Supporting Information

Observation of the two triplet state conformations of

alkyl phenylglyoxylates.

Alexei G. Merzlikine, Sergey V. Voskresensky, Eugene O.

Danilov, Andrei V. Fedorov, Michael A. J. Rodgers,

Douglas C. Neckers^{*}

Center for Photochemical Sciences, Bowling Green State

University, Bowling Green, OH 43403

I. Synthetic Procedures

Experimental Part

Materials and Solvents.

The following chemicals were commercially available and were used as received: Methyl phenylglyoxylate (Aldrich), ethyl phenylglyoxylate (Aldrich), benzoylformic acid (Aldrich), N,N'-dicyclohexylcarbodiimide (DCC) (Acros), methyl– d_3 alcohol–d (Acros), ethyl– d_3 alcohol–d (Acros), 2-propanol– d_8 (Aldrich), 4–*tert*–butylcyclohexanone (Aldrich), lithium trisiamylborohydride (1M in THF) (Acros), 4–*tert*–butyltoluene (Avocado), benzeneseleninic anhydride (Acros). Tetrahydrofuran and diethyl ether were freshly distilled from sodium/benzophenone ketyl. Dichloromethane and acetone were distilled from calcium hydride and calcium chloride respectively prior to use. Pyridine was distilled from potassium hydroxide and stored over potassium hydroxide. Benzene was distilled from sodium and stored over sodium.

cis-4-*tert*-Butylcyclohexanol was prepared according to a literature procedure.¹ M.p. 80 – 81°C (lit.¹ m.p. 80°C). ¹H NMR (200 MHz, CDCl₃) δ (ppm) 0.86 (s, 9H), 0.91 – 1.08 (m, 1H), 1.32 – 1.60 (m, 7H), 1.75 – 1.91 (m, 2H), 4.04 (m, 1H); EI–MS (70 *eV*) 156 (M⁺), 141 (M⁺–CH₃), 123 (M⁺–CH₃–H₂O), 99, 80–83, 67, 57, 41.

General Methods:

Melting points were determined with a Fisher–John's melting point apparatus and are uncorrected. NMR spectra were obtained using a Varian Gemini 200 NMR spectrometer. Chemical shifts are in ppm with TMS as the internal standard. GC/MS and MS spectra were obtained either with a Hewlett–Packard (HP) 5997 mass spectrometer coupled to an HP5880A GC or a Shimadzu QP5050A mass spectrometer coupled to a Shimadzu GC-17A. Aldrich silica gel 60 Å (70 – 270 mesh) was used in chromatography. Elemental analysis was performed by Atlanta Microlab, Inc.

General procedure for synthesis of alkyl phenylglyoxylates:

Alkyl phenylglyoxylates were prepared by DCC esterification of the benzoylformic acid according to a literature procedure,² except for a modification in the separation step: after the precipitated urea was filtered off, the resulting solution was washed sequently with 10% acetic acid and 5% potassium carbonate and then dried over Na₂SO₄. After the solvent was removed under reduced pressure, the residue was passed through a small layer of silica gel using hexane as eluent.

Isopropylphenylglyoxylate. Yield – 78%. ¹H NMR (200 MHz, CDCl₃) δ (ppm) 1.39 (d, J = 6.2 Hz, 6H), 5.31 (septet, J = 6.3 Hz, 1H), δ 7.43 – 7.54 (m, 2H), 7.57 – 7.69 (m, 1H), 7.92 – 8.01 (m, 2H); ¹³C NMR (50 MHz, CDCl₃) δ 21.6, 70.6, 128.8, 129.8, 132.4, 134.8, 163.5, 186.6; EI–MS (70 *eV*) 192 (M⁺), 164 (M⁺–CO), 123, 105 (PhCO⁺), 77, 51.

tert-Butyl phenylglyoxylate. Yield – 71%. ¹H NMR (200 MHz, CDCl₃) δ (ppm) 1.60 (s, 9H), δ 7.46 – 7.56 (m, 2H), 7.61 – 7.71 (m, 1H), 7.95 – 8.20 (m, 2H); ¹³C NMR (50 MHz, CDCl₃) δ 28.0, 84.8, 128.8, 129.8, 132.4, 134.6, 163.7, 186.8; EI–MS (70 *eV*) 105 (PhCO⁺), 77, 57, 41.

Neopentylphenylglyoxylate. Yield – 65%. ¹H NMR (200 MHz, CDCl₃) δ (ppm) 1.00 (s, 9H), 4.09 (s, 2H), 7.43 – 7.65 (m, 3H), 7.93 – 8.02 (m, 2H); ¹³C NMR (50 MHz, CDCl₃) δ 26.3, 31.5, 75.1, 128.8, 129.9, 132.4, 134.8, 164.1, 186.5; EI–MS (70 *eV*) 220 (M⁺), 205 (M⁺–CH₃), 150, 105 (PhCO⁺), 77, 51.

Cyclohexylphenylglyoxylate. Yield – 64%. ¹H NMR (200 MHz, CDCl₃) δ (ppm) 1.18 – 2.08 (m, 10H), 4.98 – 5.17 (m, 1H), 7.40 – 7.71 (m, 3H), 7.88 – 8.05 (m, 2H); ¹³C NMR (50 MHz, CDCl₃) δ 23.5, 25.1, 31.3, 75.3, 128.8, 129.8, 132.4, 134.7, 163.6, 186.7; EI–MS (70 *eV*) 187, 170, 147, 133, 105 (PhCO⁺), 83, 77, 55, 41.

cis-4-(*tert*-Butyl)cyclohexylphenylglyoxylate. Yield – 75%. M.p. 60 – 61°C. ¹H NMR (200 MHz, CDCl₃) δ (ppm) 0.84 (s, 9H), 0.97 – 1.72 (m, 7H), 2.05 – 2.21 (m, 2H),

5.31 – 5.37 (m, 1H), 7.46 – 7.56 (m, 2H), 7.61 – 7.71 (m, 1H), 7.96 – 8.04 (m, 2H); ¹³C NMR (50 MHz, CDCl₃) δ (ppm) 21.5, 27.3, 30.5, 32.5, 47.3, 72.6, 128.8, 129.9, 132.5, 134.7, 163.8, 186.8; EI–MS (70 *eV*) 232 (M⁺–C₄H₈), 193, 163, 152, 139, 123, 105 (PhCO⁺), 83, 77, 57, 41; Anal. Calcd for C₁₈H₂₄O₃: C, 74.97; H, 8.39. Found: C, 74.71; H, 8.42.

Methyl–*d*₃ **phenylglyoxylate**. Yield – 80%. ¹H NMR (200 MHz, CDCl₃) δ (ppm) 7.46 – 7.56 (m, 2H), 7.61 – 7.71 (m, 1H), 7.98 – 8.06 (m, 2H); ¹³C NMR (50 MHz, CDCl₃) δ (ppm) 52.2 (septet, J_{C-D} = 22.8 Hz), 128.6, 129.7, 132.1, 134.7, 163.8, 185.9; EI–MS (70 *eV*) 167 (M⁺), 139 (M⁺–CO), 105 (PhCO⁺), 77, 51.

Ethyl–*d*₅ **phenylglyoxylate**. Yield – 40%. ¹H NMR (200 MHz, acetone–*d*₆) δ (ppm) 7.55 – 7.81 (m, 3H), 7.97 – 8.07 (m, 2H); ¹³C NMR (50 MHz, acetone–*d*₆) δ (ppm) 15.24 (septet, J_{C-D} =19.2 Hz), 64.2 (quintet, J_{C-D} =22.8 Hz), 129.8, 130.3, 133.0, 135.7, 164.8, 187.5; EI–MS (70 *eV*) 183 (M⁺), 155 (M⁺–CO), 123, 105 (PhCO⁺), 77, 51.

Isopropyl– d_7 **phenylglyoxylate**. Yield – 72%. ¹H NMR (200 MHz, acetone– d_6) δ (ppm) 7.56 – 7.82 (m, 3H), 7.96 – 8.06 (m, 2H); ¹³C NMR (50 MHz, acetone– d_6) δ (ppm) 20.8 (septet, $J_{C-D} = 19.1$ Hz), 70.7 (t, $J_{C-D} = 22.8$ Hz), 130.0, 130.4, 133.1, 135.8, 164.6, 187.8; EI–MS (70 *eV*) 199 (M⁺), 171 (M⁺–CO), 153, 125, 105 (PhCO⁺), 77, 51.

Synthesis of the 6-tert-Butylisochroman-3,4-dione:

2–Bromo–4–*tert***–butylmethylbenzene**. The synthesis was performed according to a literature procedure.³ Yield – 88%.

5-tert-Butyl-2-methylbenzoic acid was synthesized from 2-bromo-4-tertbutylmethylbenzene according to a literature procedure.⁴ Yield – 59%. M.p. 96 – 97°C (lit.⁴ m.p. 98°C). EI–MS (70 *eV*) 192 (M⁺), 177 (M⁺–CH₃), 149, 131, 115, 105, 91.

Methyl 5–*tert*–**butyl–2–methylbenzoate.** This synthesis was performed based on a literature procedure,⁵ starting from 5–*tert*–butyl–2–methylbenzoic acid (24.3 g, 0.36 mol) and Me₂SO₄ in K₂CO₃/acetone system. After work-up, the residue was purified by silica gel flash chromatography using hexane as eluent to yield the product, which was sufficiently pure for the next purposes. Yield – 28.42 g (91%). ¹H NMR (200 MHz, CDCl₃) δ (ppm) 1.33 (s, 9H), 2.56 (s, 3H), 3.90 (s, 3H), 7.18 (d, *J* = 8.2 Hz, 1H), 7.44 (dd, *J* = 8.2, 2.2 Hz, 1H), 7.93 (d, *J* = 2.2 Hz, 1H); ¹³C NMR (50 MHz, CDCl₃) δ (ppm) 21.2, 31.2, 34.4, 51.8, 127.3, 129.0, 129.1, 131.4, 137.0, 148.6, 168.5; EI–MS (70 *eV*) 206 (M⁺), 191 (M⁺–CH₃), 175, 159, 131, 115, 105, 91, 79.

Methyl 2–bromomethyl–5–*tert–***butylbenzoate**. The bromination of the methyl 5–*tert–*butyl–2–methylbenzoate with NBS was performed according to the standard procedure.⁶ The reaction was monitored by GC/MS. The precipitate was filtered off and washed with CH₂Cl₂ (2×50 ml), the filtrate was washed with 5% sodium carbonate, dried over Na₂SO₄ and concentrated under the vacuum. The residue was passed through a small layer of silica gel using hexane as eluent. After solvent evaporation, the product was sufficiently pure for the next step. Yield – 97%. ¹H NMR (200 MHz, CDCl₃) δ (ppm) 1.34 (s, 9H), 3.96 (s, 3H), 4.94 (s, 2H), 7.39 (d, *J* = 8.0 Hz, 1H), 7.52 (dd, *J* = 8.0, 2.2 Hz, 1H), 7.98 (d, *J* = 2.2 Hz, 1H); ¹³C NMR (50 MHz, CDCl₃) δ (ppm) 31.1, 34.7, 38.4, 52.3, 126.8, 128.2, 129.6, 131.5, 136.1, 151.8, 167.4; EI–MS (70 *eV*) 284/286 (M⁺), 269/271 (M⁺–CH₃), 253/255, 237/239, 205, 190, 175, 145, 131, 115, 105, 91.

Methyl 5–*tert*–**butyl–2–***methoxymethylbenzoate*. To a stirred solution of sodium methoxide (prepared by dissolving sodium (6.43 g, 0.28 mol) in 100 ml of the methanol) at 0°C, solution of the methyl 2–bromomethyl–5–*tert*–butylbenzoate (38.2 g, 0.134 mol) in dry THF (40 ml) was added dropwise over 1.5 h. The reaction mixture then was stirred for 4 h at room temperature and left overnight at $35 - 40^{\circ}$ C. The resulting mixture was poured into crushed ice, extracted with CH₂Cl₂, dried (Na₂SO₄) and concentrated under vacuum. The residue was purified on small layer if silica gel using hexane as eluent. Yield – 25.4 g (79%). ¹H NMR (200 MHz, CDCl₃) δ (ppm) 1.34 (s, 9H), 5.70 (s, 2H), 7.31 (d, J = 7.6 Hz, 1H), 8.80 (dd, J = 7.6 Hz, 1H); ¹³C NMR (50

MHz, CDCl₃) δ (ppm) 31.2, 34.5, 51.9, 58.6, 72.4, 127.3, 127.5, 127.8, 129.3, 137.6, 150.0, 167.9; EI–MS (70 *eV*) 236 (M⁺), 221 (M⁺ – CH₃), 205 (M⁺ – OCH₃), 189, 174, 159, 131, 115, 105, 91, 77.

5-*tert*-**Butyl**-**2**-**methoxymethylbenzyl alcohol**. Reduction of the methyl 5-*tert*butyl-2-methoxymethylbenzoate (25 g, 0.106 mol) with LiAlH₄ was performed according to the standard procedure.⁷ After aqueous/acid work-up the resulting alcohol was used in the next step without additional purification. Yield – 21.6 g (98%). ¹H NMR (200 MHz, CDCl₃) δ (ppm) 1.33 (s, 9H), 3.42 (s, 3H), 4.55 (s, 2H), 4.66 (d, *J* = 6.2 Hz, 2H), 7.26 (d, *J* = 8 Hz, 1H), 7.33 (dd, *J* = 7.8, 2.0 Hz, 1H), 7.43 (d, *J* = 2.2 Hz, 1H); ¹³C NMR (50 MHz, CDCl₃) δ (ppm) 31.3, 34.6, 58.0, 64.4, 73.7, 124.8, 127.0, 130.0, 132.9, 140.1, 152.1; EI–MS (70 *eV*) 208 (M⁺), 207 (M⁺–H), 193 (M⁺–CH₃), 190 (M⁺–H₂O), 176, 161, 133, 115, 105, 91, 77.

5-*tert*–**Butyl–2–methoxymethylbenzyl chloride**. This synthesis was performed base on a literature procedure describing the synthesis of the *o*–methoxymethylbenzyl chloride,⁸ starting from 21 g (0.1 mol) of 5–*tert*–butyl–2–methoxymethylbenzyl alcohol. The reaction was monitored by GC/MS. After aqueous work-up, 22.7 g (99%) of the crude product was obtained, which according to GC was sufficiently pure for the next step. EI–MS (70 *eV*) 226/228 (M⁺), 211/213 (M⁺–CH₃), 194/196, 179, 159, 145, 131, 115, 105, 91, 45.

2–[5–*tert*–**Butyl–2–**methoxymethylphenyl]acetonitrile. The vigorously stirred mixture of 5–*tert*–butyl–2–methoxymethylbenzyl chloride (22.6 g, 99.7 mmol), CH₂Cl₂ (50 ml), MeCN (15 ml), NaCN (8.82 g, 0.18 mol), water (40 ml) and benzyltriethylammonium chloride (TEBAC) (400 mg, 1.76 mmol) was heated at 45 – 50°C until starting chloride was completely converted to product (~ 1.5 day), according to GC/MS. The product was extracted with CH₂Cl₂, dried over Na₂SO₄, and the solvent was evaporated under the reduced pressure. The residue was passed trough small layer of silica gel using hexane as eluent to give pure product (16.47 g, 76%). ¹H NMR (200 MHz, CDCl₃) δ (ppm) 1.32 (s, 9H), 3.36 (s, 3H), 3.85 (s, 2H), 4.46 (s, 2H), 7.23 (d, *J* =

8.2 Hz, 1H), 7.32 (dd, J = 8.0, 2.0 Hz, 1H), 7.44 (d, J = 1.8 Hz, 1H); ¹³C NMR (50 MHz, CDCl₃) δ (ppm) 21.0, 31.1, 34.6, 58.0, 72.7, 117.9, 124.9, 126.2, 128.9, 129.8, 132.5, 152.1; EI–MS (70 *eV*) 217 (M⁺), 202 (M⁺ – CH₃), 185, 170, 160, 143, 115, 91.

6-tert-Butylisochroman-3-one. The cyclization of the 2–[5–tert–butyl–2– methoxymethylphenyl]acetonitrile (5 g, 23.0 mmol) was performed according to a literature procedure⁸ describing the synthesis of the isochroman–3–one with modification on the separation step: the product was purified on a small layer of silica gel using sequentially hexane, hexane/CH₂Cl₂ (12/1) and hexane/CH₂Cl₂ (5/1) as an eluent. Yield – 3.81 g (81%). ¹H NMR (200 MHz, CDCl₃) δ (ppm) 1.33 (s, 9H), 3.72 (s, 2H), 5.30 (s, 2H), 7.19 (d, J = 7.8 Hz, 1H), 7.23 (d, J = 1.8 Hz, 1H), 7.34 (dd, J = 7.6, 1.8 Hz, 1H); ¹³C NMR (50 MHz, CDCl₃) δ (ppm) 31.2, 34.7, 36.5, 69.9, 124.0, 124.2, 124.3, 128.6, 130.6, 152.1, 170.9; EI–MS (70 *eV*) 204 (M⁺), 189 (M⁺ – CH₃), 161, 160, 145, 133, 131, 115, 105, 91, 77.

6-*tert*-**Butylisochroman**-**3**,**4**-dione. This synthesis was performed based on the literature procedure describing the synthesis of the isochroman–3,4–dione.⁹ In the case below, we started from 1.8 g, (8.8 mmol) of the 6–*tert*–butylisochroman–3–one. After aqueous work-up, the product was subjected to column chromatography using sequentially hexane (to remove diphenyl diselenide), hexane/CH₂Cl₂ (5/1) (to remove ureacted starting material and the rest of the diphenyl diselenide) and hexane/CH₂Cl₂ (1/1) as an eluent. Yield – 1.17 g (61%). Analytical sample was additionally recrystallized from hexane – CH₂Cl₂ (12 : 1). M.p. 156 – 157°C. ¹H NMR (200 MHz, CDCl₃) δ (ppm) 1.34 (s, 9H), 5.70 (s, 2H), 7.31 (d, *J* = 7.8 Hz, 1H), 7.80 (dd, *J* = 8.1, 2.0 Hz, 1H), 8.11 (d, *J* = 2.2 Hz, 1H); ¹³C NMR (50 MHz, CDCl₃) δ (ppm) 30.9, 35.0, 69.3, 124.2, 124.3, 129.6, 133.7, 136.0, 152.8, 157.1, 173.8; EI–MS (70 *eV*) 218 (M⁺), 203 (M⁺ – CH₃), 174 (M⁺ – CO₂), 159, 146, 115, 102, 91, 77, 65. Anal. Calcd for C₁₃H₁₄O₃: C, 71.54; H, 6.47. Found: C, 71.28; H, 6.45.

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II. Spectroscopic Data

Figure S1.Time-resolved infrared spectra of various APGs and structural analogs The spectra shown are averaged over 200 ns time intervals.







Figure S3. Colormaps of triplet peaks of tBuICD and MPG in various solvent systems. Two components are observed in the case of MPG. Only one component is present in the spectra of tBuICD.



50% (vol.) benzene in hexane



Expanded area of the transient $\sim 1660 \text{ cm}^{-1}$ in different solvent mixtures.





Table S1. Semi-empirical calculation (PM3) of the properties of tBuCHPG andCHPG.

Compound	Parameter	Z	Ε	Δ
tBuCHPG	O=C-C-O angle	-144°	-1 .4 ⁰	142.6°
	ΔH_{f} , kcal mol ⁻¹	-121.98	-122.06	-0.12
CHPG	O=C-C-O angle	-110 [°]	1.1°	111.1°
	$\Delta H_{f_{j}}$ kcal mol ⁻¹	-99.42	-104.21	4.8

Figure S5. Stereoimages of the structures corresponding to the minima in table Singlet state.





tBuCHPG E-isomer



tBuCHPG Z-isomer



III. Computational Details

The results of ground state rotational barrier calculations are presented in Figure S6. The activation energy for the rotation around the ester C—O bond was calculated to be 10-11 kcal mol⁻¹. The energy difference between the two conformers was ~7 kcal mol⁻¹ and ~5.1 kcal mol⁻¹ in favor of the E-conformer according to RHF and DFT, respectively. These values are slightly higher than the values obtained for the simple ester rotamers, which are approximately 3-4 kcal mol⁻¹ (Wiberg, K. B.; Laidig, K. E. *J. Am. Chem. Soc.* **1987**, *109*, 5935). The predicted ground state geometry involves almost

planar benzil and ester moieties at the minima on the potential energy curve. The angle



between the two carbonyl groups changes as a function of the ester torsional angle.

Figure S6. Barrier for the rotation around C-O ester bond calculated using B3LYP/6-31G* (squares) and RHF/6-31G* (dots). 0 and 180 degrees correspond to E- and Zconformers, respectively. The molecular geometry was optimized at each step with the dihedral angle of the ester C-O bond frozen.

The rotation barriers in the triplet excited state were calculated using CI-singles method. These calculations show that the lowest excited triplet state T_1 (HOMO to LUMO excitation) has a π - π * character, and the second excited triplet state T_2 has an $n-\pi$ * character (HOMO-2 to LUMO excitation). It was previously shown that APG triplet has an $n-\pi$ * character (Wagner, P. J.; Park, B.-S. *Org. Photochem.* Padwa, A., Ed.; Marcel Dekker, Inc.; New York, 1991; Vol. 11, p 227), which closely corresponds to the computed T_2 state.

The relative energies, energy barriers (Table S2), and carbonyl vibrational frequencies (Table S3) were calculated for the conformational isomers of T_1 and T_2 formed by rotation around diketone C—C and ester C—O bonds (E-, Z-, and syn-). Both in T_1 and

T₂, the minima corresponding to the E-, Z- and *syn*-rotamers were found. In both excited states, the syn- and Z-conformers are higher in energy than the conformer E-. The rotational barriers, however, are different for T₁ and T₂. In the lowest triplet excited state, the rotation from syn- to E- conformation was essentially uninhibited. The rotation barrier between the E- and Z- conformations is almost identical to that one for the ground state (10-10.5 kcal mol⁻¹). The situation reverses in the second excited state (n– π *) with the substantial energy barrier between the syn- and E- conformers. The magnitude of the barrier between the E- and Z- does not change significantly; however, because of the higher energy of the Z-conformer in this excited state, the rotation of Z into the E conformation is virtually free.



 Table S2. Relative energies of the conformers and rotational barrier in the excited and ground states.

	Syn, kcal/mol	E to Syn	Е,*	E to Z	Z,
		Barrier,**	Kcal/mol	Barrier,**	Kcal/mol
		kcal/mol		kcal/mol	
Ground	-	-	0	10.5	6.9
T ₁	2.3	2.3	0	10.3	7.2
T ₂	5.2	~14	0	10.1	10.1

* E is the lowest-energy conformation for each of the electronic states

** the energy of the highest-energy transition structure calculated

The calculated vibrational frequencies of the β -carbonyl group are provided in the Table S3. The scaling coefficient (0.85) was selected to match one of the frequencies to the observed value, and the agreement between the observed and calculated frequency of the second rotamer's vibration was analyzed.

 Table S3. Calculated unscaled (scaled) and observed frequencies of the carbonyl

 group vibrations in different conformations of the triplet excited states^{*}

State	syn	Е	Ζ			
T ₁	2033(1728)	1995(1696)	2018(1715)			
T ₂	1953(1660)	1949(1657)	1924(1635)			
Experimental	1655		1662			
* Values calculated by CIS/6 21G*						

* Values calculated by CIS/6-31G*

The experimental data imply that the band that belongs to the Z-conformation appears at a higher frequency than the band of the Norrish Type II inactive conformation. In T_1 , the calculations predict the frequency of Z-conformer to be higher than the frequency of the E-conformer and lower than the frequency of the syn-conformer. In T_2 , the signals from both inactive conformations are calculated to have the higher frequency than that of the Z-conformer.

According to both DFT and HF calculations, the ground state molecule of MPG contains planar benzoyl and ester moieties, tilted with respect to each other. The dihedral angle between the two carbonyl groups thus adequately describes the geometry of a molecule. Upon excitation, an electron is promoted to a π^* molecular orbital (LUMO)

which introduces a π -character into the diketone C-C bond, thus making a molecule more planar (Figure S7). Accordingly, the diketone dihedral angle changes from ~ 127 in the ground state to ~ 155° in T₁ and to 180° in T₂ (data shown are for the E-conformer of MPG). The molecules in Z-conformation were less planar than the corresponding Econformations. Both calculated molecular geometries and vibrational frequencies did not allow us to unambiguously attribute the Norrish Type II inactive conformer to either Eor syn-conformer of the APGs.



Figure S7. Z and E conformers of methyl phenylglyoxylate calculated using CIS/6-31G