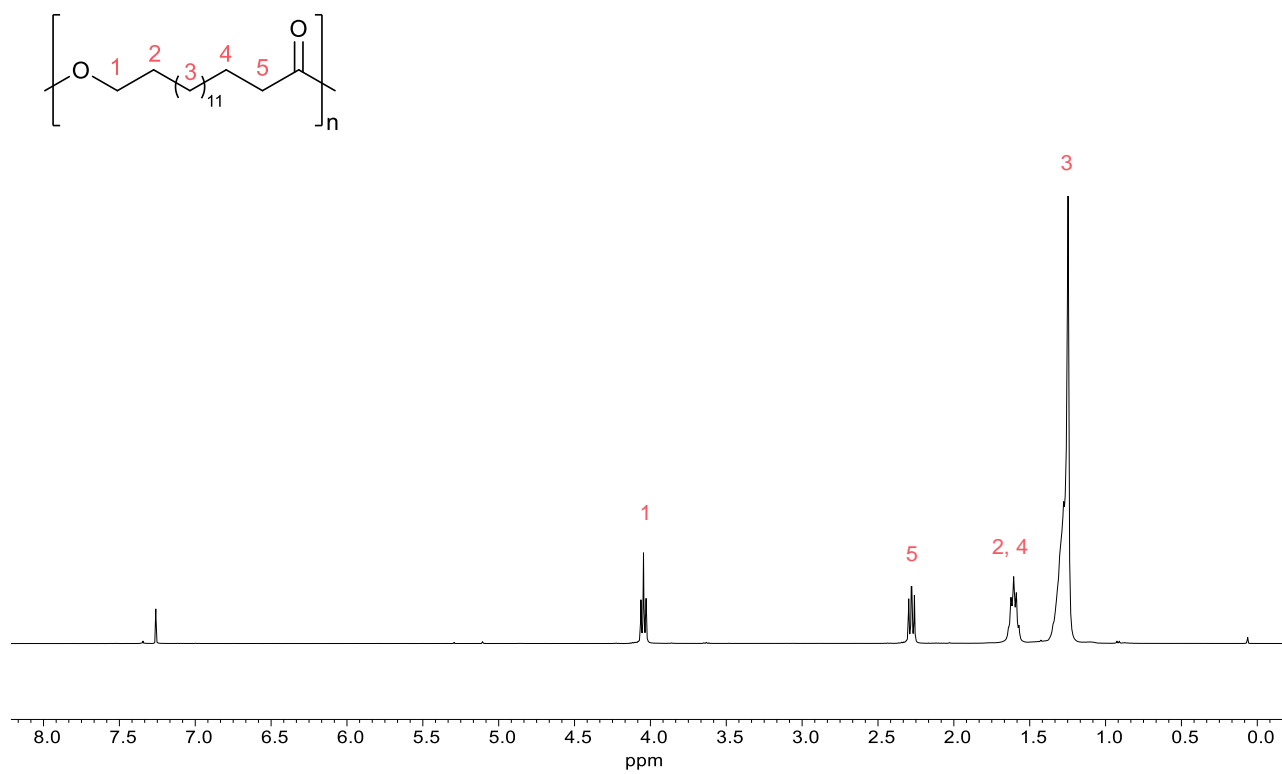


Fig. S48 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of polyhexadecalactone (PHDL) in CDCl_3 at 298 K.

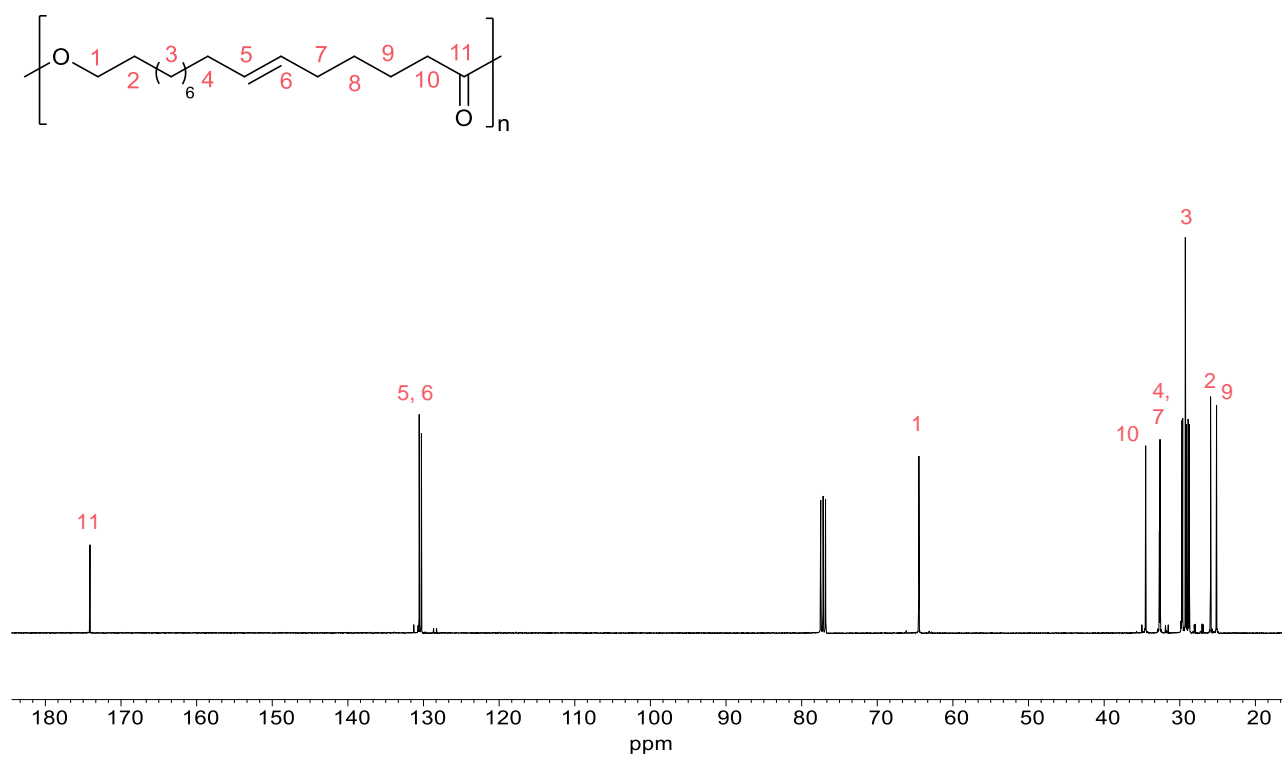
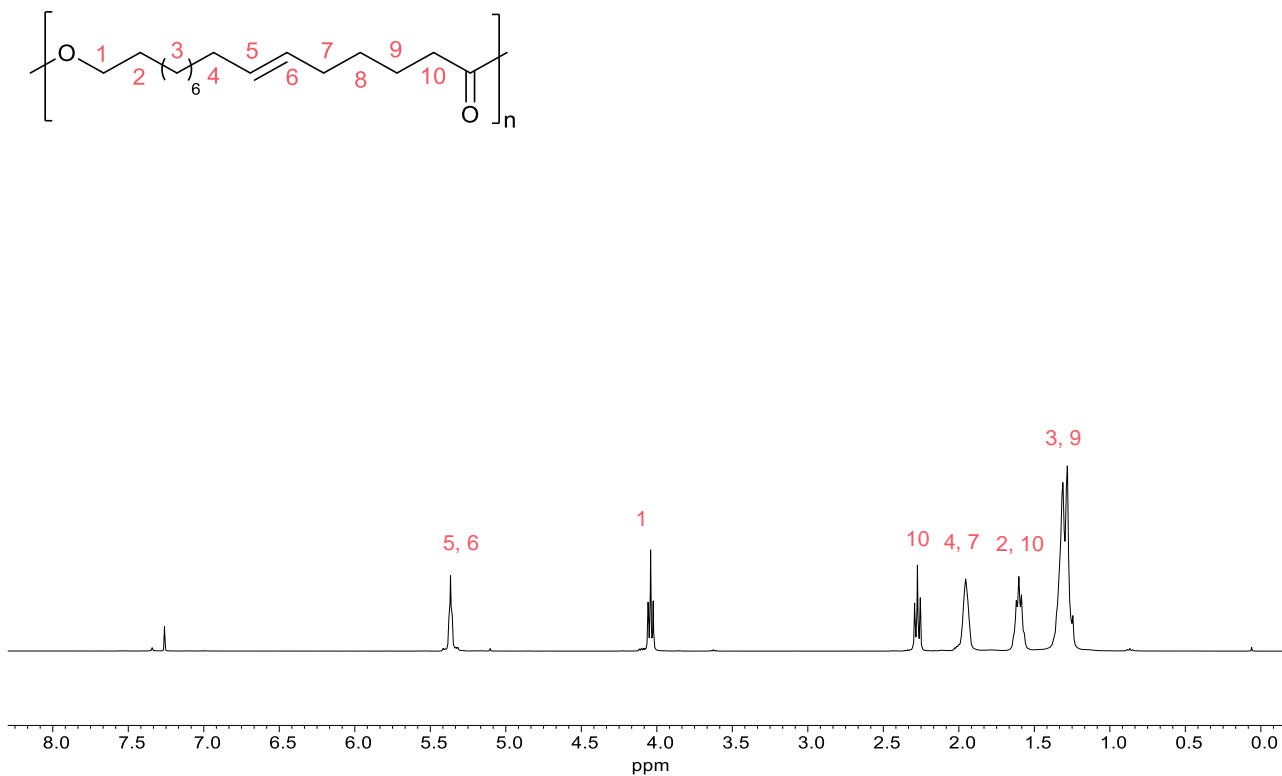


Fig. S49 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of poly(ω -6-hexadecenlactone, 6HDL) in CDCl_3 at 298 K.

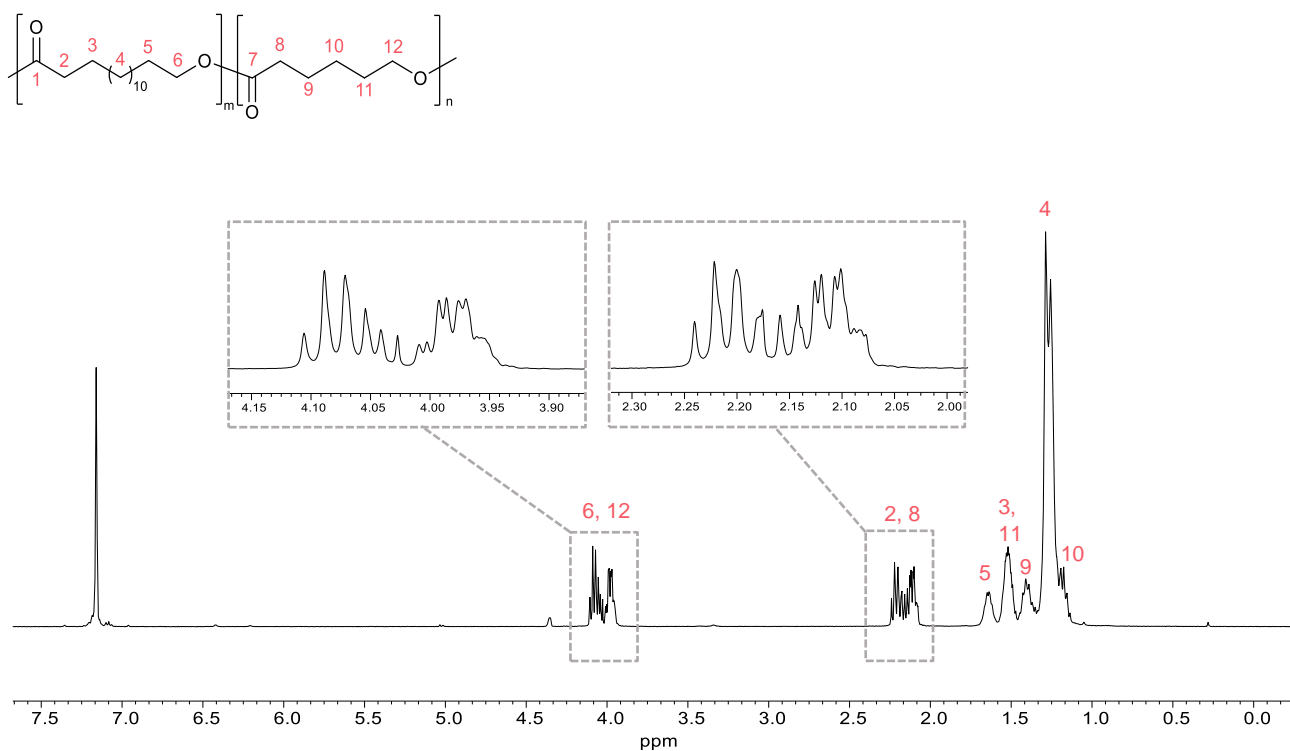


Fig. S50 ^1H NMR spectrum of poly(PDL-co-CL) in C_6D_6 at 298 K (Table 4, entry 1).

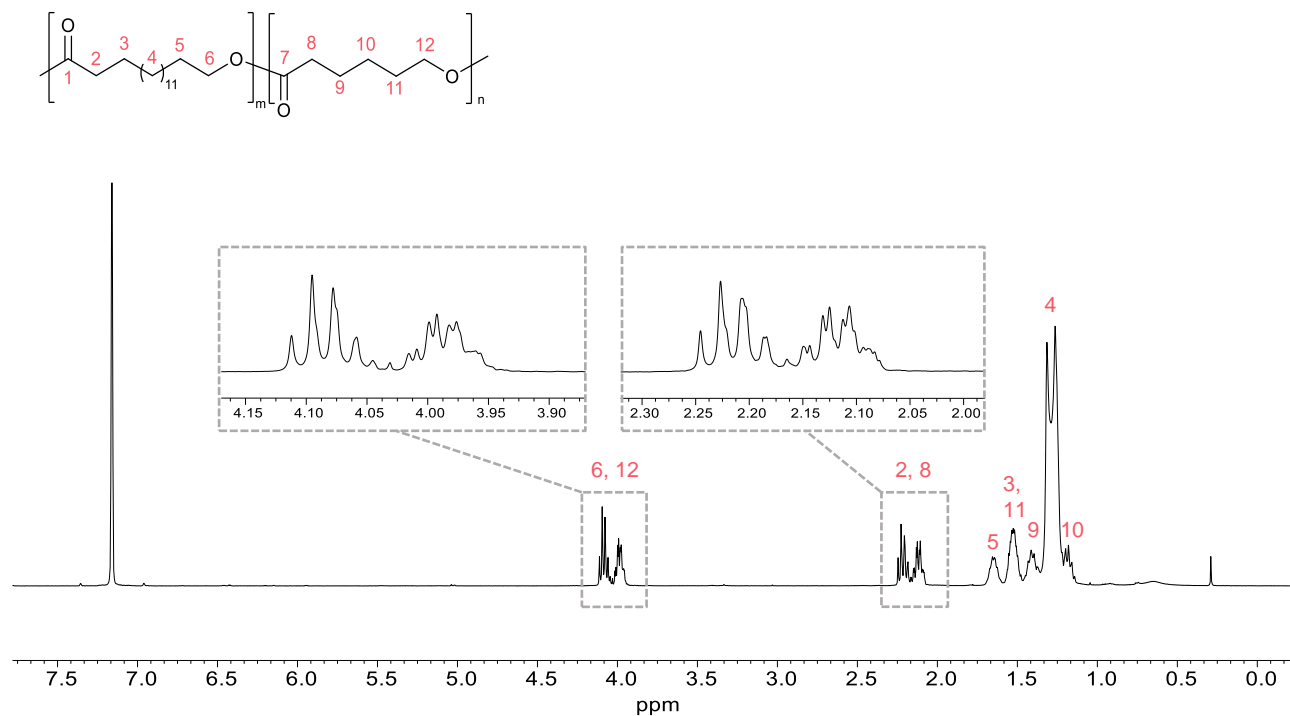


Fig. S51 ^1H NMR spectrum of poly(HDL-co-CL) in C_6D_6 at 298 K (Table 4, entry 2).

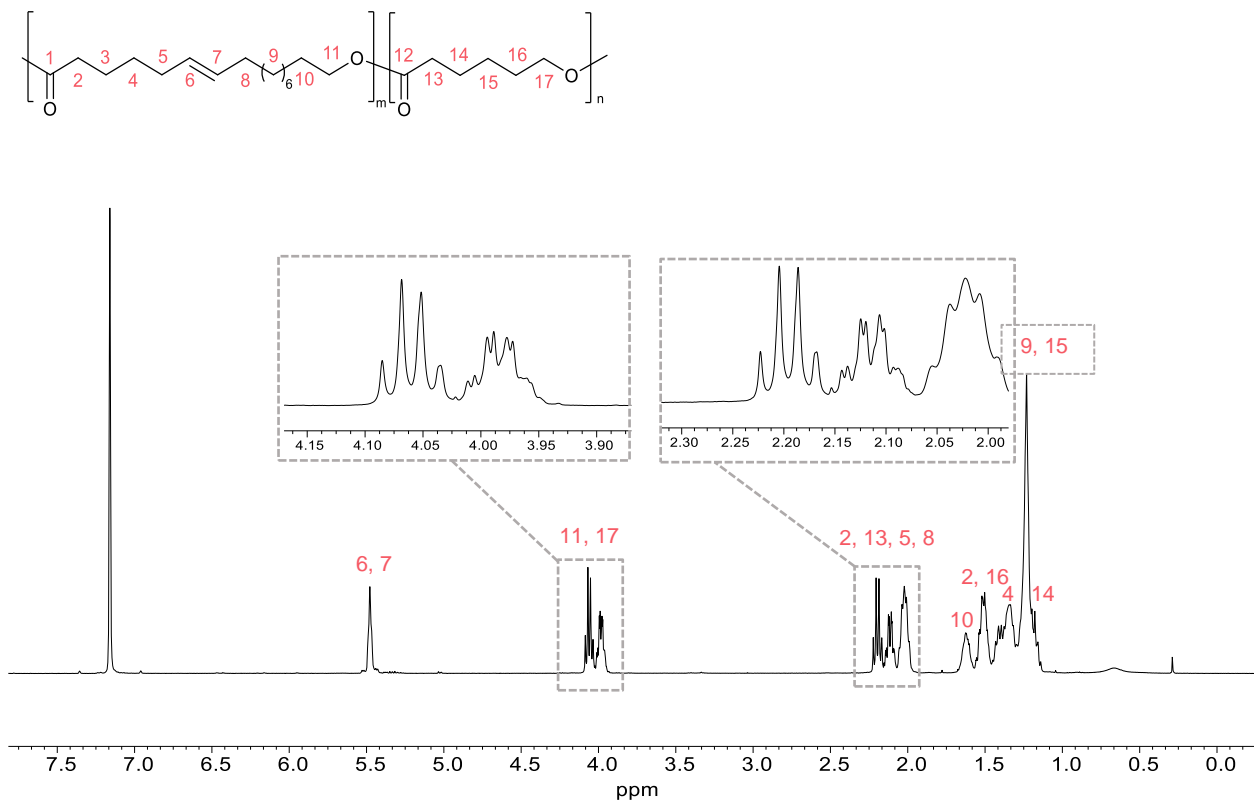


Fig. S52 ¹H NMR spectrum of poly(6HDL-*co*-CL) in C₆D₆ at 298 K (Table 4, entry 3).

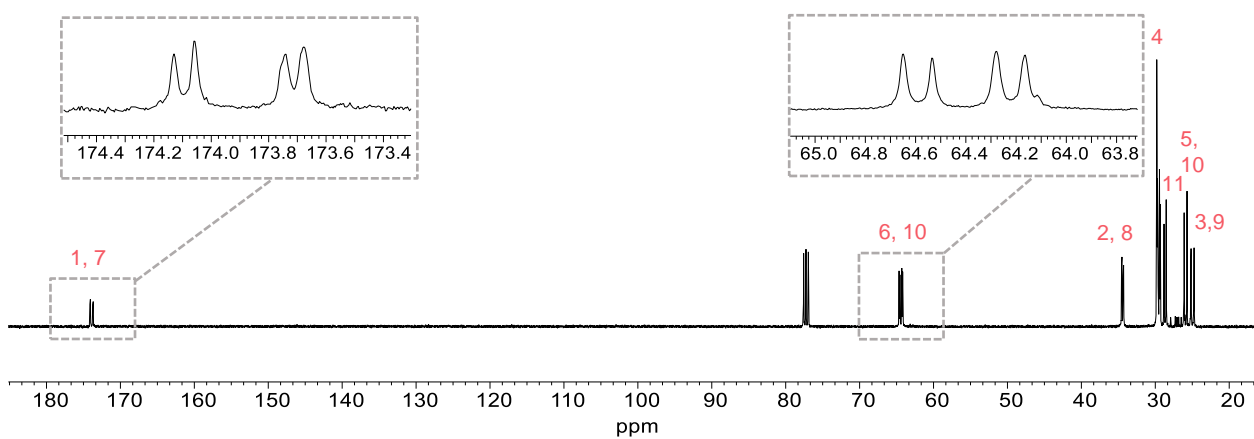
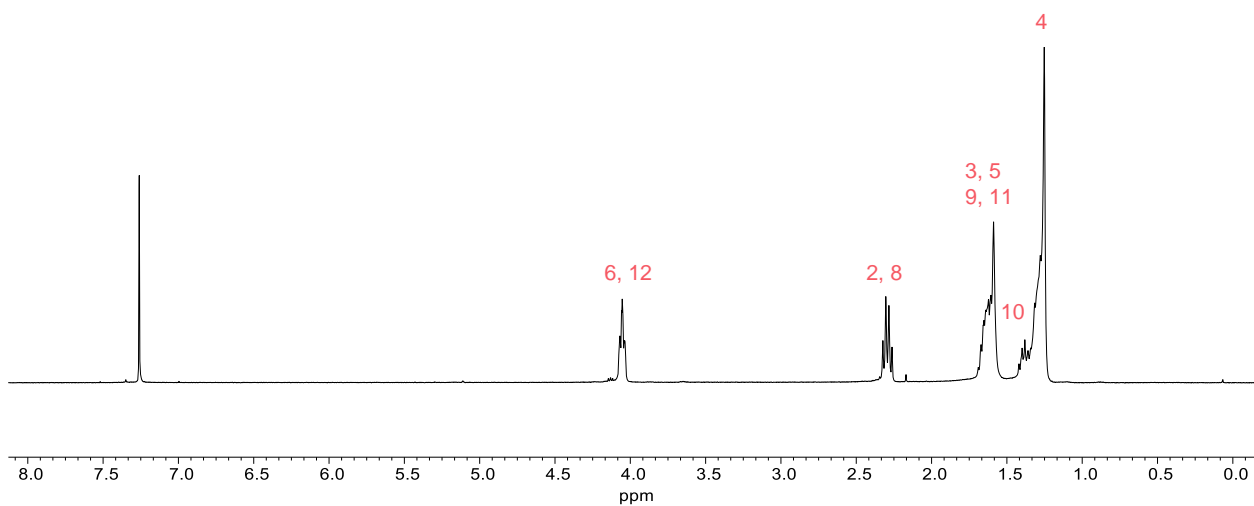
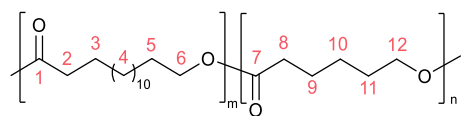


Fig. S53 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of poly(PDL-co-CL) in CDCl_3 at 298 K (Table 4, entry 1).

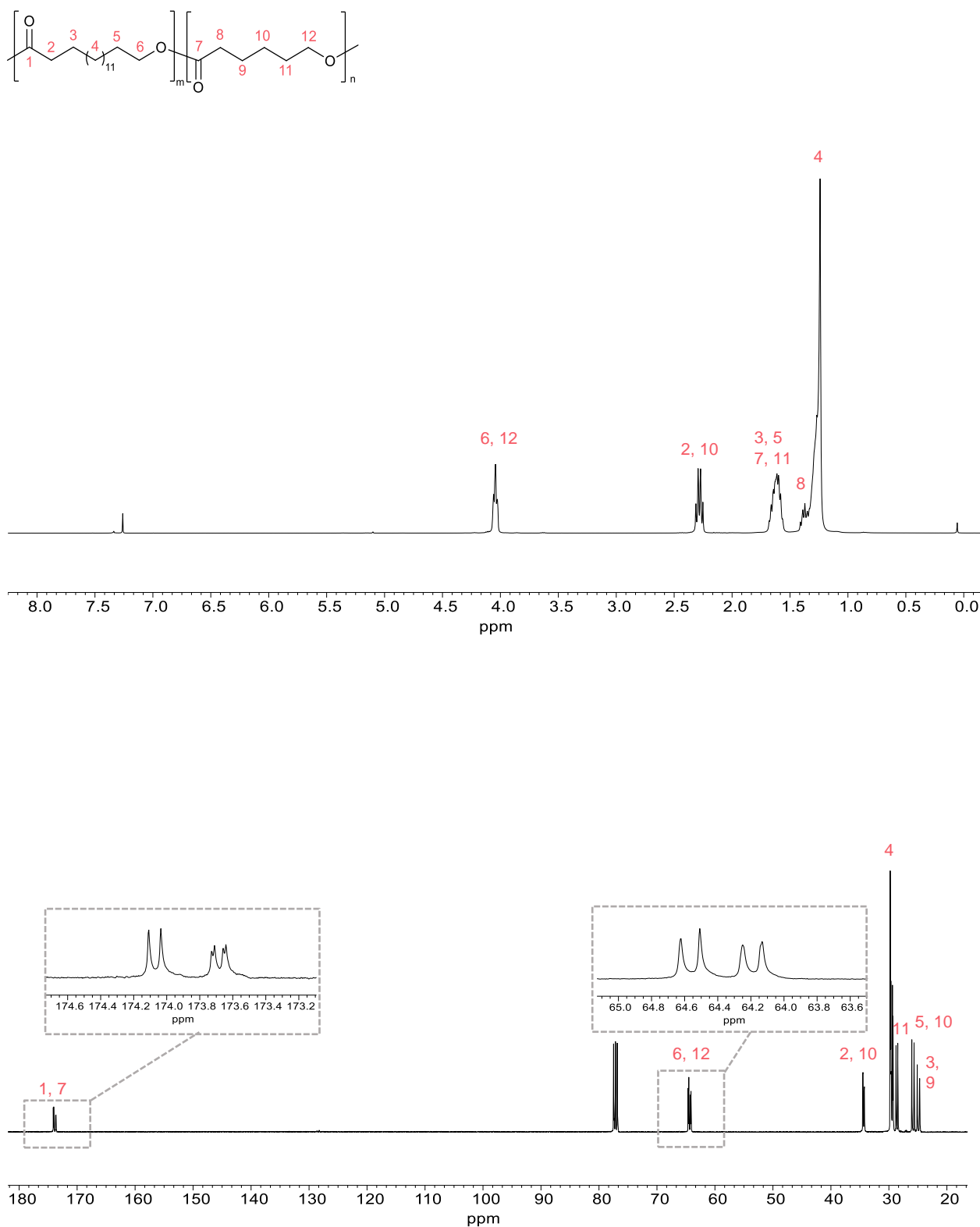


Fig. S54 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of poly(HDL-co-CL) in CDCl_3 at 298 K (Table 4, entry 2).

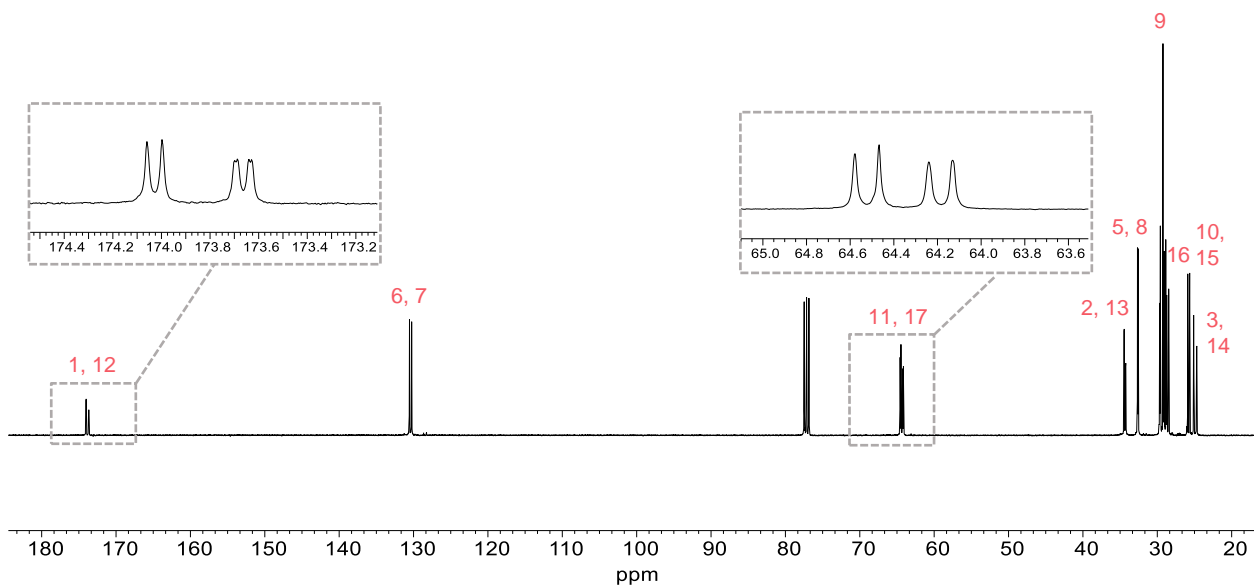
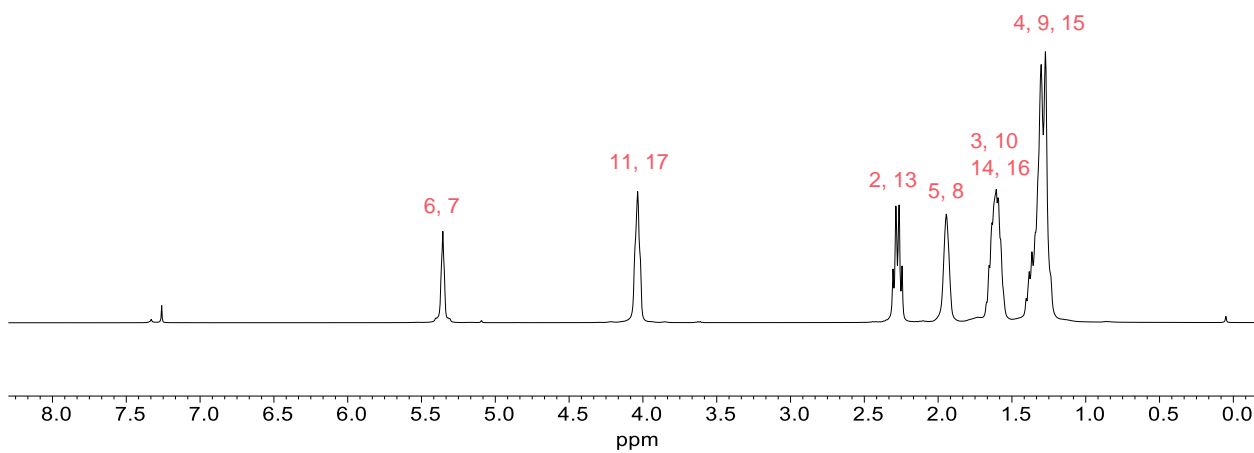
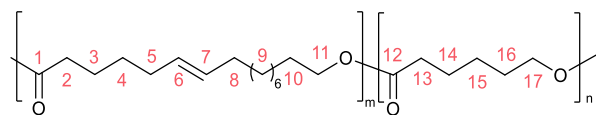


Fig. S55 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of poly(6HDL-*co*-CL) in CDCl_3 at 298 K (Table 4, entry 3).

Sequential monomer addition

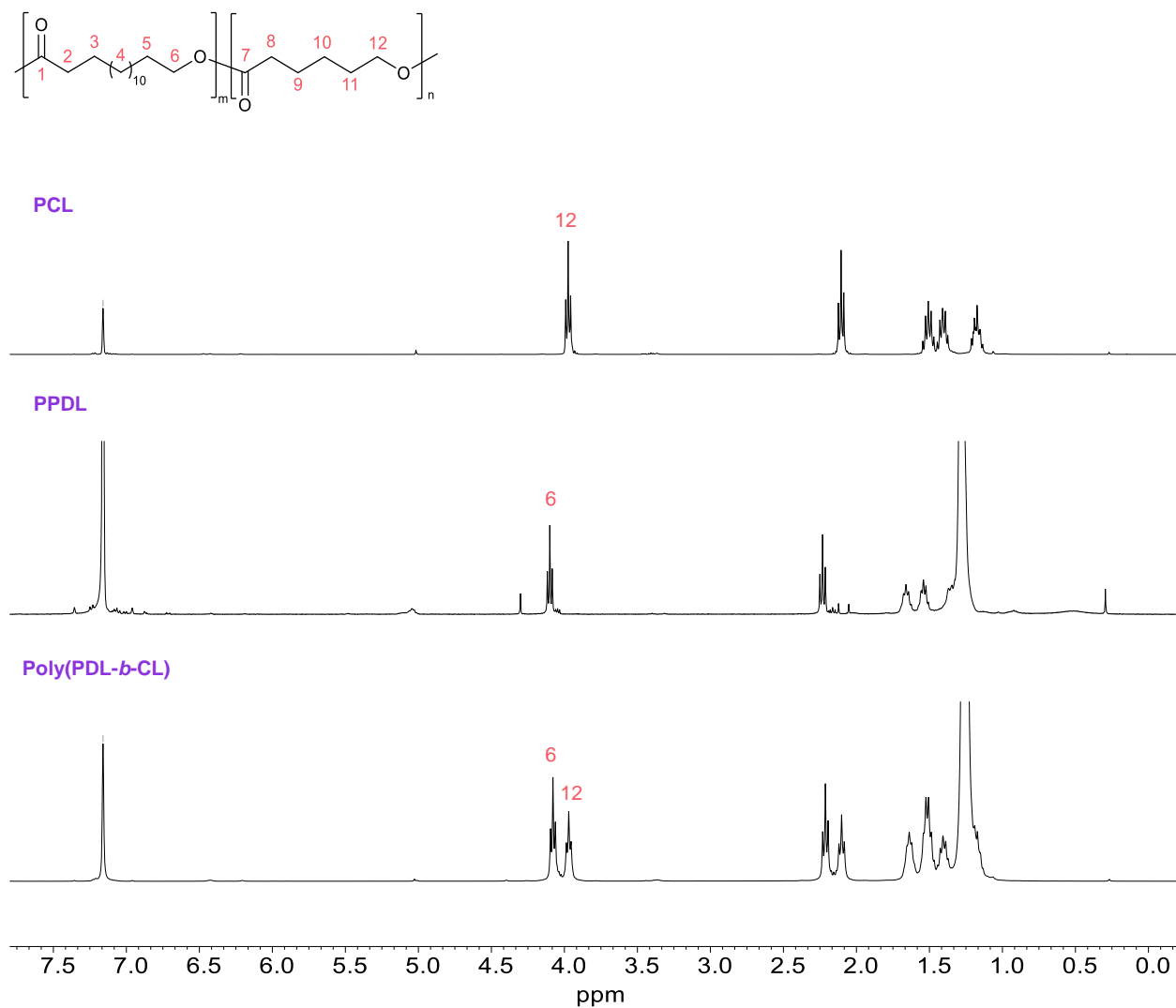


Fig. S56 ^1H NMR spectra of poly(PDL-*b*-CL) in C_6D_6 at 298 K.

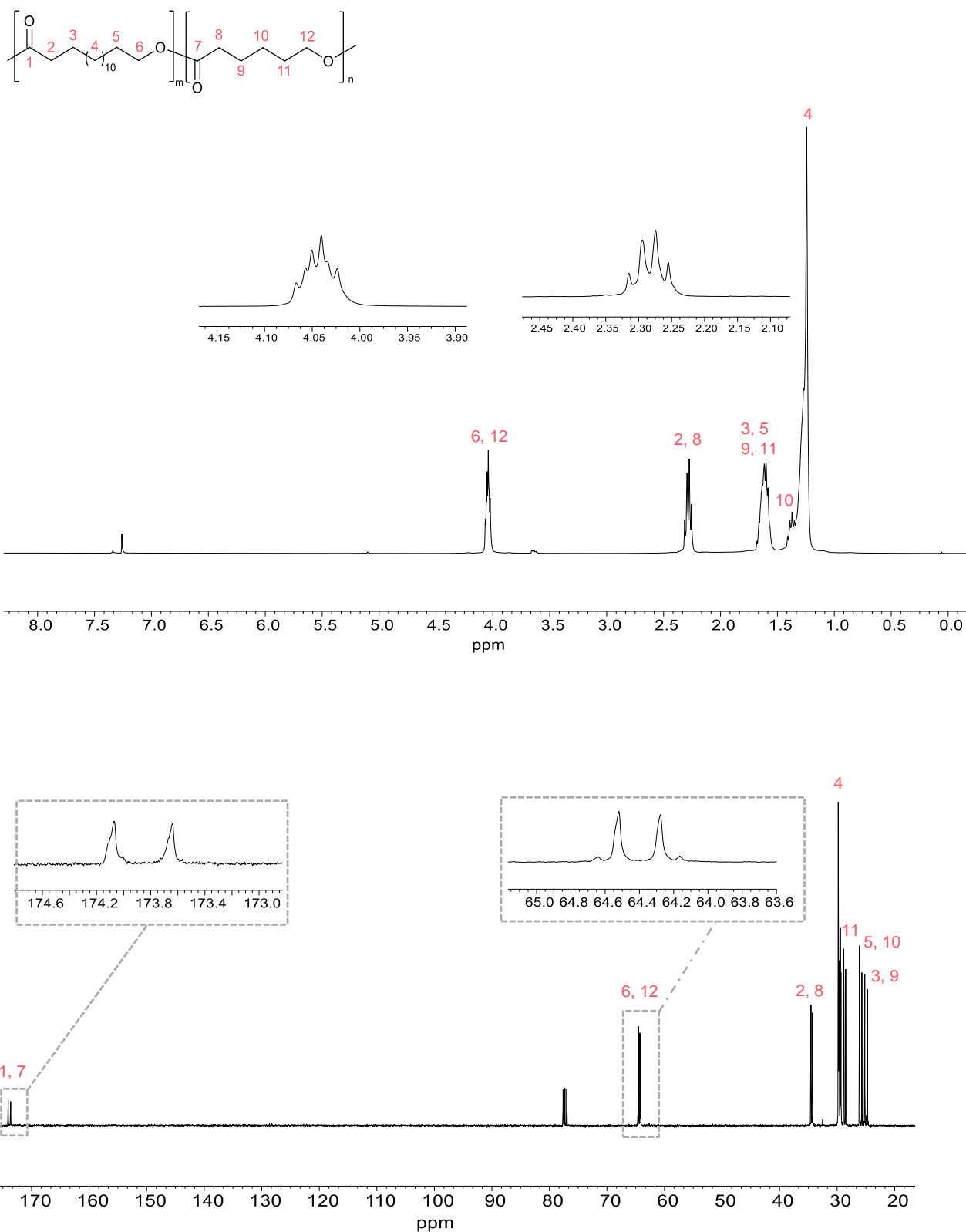


Fig. S57 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of poly(PDL-*b*-CL) in CDCl_3 at 298 K (Table 4, entry 4).

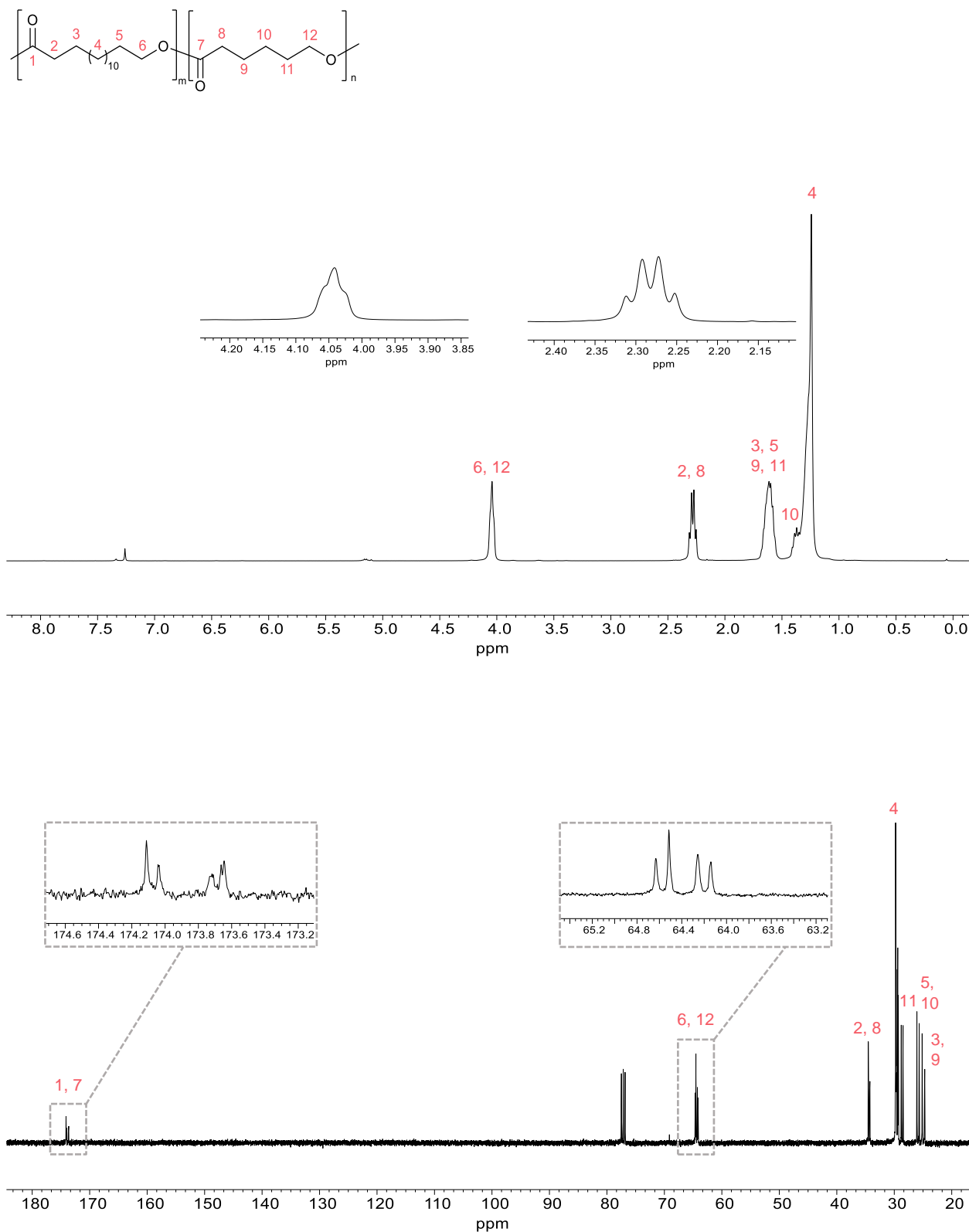


Fig. S58 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of poly(PDL-co-CL) in CDCl_3 at 298 K (Table 4, entry 5).

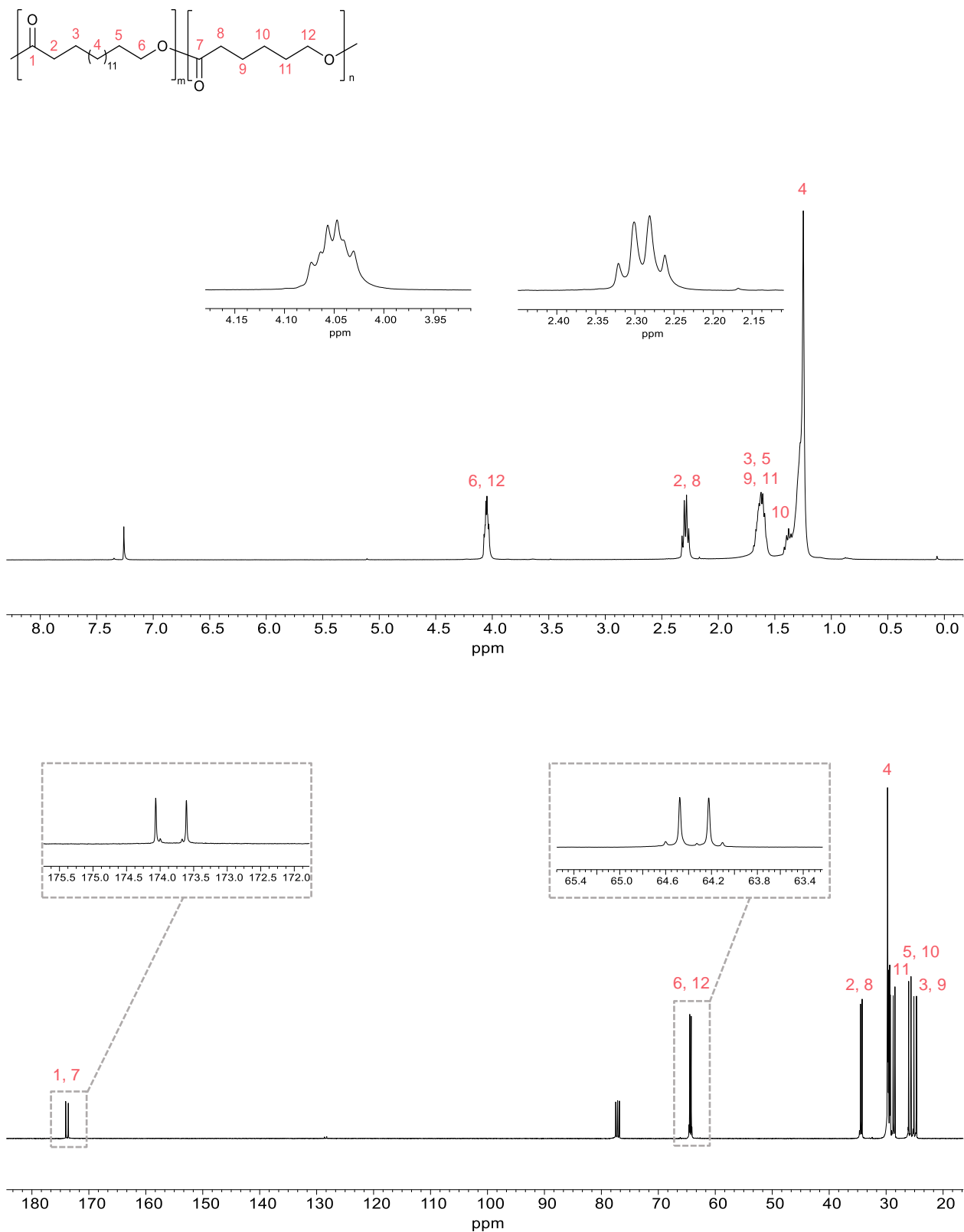


Fig. S59 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of poly(HDL-*b*-CL) in CDCl_3 at 298 K (Table 4, entry 6).

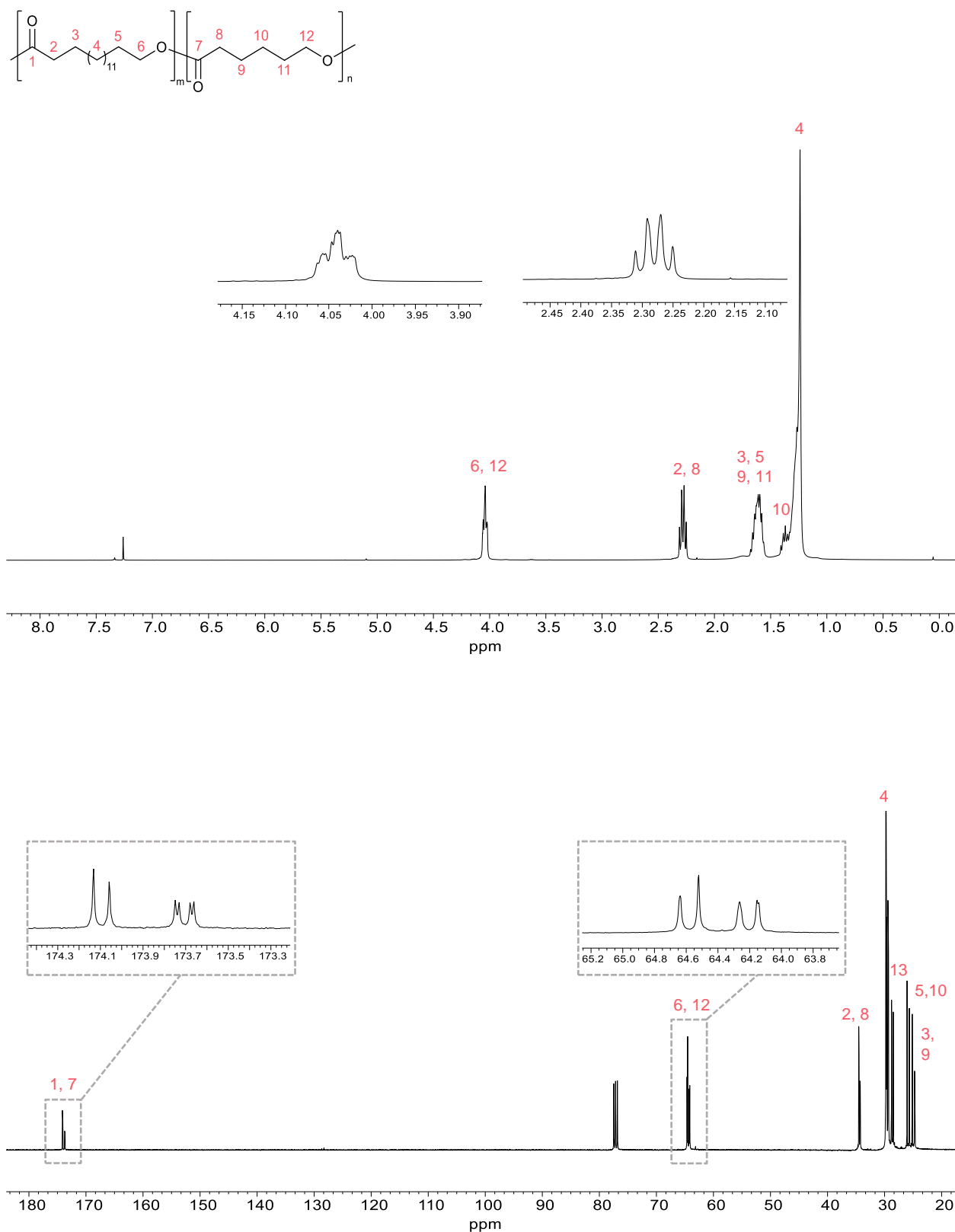


Fig. S60 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of poly(HDL-co-CL) in CDCl_3 at 298 K (Table 4, entry 7).

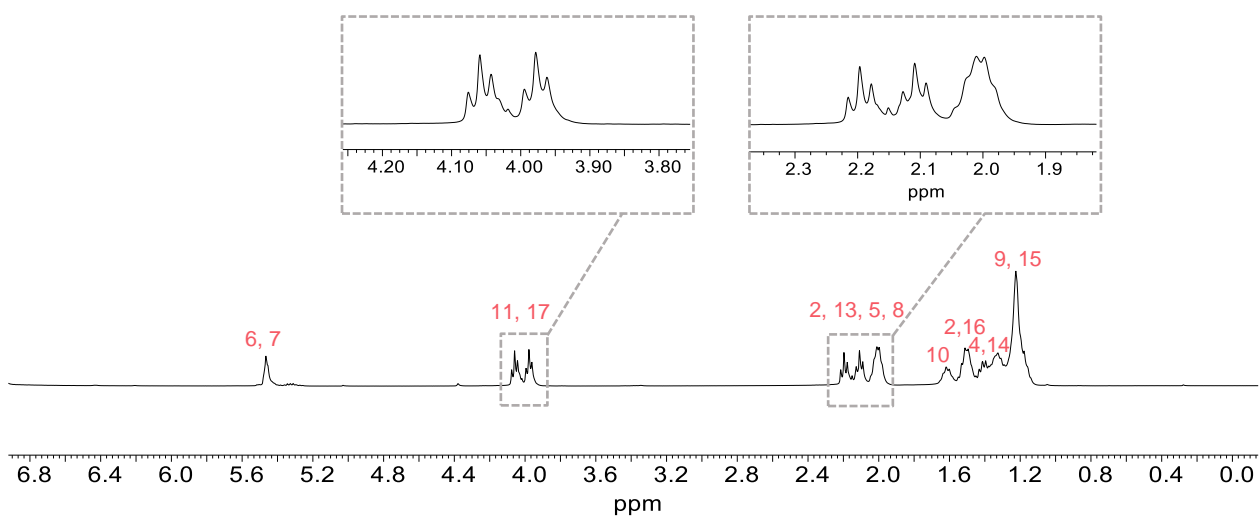
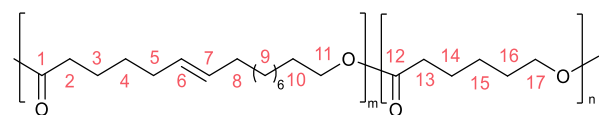


Fig. S61 ^1H NMR spectrum of poly(6HDL-*b*-CL) in C_6D_6 at 298 K (Table 4, entry 8).

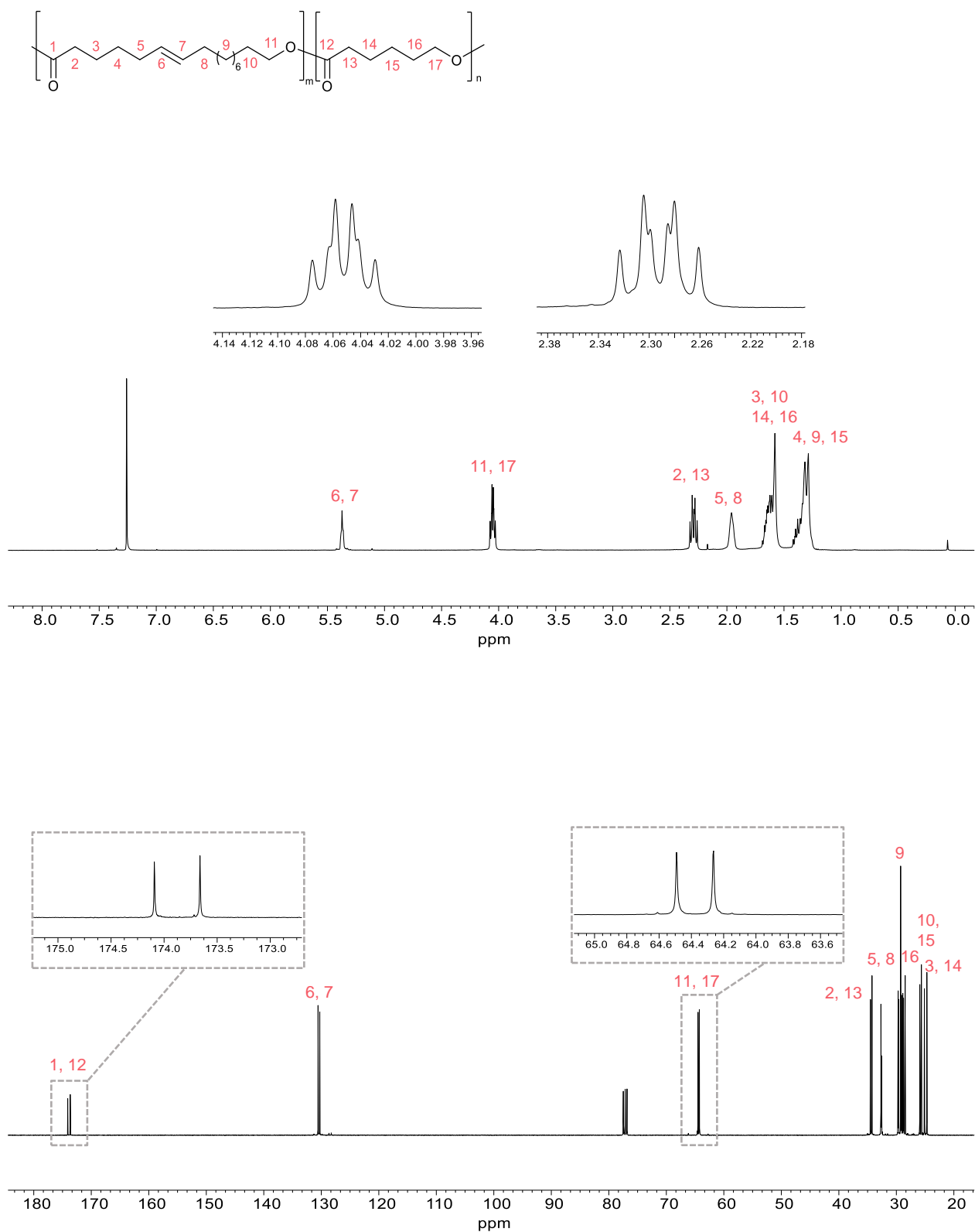


Fig. S62 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of poly(6HDL-*b*-CL) in CDCl_3 at 298 K (Table 4, entry 8).

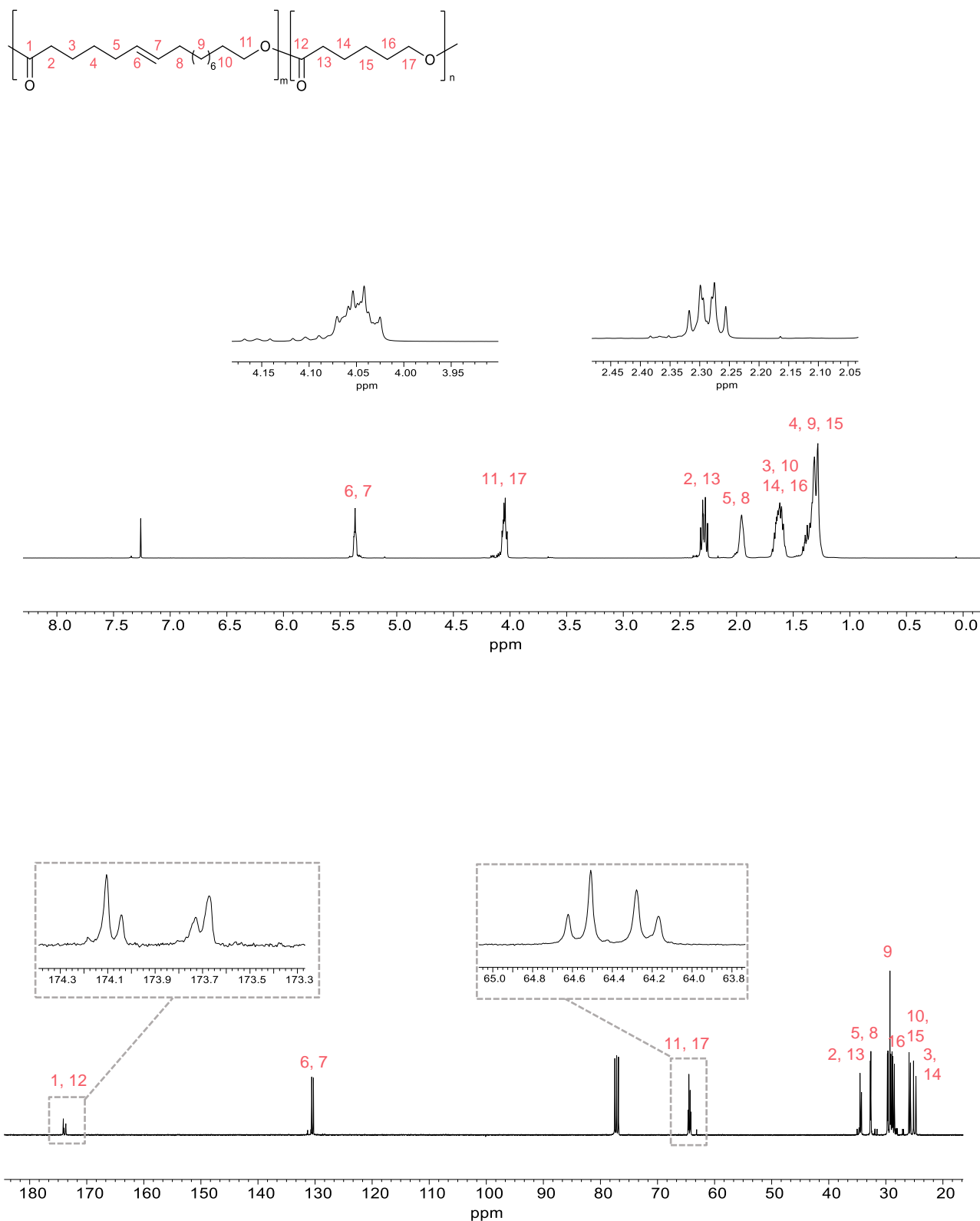


Fig. S63 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of poly(6HDL-co-CL) in CDCl_3 at 298 K (Table 4, entry 9).

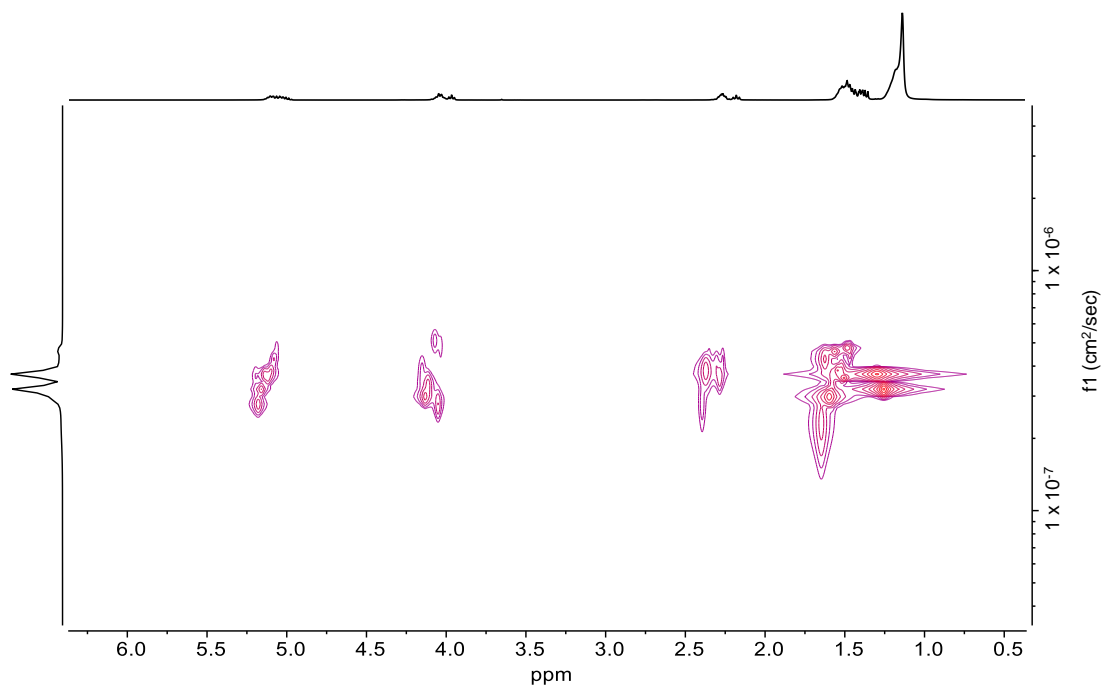


Fig. S64 The DOSY NMR spectrum of a poly(PDL-*co*-L-LA) in CDCl₃ (Table 6, entry 2).

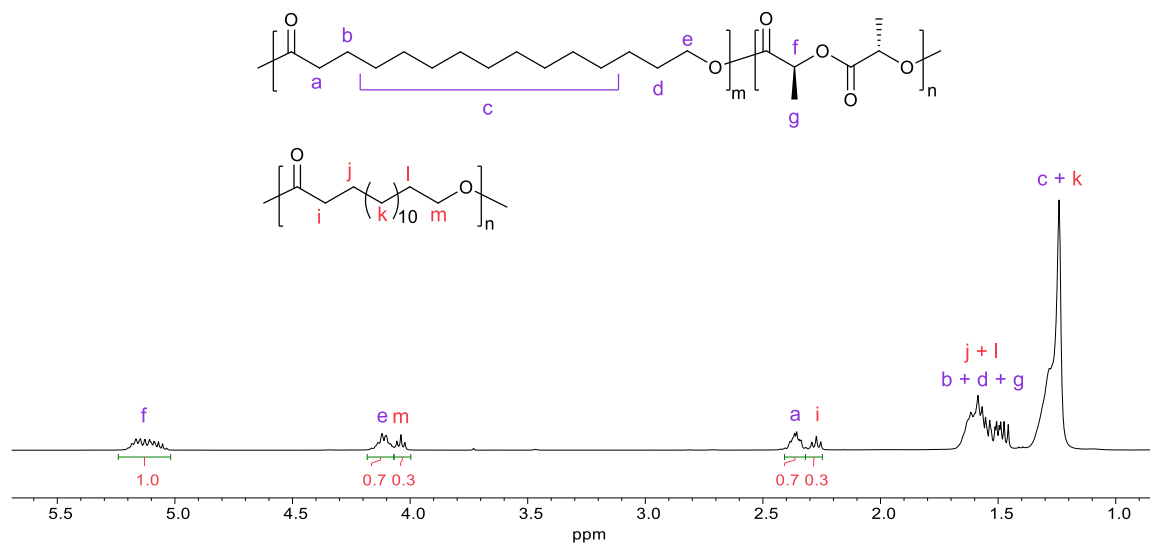


Fig. S65 ¹H NMR spectrum of poly(L-LA-*co*-PDL) (Table 6, entry 3).

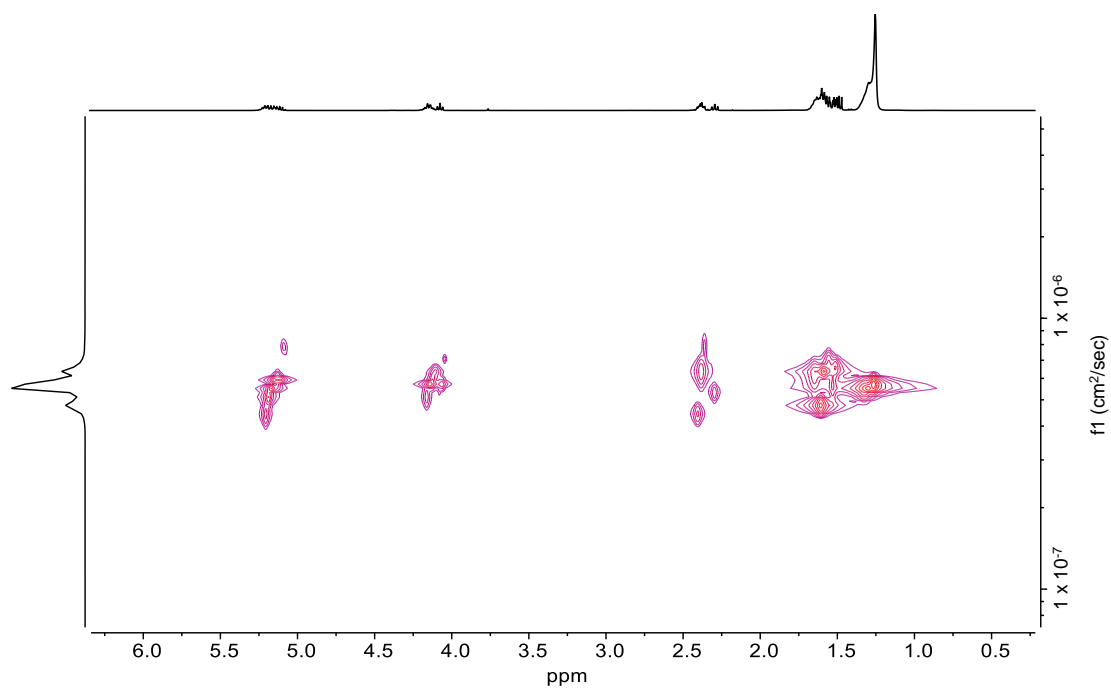


Fig. S66 The DOSY NMR spectrum of a poly(L-LA-co-PDL) in CDCl_3 (Table 6, entry 3).

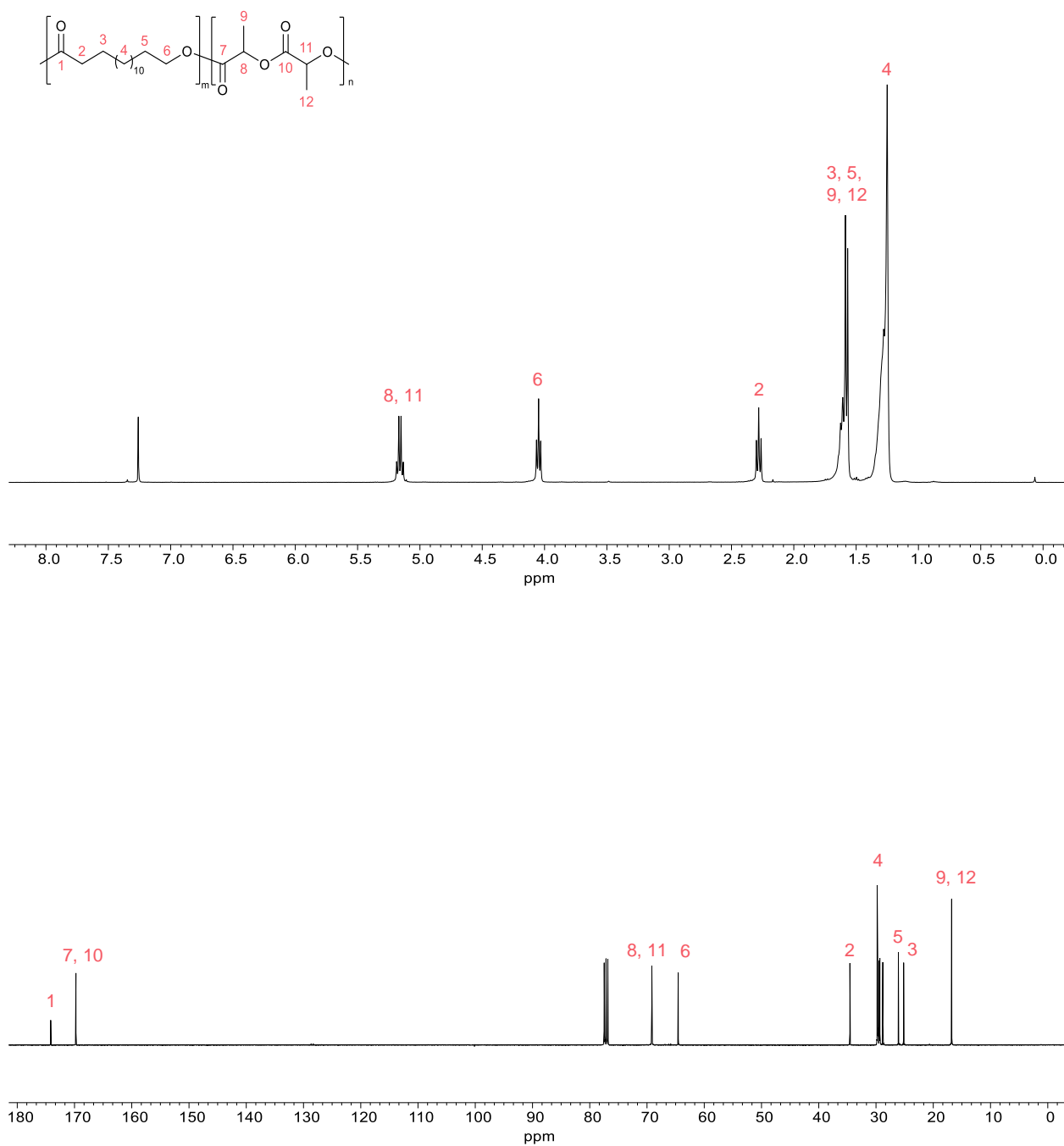


Fig. S67 ^1H and $^{13}\text{C}\{^1\text{H}\}$ NMR spectra of poly(L-LA-*b*-PDL) in CDCl_3 at 298 K (Table 6, entry 1).

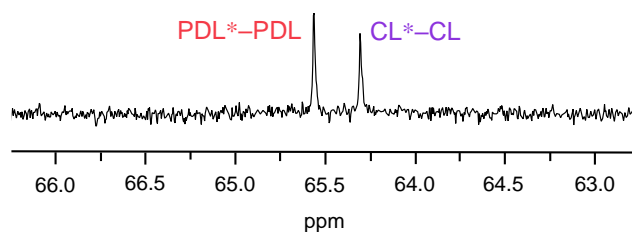


Fig. S68 $^{13}\text{C}\{^1\text{H}\}$ NMR spectra at the methylene region of poly(PDL-*b*-CL) in CDCl_3 at 298 K (Table 7, entry 1).

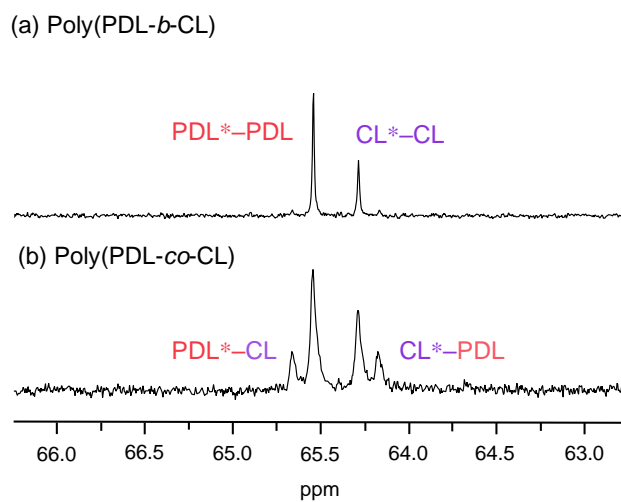


Fig. S69 $^{13}\text{C}\{^1\text{H}\}$ NMR spectra at the methylene region of poly(PDL-*b*-CL) (**a**, 30 + 5 min) and (**b**, 30 + 360 min) poly(PDL-*co*-CL) in CDCl_3 at 298 K (Table 7, entry 2).

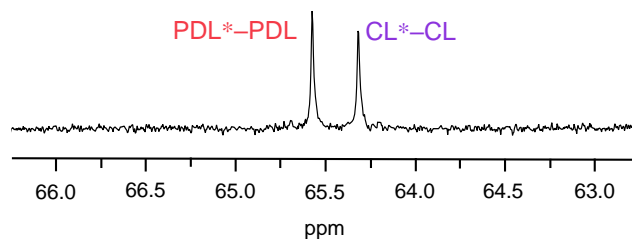


Fig. S70 $^{13}\text{C}\{^1\text{H}\}$ NMR spectra at the methylene region of poly(PDL-*b*-CL) in CDCl_3 at 298 K (Table 7, entry 3).

Ring-Opening Polymerization of L-LA using complexes 1–10

The polymerizations of high ring strain L-lactide (L-LA) were also carried out in order to compare the results with the polymerizations of MLs (Table S1). The values of the apparent rate constants (k_{app}) collected in Table S1 show that the catalytic activity decreases in the order **5** > **7** > **4** > **6** > **9** \approx **10** > **8** > **2** > **1** > **3**. Complex **5**, featuring electron-withdrawing iodo atoms, was the most active catalyst examined in this study. Despite its acknowledged significance, complex **5** is the most active aluminum salen catalyst reported thus far. A smaller, although substantial, rate enhancement was also seen upon replacing a phenyl with a naphthalene ring (complex **4** vs. complex **7**). However, complex **6** with the electron withdrawing NO₂ groups ($k_{\text{app}} = (176 \pm 7.77) \times 10^{-5} \text{ s}^{-1}$) displayed lower catalytic activity than the unsubstituted complex **4** ($k_{\text{app}} = (226 \pm 6.59) \times 10^{-5} \text{ s}^{-1}$). The observed lower catalytic activity in complex **6** may be attributed to the stronger binding of the ring-opened alkoxide chain to the more Lewis acidic aluminum center that hinders the subsequent insertion step.¹⁻⁴ For the *bis*(pyrrolidene) aluminum complexes **9** and **10**, the similar k_{app} values were observed ($k_{\text{app}} = (51.00 \pm 2.70) \times 10^{-5} \text{ s}^{-1}$ for **9**⁵⁵ and $k_{\text{app}} = (52.29 \pm 2.64) \times 10^{-5} \text{ s}^{-1}$ for **10**), indicating that the steric effect was not evidenced in this group. It can be observed that the tetradentate aluminum complexes with the gem-dimethyl-substituted propylene backbone (**4–7** and **9–10**) exhibited higher catalytic performance than tetradentate aluminum complexes with ethylene linker (complexes **3** and **8**). The observed higher catalytic activity could be attributed to the greater flexibility of the 2,2-dimethylpropylene backbone imparted to the metal coordination sphere and hence better accommodation of the geometric requirements of the transition states for the ring-opening process.⁵ In addition, changing the backbone from a C₂ alkylene linker to a C₃ unit results in a change in the conformation from *meridional* to *facial*.⁶ The *facial* conformation is more reactive because there is more space for the monomer to coordinate *cis* to the growing polymer chain, which is required for the insertion step. Similar to the polymerization of MLs, the low coordinate bidentate aluminum complexes **1** and **2** displayed lower activity than the high coordinate tetradentate ones.^{7,8}

Table S1 Kinetic results for the ROP of MLs and L-LA using aluminum complexes **1–10** in the presence of benzyl alcohol.^a

Entry	Complex	k_{app} (10^{-5} s^{-1})
1	1	9.19 ± 0.23
2	2	16.96 ± 0.76
3	3	6.85 ± 0.27
4	4	226 ± 6.59
5	5	1288 ± 55.46
6	6	176 ± 7.77
7	7	463 ± 20.07
8	8	33.82 ± 0.92
9	9	51.00 ± 2.70^9
10	10	52.29 ± 2.64

^a[L-LA]₀: [Al]: [BnOH] = 50, [L-LA]₀ = 0.42 M, [Al] = 8.33 mM, toluene, 70 °C.

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