Supporting Information for

Iridium-Catalyzed Asymmetric Cascade Allylation/Lactonization of Methyl Salicylates: Enantioselective Construction of Chiral Benzodioxepinones

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1. General considerations

Unless otherwise stated, all syntheses and manipulations of air- and moisture-sensitive materials were carried out in a nitrogen-filled glovebox or under nitrogen atmosphere using standard Schlenk techniques. All glassware was oven-dried prior to use. The heat source for all reactions is oil bath. All solvents were freshly distilled and degassed according to standard methods. Reactions were magnetically stirred and monitored by analytical thin-layer chromatography (TLC). TLC was performed on Merck silica gel 60 F254 TLC glass plates and visualized by exposure to ultraviolet light. Organic solutions were concentrated by rotary evaporation at 20 - 45 °C.

All chemicals and reagents available from commercial sources were directly used without further purification. Chromatographic purification of products was accomplished using forced-flow chromatography on silica gel (200 – 300 mesh). ¹H, ¹⁹F, and ¹³C NMR spectra were recorded on a Bruker Ascend 400 MHz or 600 MHz spectrometer at ambient temperature. High-resolution mass spectra (HRMS) were obtained with Shimazu LC-20AT mass spectrometer. The mass analyzer type is ion trap. Optical rotations were measured on SGW®-5 automatic polarimeter. Enantiomeric excesses (*ee* values) of the products were determined by chiral HPLC analysis using an Aglient HP 1200 instrument (n-hexane/2-propanol as eluent) with a Chiralpak IC-3 or IA-3 Column. The phosphoramidite ligands L1 – L11 were known compounds and prepared according to the reported procedures^[1].

2. Table S1 Optimization of Reaction Conditions^a

7

8

9

10

11

12

13

14

15

16

L7

L8

L9

L10

L11

L3

L3

L3

L3

L3

2

2

2

2

2

4

6

2

2

2



C	`
D	4

DBU

DBU

DBU

DBU

DBU

DBU

DBU

 Cs_2CO_3

K₃PO₄

DBN

50

50

50

50

50

50

50

50

50

50

THF

63

51

53

57

54

65

70

37

ND

66

-80

50

8

1

19

72

58

46

59

17	L3	2	Et ₃ N	50	THF	ND	
18	L3	2	DABCO	50	THF	ND	
19	L3	2	DBU	25	THF	39	73
20	L3	2	DBU	40	THF	61	62
21	L3	2	DBU	60	THF	73	76
22	L3	2	DBU	65	THF	77	85
23	L3	2	DBU	70	THF	83	86
24	L3	2	DBU	75	THF	84	85
25	L3	2	DBU	80	THF	87	82
26	L3	2	DBU	70	1,4-dioxane	61	77
27	L3	2	DBU	70	PhMe	67	74
28	L3	2	DBU	70	DCE	trace	
29	L3	2	DBU	70	MeCN	90	64
30	L3	2	DBU	70	DME	87	80
31	L3	2	DBU	70	CH ₃ CH ₂ OH	ND	
32	L3 ^d	2	DBU	70	THF	82	85
33	L3 ^e	2	DBU	70	THF	70	81
34	L3 ^f	2	DBU	70	THF	82	86
35	L3	1	DBU	70	THF	82	90
36	L3	0.5	DBU	70	THF	69	80
37	L3 ^g	1	DBU	70	THF	78	83
38	L7	1	DBU	70	THF	80	-88
39	L3 ^{<i>h</i>}	1	DBU	70	THF	84	73
40	L3 ^{i,j}	1	DBU	70	THF	81	93
41	L3 ^{j,k}	1	DBU	70	THF	82	95

^{*a*} Conditions: [Ir(cod)Cl]₂, **L**, base, **1a** (0.2 mmol), VEC in solvent for 24 h. ^{*b*} Isolated yields. ^{*c*} Determined by HPLC analysis. ^{*d*} 0.2 mmol of DBU was used. ^{*e*} 0.4 mmol of VEC was used. ^{*f*} 0.8 mmol of VEC was used. ^{*g*} ethyl 2-hydroxybenzoate was used instead of **1a**. ^{*h*} 0.5 mL of THF was used. ^{*i*} 4.0 mL of THF was used. ^{*j*} 36 hours reaction time. ^{*k*} 5.0 mL of THF was used.

3. Experimental Procedures

3.1 General Procedure for the Synthesis of Substituted Methyl Salicylates 1



In a dry Schlenk tube filled with argon, methyl bromosalicylate (230.0 mg, 1 mmol, 1.0 equiv), Ar-B(OH)₂ (2 mmol, 2.0 equiv), Pd₂dba₃ (45.8 mg, 0.05 mmol, 0.05 equiv), S-Phos (41.0 mg, 0.1 mmol, 0.1 equiv) and K₃PO₄ (530.7 mg, 2.5 mmol, 2.5 equiv) were dissolved in PhMe (5.0 mL). The reaction mixture was heated at 100 °C for 24 h. Then the solvent was evaporated under reduced pressure and the residue was purified by silica gel column chromatography using petroleum/EtOAc (10 : 1) as the eluent to give the desired product **1k-1t**, **1w** and **1x**. The synthesis of **1k-1p**, **1w** has been reported in previous literature^[2].

Methyl 2-hydroxy-5-(thiophen-2-yl)benzoate (1q)



Yellow oil, 0.21 g, 90% yield; ¹H NMR (600 MHz, Chloroform-*d*) δ 10.80 (s, 1H), 8.08 (d, J = 2.3 Hz, 1H), 7.72 (dd, J = 8.8, 2.3 Hz, 1H), 7.28 – 7.23 (m, 2H), 7.09 (ddd, J = 5.1, 3.4, 1.5 Hz, 1H), 7.05 – 7.02 (m, 1H), 4.01 (s, 3H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 170.30, 161.03, 143.26, 133.40, 127.98, 127.04, 126.12, 124.26, 122.59, 118.18, 112.57, 52.40. HRMS (ESI) calcd for C₁₂H₉O₃S [M-H]⁻: 233.0278, Found: 233.0281.

Methyl 5-(benzofuran-2-yl)-2-hydroxybenzoate (1r)



Light red oil, 0.23 g, 86% yield; ¹H NMR (600 MHz, Chloroform-*d*) δ 10.93 (s, 1H), 8.36 (d, J = 2.3 Hz, 1H), 7.95 (dd, J = 8.7, 2.3 Hz, 1H), 7.60 – 7.57 (m, 1H), 7.55 – 7.52 (m, 1H), 7.31 – 7.28 (m, 1H), 7.25 (td, J = 7.4, 1.1 Hz, 1H), 7.09 (d, J = 8.7 Hz, 1H), 6.94 (d, J = 1.0 Hz, 1H), 4.04 (s, 3H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 170.29, 161.82, 154.96, 154.76, 138.40, 132.23, 129.29, 126.41, 124.09, 122.99, 120.73, 119.57, 118.27, 111.04, 100.27, 52.47. HRMS (ESI) calcd for C₁₆H₁₁O₄ [M-H]⁻: 267.0663, Found: 267.0670.

Methyl 5-(furan-2-yl)-2-hydroxybenzoate (1s)



Light red oil, 0.19 g, 87% yield; ¹H NMR (600 MHz, Chloroform-*d*) δ 10.80 (s, 1H), 8.16 (d, J = 2.3 Hz, 1H), 7.77 (dd, J = 8.7, 2.3 Hz, 1H), 7.46 (dd, J = 1.8, 0.8 Hz, 1H), 7.03 (d, J = 8.7 Hz, 1H), 6.57 (dd, J = 3.4, 0.8 Hz, 1H), 6.48 (dd, J = 3.4, 1.8 Hz, 1H), 4.00 (s, 3H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 170.37, 160.89, 153.06, 141.69, 131.34, 125.10, 122.85, 118.04, 112.47, 111.60, 103.91, 52.35. HRMS (ESI) calcd for C₁₂H₉O₄ [M-H]⁻: 217.0506, Found: 217.0507.

Methyl 4'-(benzyloxy)-4-hydroxy-[1,1'-biphenyl]-3-carboxylate (1t)



White oil, 0.25 g, 75% yield; ¹H NMR (600 MHz, Chloroform-*d*) δ 10.73 (s, 1H), 8.04 (d, J = 2.5 Hz, 1H), 7.68 (dd, J = 8.6, 2.4 Hz, 1H), 7.49 (dd, J = 9.2, 7.2 Hz, 4H), 7.44 – 7.41 (m, 2H), 7.38 – 7.35 (m, 1H), 7.06 (d, J = 8.7 Hz, 3H), 5.14 (s, 2H), 4.00 (s, 3H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 170.55, 160.61, 158.22, 136.99, 134.10, 132.84, 132.16, 128.95, 128.60, 128.37, 127.98, 127.69, 127.65, 127.43, 117.97, 115.29, 112.50, 70.18, 52.29. HRMS (ESI) calcd for C₂₁H₁₇O₄ [M-H]⁻: 333.1132, Found: 333.1139.

Methyl 2-hydroxy-4-(thiophen-2-yl)benzoate (1x)



Yellow oil, 0.21 g, 90% yield; ¹H NMR (600 MHz, Chloroform-*d*) δ 10.84 (s, 1H), 7.84 (d, *J* = 8.3 Hz, 1H), 7.44 (d, *J* = 3.6 Hz, 1H), 7.38 (d, *J* = 5.1 Hz, 1H), 7.16 (dd, *J* = 8.4, 1.9 Hz, 1H), 7.13 (t, *J* = 4.3 Hz, 1H), 3.98 (s, 3H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 170.27, 161.87, 142.74, 141.31, 130.50, 128.25, 126.60, 124.91, 116.80, 113.99, 111.13, 52.27. HRMS (ESI) calcd for C₁₂H₉O₃S [M-H]⁻: 233.0278, Found: 233.0281.

3.2 General Procedure for the Asymmetric Cascade Allylation/Lactonization of 1



In a dry Schlenk tube filled with argon, $[Ir(cod)Cl]_2$ (2.7 mg, 0.004 mmol, 1 mol %), phosphoramidite ligand L3 (4.2 mg, 0.008 mmol, 2 mol %), and *n*propylamine (0.5 mL) were dissolved in THF (1.0 mL). The reaction mixture was heated at 50 °C for 30 min and then the volatile solvents were removed in vacuum to give a yellow solid. Subsequently, (substituted) methyl salicylate **1** (0.4 mmol), VEC **2** (137.0 mg, 3.0 equiv.), DBU (121.8 mg, 200 mol %) and THF (5.0 mL) were added to the tube. The system was stirred at 70 °C until the reaction was completed. Then the solvent was evaporated and the residue was purified by silica gel column chromatography using petroleum/EtOAc (8 : 1) as the eluent to give the desired products **2**.

(*R*)-2-vinyl-2,3-dihydro-5*H*-benzo[*e*][1,4]dioxepin-5-one (2a)



R_f = 0.40 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 62.3 mg, 82% yield; 95% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 70/30, v = 1.0 mL•min⁻¹, T = 25 °C, $\lambda = 254$ nm, t_R (minor) = 14.777 min, t_R (major) = 17.954 min]; [α]_D²⁵ = +175.6° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 7.81 (dd, *J* = 7.0, 4.6 Hz, 1H), 7.56 – 7.49 (m, 1H), 7.20 – 7.16 (m, 1H), 7.07 (dd, J = 8.5, 2.9 Hz, 1H), 5.97 – 5.89 (m, 1H), 5.51 (dd, J = 17.3, 2.6 Hz, 1H), 5.39 (dd, J = 10.8, 3.2 Hz, 1H), 5.08 – 5.02 (m, 1H), 4.42 – 4.36 (m, 1H), 4.32 – 4.27 (m, 1H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 169.11, 153.62, 134.84, 132.68, 132.16, 123.60, 122.09, 121.51, 119.30, 81.47, 67.69. HRMS(ESI) calcd for C₁₁H₁₁O₃ [M+H]⁺: 191.0703, Found: 191.0702.

(R)-7-methyl-2-vinyl-2,3-dihydro-5H-benzo[e][1,4]dioxepin-5-one (2b)



R_f = 0.40 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 69.4 mg, 85% yield; 94% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v =1.0 mL•min⁻¹, T = 25 °C, $\lambda = 254$ nm, t_R (minor) = 16.374 min, t_R (major) = 24.532 min]; [α]_D²⁵ = +163.2° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 7.60 (d, J = 2.3 Hz, 1H), 7.33 (dd, J = 8.3, 2.3 Hz, 1H), 6.97 (d, J = 8.3 Hz, 1H), 5.96 – 5.89 (m, 1H), 5.54 – 5.47 (m, 1H), 5.41 – 5.36 (m, 1H), 5.04 – 4.99 (m, 1H), 4.40 – 4.36 (m, 1H), 4.30 – 4.25 (m, 1H), 2.36 (s, 3H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 169.40, 151.33, 135.62, 133.46, 132.42, 132.30, 121.99, 121.47, 119.23, 81.36, 67.69, 20.38. HRMS(ESI) calcd for C₁₂H₁₃O₃ [M+H]⁺: 205.0859, Found: 205.0859.

(R)-8-methoxy-2-vinyl-2,3-dihydro-5H-benzo[e][1,4]dioxepin-5-one (2c)



R_f = 0.30 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 45.8 mg, 52% yield; 90% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v =1.0 mL•min⁻¹, T = 25 °C, $\lambda = 254$ nm, t_R (minor) = 21.060 min, t_R (major) = 24.869 min]; [α]_D²⁵ = +132.9° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 7.83 (d, *J* = 8.9 Hz, 1H), 6.72 (dd, *J* = 8.8, 2.5 Hz, 1H), 6.55 (d, *J* = 2.5 Hz, 1H), 5.98 – 5.92 (m, 1H), 5.54 – 5.50 (m, 1H), 5.43 – 5.39 (m, 1H), 5.08 – 5.03 (m, 1H), 4.44 – 4.39 (m, 1H), 4.37 – 4.31 (m, 1H), 3.86 (s, 3H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 168.74, 165.09, 155.88, 134.93, 132.16, 119.17, 112.55, 110.42, 105.56, 81.38, 67.97, 55.62. HRMS(ESI) calcd for C₁₂H₁₃O₄ [M+H]⁺: 221.0808, Found: 221.0809.

(R)-7-methoxy-2-vinyl-2,3-dihydro-5H-benzo[e][1,4]dioxepin-5-one (2d)



R_f = 0.35 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 73.1 mg, 83% yield; 91% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v = 1.0 mL•min⁻¹, T = 25 °C, $\lambda = 254$ nm, t_R (minor) = 16.206 min, t_R (major) = 22.926 min]; [α]_D²⁵ = +152.5° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 7.28 – 7.24 (m, 1H), 7.10 – 7.06 (m, 1H), 7.03 – 6.99 (m, 1H), 5.96 – 5.88 (m, 1H), 5.52 – 5.47 (m, 1H), 5.41 – 5.36 (m, 1H), 5.01 – 4.96 (m, 1H), 4.40 – 4.35 (m, 1H), 4.28 – 4.23 (m, 1H), 3.83 (s, 3H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 169.15, 155.80, 147.12, 132.32, 123.50, 122.84, 121.92, 119.37, 114.82, 81.42, 67.69, 55.84. HRMS(ESI) calcd for C₁₂H₁₃O₄ [M+H]⁺: 221.0808, Found: 221.0808.

(R)-8-fluoro-2-vinyl-2,3-dihydro-5H-benzo[e][1,4]dioxepin-5-one (2e)



R_f = 0.40 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 59.1 mg, 71% yield; 82% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v = 1.0 mL•min⁻¹, T = 25 °C, λ = 254 nm, t_R (minor) = 11.065 min, t_R (major) = 11.908 min]; [α]_D²⁵ = +100.3° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 7.90 (dd, *J* = 8.9, 6.5 Hz, 1H), 6.90 (ddd, *J* = 8.8, 7.5, 2.5 Hz, 1H), 6.79 (dd, *J* = 9.6, 2.5 Hz, 1H), 5.98 – 5.90 (m, 1H), 5.52 (dt, *J* = 17.2, 1.2 Hz, 1H), 5.43 (dt, *J* = 10.6, 1.2 Hz, 1H), 5.12 – 5.07 (m, 1H), 4.44 (dd, *J* = 13.9, 2.5 Hz, 1H), 4.35 (dd, *J* = 13.9, 6.9 Hz, 1H). ¹³C NMR (151 MHz, Chloroform*d*) δ 167.96, 167.48, 165.79, 135.45 (d, *J* = 10.6 Hz), 131.64, 119.54, 116.79 (d, *J* = 3.0 Hz), 111.21 (d, *J* = 21.1 Hz), 108.74 (d, *J* = 24.2 Hz), 81.65, 67.80. ¹⁹F NMR (377 MHz, CDCl₃) δ -103.20. HRMS(ESI) calcd for C₁₁H₁₀FO₃ [M+H]⁺: 209.0609, Found: 209.0608.

(R)-8-chloro-2-vinyl-2,3-dihydro-5H-benzo[e][1,4]dioxepin-5-one (2f)



R_f = 0.40 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 60.9 mg, 68% yield; 83% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v = 1.0 mL•min⁻¹, T = 25 °C, $\lambda = 254$ nm, t_R (minor) = 11.428 min, t_R (major) = 12.469 min]; [α]_D²⁵ = +97.9° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 7.80 (d, *J* = 8.5 Hz, 1H), 7.16 (dd, *J* = 8.5, 2.0 Hz, 1H), 7.11 (d, *J* = 2.0 Hz, 1H), 5.96 – 5.89 (m, 1H), 5.54 – 5.49 (m, 1H), 5.45 – 5.40 (m, 1H), 5.11 – 5.06 (m, 1H), 4.43 (dd, J = 13.9, 2.6 Hz, 1H), 4.34 (dd, J = 13.9, 7.0 Hz, 1H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 168.02, 154.42, 140.69, 134.20, 131.60, 123.84, 122.00, 119.62, 119.14, 81.64, 67.75. HRMS(ESI) calcd for C₁₁H₁₀ClO₃ [M+H]⁺: 225.0313, Found: 225.0312.

(R)-7-chloro-2-vinyl-2,3-dihydro-5H-benzo[e][1,4]dioxepin-5-one (2g)



R_f = 0.40 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 74.4 mg, 83% yield; 84% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v =1.0 mL•min⁻¹, T = 25 °C, $\lambda = 254$ nm, t_R (minor) = 11.228 min, t_R (major) = 12.791 min]; [α]_D²⁵ = +108.2° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 7.81 (dd, J = 2.8, 1.6 Hz, 1H), 7.48 (dd, J = 8.7, 2.6 Hz, 1H), 7.03 (d, J = 8.7 Hz, 1H), 5.95 – 5.88 (m, 1H), 5.51 (dt, J = 17.2, 1.2 Hz, 1H), 5.42 (dt, J = 10.6, 1.2 Hz, 1H), 5.09 – 5.04 (m, 1H), 4.42 (dd, J = 13.9, 2.8 Hz, 1H), 4.32 (dd, J = 13.9, 7.1 Hz, 1H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 167.63, 152.25, 134.78, 132.14, 131.69, 128.80, 123.58, 122.39, 119.63, 81.52, 67.74. HRMS(ESI) calcd for C₁₁H₁₀ClO₃ [M+H]⁺: 225.0313, Found: 225.0312.

(R)-8-bromo-2-vinyl-2,3-dihydro-5H-benzo[e][1,4]dioxepin-5-one (2h)



 $R_f = 0.40$ (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 75.0 mg, 70% yield; 81%

ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, $v = 1.0 \text{ mL} \cdot \text{min}^{-1}$, T = 25 °C, $\lambda = 254 \text{ nm}$, t_R (minor) = 11.970 min, t_R (major) = 13.076 min]; [α]_D²⁵ = +90.3° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 7.72 (d, *J* = 8.4 Hz, 1H), 7.31 (dd, *J* = 8.4, 1.9 Hz, 1H), 7.29 – 7.27 (m, 1H), 5.96 – 5.89 (m, 1H), 5.52 (dt, *J* = 17.2, 1.2 Hz, 1H), 5.42 (dt, *J* = 10.6, 1.2 Hz, 1H), 5.11 – 5.06 (m, 1H), 4.42 (dd, *J* = 13.9, 2.6 Hz, 1H), 4.33 (dd, *J* = 13.9, 7.0 Hz, 1H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 168.13, 154.27, 134.18, 131.59, 128.92, 126.76, 125.01, 119.65, 81.68, 67.72. HRMS(ESI) calcd for C₁₁H₁₀BrO₃ [M+H]⁺: 268.9808, Found: 268.9809.

(R)-7-bromo-2-vinyl-2,3-dihydro-5H-benzo[e][1,4]dioxepin-5-one (2i)



R_f = 0.40 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 83.6 mg, 78% yield; 85% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, $v = 1.0 \text{ mL} \cdot \text{min}^{-1}$, T = 25 °C, $\lambda = 254 \text{ nm}$, t_R (minor) = 11.591 min, t_R (major) = 13.401 min]; [α]_D²⁵ = +101.3° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 7.94 (d, J = 2.5 Hz, 1H), 7.61 (dd, J = 8.7, 2.5 Hz, 1H), 6.97 (d, J = 8.7 Hz, 1H), 5.95 – 5.87 (m, 1H), 5.50 (dt, J = 17.2, 1.2 Hz, 1H), 5.41 (dt, J = 10.7, 1.2 Hz, 1H), 5.09 – 5.04 (m, 1H), 4.42 (dd, J = 13.9, 2.7 Hz, 1H), 4.32 (dd, J = 13.9, 7.0 Hz, 1H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 167.50, 152.80, 137.69, 135.13, 131.66, 123.89, 122.69, 119.63, 115.88, 81.49, 67.74. HRMS(ESI) calcd for C₁₁H₁₀BrO₃ [M+H]⁺: 268.9808, Found: 268.9806.

(R)-7-iodo-2-vinyl-2,3-dihydro-5H-benzo[e][1,4]dioxepin-5-one (2j)



R_f = 0.40 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 102.4 mg, 81% yield; 84% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v =1.0 mL•min⁻¹, T = 25 °C, $\lambda = 254$ nm, t_R (minor) = 12.020 min, t_R (major) = 14.210 min]; [α]_D²⁵ = +100.2° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 8.14 – 8.10 (m, 1H), 7.82 – 7.74 (m, 1H), 5.95 – 5.85 (m, 1H), 5.53 – 5.47 (m, 1H), 5.45 – 5.38 (m, 1H), 5.11 – 5.03 (m, 1H), 4.42 (dd, J = 14.0, 2.6 Hz, 1H), 4.32 (dd, J = 13.9, 7.0 Hz, 1H). ¹³C NMR (151 MHz, Chloroform*d*) δ 167.37, 153.58, 143.53, 141.13, 131.65, 124.13, 122.92, 119.61, 85.70, 81.48, 67.74. HRMS(ESI) calcd for C₁₁H₁₀IO₃ [M+H]⁺: 316.9669, Found: 316.9669.





R_f = 0.40 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 75.6 mg, 71% yield; 93% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, $v = 1.0 \text{ mL} \cdot \text{min}^{-1}$, T = 25 °C, $\lambda = 254 \text{ nm}$, t_R (minor) = 14.233 min, t_R (major) = 20.439 min]; [α]_D²⁵ = +143.9° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 8.07 (d, J = 2.4 Hz, 1H), 7.77 (dd, J = 8.4, 2.4 Hz, 1H), 7.61 – 7.58 (m, 2H), 7.46 (dd, J = 8.5, 7.0 Hz, 2H), 7.40 – 7.36 (m, 1H), 7.16 (d, J = 8.5 Hz, 1H), 6.01 – 5.93 (m, 1H), 5.55 (dt, J = 17.3, 1.3 Hz, 1H), 5.43 (dt, J = 10.5, 1.3 Hz, 1H), 5.10 (dddt, J = 7.3, 5.9, 3.0, 1.5 Hz, 1H), 4.46 (dd, J = 13.8,

2.9 Hz, 1H), 4.37 (dd, *J* = 13.8, 7.1 Hz, 1H). ¹³C NMR (151 MHz, Chloroform*d*) δ 169.05, 153.01, 139.09, 136.78, 133.40, 132.12, 131.10, 128.93, 127.64, 126.81, 122.56, 121.49, 119.40, 81.54, 67.81. HRMS(ESI) calcd for C₁₇H₁₅O₃ [M+H]⁺: 267.1016, Found: 267.1017.

(R)-7-(p-tolyl)-2-vinyl-2,3-dihydro-5H-benzo[e][1,4]dioxepin-5-one (2l)



R_f = 0.40 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 86.3 mg, 77% yield; 93% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, $v = 1.0 \text{ mL} \cdot \text{min}^{-1}$, T = 25 °C, $\lambda = 254 \text{ nm}$, t_R (minor) = 14.674 min, t_R (major) = 21.687 min]; [α]_D²⁵ = +137.7° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 8.05 (d, J = 2.4 Hz, 1H), 7.75 (dd, J = 8.5, 2.4 Hz, 1H), 7.50 (d, J = 8.0 Hz, 2H), 7.29 – 7.26 (m, 2H), 7.15 (d, J = 8.4 Hz, 1H), 6.01 – 5.93 (m, 1H), 5.55 (dt, J = 17.2, 1.3 Hz, 1H), 5.43 (dt, J = 10.6, 1.2 Hz, 1H), 5.10 (dddt, J = 7.3, 6.0, 3.0, 1.4 Hz, 1H), 4.45 (dd, J = 13.8, 2.9 Hz, 1H), 4.37 (dd, J = 13.8, 7.2 Hz, 1H), 2.42 (s, 3H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 169.13, 152.73, 137.49, 136.80, 136.21, 133.20, 132.16, 130.79, 129.64, 126.64, 122.51, 121.55, 119.38, 81.53, 67.79, 21.08. HRMS(ESI) calcd for C₁₈H₁₇O₃ [M+H]⁺: 281.1172, Found: 281.1173.

(*R*)-7-(4-methoxyphenyl)-2-vinyl-2,3-dihydro-5*H*-benzo[*e*][1,4]dioxepin-5one (2m)



R_f = 0.30 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 87.6 mg, 74% yield; 93% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v = 1.0 mL•min⁻¹, T = 25 °C, λ = 254 nm, t_R (minor) = 20.310 min, t_R (major) = 30.318 min]; [α]_D²⁵ = +133.8° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 8.01 (d, *J* = 2.4 Hz, 1H), 7.72 (dd, *J* = 8.4, 2.4 Hz, 1H), 7.55 – 7.51 (m, 2H), 7.14 (d, *J* = 8.4 Hz, 1H), 7.01 – 6.99 (m, 2H), 6.01 – 5.93 (m, 1H), 5.55 (dt, *J* = 17.2, 1.3 Hz, 1H), 5.43 (dt, *J* = 10.6, 1.3 Hz, 1H), 5.11 – 5.06 (m, 1H), 4.45 (dd, *J* = 13.8, 2.9 Hz, 1H), 4.39 – 4.34 (m, 1H), 3.87 (s, 3H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 169.18, 159.43, 152.45, 136.53, 132.95, 132.18, 131.62, 130.42, 127.87, 122.53, 121.63, 121.17, 119.36, 114.39, 81.51, 67.78, 55.36. HRMS(ESI) calcd for C₁₈H₁₇O₄ [M+H]⁺: 297.1121, Found: 297.1122.

(*R*)-7-(3-methoxyphenyl)-2-vinyl-2,3-dihydro-5*H*-benzo[*e*][1,4]dioxepin-5one (2n)



R_f = 0.30 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 85.3 mg, 72% yield; 92% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v = 1.0 mL•min⁻¹, T = 25 °C, $\lambda = 254$ nm, t_R (minor) = 18.821 min, t_R (major) = 25.349 min]; [α]_D²⁵ = +122.2° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 8.07 (d, *J* = 2.4 Hz, 1H), 7.76 (dd, *J* = 8.5, 2.4 Hz, 1H), 7.40 – 7.36 (m, 1H), 7.20 – 7.14 (m, 2H), 7.12 (t, *J* = 2.1 Hz, 1H), 6.96 – 6.91 (m, 1H), 6.01 – 5.93 (m, 1H), 5.55 (dt, J = 17.2, 1.2 Hz, 1H), 5.43 (dt, J = 10.6, 1.2 Hz, 1H), 5.14 – 5.08 (m, 1H), 4.46 (dd, J = 13.8, 2.9 Hz, 1H), 4.38 (dd, J = 13.8, 7.1 Hz, 1H), 3.89 (s, 3H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 169.02, 160.10, 153.09, 140.59, 136.67, 133.46, 132.09, 131.16, 129.95, 122.52, 121.43, 119.42, 119.32, 113.17, 112.53, 81.55, 67.80, 55.37. HRMS(ESI) calcd for C₁₈H₁₇O₄ [M+H]⁺: 297.1121, Found: 297.1121.

(*R*)-7-(4-(tert-butyl)phenyl)-2-vinyl-2,3-dihydro-5*H*-benzo[*e*][1,4]dioxepin-5-one (20)



R_f = 0.45 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 104.4 mg, 81% yield; 92% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v =1.0 mL•min⁻¹, T = 25 °C, $\lambda = 254$ nm, t_R (minor) = 12.235 min, t_R (major) = 17.568 min]; [α]_D²⁵ = +169.9° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 8.07 (d, J = 2.4 Hz, 1H), 7.76 (dd, J = 8.4, 2.4 Hz, 1H), 7.57 – 7.53 (m, 2H), 7.51 – 7.48 (m, 2H), 7.15 (d, J = 8.4 Hz, 1H), 6.01 – 5.94 (m, 1H), 5.55 (dt, J = 17.2, 1.2 Hz, 1H), 5.43 (dt, J = 10.5, 1.2 Hz, 1H), 5.10 (dddt, J =7.3, 5.9, 2.9, 1.3 Hz, 1H), 4.45 (dd, J = 13.8, 2.9 Hz, 1H), 4.37 (dd, J = 13.8, 7.1 Hz, 1H), 1.39 (s, 9H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 169.11, 152.76, 150.75, 136.69, 136.17, 133.23, 132.19, 130.85, 126.46, 125.88, 122.50, 121.54, 119.37, 81.54, 67.80, 34.57, 31.33. HRMS(ESI) calcd for C₂₁H₂₃O₃ [M+H]⁺: 323.1642, Found: 323.1641.

(*R*)-7-(naphthalen-1-yl)-2-vinyl-2,3-dihydro-5*H*-benzo[*e*][1,4]dioxepin-5one (2p)



R_f = 0.40 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 92.3 mg, 73% yield; 92% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v =1.0 mL•min⁻¹, T = 25 °C, $\lambda = 254$ nm, t_R (minor) = 14.073 min, t_R (major) = 20.631 min]; [*α*]_D²⁵ = +155.3° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 8.00 (d, J = 2.3 Hz, 1H), 7.94 (dd, J = 8.2, 1.4 Hz, 1H), 7.90 (d, J = 8.3 Hz, 1H), 7.87 (d, J = 8.8 Hz, 1H), 7.68 (dd, J = 8.3, 2.3 Hz, 1H), 7.56 – 7.51 (m, 2H), 7.50 – 7.46 (m, 1H), 7.44 (dd, J = 7.0, 1.3 Hz, 1H), 7.22 (d, J =8.3 Hz, 1H), 6.06 – 5.98 (m, 1H), 5.60 (dt, J = 17.2, 1.2 Hz, 1H), 5.46 (dt, J =10.6, 1.2 Hz, 1H), 5.16 (dddt, J = 7.4, 6.0, 3.0, 1.4 Hz, 1H), 4.52 (dd, J = 13.9, 2.9 Hz, 1H), 4.45 (dd, J = 13.8, 7.1 Hz, 1H). ¹³C NMR (151 MHz, Chloroform*d*) δ 168.93, 152.98, 138.06, 136.53, 136.28, 133.99, 133.85, 132.17, 131.39, 128.44, 128.17, 127.13, 126.37, 125.45, 125.36, 121.98, 121.17, 119.42, 81.60, 67.86. HRMS(ESI) calcd for C₂₁H₁₇O₃ [M+H]⁺: 317.1172, Found: 317.1166.

(*R*)-7-(thiophen-2-yl)-2-vinyl-2,3-dihydro-5*H*-benzo[*e*][1,4]dioxepin-5-one (2q)



 $R_f = 0.40$ (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 74.0 mg, 68% yield; 88% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, $v = 1.0 \text{ mL} \cdot \text{min}^{-1}$, T = 25 °C, $\lambda = 254 \text{ nm}$, t_R (minor) = 15.265 min, t_R (major) = 18.993 min]; $[\alpha]_D^{25} = +125.8^{\circ}$ (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 8.06 (d, J = 2.4 Hz, 1H), 7.75 (dd, J = 8.5, 2.4 Hz, 1H), 7.35 – 7.29 (m, 2H), 7.14 – 7.06 (m, 2H), 5.95 (ddd, J = 16.9, 10.6, 6.0 Hz, 1H), 5.53 (dt, J = 17.2, 1.2 Hz, 1H), 5.42 (dt, J = 10.6, 1.2 Hz, 1H), 5.08 (dddt, J = 7.5, 6.1, 3.0, 1.4 Hz, 1H), 4.44 (dd, J = 13.8, 2.9 Hz, 1H), 4.35 (dd, J = 13.8, 7.1 Hz, 1H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 168.74, 152.85, 142.23, 132.20, 132.01, 130.34, 129.77, 128.17, 125.22, 123.51, 122.70, 121.62, 119.47, 81.56, 67.78. HRMS(ESI) calcd for C₁₅H₁₃O₃S [M+H]⁺: 273.0580, Found: 273.0578.

(*R*)-7-(benzofuran-2-yl)-2-vinyl-2,3-dihydro-5*H*-benzo[*e*][1,4]dioxepin-5one (2r)



R_f = 0.40 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 77.1 mg, 63% yield; 89% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v =1.0 mL•min⁻¹, T = 25 °C, $\lambda = 254$ nm, t_R (minor) = 16.685 min, t_R (major) = 20.248 min]; [α]_D²⁵ = +122.7° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 8.35 (d, *J* = 2.3 Hz, 1H), 8.02 (dd, *J* = 8.5, 2.3 Hz, 1H), 7.63 – 7.60 (m, 1H), 7.55 – 7.52 (m, 1H), 7.34 – 7.30 (m, 1H), 7.28 – 7.24 (m, 1H), 7.18 (d, *J* = 8.5 Hz, 1H), 7.04 (d, *J* = 0.9 Hz, 1H), 5.97 (ddd, *J* = 16.9, 10.6, 6.0 Hz, 1H), 5.56 (dt, *J* = 17.3, 1.2 Hz, 1H), 5.44 (dt, *J* = 10.6, 1.2 Hz, 1H), 5.13 (dddt, *J* = 7.3, 5.8, 2.9, 1.4 Hz, 1H), 4.48 (dd, *J* = 13.9, 2.7 Hz, 1H), 4.40 (dd, *J* = 13.9, 7.0 Hz, 1H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 168.57, 154.93, 154.09, 153.69, 131.90, 131.09, 129.47, 129.06, 126.31, 124.56, 123.12, 122.63, 121.32, 121.03, 119.53, 111.21, 101.61, 81.63, 67.85. HRMS(ESI) calcd for C₁₉H₁₅O₄ [M+H]⁺: 307.0965, Found: 307.0966.

(R)-7-(furan-2-yl)-2-vinyl-2,3-dihydro-5H-benzo[e][1,4]dioxepin-5-one (2s)



R_f = 0.40 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 74.8 mg, 73% yield; 90% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v =1.0 mL•min⁻¹, T = 25 °C, $\lambda = 254$ nm, t_R (minor) = 16.215 min, t_R (major) = 20.156 min]; [α]_D²⁵ = +121.1° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 8.10 (d, J = 2.4 Hz, 1H), 7.82 (dd, J = 8.5, 2.3 Hz, 1H), 7.47 (d, J = 1.6 Hz, 1H), 7.10 (d, J = 8.5 Hz, 1H), 6.68 – 6.63 (m, 1H), 6.48 (dd, J = 3.4, 1.8 Hz, 1H), 5.94 (ddd, J = 16.9, 10.6, 6.0 Hz, 1H), 5.52 (dt, J = 17.2, 1.3 Hz, 1H), 5.41 (dt, J = 10.6, 1.2 Hz, 1H), 5.07 (dddt, J = 7.3, 5.9, 2.9, 1.4 Hz, 1H), 4.43 (dd, J = 13.8, 2.9 Hz, 1H), 4.33 (dd, J = 13.8, 7.1 Hz, 1H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 168.82, 152.62, 152.24, 142.37, 132.04, 130.05, 127.91, 126.92, 122.56, 121.59, 119.43, 111.79, 105.36, 81.55, 67.77. HRMS(ESI) calcd for C₁₅H₁₃O₄ [M+H]⁺: 257.0808, Found: 257.0808.

(*R*)-7-(4-(benzyloxy)phenyl)-2-vinyl-2,3-dihydro-5H-benzo[e][1,4]dioxepin-5-one (2t)



 $R_f = 0.20$ (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 105.7 mg, 71% yield; 93% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v =

1.0 mL•min⁻¹, T = 25 °C, λ = 254 nm, t_R (minor) = 23.267 min, t_R (major) = 34.316 min]; [α] $_{D}^{25}$ = +156.4° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 8.01 (d, *J* = 2.5 Hz, 1H), 7.72 (dd, *J* = 8.5, 2.5 Hz, 1H), 7.53 (d, *J* = 8.5 Hz, 2H), 7.48 (d, *J* = 7.7 Hz, 2H), 7.43 (t, *J* = 7.6 Hz, 2H), 7.36 (t, *J* = 7.4 Hz, 1H), 7.14 (d, *J* = 8.5 Hz, 1H), 7.07 (d, *J* = 8.6 Hz, 2H), 6.02 – 5.92 (m, 1H), 5.55 (d, *J* = 17.2 Hz, 1H), 5.43 (d, *J* = 10.6 Hz, 1H), 5.14 (s, 2H), 5.10 (d, *J* = 7.2 Hz, 1H), 4.45 (dd, *J* = 13.8, 2.9 Hz, 1H), 4.36 (dd, *J* = 13.8, 7.2 Hz, 1H). ¹³C NMR (151 MHz, CDCl₃) δ 169.09, 158.64, 152.50, 136.88, 136.49, 132.95, 132.19, 131.92, 130.53, 128.60, 128.01, 127.90, 127.45, 122.49, 121.57, 119.35, 115.37, 81.51, 70.16, 67.79. HRMS(ESI) calcd for C₂₄H₂₁O₄ [M+H]⁺: 373.1434, Found: 373.1435.

(*R*)-2-vinyl-2,3-dihydro-5*H*-naphtho[2,3-*e*][1,4]dioxepin-5-one (2u)



R_f = 0.45 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 80.7 mg, 84% yield; 92% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v =1.0 mL•min⁻¹, T = 25 °C, $\lambda = 254$ nm, t_R (minor) = 19.214 min, t_R (major) = 22.156 min]; [α]_D²⁵ = +138.9° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 8.35 (s, 1H), 7.93 (d, *J* = 8.2 Hz, 1H), 7.81 (d, *J* = 8.3 Hz, 1H), 7.60 (ddd, *J* = 8.2, 6.8, 1.3 Hz, 1H), 7.51 (q, *J* = 2.5, 1.4 Hz, 2H), 6.00 (ddd, *J* = 17.2, 10.6, 6.7 Hz, 1H), 5.55 (dd, *J* = 17.3, 1.3 Hz, 1H), 5.43 (dd, *J* = 10.5, 1.3 Hz, 1H), 5.05 (td, *J* = 7.0, 3.6 Hz, 1H), 4.41 (dd, *J* = 13.8, 3.8 Hz, 1H), 4.26 (dd, *J* = 13.7, 7.7 Hz, 1H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 169.30, 148.92, 136.54, 133.62, 132.29, 130.08, 128.94, 128.85, 127.00, 126.05, 124.29, 119.76, 119.46, 81.56, 67.40. HRMS(ESI) calcd for C₁₅H₁₃O₃ [M+H]⁺: 241.0859, Found: 241.0858.

(*R*)-2-vinyl-2,3-dihydro-5*H*-naphtho[1,2-*e*][1,4]dioxepin-5-one (2v)



R_f = 0.45 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 46.1 mg, 48% yield; 83% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, $v = 1.0 \text{ mL} \cdot \text{min}^{-1}$, T = 25 °C, $\lambda = 254 \text{ nm}$, t_R (minor) = 14.369 min, t_R (major) = 20.983 min]; [α]_D²⁵ = +110.8° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 8.32 (dd, J = 8.6, 1.1 Hz, 1H), 8.01 (d, J = 8.8 Hz, 1H), 7.90 – 7.85 (m, 1H), 7.62 (ddd, J = 8.5, 6.9, 1.3 Hz, 1H), 7.52 (ddd, J = 8.1, 6.9, 1.2 Hz, 1H), 7.23 (d, J = 8.8 Hz, 1H), 5.96 (ddd, J = 17.3, 10.6, 6.7 Hz, 1H), 5.53 (dt, J = 17.3, 1.1 Hz, 1H), 5.42 (dt, J = 10.6, 1.0 Hz, 1H), 5.13 – 5.08 (m, 1H), 4.40 (dd, J = 13.5, 4.1 Hz, 1H), 4.29 (dd, J = 13.4, 7.7 Hz, 1H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 168.14, 151.74, 134.52, 132.42, 131.84, 131.07, 128.35, 128.24, 125.78, 125.14, 122.04, 119.87, 118.39, 82.20, 66.68. HRMS(ESI) calcd for C₁₅H₁₃O₃ [M+H]⁺: 241.0859, Found: 241.0858.

(*R*)-8-phenyl-2-vinyl-2,3-dihydro-5H-benzo[e][1,4]dioxepin-5-one (2w)



 $R_f = 0.40$ (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 71.3 mg, 67% yield; 90% ee [Daicel Chiralcel IA-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 95/5, $v = 1.0 \text{ mL} \cdot \text{min}^{-1}$, T = 25 °C, $\lambda = 254 \text{ nm}$, t_R (minor) = 17.173 min, t_R (major) = 17.827 min]; $[\alpha]_D^{25} = +135.6^\circ$ (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 7.92 (d, J = 8.1 Hz, 1H), 7.63 (d, J = 7.6 Hz, 2H), 7.49 (t, J = 7.5 Hz, 2H), 7.43 (dd, J = 11.3, 7.9 Hz, 2H), 7.32 (s, 1H), 5.98 (ddd, J = 17.0, 10.7, 6.0 Hz, 1H), 5.56 (d, J = 17.2 Hz, 1H), 5.42 (d, J = 10.6 Hz, 1H), 5.12 (d, J = 6.8 Hz, 1H), 4.45 (dd, J = 13.9, 2.6 Hz, 1H), 4.37 (dd, J = 13.9, 7.0 Hz, 1H). HRMS(ESI) calcd for C₁₇H₁₅O₃ [M+H]⁺: 267.1016, Found: 267.1010.

(*R*)-8-(thiophen-2-yl)-2-vinyl-2,3-dihydro-5H-benzo[e][1,4]dioxepin-5-one (2x)



R_f = 0.40 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 79.4 mg, 73% yield; 90% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v =1.0 mL•min⁻¹, T = 25 °C, $\lambda = 254$ nm, t_R (minor) = 18.535 min, t_R (major) = 21.395 min]; [α]_D²⁵ = +117.8° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 7.86 (d, *J* = 8.1 Hz, 1H), 7.46 – 7.38 (m, 3H), 7.31 (d, *J* = 1.8 Hz, 1H), 7.13 (t, *J* = 4.4 Hz, 1H), 5.97 (ddd, *J* = 16.9, 10.6, 6.0 Hz, 1H), 5.58 – 5.52 (m, 1H), 5.45 – 5.40 (m, 1H), 5.09 (tt, *J* = 5.2, 2.1 Hz, 1H), 4.44 (dd, *J* = 13.9, 2.7 Hz, 1H), 4.36 (dd, *J* = 13.9, 6.9 Hz, 1H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 168.66, 154.29, 141.96, 140.94, 133.79, 132.08, 128.38, 126.81, 125.02, 120.61, 119.44, 119.08, 118.45, 81.50, 67.87. HRMS(ESI) calcd for C₁₅H₁₃O₃S [M+H]⁺: 273.0580, Found: 273.0574.

3.3 Control Experiments on Kinetic Resolution

To gain insight into the possible reaction mechanism, the Ir-catalyzed asymmetric cascade allylic etherification/lactonization between methyl salicylate **1a** (0.2 mmol) and excessive racemic VEC (0.4 mmol) was further investigated, and it was found **2a** could be available in 82% yield with 95% *ee*, accompanied by recovered VEC in 40% yield with 90% *ee*. These results display that racemic VEC should go through a kinetic resolution (KR) process in this transformation.



(S)-4-vinyl-1,3-dioxolan-2-one: 18.2 mg (based on the substrate racemic VEC), colorless oil. The recovered VEC was analyzed by GC to determine the enantiomeric excess: 90% *ee*.

GC (Beta DEX-390, N₂ flow rate 1.0 mL/min, 20 min at 150 °C): $t_R = 6.69$ min (minor), 7.13 min (major), 90% *ee*. The NMR data are in accordance with the previously reported data.^[3]

GC chromatogram of compound (S)-4-vinyl-1,3-dioxolan-2-one:



Peak	RetTime	Туре	Width	Area	Height	Area
#	[min]		(min)	[pA*s]	[pA]	%
1	6.697	BV	0.0900	1091.50562	159.24478	49.45939
2	7.000	VB	0.1157	1115.36682	127.99700	50.54061

Peak	RetTime	Туре	Width	Area	Height	Area
#	[min]		(min)	[pA*s]	[pA]	%
1	6.685	BV	0.0915	76.89745	12.46783	5.07525
2	7.133	VB	0.1326	1438.24825	139.25687	94.92475

3.4 Stepwise Experiments: Investigation of the Reaction Pathways



In a dry Schlenk tube filled with argon, $[Ir(cod)Cl]_2$ (2.7 mg, 0.004 mmol, 1 mol %), phosphoramidite ligand L3 (4.2 mg, 0.008 mmol, 2 mol %), and *n*propylamine (0.5 mL) were dissolved in THF (1.0 mL). The reaction mixture was heated at 50 °C for 30 min and then the volatile solvents were removed in vacuum to give a yellow solid. Subsequently, (substituted) methyl salicylate **1** (0.4 mmol), VEC **2** (137.0 mg, 3.0 equiv.), DBU (121.8 mg, 200 mol %) and THF (5.0 mL) were added to this tube. The system was stirred at room temperature until the reaction was completed. Then the solvent was evaporated and the residue was purified by silica gel column chromatography using petroleum/EtOAc (5:1) as the eluent to give the desired products **2a**'.

(R)-methyl 2-((1-hydroxybut-3-en-2-yl)oxy)benzoate (2a')



R_f = 0.30 (petroleum/EtOAc = 4 : 1, v/v); colorless oil, 77.3 mg, 87% yield; 86% ee [Daicel Chiralcel IC-3 (0.46 cm x 25 cm), *n*-hexane/2-propanol = 65/35, v = 1.0 mL•min⁻¹, T = 25 °C, $\lambda = 254$ nm, t_R (major) = 7.989 min, t_R (minor) = 8.382 min]; [α]_D²⁵ = 89.3° (c = 0.75, CH₂Cl₂). ¹H NMR (600 MHz, Chloroform-*d*) δ 7.81 (dd, J = 7.8, 1.8 Hz, 1H), 7.47 – 7.42 (m, 1H), 7.08 (dd, J = 8.3, 1.0 Hz, 1H), 7.05 – 7.01 (m, 1H), 6.00 (ddd, J = 17.4, 10.8, 5.5 Hz, 1H), 5.50 – 5.44 (m, 1H), 5.39 – 5.34 (m, 1H), 4.75 – 4.69 (m, 1H), 3.92 (s, 3H), 3.79 (dd, J = 11.9, 8.6 Hz, 1H), 3.73 (dd, J = 12.0, 3.4 Hz, 1H). ¹³C NMR (151 MHz, Chloroform-*d*) δ 167.06, 159.41, 134.40, 133.81, 131.41, 121.31, 120.63, 118.04, 116.90, 84.60, 65.45, 52.21. HRMS(ESI) calcd for C₁₂H₁₅O₄ [M+H]⁺: 223.0965, Found: 223.0964.



In a dry Schlenk tube filled with argon, $[Ir(cod)Cl]_2$ (1.4 mg, 0.002 mmol, 1 mol %), phosphoramidite ligand L3 (2.1 mg, 0.004 mmol, 2 mol %), and *n*propylamine (0.5 mL) were dissolved in THF (1.0 mL). The reaction mixture was heated at 50 °C for 30 min and then the volatile solvents were removed in vacuum to give a yellow solid. Subsequently, **2a'** (44.4 mg, 0.2 mmol), DBU (60.9 mg, 200 mol %) and THF (2.0 mL) were added to this tube. The system was stirred at 70 °C until the reaction was completed. Then the solvent was evaporated and the residue was purified by silica gel column chromatography using petroleum/EtOAc (8:1) as the eluent to give the desired products **2a** (82% yield, 83% *ee*).



Intermediate 2a' reacted under condition E (room temperature) and condition F (no DBU added), condition D (without Ir complex) in a similar way as above. Further control experiments showed that when intermediate 2a' reacted under condition E (room temperature) and condition F (no DBU added), the corresponding product 2a could not be obtained. However, 2a could be conveniently given when intermediate 2a' was exposed to condition D (without Ir complex). From the results obtained in the one-pot reaction (condition C) and the stepwise experiments, it can be concluded that the reaction proceeds through a relay catalytic pathway and DBU catalyzes the lactonization step at 70 °C.

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4. Copies of NMR Spectra

Figure 1. ¹H NMR (600 MHz, CDCl₃) spectrum of 1q



Figure 2. ¹³C NMR (151 MHz, CDCl₃) spectrum of 1q





Figure 3. ¹H NMR (600 MHz, CDCl₃) spectrum of 1r

Figure 4. ¹³C NMR (151 MHz, CDCl₃) spectrum of 1r



Figure 5. ¹H NMR (600 MHz, CDCl₃) spectrum of 1s



Figure 6. ¹³C NMR (151 MHz, CDCl₃) spectrum of 1s





Figure 7. ¹H NMR (600 MHz, CDCl₃) spectrum of 1t

Figure 8. ¹³C NMR (151 MHz, CDCl₃) spectrum of 1t







Figure 10. ¹³C NMR (151 MHz, CDCl₃) spectrum of 2a



S33





Figure 12. ¹³C NMR (151 MHz, CDCl₃) spectrum of 2b





Figure 13. ¹H NMR (600 MHz, CDCl₃) spectrum of 2c

Figure 14. ¹³C NMR (151 MHz, CDCl₃) spectrum of 2c



S35




Figure 16. ¹³C NMR (151 MHz, CDCl₃) spectrum of 2d







Figure 18. ¹³C NMR (151 MHz, CDCl₃) spectrum of 2e



Figure 19. ¹⁹F NMR (565 MHz, CDCl₃) spectrum of 2e



Figure 20. ¹H NMR (600 MHz, CDCl₃) spectrum of 2f



S38





Figure 22. ¹H NMR (600 MHz, CDCl₃) spectrum of 2g







Figure 24. ¹H NMR (600 MHz, CDCl₃) spectrum of 2h







Figure 26. ¹H NMR (600 MHz, CDCl₃) spectrum of 2i





Figure 28. ¹H NMR (600 MHz, CDCl₃) spectrum of 2j



Figure 27. ¹³C NMR (151 MHz, CDCl₃) spectrum of 2i





Figure 30. ¹H NMR (600 MHz, CDCl₃) spectrum of 2k







Figure 32. ¹H NMR (600 MHz, CDCl₃) spectrum of 21







Figure 34. ¹H NMR (600 MHz, CDCl₃) spectrum of 2m





Figure 35. ¹³C NMR (151 MHz, CDCl₃) spectrum of 2m

Figure 36. ¹H NMR (600 MHz, CDCl₃) spectrum of 2n





Figure 37. ¹³C NMR (151 MHz, CDCl₃) spectrum of 2n

Figure 38. ¹H NMR (600 MHz, CDCl₃) spectrum of 20







Figure 40. ¹H NMR (600 MHz, CDCl₃) spectrum of 2p





Figure 41. ¹³C NMR (151 MHz, CDCl₃) spectrum of 2p

Figure 42. ¹H NMR (600 MHz, CDCl₃) spectrum of 2q





Figure 43. ¹³C NMR (151 MHz, CDCl₃) spectrum of 2q





Figure 45. ¹³C NMR (151 MHz, CDCl₃) spectrum of 2r



Figure 46. ¹H NMR (600 MHz, CDCl₃) spectrum of 2s







Figure 48. ¹H NMR (600 MHz, CDCl₃) spectrum of 2t







Figure 50. ¹H NMR (600 MHz, CDCl₃) spectrum of 2u







Figure 52. ¹H NMR (600 MHz, CDCl₃) spectrum of 2v



Figure 53. ¹³C NMR (151 MHz, CDCl₃) spectrum of 2v



Figure 54. ¹H NMR (600 MHz, CDCl3) spectrum of 2w







Figure 56. ¹H NMR (600 MHz, CDCl3) spectrum of 2x







Figure 58. ¹H NMR (600 MHz, CDCl₃) spectrum of 2a'



S57





5. Copies of HPLC Chromatograms Figure 60. HPLC spectra of 2a

2a (The top one is racemic, and the bottom one is chiral)





Figure 61. HPLC spectra of 2b

2b (The top one is racemic, and the bottom one is chiral)





Figure 62. HPLC spectra of 2c

2c (The top one is racemic, and the bottom one is chiral)





Figure 63. HPLC spectra of 2d

2d (The top one is racemic, and the bottom one is chiral)





Figure 64. HPLC spectra of 2e

2e (The top one is racemic, and the bottom one is chiral)





Figure 65. HPLC spectra of 2f

2f (The top one is racemic, and the bottom one is chiral)





Figure 66. HPLC spectra of 2g

2g (The top one is racemic, and the bottom one is chiral)





Figure 67. HPLC spectra of 2h

2h (The top one is racemic, and the bottom one is chiral)





Figure 68. HPLC spectra of 2i

2i (The top one is racemic, and the bottom one is chiral)





Figure 69. HPLC spectra of 2j

2j (The top one is racemic, and the bottom one is chiral)





Figure 70. HPLC spectra of 2k

2k (The top one is racemic, and the bottom one is chiral)





Figure 71. HPLC spectra of 2l

21 (The top one is racemic, and the bottom one is chiral)





Figure 72. HPLC spectra of 2m

2m (The top one is racemic, and the bottom one is chiral)




Figure 73. HPLC spectra of 2n

2n (The top one is racemic, and the bottom one is chiral)





Figure 74. HPLC spectra of 20

20 (The top one is racemic, and the bottom one is chiral)





Figure 75. HPLC spectra of 2p

2p (The top one is racemic, and the bottom one is chiral)





Figure 76. HPLC spectra of 2q

2q (The top one is racemic, and the bottom one is chiral)





Figure 77. HPLC spectra of 2r

2r (The top one is racemic, and the bottom one is chiral)





Figure 78. HPLC spectra of 2s

2s (The top one is racemic, and the bottom one is chiral)





Figure 79. HPLC spectra of 2t

2t (The top one is racemic, and the bottom one is chiral)







E

Figure 80. HPLC spectra of 2u

2u (The top one is racemic, and the bottom one is chiral)





Figure 81. HPLC spectra of 2v

2v (The top one is racemic, and the bottom one is chiral)





Figure 82. HPLC spectra of 2w

2w (The top one is racemic, and the bottom one is chiral)





Figure 83. HPLC spectra of 2x

2x (The top one is racemic, and the bottom one is chiral)



____ DAD1 B, Sig=254,16 Ret=off (F:\HPLC DATA\PBD\PBD-V\PBD-V\PBD-V-2X_(EE)_.D)



#	[min]		[min]	[mAU*s]	[mAU]	8
1	18.535	MM	0.3277	1198.11206	60.93665	4.8227
2	21.395	MM	0.4330	2.36448e4	910.03833	95.1773

Figure 84. HPLC spectra of 2a'

2a' (The top one is racemic, and the bottom one is chiral)



6. X-ray Crystallogaphic Data



Figure 85. X-Ray Crystallographic Data for Compound(R)-2p

Prob = 50

Method for preparing single crystal (*R*)-2p: Dissolve 20 mg of 2p in a sample bottle containing 1 mL of ethyl acetate, and then add 5 mL of n-hexane. The obtained solution is placed in a quiet place at room temperature. Four weeks later, the single crystal of (*R*)-2p was obtained. Structure factors have been supplied for datablock(s) (CCDC: 2294598)

checkCIF/PLATON report

Structure factors have been supplied for datablock(s) a23090201aqlq autored THIS REPORT IS FOR GUIDANCE ONLY. IF USED AS PART OF A REVIEW PROCEDURE FOR PUBLICATION, IT SHOULD NOT REPLACE THE EXPERTISE OF AN EXPERIENCED CRYSTALLOGRAPHIC REFEREE. No syntax errors found. CIF dictionary Interpreting this report Datablock: a23090201aqlq_autored Bond precision: C-C = 0.0032 A Wavelength=1.54184 Cell: a=4.5169(1) b=9.4428(2) c=18.5211(3) alpha=90 beta=95.565(2) gamma=90 Temperature: 150 K Calculated Reported Volume 786.24(3) 786.24(3) Space group P 21 P 1 21 1 Hall group P 2yb P 2yb Moiety formula C21 H16 O3 C21 H16 O3 Sum formula C21 H16 O3 C21 H16 O3 Mr 316.34 316.34 Dx,g cm-3 1.336 1.336

Z 2 2

Mu (mm-1) 0.715 0.715 F000 332.0 332.0 F000' 333.02 h,k,lmax 5,11,23 5,11,23 Nref 3303[1755] 3170 Tmin, Tmax 0.926, 0.944 0.806, 1.000 Tmin' 0.924 Correction method= # Reported T Limits: Tmin=0.806 Tmax=1.000 AbsCorr = MULTI-SCAN Data completeness= 1.81/0.96 Theta(max)= 76.123 R(reflections) = 0.0345(3093)wR2(reflections)= 0.0930(3170) S = 1.072 Npar= 217 The following ALERTS were generated. Each ALERT has the format test-name_ALERT_alert-type_alert-level. Click on the hyperlinks for more details of the test.

Alert level C

PLAT911_ALERT_3_C Missing FCF Refl Between Thmin & STh/L= 0.600 6 Report Alert level G

PLAT012 ALERT 1 G No shelx res checksum Found in CIF Please Check PLAT910 ALERT 3 G Missing # of FCF Reflection(s) Below Theta(Min). 1 Note PLAT912 ALERT 4 G Missing # of FCF Reflections Above STh/L= 0.600 11 Note PLAT933 ALERT 2 G Number of HKL-OMIT Records in Embedded .res File 7 Note PLAT978 ALERT 2 G Number C-C Bonds with Positive Residual Density. 3 Info 0 ALERT level A = Most likely a serious problem - resolve or explain 0 ALERT level B = A potentially serious problem, consider carefully 1 ALERT level C = Check. Ensure it is not caused by an omission or oversight 5 ALERT level G = General information/check it is not something unexpected 1 ALERT type 1 CIF construction/syntax error, inconsistent or missing data 2 ALERT type 2 Indicator that the structure model may be wrong or deficient 2 ALERT type 3 Indicator that the structure quality may be low 1 ALERT type 4 Improvement, methodology, query or suggestion 0 ALERT type 5 Informative message, check Validation response form Please find below a validation response form (VRF) that can be filled in and pasted into your CIF. # start Validation Reply Form vrf PLAT911 a23090201aqlq autored

PROBLEM: Missing FCF Refl Between Thmin & STh/L= 0.600 6 Report RESPONSE: ...

;

end Validation Reply Form

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